

Lecture Notes in Networks and Systems 415

Jagdev Singh · George A. Anastassiou ·  
Dumitru Baleanu · Carlo Cattani ·  
Devendra Kumar *Editors*

# Advances in Mathematical Modelling, Applied Analysis and Computation

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# Lecture Notes in Networks and Systems

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Editors

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*Editors*

Jagdev Singh  
Department of Mathematics  
JECRC University  
Jaipur, India

George A. Anastassiou  
Department of Mathematics  
University of Memphis  
Tennessee, TN, USA

Dumitru Baleanu  
Department of Mathematics  
Cankaya University  
Ankara, Turkey

Carlo Cattani  
Engineering School, DEIM  
University of Tuscia  
Viterbo, Italy

Devendra Kumar  
Department of Mathematics  
University of Rajasthan  
Jaipur, India

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# Preface

This book is based around the 4th International Conference on “Mathematical Modelling, Applied Analysis and Computation (ICMMAAC 21)” held in JECRC University, Jaipur on 5–7 August 2021. The book contains several recent advanced and important topics in mathematical modelling, applicable analysis and numerical simulations having uses in science, engineering and finance. The book is very useful for the graduate and post-graduate students, researchers and educators working in different directions of research in applied mathematics and related fields. The general readers interested in mathematical theories and techniques having practical applications in solving real-world problems should also find the book very interesting and useful. The book contains 36 chapters which are organized as follows:

Chapter “[A Collection of Hilfer Fractional Opial Inequalities](#)” presents a collection of Hilfer fractional left and right side Opial type inequalities. These cover forward, reverse and extreme cases, and contain one, two and several functions of distinct non-integer orders at different powers. The estimates are very general covering several distinct settings.

Chapter “[On a Non-linear Diffusion Model of Wood Impregnation: Analysis, Approximate Solutions, and Experiments with Relaxing Boundary Conditions](#)” establishes approximate integral-balance solutions of nonlinear diffusion model for wood impregnation by methacrylate in two cases, namely, Dirichlet boundary condition considering instantaneous saturation of the wood surface contacting with the liquid bath and relaxing Dirichlet boundary conditions accounting the fact that instantaneous saturation is unphysical and takes some time to be developed.

Chapter “[Algorithmic Complexity-Based Fractional-Order Derivatives in Computational Biology](#)” presents a novel mathematical informed framework and multi-staged integrative technique concerning algorithmic complexity. This chapter aims at investigating a robust and accurate model reliant on the mixture of fractional-order derivative and artificial neural network for the diagnostic and differentiability predictive purposes for the disease which may reveal several and transient biological characteristic. Another objective of this chapter is benefitting from the concept of algorithmic complexity to attain the non-integer order derivative with the least complexity in order that it would be possible to achieve the optimized solution.

Chapter “[Case Study of Non-singular Kernel Model for MHD Boundary Layer Flow of a Rate Type Fluid over an Oscillating Plate](#)” presents the MHD boundary layer flow of rate type fluid over an oscillating inclined infinite plate along with Newtonian heating and slip at the boundary. The model is established by applying the Atangana-Baleanu time-fractional derivative operator. Temperature and velocity fields for the fractional-order model are calculated. The physical significance of the parameters like relaxation time, fractional-order parameter, Grashof number, and inclination of the plate is investigated and their control on the velocity of the fluid is examined graphically.

Chapter “[Multilayer Perceptron Artificial Neural Network Approach to Solve Sixth-Order Two-Point Boundary Value Problems](#)” presents a multilayer perceptron artificial neural network technique for solving the sixth-order boundary value problems that arise in various branches of engineering and physics such as hydrodynamics, fluid dynamics, astrophysics, beam theory and so on. The obtained solutions of these boundary value problems by applied method are optimal as compared to other existing approximation techniques. In order to decide the performance of the proposed technique some models are analysed. The numerical outcomes show that the suggested strategy is very effective for higher order boundary value problems and required low memory space and less computational time.

Chapter “[Wavelet Transform on Generalized Quotient Spaces and Its Applications](#)” presents the theory of generalized quotients which is a generalization of Schwartz distributions. The general construction of generalized quotients is discussed, which is employed to several function spaces in order to attain various generalized quotient spaces. The wavelet transform is extended to these spaces to obtain some generalized outcomes. The idea of convolution related to wavelet is employed to obtain operational properties for quotient of sequences. The wavelet transform of periodic quotient of sequences is expressed and a uniqueness theorem is defined for the wavelet transform of analytic functions. Some fundamental concepts of the theory of generalized quotients are discussed and then some of its applications such as extending the wavelet transform on a space of generalized quotients on the torus are explained.

Chapter “[Certain Image Formulae of the Incomplete I-Function Under the Conformable and Pathway Fractional Integral and Derivative Operators](#)” studies several interesting image formulae of the incomplete I-function under the conformable and pathway fractional operators. Since both the incomplete I-function and the conformable fractional operators are very general among special functions as well as fractional integral and derivative operators, the principal outcomes discussed in this chapter can give a number of specific identities, some of which are explicitly shown in the corollaries.

Chapter “[Explicit Exact Solutions and Conservation Laws of Modified Alpha Equation](#)” investigates the invariant solutions of generalized modified alpha equation which are derived by utilizing the Lie classical symmetry scheme. The obtained solutions are in terms of trigonometric functions and hyperbolic functions. This equation can be used in solidifying and nucleation problem. The conservation laws are obtained by employing the multiplier approach. The graphical representations

are also demonstrated for some of the obtained solutions. Some new solutions of those equations are found that have been considered earlier in literature, as well as some of the previous solutions can also be recovered by considering special values.

Chapter “[Some Approximation Results on Durrmeyer Modification of Generalized Szász–Mirakjan Operators](#)” deals with the approximation features of the summation-integral-type operators defined by Mishra et al. It consists of the local outcomes and convergence theorem of the defined operators. The asymptotic nature of the operators and the quantitative means of Voronovskaja-type theorem are presented. The Grüss Voronovskaja-type theorem is discussed. To support the approximation results of the operators, the graphical representation is presented.

Chapter “[Fuzzy Approach to Solve General De-Novo Programming Problem](#)” introduces a modified fuzzy approach to solve GDNPP by means of reflection of decision-maker’s choice. It is observed that flexibility in decision-maker’s choice to some extent could be studied in multi-objective linear programming problem utilizing meta-goal programming technique. This flexibility in decision process can also be effectively considered by applying fuzzy scheme for solving GDNPP, which is obtained by introducing novel constraints as per requirement of the problem. The proposed technique of solution has been shown by a numerical illustration. The obtained solutions have been compared with those of other existing techniques of solving GDNPP.

Chapter “[Comparative Study of Eight Classification Models for Diagnosis and Prediction of Breast Cancer](#)” deals with eight models such as Logistic Regression, K-Nearest Neighbourhood, Decision Tree, Random Forest, Artificial Neural Network, Gaussian Naïve Bayes, Support Vector Machine and AdaBoost classifier which are utilized for predicting two classes, i.e. benign and malignant. To select the best fit classification model for prediction, a confusion matrix is used for evaluating the performance of each model. Further, parameters, for example, precision, accuracy, recall, specificity, F-measure and Matthews correlation coefficient, are investigated for each model. The Wisconsin breast cancer diagnosis dataset and Coimbra breast cancer dataset are applied for experimental outcomes.

Chapter “[Mathematical Model for Demonetization](#)” investigates a demonetization effect on a population by using a compartmental mathematical model. Mathematical analysis of the model presents that there is an existence of demonetization-free equilibrium and demonetization existence equilibrium. The numerical results of the model are obtained and the outcomes reveal that demonetization effect persist in the system.

Chapter “[An Inflationary Demand Scheme with Pareto Deterioration in Two Warehouses](#)” deals with a two storage inventory model (one of them is Own Warehouse (OW) and another is Rented Warehouse (RW)) with exponentially time-varying demand considering partial backlogging. The capacity of own warehouse is fixed ( $U$  units), to store more units than the limited range of OW, the supplier has to rent another warehouse (RW) at higher holding cost. Two warehouse policies with linear holding cost and Pareto-type decay in an inflationary environment are studied. The sensitivity investigation has been investigated to show the effects of diverse parameters of the inventory system.



Chapter “[Exact Solitary Wave Solutions of the \(3+1\)-Dimensional Generalised Kadomtsev-Petviashvili Benjamin-Bona-Mahony Equation](#)” studies the (3+1)-dimensional generalized Kadomtsev-Petviashvili Benjamin-Bona-Mahony equation. By applying the modified hyperbolic function expansion method, the exact solitary wave solutions of the nonlinear partial differential equation have been derived. The required basic information for the technique of modified hyperbolic expansion has been provided. Two numerical examples have been demonstrated and the exact solutions obtained are described with the help of two-dimensional and three-dimensional graphs.

Chapter “[Effect of Oblique Magnetic and Electric Fields on the Kelvin-Helmholtz Instability at the Interface Between Porous and Fluid Layers](#)” deals with the effect of inclined magnetic and electric field on the growth rate of the Kelvin-Helmholtz instability of a flow in porous layer. The base flow is considered to be fully developed and the linear theories along with normal modes are applied to understand the stability of the flow over the interface between the fluid saturated porous layer and clear fluid layer of large extent. The effect of inclined magnetic and electric fields on the growth rate of instability is examined in terms of non-dimensional parameters. The numerical and graphical outcomes demonstrated and validated for wide range of non-dimensional parameters.

Chapter “[Heat Transfer for MHD Flow in an Inclined Channel with Heat Generation/Absorption](#)” deals with the motion of an incompressible viscous fluid in an inclined channel. A uniform magnetic field is employed normal to the channel, considering heat absorption, heat generation and viscous dissipation into account. The non-dimensional partial differential equations are transformed into ordinary differential equations (ODEs) and the perturbation technique is applied for solving ODEs. The velocity and temperature properties have been analysed through graphs.

Chapter “[Volterra Equation with Constant Fractional Order and Variable Order Fractal Dimension](#)” studies a general Volterra equation with the new differential and integral operators. The condition is presented under which the uniqueness and existence of the exact solutions can be obtained for three cases involving power law, exponential decay law and the generalized Mittag-Leffler function. Numerical solutions and error analysis are presented for each case.

Chapter “[On the Parabolic Instability Region for Kuo Problem](#)” demonstrates Kuo problem which deals with incompressible, inviscid, parallel zonal flows. For this Kuo problem, a parabolic instability region without any restriction which intersects with Howard semi-circle under some condition is derived. A novel upper bound for the growth rate of an unstable mode is obtained.

Chapter “[Font Design Through RQT Bézier Curve Using Shape Parameters](#)” deals with RQT Bézier curve utilizing two shape parameters. These new curves are more adaptable due to the existence of shape parameters and geometric characteristics. To confirm whether the studied curve satisfied the convex hull characteristic or not, limitations on shape, weight and declared end-pointed curvatures have been used. This curve is applied for smooth curve compositions by generating piecewise rational trigonometric curves that are adjacent in parametric and geometric Hermite continuity criteria.

Chapter “[Effect of Partial Slip on Peristaltic Transport of MHD-Carreau Fluid in a Flexible Channel with Non-uniform Heat Source and Sink](#)” studies the effect of magnetic field, partial slip flow and irregular heat generation and absorption of Carreau liquid in peristaltic movement through flexible channel. By using suitable non-dimensional parameters the governing equations are reduced to standard nonlinear partial differential equations. By employing multi-step differential transformation method solutions of emerging equations are obtained. The role of influential factors on velocity, concentration and temperature is demonstrated via graphs.

Chapter “[Invariant Preserving Schemes for Multi-symplectic Integrator of Two Long Waves’ Interactions](#)” reports the idea of discrete conservation of symplecticity to discretization’s for two long wave’s interactions. This characteristic is endemic and it is explained that it also leads to exact discrete conservation of momentum and energy for propagation two long wave’s interactions. Multi-symplectic integrators are examined for propagation two long waves’ interactions and analysed the conservation aspect for multi-symplectic integrator. The numerical simulations are also shown.

Chapter “[Study of Effect of Overlapping Stenosis on Flow Field Considering Non-Newtonian Reiner Rivlin Blood Flow in Artery](#)” presents the impact of overlapping stenosis associate to symmetric stenosis for distinct shape parameters on parameters of flow field. By considering Reiner–Rivlin stress and strain constitutive relations appropriate for blood rheology the governing conservation equations are derived. To reveal shear thinning, shear thickening and dilatancy effect, the suitability of generalized Reiner–Rivlin constitutive relation is reported from the literature. The solution for flow field is founded for steady axi-symmetric case for both overlapping stenosis and axially symmetric for several shape parameters. To discuss the effect of overlapping stenosis associate to symmetric stenosis the flow fields for symmetric and overlapping stenosis are compared.

Chapter “[Pathway Fractional Integral Formulae Involving Extended Bessel-Maitland Function in the Kernel](#)” deals with two composition formulae of pathway fractional integral operators associated with altered modifications of the Bessel-Maitland function. Pertinent connections of certain special cases of key results with known outcomes have been investigated.

Chapter “[Analytical Approximate Approach to the Helmholtz-Duffing Oscillator](#)” studies a new analytical approximation for the period and periodic solutions for the Helmholtz-Duffing oscillator. The key aim of this work is to approximate the integration in exact analytical period of equation by applying a well-known quadrature rules. Comparison of the outcomes attained employing this scheme with the exact one and existing outcomes shows simplicity, accuracy and efficiency of the proposed method for the whole range of initial amplitudes and the equation parameter in a variety of cases. The approach can be easily modified to other existing strongly nonlinear oscillators.

Chapter “[Haar Wavelet Series Method for Solving Simultaneous Proportional Delay Differential Equations](#)” reports a novel numerical technique to find the approximate solution of simultaneous proportional delay differential equations (SPDDEs). To transform the SPDDEs into a system of algebraic matrix equations with unknown coefficients matrices, the discussed method uses delayed Haar wavelet series and collocation points. By applying suitable solver, the values of these unknown row

matrices can be found. By applying these coefficients the solution in forms of collocated Haar wavelet series is found.

Chapter “[Fractional Reaction–Diffusion Model: An Efficient Computational Technique for Nonlinear Time-Fractional Schnakenberg Model](#)” studies the  $q$ -homotopy analysis transform method ( $q$ -HATM) to obtain the solutions for the nonlinear fractional-order reaction-diffusion systems, for example, the fractional Schnakenberg model. For modelling of morphogen in developmental biology, study of these models is well known. In this chapter, study of Schnakenberg models is considered with exciting results. The obtained results show that the studied technique is very interesting and resolves the complex nonlinear issues that arise in the field of science and technology.

Chapter “[Existence of Salvage Value in a Memory Dependent EOQ Model in Absence of Deteriorating Items](#)” deals with an inventory model by considering the effect of the past experience. By applying concept of fractional calculus, the inclusion of memory effect in the inventory system has been investigated. The existence of salvage value in the memory dependent inventory system in absence of deteriorating items has been studied. At last, sensitivity analysis is discussed to analyse the crucial parameters of the system for the market studies so that it would be given care seriously.

Chapter “[A Fuzzy Decomposable Approach for Postfust Reliability Evaluation of a Repairable Substation Automation System](#)” represents a new technique to study the postfust reliability of a substation automated system. The fuzzy failure and fuzzy repair rates in the aspect of possibility have been discussed. In this scheme, a decomposition technique is used to a normal and convex fuzzy set. The complete process is compared with the existing method and applied method with the aid of numerical example.

Chapter “[Solution of Fingering Phenomenon Arising in Porous Media in Horizontal Direction by Combination of Elzaki Transform and Adomian Decomposition Method](#)” studies the solution of fingering aspect appearing in fluid flow via porous media in horizontal direction. This idea has a crucial role in oil recovery process. Mathematical construction of the fingering aspect has been obtained and it is represented by nonlinear partial differential equation. To compute the solution for the studied model, Elzaki Adomian decomposition scheme has been applied. The applied technique provides the solution in a convergent series. To analyse the accuracy of the discussed method, the applied technique is compared with variational iteration method.

Chapter “[Numerical Study of Melting Impact on MHD Non-Newtonian Casson Fluid Flow Ran on a Stretching Sheet in a Porous Medium with Radiation and Dissipation Effect](#)” reports the aspects of melting heat transference in the porous medium of MHD Casson fluid affected by thermal radiation and viscous dissipation in addition with heat source/sink effect. The nonlinear problem is handled by using the Runge-Kutta-Fehlberg method by reducing the governing equations into ordinary differential equations. By utilizing MATLAB software along with shooting method the graphical representation has been shown. The effect of Nusselt number and skin-friction coefficient has been illustrated. The results of the present study are related

with the convenient works in particular conditions and more contracts have been identified.

Chapter “[Impact of Hall Current and Rotation on Unsteady MHD Oscillatory Dusty Fluid Flow Through Horizontal Porous Plates](#)” studies the effect of Hall current on unsteady MHD oscillatory dusty fluid flow through the horizontal rotating porous plates. The momentum and energy equations on the basis of certain restrictions have been obtained. The governing equations have been simplified and solved by analytical approach. For velocity and temperature, the closed analytical solutions have been found. To reveal the key aspects of several physical parameters on the fluid flow, the numerical simulations are shown graphically. The outcomes found in this chapter are compared and authenticated with the existing results in the literature.

Chapter “[Study of Aligned MHD Casson Fluid Past a Shrinking Sheet with Viscous Dissipation](#)” studies the effect of viscous dissipation on aligned MHD flow in a Casson fluid with heat and flow transfer over shrinking sheet. The effects of several parameters have been investigated on different profiles. By employing similarity transformations the governing partial differential equations are converted to ordinary differential equations. By applying Runge-Kutta-Fehlberg technique with the aid of shooting scheme, the scientific outcomes have been discussed.

Chapter “[Spin Coating of Non-Newtonian Nanofluid with Silver and Copper as Nanoparticle](#)” investigates the characteristics of the flow and film thickness variation of non-Newtonian nanofluid during spin coating process. In case of non-newtonian fluid the viscosity is not a constant term. In case of non-Newtonian fluid, the viscosity and solvent diffusivity are dependent on polymer concentration. The silver and copper are taken as a nanoparticle and sodium alginate is taken as a non-Newtonian base fluid. The nature of the film thickness for the distinct parameters, for example, initial film thickness, angular velocity of the rotating disc and volume fraction of the nanoparticles has been studied.

Chapter “[Analysis of Soret and Dufour Effect on MHD Fluid Flow Over a Slanted Stretching Sheet with Chemical Reaction, Heat Source and Radiation](#)” investigates the MHD laminar flow with heat flux, mass flux and magnetic flux, buoyancy ratio, thermal conduction, and radiation with convective boundary condition for suction and injection of an electromagnetic fluid. The similarity transformations are employed to get the coupled ODEs from coupled partial differential equation and then these ODEs are handled by using RK-4 scheme. The impacts of different physical quantities are demonstrated numerically graphically on different profiles.

Chapter “[Entropy Generation in Fourth-Grade Fluid Flow with Variable Thermal Conductivity](#)” presents a numerical examination of thermo-fluidic configuration confronting entropy generation in the fourth grade fluid flow via a parallel plate channel with temperature-dependent thermal conductivity. The problem is formulated in terms of boundary value problem and examined by using RK-4-order scheme along with shooting technique. Thermodynamic irreversibility analysis is studied through quantification of entropy generation and Bejan numbers.

Chapter “[Solution of Nonlinear Fractional Differential Equation Using New Integral Transform Method](#)” presents the solution of fractional-order nonlinear partial differential equations by utilizing the Elzaki decomposition technique. The method

is a powerful scheme for solving fractional differential equation. Some numerical cases are illustrated to examine the efficiency of the proposed scheme.

The editors are thankful to the contributors for submitting their valuable research articles. The editors are grateful to the reviewers for their sincere efforts in evaluating the papers in a timely manner. We are also thankful to our colleagues and friends for their constant support and help during execution of task for bringing out this volume.

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Tennessee, USA  
Ankara, Turkey  
Viterbo, Italy  
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Jagdev Singh  
George A. Anastassiou  
Dumitru Baleanu  
Carlo Cattani  
Devendra Kumar

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# Editors and Contributors

## About the Editors

**Jagdev Singh** is Professor and Head in the Department of Mathematics at JECRC University, Rajasthan, India. He did his Master of Science (M.Sc.) and Ph.D. in Mathematics from University of Rajasthan, India. His fields of research include Mathematical Modelling, Special Functions, Fractional Calculus, Fluid Dynamics, Analytical and Numerical Methods. He has published four books, viz. Fractional Calculus in Medical and Health Science (2020), Engineering Mathematics-I (2008), Engineering Mathematics-II (2013), and Advanced Engineering Mathematics-IV (2008). He has to his credit over 244 research papers published in various journals of repute, and his h-index is 53. He has attended a number of national and international conferences and presented several research papers. He is on the Editorial Board of various journals and reviewer of several journals. He is on the Clarivate Analytics list of highly cited researchers in 2020 and 2021. He won the Riemann Award for Young Scientists in Testimony of the High Regard of Achievements in the area of Fractional Calculus and its Applications in the First International Conference on Modern Fractional Calculus and Its Applications at Biruni University, Istanbul, Turkey, December 4–6, 2020. He won the International Obada Prize in 2021 for Young Distinguished Researcher.

**George A. Anastassiou** was born in Athens, Greece, in 1952. He received his B.Sc. degree in Mathematics from Athens University, Greece, in 1975. He received his Diploma in Operations Research from Southampton University, UK, in 1976. He also received his MA in Mathematics from University of Rochester, USA, in 1981. He was awarded his Ph.D. in Mathematics from University of Rochester, USA, in 1984. During 1984–86, he served as Visiting Assistant Professor at the University of Rhode Island, USA. Since 1986 till now 2020, he is Faculty Member at the University of Memphis, USA. He is currently Full Professor of Mathematics since 1994. His research area is “Computational Analysis” in the very broad sense. He has published

over 550 research articles in international mathematical journals and over 43 monographs, proceedings, and textbooks in well-known publishing houses. Several awards have been awarded to him. In 2007, he received the Honorary Doctoral Degree from University of Oradea, Romania. He is Associate Editor over 70 international mathematical journals and Editor in Chief in three journals, most notably in the well-known “Journal of Computational Analysis and Applications.”

**Dumitru Baleanu** is Professor at the Institute of Space Sciences, Magurele–Bucharest, Romania, and Visiting Staff Member at the Department of Mathematics, Cankaya University, Ankara, Turkey. His research interests include fractional dynamics and its applications, fractional differential equations, dynamic systems on time scales, and Lie symmetries. He has published more than 1000 papers indexed in SCI. He is one of the editors of five books published by Springer and one published by AIP Conference Proceedings. He is Editorial Board Member of the journals indexed in SCI, viz. *Mathematical Methods in Applied Sciences*, *Applied Numerical Mathematics*, *Mathematics*, *Journal of Computational and Nonlinear Dynamics*, *Symmetry and Fractional Calculus and Applied Analysis*. He has received more than 15000 citations in journals covered by SCI, and his Hirsch index is 65. He was on the Thompson Reuter list of highly cited researchers in 2015, 2016, 2017, 2018, 2019, 2020 and 2021. He won the International Obada Prize in 2019.

**Carlo Cattani** is Professor (Habil. Full Professor) of Mathematical Physics at the Department of Economics, Engineering, Society and Enterprise of Tuscia University, Italy, since 2015. He has been previously appointed at the University of Rome “La Sapienza” (1980–2004) and University of Salerno (2004–2015). He is Research Fellow at the Italian Council of Research (1978–1980) and Visiting Research Fellow at the Physics Institute of the Stockholm University (1987–1988). His main research interests are focusing on numerical and computational mathematical models and methods. Author of more than 270 scientific papers and several books, he has given significant contributions to fundamental topics such as numerical methods, fractional calculus, fractals, wavelets, and nonlinear waves. He has been awarded as Honorary Professor at the Azerbaijan University (2019), at the BSP University, Ufa-Russia (2009), and (in 2018) as Adjunct Professor at the Ton Duc Thang University—HCMC Vietnam.

**Devendra Kumar** is Assistant Professor in the Department of Mathematics, University of Rajasthan, Rajasthan, India. He did his Master of Science (M.Sc.) and Ph.D. in Mathematics from University of Rajasthan, India. His areas of interest are Mathematical Modelling, Special Functions, Fractional Calculus, Applied Functional Analysis, Nonlinear Dynamics, Analytical and Numerical Methods. He has published four books, viz. *Engineering Mathematics-I* (2008), *Engineering Mathematics-II* (2013), *Fractional Calculus in Medical and Health Science* (2020), and *Methods of Mathematical Modelling: Fractional Differential Equations* (2020). He has to his credit over 250 research papers published in various journals of repute, and his h-index is 53. He has attended a number of national and international conferences and presented

several research papers. He is on the Editorial Board of several Journals of Mathematics. He is also a reviewer of various journals. He is on the Clarivate Analytics list of highly cited researchers in 2020 and 2021. He won the Bertram Ross Award in Testimony of the High Regard of Achievements in the area of Fractional Calculus and its Applications in the First International Conference on Modern Fractional Calculus and Its Applications at Biruni University, Istanbul, Turkey, December 4–6, 2020.

## Contributors

**Afroz Afroz** MANUU, Hyderabad, India

**Amsalu Hafte** Department of Physics, Wollo University, Dessie, Ethiopia

**Anastassiou George A.** Department of Mathematical Sciences, University of Memphis, Memphis, U.S.A.

**Asgir Maryam** Department of Mathematics, Government College University Lahore, Lahore, Pakistan

**Asha S. K.** Department of Studies and Research in Mathematics, Karnatak University, Dharwad, India

**Atangana Abdon** Faculty of Natural and Agricultural Science, Institute for Groundwater Studied, University of Free State, Bloemfontein, South Africa; Department of Medical Research, China Medical University Hospital, China Medical University, Taichung, Taiwan

**Baleanu Dumitru** Department of Mathematics, Çankaya University, Balgat, Ankara, Turkey;  
Institute of Space Sciences, Magurele–Bucharest, Romania

**Bansal Manish Kumar** Department of Mathematics, Jaypee Institute of Information Technology, Noida, Uttar Pradesh, India

**Beleri Joonabi** Department of Studies and Research in Mathematics, Karnatak University, Dharwad, India

**Bhattacharya Debasish** NIT Agartala, Jirania, India

**Bohra M.** Department of Mathematics, Government Mahila Engineering College, Ajmer, India

**Bonyah Ebenezer** Department of Mathematics Education, Akenten Appiah Menka University of Skills Training and Entrepreneurial Development, Kumasi, Ghana

**Chakraborty Sayanta** NIT Agartala, Jirania, India

**Champati Santilata** Department of Mathematics, Siksha ‘O’ Anusandhandhan (Deemed to Be University), Bhubaneswar, India

**Chandra Shekara Guruva Reddy** Assistant Professor, Department of Mathematics, BMS College of Engineering, Bangalore, India

**Choi Junesang** Department of Mathematics, Dongguk University, Gyeongju, Republic of Korea

**Dash Nibedita** Department of Mathematics, School of Physical Sciences, Doon University, Dehradun, India

**Devi Renu** Department of Mathematics, SOES, GD Goenka University, Gurugram, Haryana, India

**Dubay Ravi Shanker** Department of Mathematics, AMITY School of Engineering and Technology, AMITY University, Jaipur, Rajasthan, India

**Dube Mridula** Department of Mathematics and Computer Science, R. D. University, Jabalpur, MP, India

**Ganesh V.** Department of Engineering, University of Technology and Applied Sciences, Muscat, Sultanate of Oman

**Gaur Pradip Kumar** Department of Mathematics, JECRC University, Jaipur, Rajasthan, India

**Ghanbari B.** Department of Basic Science, Kermanshah University of Technology, Kermanshah, Iran

**Gill Vinod** Department of Mathematics, Government college, Haryana, India

**Govindarajan A.** Department of Mathematics, SRM Institute of Science and Technology, Chennai, India

**Gupta Nishi** Department of Mathematics and Computer Science, R. D. University, Jabalpur, MP, India

**Hristov Jordan** Department of Chemical Engineering, University of Chemical Technology and Metallurgy, Sofia, Bulgaria

**Hussain Basharat** MANUU, Hyderabad, India

**Jain Ruchi** Department of Mathematics & Statistics, Manipal University Jaipur, Jaipur, Rajasthan, India

**Jangid Sanju** Department of Mathematics & Statistics, Manipal University Jaipur, Jaipur, Rajasthan, India

**Jha Abhay Kumar** Department of Mathematics, Motihari College of Engineering, Bihar, India

**Jyoti Divya** Department of Mathematics and Statistics, School of Basic and Applied Sciences, Central University of Punjab, Bathinda, Punjab, India

**Karaca Yeliz** University of Massachusetts Medical School (UMASS), Worcester, MA, USA

**Kumar Devendra** Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan, India

**Kumar Ganesh** Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan, India

**Kumar Manoj** Department of Mathematics, Motilal Nehru National Institute of Technology Allahabad, Prayagraj, U.P., India

**Kumar Sachin** Department of Mathematics and Statistics, School of Basic and Applied Sciences, Central University of Punjab, Bathinda, Punjab, India

**Lavanya S.** Department of Mathematics, Koneru Lakshmaiah Education Foundation, Vaddeswaram, India

**Maity Susanta** National Institute of Technology, Arunachal Pradesh Yupia, Dist. Papum Pare, Arunachal Pradesh, India

**Malagi Naveen S.** Department of Studies in Mathematics, Davangere University, Shivagangothri, India

**Mallick Biswajit** Department of Mathematics, School of Applied Sciences, KIIT Deemed to be University, Bhubaneswar, Odisha, India

**Meher Ramakanta** Department of Mathematics and Humanites, Sardar Vallabhbhai National Institute of Technology Surat, Surat, Gujarat, India

**Mehta Ruchika** Department of Mathematics & Statistics, Manipal University Jaipur, Jaipur, Rajasthan, India

**Mehta Tripti** Department of Mathematics, S. S. Jain Subodh P. G. College, Jaipur, Rajasthan, India

**Mishra Lakshmi Narayan** Department of Mathematics, School of Advanced Sciences, Vellore Institute of Technology, Tamil Nadu, Vellore, India

**Mishra Vishnu Narayan** Department of Mathematics, Indira Gandhi National Tribal University, Lalpur, Amarkantak, Anuppur, Madhya Pradesh, India

**Mohanty Hemangini** Department of Centre for Applied Mathematics and Computing, Siksha 'O' Anusandhandhan (Deemed to Be University), Bhubaneswar, India

**Nag Swatilekha** Pandit Deendayal Upadhaya Adarsha Mahavidyalaya, Behali, Assam, India

**Osalusi Oluwasoji John** Department of Mathematics, Government College University Lahore, Lahore, Pakistan

**Pakhira Rituparna** Department of Engineering Science and Humanities, Academy Of Technology, Adisaptagram, West Bengal, India

**Pankaj Ram Dayal** Department of Mathematics, J.N.V. University, Jodhpur, Rajasthan, India

**Poply Vikas** Department of Mathematics, KLP College, Rewari, Haryana, India

**Prakasha D. G.** Department of Studies in Mathematics, Davangere University, Shivagangothri, India

**Prasannakumara B. C.** Department of Studies in Mathematics, Davangere University, Shivagangothri, India

**Purohit S. D.** Department of HEAS (Mathematics), Rajasthan Technical University, Kota, India

**Rathore Himanshu** Department of Mechanical Engineering, Arya College of Engineering & I.T, Jaipur, Rajasthan, India

**Rathour Laxmi** Anuppur, Madhya Pradesh, India

**Rawat Aparna** Department of Mathematics and Statistics, Banasthali Vidyapith, Banasthali, India

**Riaz Muhammad Bilal** Department of Automation, Biomechanics and Mechatronics, Lodz University of Technology, Lodz, Poland;  
Department of Mathematics, University of Management and Technology Lahore, Lahore, Pakistan;  
Institute for Groundwater Studies, University of the Free State, Bloemfontein, South Africa

**Sahu Prakash Kumar** Department of Mathematics, School of Applied Sciences, KIIT Deemed to be University, Bhubaneswar, Odisha, India

**Selvakumaran K. A.** Department of Mathematics, R.M.K. College of Engineering and Technology, Pudukoyal, Tamil Nadu, India

**Shafiq Anum** School of Mathematics and Statistics, Nanjing University of Information Science and Technology, Nanjing, China

**Sharma M. K.** Department of Mathematics, C.C.S. University, Meerut, India

**Singh Abhishek** Department of Mathematics and Statistics, Banasthali Vidyapith, Banasthali, India

**Singh Jagdev** Department of Mathematics, JECRC University, Jaipur, Rajasthan, India

**Singh Sarita** Department of Mathematics, School of Physical Sciences, Doon University, Dehradun, India

**Singh Twinkle** Applied Mathematics and Humanities Department, Sardar Vallabhbhai National Institute of Technology, Gujarat, India

**Sunita** Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan, India

**Suthar D. L.** Department of Mathematics, Wollo University, Dessie, Ethiopia

**Tailor Gomatiben** Department of Mathematics, AMITY School of Engineering and Technology, AMITY University, Jaipur, Rajasthan, India

**Thiripura Sundari B.** Department of Mathematics, SRM Institute of Science and Technology, Chennai, India

**Varsoliwala Archana** Applied Mathematics and Humanities Department, Sardar Vallabhbhai National Institute of Technology, Gujarat, India

**Veerasha P.** Center for Mathematical Needs, Department of Mathematics, CHRIST (Deemed to Be University), Bengaluru-560029, India

**Venkata Ramana Reddy G.** Department of Mathematics, Koneru Lakshmaiah Education Foundation, Vaddeswaram, India

**Verma Akanksha** Department of Mathematics, Motilal Nehru National Institute of Technology Allahabad, Prayagraj, U.P., India

**Vijayalakshmi R.** Department of Mathematics, SRM Institute of Science and Technology, Chennai, India

**Vinita Makkar** Department of Mathematics, SoBAS, GD Goenka University, Gurugram, Haryana, India

**Vyas Paresb** Department of Mathematics, University of Rajasthan, Jaipur, India

**Yadav Harendra** Department of Mathematics, C.C.S. University, Meerut, India

**Yadav Kusum** Department of Mathematics, University of Rajasthan, Jaipur, India

**Yadav Rishikesh** Department of Mathematics and Humanites, Sardar Vallabhbhai National Institute of Technology Surat, Surat, Gujarat, India;  
Namur Institute for Complex Systems (naXys) and Department of Mathematics, University of Namur, Namur, Belgium

**Yusuf Amidu** African Institute for Mathematical Sciences, Accra, Ghana

**Zafar Azhar Ali** Department of Mathematics, Government College University Lahore, Lahore, Pakistan



# A Collection of Hilfer Fractional Opial Inequalities



George A. Anastassiou

**Abstract** Here we present a detailed collection of the Hilfer fractional left and right side Opial-type inequalities. These cover forward, reverse, and extreme cases and involve one, two, and several functions of various non-integer orders at various powers. Our estimates are very general covering many different settings.

**Keywords** Hilfer fractional derivative · Opial inequality · Fractional integral inequalities

## 1 Background

The original Opial inequality [16] (see also [15], p. 114) states the following:

**Theorem 1** *If  $f \in C^1([0, a])$  with  $f(0) = f(a) = 0$  and  $f(x) > 0$  on  $(0, a)$ , then*

$$\int_0^a |f(x) f'(x)| dx \leq \frac{a}{4} \int_0^a (f'(x))^2 dx. \quad (1)$$

*The constant  $\frac{a}{4}$  is the best possible constant.*

This result for classical derivatives has been generalized in several directions (see, for instance, [1, 2]).

The author was the first to extend (1) for fractional derivatives, see [3], in 2000. For a complete treatment, see his monograph [11] of 2009.

Here we present a complete collection of left and right side fractional Opial-type inequalities involving the left and right side Hilfer fractional derivatives.

Let  $-\infty < a < b < \infty$ , the left and right Riemann–Liouville fractional integrals of order  $\alpha \in \mathbb{C}$  ( $\Re(\alpha) > 0$ ) are defined by

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G. A. Anastassiou (✉)

Department of Mathematical Sciences, University of Memphis, Memphis 38152, U.S.A.  
e-mail: [ganastss@memphis.edu](mailto:ganastss@memphis.edu)

$$(I_{a+}^{\alpha} f)(x) = \frac{1}{\Gamma(\alpha)} \int_a^x (x-t)^{\alpha-1} f(t) dt, \quad (2)$$

$x > a$ ; where  $\Gamma$  stands for the gamma function, and

$$(I_{b-}^{\alpha} f)(x) = \frac{1}{\Gamma(\alpha)} \int_x^b (t-x)^{\alpha-1} f(t) dt, \quad (3)$$

$x < b$ .

The Riemann–Liouville left and right fractional derivatives of order  $\alpha \in \mathbb{C}$  ( $\mathcal{R}(\alpha) \geq 0$ ) are defined by

$$(\Delta_{a+}^{\alpha} y)(x) = \left(\frac{d}{dx}\right)^n (I_{a+}^{n-\alpha} y)(x) = \frac{1}{\Gamma(n-\alpha)} \left(\frac{d}{dx}\right)^n \int_a^x (x-t)^{n-\alpha-1} y(t) dt \quad (4)$$

( $n = \lceil \mathcal{R}(\alpha) \rceil$ ,  $\lceil \cdot \rceil$  means ceiling of the number;  $x > a$ )

$$\begin{aligned} (\Delta_{b-}^{\alpha} y)(x) &= (-1)^n \left(\frac{d}{dx}\right)^n (I_{b-}^{n-\alpha} y)(x) = \\ &= \frac{(-1)^n}{\Gamma(n-\alpha)} \left(\frac{d}{dx}\right)^n \int_x^b (t-x)^{n-\alpha-1} y(t) dt \end{aligned} \quad (5)$$

( $n = \lceil \mathcal{R}(\alpha) \rceil$ ;  $x < b$ ), respectively; where  $\mathcal{R}(\alpha)$  is the real part of  $\alpha$ .

In particular, when  $\alpha = n \in \mathbb{Z}_+$ , then

$$(\Delta_{a+}^0 y)(x) = (\Delta_{b-}^0 y)(x) = y(x);$$

$$(\Delta_{a+}^n y)(x) = y^{(n)}(x), \text{ and } (\Delta_{b-}^n y)(x) = (-1)^n y^{(n)}(x), \quad n \in \mathbb{N},$$

see [17].

We need to mention the left and right side Hilfer fractional derivatives.

**Definition 1** ([18]) Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n \in \mathbb{N}$ ,  $f \in C([a, b])$ . The Hilfer fractional derivative (left-sided and right-sided)  ${}^H\mathbb{D}_{a+(b-)}^{\nu, \beta} f$  of order  $\nu$  and type  $0 \leq \beta \leq 1$  are defined by

$${}^H\mathbb{D}_{a+}^{\nu, \beta} f(x) = I_{a+}^{\beta(n-\nu)} \left(\frac{d}{dx}\right)^n I_{a+}^{(1-\beta)(n-\nu)} f(x), \quad (6)$$

and

$${}^H\mathbb{D}_{b-}^{\nu, \beta} f(x) = I_{b-}^{\beta(n-\nu)} \left(-\frac{d}{dx}\right)^n I_{b-}^{(1-\beta)(n-\nu)} f(x), \quad (7)$$

$x \in [a, b]$ .

We make

**Remark 1** When  $\beta = 0$ , we get the Riemann–Liouville fractional derivatives, while when  $\beta = 1$ , we obtain the Caputo-type fractional derivatives as follows (with  $f \in C^n([a, b])$ ):

$$D_{a+}^{\nu} f(x) = \frac{1}{\Gamma(n - \nu)} \int_a^x (x - t)^{n-\nu-1} f^{(n)}(t) dt, \tag{8}$$

and

$$D_{b-}^{\nu} f(x) = \frac{(-1)^n}{\Gamma(n - \nu)} \int_x^b (t - x)^{n-\nu-1} f^{(n)}(t) dt, \tag{9}$$

$\forall x \in [a, b]$ .

We define  $\delta := \nu + \beta(n - \nu)$ . We notice that  $n - 1 < \nu \leq \nu + \beta(n - \nu) \leq \nu + n - \nu = n$ , hence  $[\delta] = n$ . We can easily write that

$${}^H\mathbb{D}_{a+}^{\nu, \beta} f(x) = I_{a+}^{\delta-\nu} \Delta_{a+}^{\delta} f(x), \tag{10}$$

and

$${}^H\mathbb{D}_{b-}^{\nu, \beta} f(x) = I_{b-}^{\delta-\nu} \Delta_{b-}^{\delta} f(x), \tag{11}$$

$x \in [a, b]$ .

We have that

$$\Delta_{a+}^{\delta} f(x) = \left(\frac{d}{dx}\right)^n I_{a+}^{(1-\beta)(n-\nu)} f(x), \tag{12}$$

and

$$\Delta_{b-}^{\delta} f(x) = \left(-\frac{d}{dx}\right)^n I_{b-}^{(1-\beta)(n-\nu)} f(x), \tag{13}$$

$x \in [a, b]$ .

In particular, when  $0 < \nu < 1$  and  $0 \leq \beta \leq 1$ ;  $\delta = \nu + \beta(1 - \nu)$ , we have that

$${}^H\mathbb{D}_{a+}^{\nu, \beta} f(x) = \frac{1}{\Gamma(\delta - \nu)} \int_a^x (x - t)^{\delta-\nu-1} \Delta_{a+}^{\delta} f(t) dt, \tag{14}$$

and

$${}^H\mathbb{D}_{b-}^{\nu, \beta} f(x) = \frac{1}{\Gamma(\delta - \nu)} \int_x^b (t - x)^{\delta-\nu-1} \Delta_{b-}^{\delta} f(t) dt, \tag{15}$$

$x \in [a, b]$ .

Next we mention some Hilfer fractional representation formulae:

**Theorem 2** ([12]) Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $[\nu] = n$ ,  $0 < \beta < 1$ ;  $f \in C([a, b])$ ,  $[a, b] \subset \mathbb{R}$ ; and set  $\delta = \nu + \beta(n - \nu)$ . Assume further that  $\Delta_{a+}^{\delta} f \in C([a, b]) : \Delta_{a+}^{\delta-j} f(a) =$

0, for  $j = 1, \dots, n$ . Let also  $\gamma > 0 : \lceil \gamma \rceil = m$ , with  $\varepsilon = \gamma + \beta (m - \gamma)$ , and assume that  $\nu > \gamma$  and  $\delta > \varepsilon$ . Then

$${}^H\mathbb{D}_{a+}^{\gamma,\beta} f(x) = \frac{1}{\Gamma(\nu - \gamma)} \int_a^x (x - t)^{\nu - \gamma - 1} {}^H\mathbb{D}_{a+}^{\nu,\beta} f(t) dt, \quad (16)$$

$x \in [a, b]$ ; furthermore,  ${}^H\mathbb{D}_{a+}^{\gamma,\beta} f \in AC([a, b])$  (absolutely continuous functions) if  $\nu - \gamma \geq 1$ , and  ${}^H\mathbb{D}_{a+}^{\gamma,\beta} f \in C([a, b])$  if  $\nu - \gamma \in (0, 1)$ .

We also mention

**Theorem 3** ([12]) Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n$ ,  $0 < \beta < 1$ ;  $f \in C([a, b])$ ,  $[a, b] \subset \mathbb{R}$ ; and set  $\delta = \nu + \beta (n - \nu)$ . Assume further that  $\Delta_{b-}^{\delta} f \in C([a, b]) : \Delta_{b-}^{\delta-j} f(b) = 0$ , for  $j = 1, \dots, n$ . Let also  $\gamma > 0 : \lceil \gamma \rceil = m$ , with  $\varepsilon = \gamma + \beta (m - \gamma)$ , and assume that  $\nu > \gamma$  and  $\delta > \varepsilon$ . Then

$${}^H\mathbb{D}_{b-}^{\gamma,\beta} f(x) = \frac{1}{\Gamma(\nu - \gamma)} \int_x^b (t - x)^{\nu - \gamma - 1} {}^H\mathbb{D}_{b-}^{\nu,\beta} f(t) dt, \quad (17)$$

$x \in [a, b]$ ; furthermore,  ${}^H\mathbb{D}_{b-}^{\gamma,\beta} f \in AC([a, b])$  if  $\nu - \gamma \geq 1$ , and  ${}^H\mathbb{D}_{b-}^{\gamma,\beta} f \in C([a, b])$  if  $\nu - \gamma \in (0, 1)$ .

We continue with two extreme cases.

**Theorem 4** ([12]) When  $\beta = 0$ , Theorems 2 and 3 are still valid. That is

$$\Delta_{a+}^{\gamma} f(x) = I_{a+}^{\nu - \gamma} \Delta_{a+}^{\nu} f(x), \quad (18)$$

and

$$\Delta_{b-}^{\gamma} f(x) = I_{b-}^{\nu - \gamma} \Delta_{b-}^{\nu} f(x), \quad (19)$$

all  $x \in [a, b]$ , respectively.

The case of  $\beta = 1$  collapses to Caputo-type fractional derivatives and related representation formulae (see [12]), already treated extensively in [11].

So, here we treat only  $0 \leq \beta < 1$  case.

To motivate our work, we mention the Opial-type left side Hilfer fractional inequality:

**Theorem 5** ([12]) All as in Theorem 2,  $0 \leq \beta < 1$ . Let also  $p, q > 1 : \frac{1}{p} + \frac{1}{q} = 1$ , and assume that  $\nu - \gamma > \frac{1}{q}$ . Then

$$\int_a^x \left| {}^H\mathbb{D}_{a+}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{a+}^{\nu,\beta} f(w) \right| dw \leq$$

$$\frac{2^{-\frac{1}{q}} (x-a)^{(v-\gamma)+\left(\frac{1}{p}-\frac{1}{q}\right)}}{\Gamma(v-\gamma) [(p(v-\gamma-1)+1)(p(v-\gamma-1)+2)]^{\frac{1}{p}}} \left( \int_a^x \left| {}^H\mathbb{D}_{a+}^{v,\beta} f(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (20)$$

$\forall x \in [a, b]$ .

The corresponding Opial-type right side Hilfer fractional inequality follows:

**Theorem 6** ([12]) *All as in Theorem 3,  $0 \leq \beta < 1$ . Let also  $p, q > 1 : \frac{1}{p} + \frac{1}{q} = 1$ , and assume that  $v - \gamma > \frac{1}{q}$ . Then*

$$\int_x^b \left| {}^H\mathbb{D}_{b-}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{b-}^{v,\beta} f(w) \right| dw \leq \frac{2^{-\frac{1}{q}} (b-x)^{(v-\gamma)+\left(\frac{1}{p}-\frac{1}{q}\right)}}{\Gamma(v-\gamma) [(p(v-\gamma-1)+1)(p(v-\gamma-1)+2)]^{\frac{1}{p}}} \left( \int_x^b \left| {}^H\mathbb{D}_{b-}^{v,\beta} f(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (21)$$

$\forall x \in [a, b]$ .

## 2 Main Results

Next comes a complete collection of left and right side fractional Opial-type inequalities engaging the left and right side Hilfer fractional derivatives.

### 2.1 Results Involving One Function

We start with a left side one:

**Theorem 7** *Here all as in Theorem 2,  $0 \leq \beta < 1$ . Then*

$$\int_a^x \left| {}^H\mathbb{D}_{a+}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{a+}^{v,\beta} f(w) \right| dw \leq \frac{(x-a)^{(v-\gamma+1)}}{\Gamma(v-\gamma+2)} \left( \left\| {}^H\mathbb{D}_{a+}^{v,\beta} f \right\|_{\infty} \right)^2, \quad (22)$$

$x \in [a, b]$ .

**Proof** Similar to Proposition 25.1, [4], p. 547.

The right side one follows:

**Theorem 8** *Here all as in Theorem 3,  $0 \leq \beta < 1$ . Then*

$$\int_x^b \left| {}^H\mathbb{D}_{b-}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{b-}^{v,\beta} f(w) \right| dw \leq \frac{(b-x)^{v-\gamma+1}}{\Gamma(v-\gamma+2)} \left( \left\| {}^H\mathbb{D}_{b-}^{v,\beta} f \right\|_{\infty} \right)^2, \quad (23)$$

$x \in [a, b]$ .

**Proof** Similar to (22).

We continue with converse results:

**Theorem 9** All as in Theorem 2,  $0 \leq \beta < 1$ . Suppose that  ${}^H\mathbb{D}_{a+}^{\nu,\beta} f(w) \not\equiv 0$  and of fixed sign over  $[a, b]$ . Let  $p, q$  such that  $0 < p < 1$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . Then

$$\int_a^x \left| {}^H\mathbb{D}_{a+}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{a+}^{\nu,\beta} f(w) \right| dw \geq \frac{2^{-\frac{1}{q}} (x-a)^{(\nu-\gamma)+\left(\frac{1}{p}-\frac{1}{q}\right)}}{\Gamma(\nu-\gamma) [(p(\nu-\gamma-1)+1)(p(\nu-\gamma-1)+2)]^{\frac{1}{p}}} \left( \int_a^x \left| {}^H\mathbb{D}_{a+}^{\nu,\beta} f(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (24)$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 25.3, [4], p. 547.

**Theorem 10** All as in Theorem 3,  $0 \leq \beta < 1$ . Suppose that  ${}^H\mathbb{D}_{b-}^{\nu,\beta} f(w) \not\equiv 0$  and of fixed sign over  $[a, b]$ . Let  $p, q$  such that  $0 < p < 1$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . Then

$$\int_x^b \left| {}^H\mathbb{D}_{b-}^{\gamma,\beta} f(w) \right| \left| {}^H\mathbb{D}_{b-}^{\nu,\beta} f(w) \right| dw \geq \frac{2^{-\frac{1}{q}} (b-x)^{(\nu-\gamma)+\left(\frac{1}{p}-\frac{1}{q}\right)}}{\Gamma(\nu-\gamma) [(p(\nu-\gamma-1)+1)(p(\nu-\gamma-1)+2)]^{\frac{1}{p}}} \left( \int_x^b \left| {}^H\mathbb{D}_{b-}^{\nu,\beta} f(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (25)$$

$\forall x \in [a, b]$ .

**Proof** Similar to (24).

We continue with

**Theorem 11** All as in Theorem 2,  $0 \leq \beta < 1$ . Additionally, let  $k > 0 : [k] = m_1$ , with  $\varepsilon_1 = k + \beta(m_1 - k)$ , and assume  $\delta > \varepsilon_1$ . Assume further that  $\nu - k > 1$  and  $\gamma = k + 1$ . Let  $p, q > 1 : \frac{1}{p} + \frac{1}{q} = 1$ . Then

$$\int_a^x \left| \left( {}^H\mathbb{D}_{a+}^{k,\beta} f \right) (w) \right| \left| \left( {}^H\mathbb{D}_{a+}^{\gamma,\beta} f \right) (w) \right| dw \leq \frac{(x-a)^{\frac{2(p(\nu-k-1)+1)}{p}}}{2(\Gamma(\nu-k))^2 (p(\nu-k-1)+1)^{\frac{2}{p}}} \left( \int_a^x \left| \left( {}^H\mathbb{D}_{a+}^{\nu,\beta} f \right) (w) \right|^q dw \right)^{\frac{2}{q}}, \quad (26)$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 25.4, [4], p. 549.

**Theorem 12** All as in Theorem 3, and the rest as in Theorem 11. Then

$$\int_x^b \left| \left( {}^H\mathbb{D}_{b-}^{k,\beta} f \right) (w) \right| \left| \left( {}^H\mathbb{D}_{b-}^{\nu,\beta} f \right) (w) \right| dw \leq \frac{(b-x)^{\frac{2(p(\nu-k-1)+1)}{p}}}{2(\Gamma(\nu-k))^2 (p(\nu-k-1)+1)^{\frac{2}{p}}} \left( \int_x^b \left| \left( {}^H\mathbb{D}_{b-}^{\nu,\beta} f \right) (w) \right|^q dw \right)^{\frac{2}{q}}, \quad (27)$$

$\forall x \in [a, b]$ .

**Proof** Similar to (26).

We continue with extreme cases:

**Theorem 13** All as in Theorem 11, with  $p = 1, q = \infty$ . Then

$$\int_a^x \left| \left( {}^H\mathbb{D}_{a+}^{k,\beta} f \right) (w) \right| \left| \left( {}^H\mathbb{D}_{a+}^{k+1,\beta} f \right) (w) \right| dw \leq \frac{(x-a)^{2(\nu-k)} \left( \left\| {}^H\mathbb{D}_{a+}^{\nu,\beta} f \right\|_{\infty,(a,x)} \right)^2}{2(\Gamma(\nu-k+1))^2}, \quad (28)$$

$\forall x \in [a, b]$ .

**Proof** Similar to Proposition 25.2, [4], p. 551.

**Theorem 14** All as in Theorem 12;  $p = 1, q = \infty$ . Then

$$\int_x^b \left| \left( {}^H\mathbb{D}_{b-}^{k,\beta} f \right) (w) \right| \left| \left( {}^H\mathbb{D}_{b-}^{k+1,\beta} f \right) (w) \right| dw \leq \frac{(b-x)^{2(\nu-k)} \left( \left\| {}^H\mathbb{D}_{b-}^{\nu,\beta} f \right\|_{\infty,(x,b)} \right)^2}{2(\Gamma(\nu-k+1))^2}, \quad (29)$$

$\forall x \in [a, b]$ .

**Proof** Similar to (28).

We continue with reverse inequalities:

**Theorem 15** All as in Theorem 11. Here  $\left( {}^H\mathbb{D}_{a+}^{\nu,\beta} f \right) (w) \neq 0$  and of fixed sign over  $[a, b]$ ; furthermore, let  $p, q : 0 < p < 1$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . Then

$$\int_a^x \left| {}^H\mathbb{D}_{a+}^{k,\beta} f(w) \right| \left| {}^H\mathbb{D}_{a+}^{\gamma,\beta} f(w) \right| dw \geq \frac{(x-a)^{\frac{2(p(v-k-1)+1)}{p}}}{2(\Gamma(v-\gamma))^2(p(v-k-1)+1)^{\frac{2}{p}}} \left( \int_a^x \left| ({}^H\mathbb{D}_{a+}^{v,\beta} f)(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (30)$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 25.5, [4], p. 553.

**Theorem 16** All as in Theorem 12. Here  $({}^H\mathbb{D}_{b-}^{v,\beta} f)(w) \not\equiv 0$  and of fixed sign over  $[a, b]$ ; furthermore, let  $p, q : 0 < p < 1$  and  $\frac{1}{p} + \frac{1}{q} = 1$ . Then

$$\int_x^b \left| {}^H\mathbb{D}_{b-}^{k,\beta} f(w) \right| \left| {}^H\mathbb{D}_{b-}^{\gamma,\beta} f(w) \right| dw \geq \frac{(b-x)^{\frac{2(p(v-k-1)+1)}{p}}}{2(\Gamma(v-k))^2(p(v-k-1)+1)^{\frac{2}{p}}} \left( \int_x^b \left| ({}^H\mathbb{D}_{b-}^{v,\beta} f)(w) \right|^q dw \right)^{\frac{2}{q}}, \quad (31)$$

$\forall x \in [a, b]$ .

**Proof** Similar to (30).

Next we present

**Theorem 17** Let  $v > 0$ ,  $v \notin \mathbb{N}$ ,  $\lceil v \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f \in C([a, b])$ ; and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{a+}^{\delta} f \in C([a, b]) : \Delta_{a+}^{\delta-j} f(a) = 0$ ,  $j = 1, \dots, n$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i$ ;  $v - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, \dots, l$ .

Here  $a \leq x \leq b$ ;  $q_1(x), q_2(x)$  continuous functions on  $[a, b]$  such that  $q_1(x) \geq 0$ ,  $q_2(x) > 0$  on  $[a, b]$ , and  $r_i > 0 : \sum_{i=1}^l r_i = r$ . Let  $s_1, s'_1 > 1 : \frac{1}{s_1} + \frac{1}{s'_1} = 1$  and  $s_2, s'_2 > 1 : \frac{1}{s_2} + \frac{1}{s'_2} = 1$ , and  $p > s_2$ .

Denote by

$$\mathcal{Q}_1(x) := \left( \int_a^x (q_1(\omega))^{s'_1} d\omega \right)^{\frac{1}{s'_1}} \quad (32)$$

and

$$\mathcal{Q}_2(x) := \left( \int_a^x (q_2(\omega))^{-\frac{s'_2}{p}} d\omega \right)^{\frac{r}{s'_2}}, \quad (33)$$

$$\sigma := \frac{p - s_2}{ps_2}.$$

Then



$$\int_a^x q_1(w) \prod_{i=1}^l \left| {}^H\mathbb{D}_{a+}^{\gamma_i, \beta} f(w) \right|^{r_i} dw \leq \mathcal{Q}_1(x) \mathcal{Q}_2(x) \prod_{i=1}^l \left\{ \frac{\sigma^{r_i \sigma}}{(\Gamma(v - \gamma_i))^{r_i} (v - \gamma_i - 1 + \sigma)^{r_i \sigma}} \right\} \tag{34}$$

$$\frac{(x - a)^{\left(\sum_{i=1}^l (v - \gamma_i - 1)r_i + \sigma r + \frac{1}{s_1}\right)}}{\left(\left(\sum_{i=1}^l (v - \gamma_i - 1)r_i s_1\right) + r s_1 \sigma + 1\right)^{\frac{1}{s_1}}} \left(\int_a^x q_2(w) \left| {}^H\mathbb{D}_{a+}^{v, \beta} f(w) \right|^p dw\right)^{\frac{r}{p}},$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 26.1, p. 567 of [4].

Next we also present

**Theorem 18** Let  $v > 0$ ,  $v \notin \mathbb{N}$ ,  $\lceil v \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f \in C([a, b])$ ; and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{b-}^\delta f \in C([a, b]) : \Delta_{b-}^{\delta-j} f(b) = 0, j = 1, \dots, n$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i; v - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i, i = 1, \dots, l$ .

Here  $a \leq x \leq b$ ;  $q_1(x), q_2(x)$  continuous functions on  $[a, b]$  such that  $q_1(x) \geq 0, q_2(x) > 0$  on  $[a, b]$ , and  $r_i > 0 : \sum_{i=1}^l r_i = r$ . Let  $s_1, s'_1 > 1 : \frac{1}{s_1} + \frac{1}{s'_1} = 1$  and  $s_2, s'_2 > 1 : \frac{1}{s_2} + \frac{1}{s'_2} = 1$ , and  $p > s_2$ .

Denote by

$$\overline{\mathcal{Q}}_1(x) := \left(\int_x^b (q_1(\omega))^{s'_1} d\omega\right)^{\frac{1}{s'_1}} \tag{35}$$

and

$$\overline{\mathcal{Q}}_2(x) := \left(\int_x^b (q_2(\omega))^{-\frac{s_2}{p}} d\omega\right)^{\frac{r}{s_2}}, \tag{36}$$

$$\sigma := \frac{p - s_2}{ps_2}.$$

Then

$$\int_x^b q_1(w) \prod_{i=1}^l \left| {}^H\mathbb{D}_{b-}^{\gamma_i, \beta} f(w) \right|^{r_i} dw \leq \overline{\mathcal{Q}}_1(x) \overline{\mathcal{Q}}_2(x) \prod_{i=1}^l \left\{ \frac{\sigma^{r_i \sigma}}{(\Gamma(v - \gamma_i))^{r_i} (v - \gamma_i - 1 + \sigma)^{r_i \sigma}} \right\} \tag{37}$$

$$\frac{(b-x) \left( \sum_{i=1}^l (v-\gamma_i-1)r_i + \sigma r + \frac{1}{s_1} \right)}{\left( \left( \sum_{i=1}^l (v-\gamma_i-1)r_i s_1 \right) + r s_1 \sigma + 1 \right)^{\frac{1}{s_1}}} \left( \int_x^b q_2(\omega) \left| {}^H \mathbb{D}_{b-}^{v,\beta} f(\omega) \right|^p d\omega \right)^{\frac{r}{p}},$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 26.1, p. 567 of [4].

Converse theorems follow:

**Theorem 19** Let  $v > 0$ ,  $v \notin \mathbb{N}$ ,  $[\nu] = n$ ,  $0 \leq \beta < 1$ ;  $f \in C([a, b])$ ; and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{a+}^{\delta} f \in C([a, b])$ ;  $\Delta_{a+}^{\delta-j} f(a) = 0$ ,  $j = 1, \dots, n$ . Let also  $\gamma_i > 0$ ;  $[\gamma_i] = m_i$ ;  $v - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, \dots, l$ ; along with  ${}^H \mathbb{D}_{a+}^{v,\beta} f > 0$ .

Here  $a \leq x \leq b$ ;  $q_1(x), q_2(x) > 0$  are continuous functions on  $[a, b]$  and  $r_i > 0$ ;  $\sum_{i=1}^l r_i = r$ . Let  $0 < s_1, s_2 < 1$  and  $s'_1, s'_2 < 0$  such that  $\frac{1}{s_1} + \frac{1}{s'_1} = 1$ ,  $\frac{1}{s_2} + \frac{1}{s'_2} = 1$ .

Denote by

$$Q_1(x) := \left( \int_a^x (q_1(\omega))^{s'_1} d\omega \right)^{\frac{1}{s_1}} \quad (38)$$

and

$$Q_2(x) := \left( \int_a^x (q_2(\omega))^{-s'_2} d\omega \right)^{\frac{r}{s_2}}. \quad (39)$$

Set

$$\lambda := \frac{s_1 s_2}{s_1 s_2 - 1}.$$

Then

$$\frac{\int_a^x q_1(\omega) \left( \prod_{i=1}^l \left| {}^H \mathbb{D}_{a+}^{\gamma_i, \beta} f(\omega) \right|^{r_i} \right) d\omega \geq \frac{Q_1(x) Q_2(x)}{\prod_{i=1}^l \left\{ (\Gamma(v - \gamma_i))^{r_i} ((v - \gamma_i - 1) s_2^2 s_1 + 1) \left( \frac{r_i}{s_2^{s_1}} \right) \right\}}}{\frac{(x-a) \left\{ \left( \sum_{i=1}^l r_i ((v-\gamma_i-1)s_1 + s_2^{-2}) \right) + 1 \right\}}{s_1}} \left( \int_a^x q_2^{\lambda s_2}(\omega) \left| {}^H \mathbb{D}_{a+}^{v,\beta} f(\omega) \right|^{\lambda s_2} d\omega \right)^{\frac{r}{\lambda s_2}}, \quad (40)$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 26.2, p. 570 of [4].

**Theorem 20** Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f \in C([a, b])$ ; and set  $\delta = \nu + \beta(n - \nu)$ . Assume further that  $\Delta_{b-}^{\delta} f \in C([a, b]) : \Delta_{b-}^{\delta-j} f(b) = 0$ ,  $j = 1, \dots, n$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i$ ;  $\nu - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $\nu > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, \dots, l$ ; along with  ${}^H\mathbb{D}_{b-}^{\nu, \beta} f > 0$ . Here  $a \leq x \leq b$ ;  $q_1(x), q_2(x) > 0$  are continuous functions on  $[a, b]$  and  $r_i > 0 : \sum_{i=1}^l r_i = r$ . Let  $0 < s_1, s_2 < 1$  and  $s'_1, s'_2 < 0$  such that  $\frac{1}{s_1} + \frac{1}{s'_1} = 1$ ,  $\frac{1}{s_2} + \frac{1}{s'_2} = 1$ . Denote by

$$\overline{Q}_1(x) := \left( \int_x^b (q_1(\omega))^{s'_1} d\omega \right)^{\frac{1}{s_1}} \tag{41}$$

and

$$\overline{Q}_2(x) := \left( \int_x^b (q_2(\omega))^{-s'_2} d\omega \right)^{\frac{r}{s_2}}. \tag{42}$$

Set

$$\lambda := \frac{s_1 s_2}{s_1 s_2 - 1}.$$

Then

$$\frac{\int_x^b q_1(\omega) \prod_{i=1}^l \left| {}^H\mathbb{D}_{b-}^{\gamma_i, \beta} f(\omega) \right|^{r_i} d\omega}{\overline{Q}_1(x) \overline{Q}_2(x)} \geq \frac{\prod_{i=1}^l \{(\Gamma(\nu - \gamma_i))^{r_i} ((\nu - \gamma_i - 1) s_2^2 s_1 + 1)^{\left(\frac{r_i}{s_2^2 s_1}\right)}\}}{(b-x) \frac{\left\{ \left( \sum_{i=1}^l r_i ((\nu - \gamma_i - 1) s_1 + s_2^{-2}) \right) + 1 \right\}}{s_1}} \left( \int_x^b q_2^{\lambda s_2}(\omega) \left| {}^H\mathbb{D}_{b-}^{\nu, \beta} f(\omega) \right|^{\lambda s_2} d\omega \right)^{\frac{r}{\lambda s_2}}, \tag{43}$$

$\forall x \in [a, b]$ .

**Proof** Similar to Theorem 26.2, p. 570 of [4].

Extreme cases follow when  $p = 1$  and  $q = \infty$ .

**Theorem 21** Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f \in C([a, b])$ ; and set  $\delta = \nu + \beta(n - \nu)$ . Assume further that  $\Delta_{a+}^{\delta} f \in C([a, b]) : \Delta_{a+}^{\delta-j} f(a) = 0$ ,  $j = 1, \dots, n$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i$ ;  $\nu - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume

that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, \dots, l$ . Here  $a \leq x \leq b$ ,  $0 \leq \bar{q} \in C([a, b])$  and  $r_i > 0$ :  $\sum_{i=1}^l r_i = r$ . Then

$$\int_a^x \bar{q}(w) \prod_{i=1}^l \left| {}^H \mathbb{D}_{a^+}^{\gamma_i, \beta} f(w) \right|^{r_i} dw \leq \quad (44)$$

$$\left\{ \frac{\|\bar{q}\|_{\infty, (a, x)} \left( \left\| {}^H \mathbb{D}_{a^+}^{v, \beta} f \right\|_{\infty, (a, x)} \right)^r}{\prod_{i=1}^l (\Gamma(v - \gamma_i + 1))^{r_i}} \right\} \left\{ \frac{(x - a)^{rv - \sum_{i=1}^l r_i \gamma_i + 1}}{\left( rv - \sum_{i=1}^l r_i \gamma_i + 1 \right)} \right\}.$$

**Proof** Similar to Proposition 26.1 of [4], p. 573.

**Theorem 22** All as in Theorem 21, with now  $\Delta_{b^-}^{\delta} f \in C([a, b])$ :  $\Delta_{b^-}^{\delta-j} f(b) = 0$ ,  $j = 1, \dots, n$ . Then

$$\int_x^b \bar{q}(w) \prod_{i=1}^l \left| {}^H \mathbb{D}_{b^-}^{\gamma_i, \beta} f(w) \right|^{r_i} dw \leq \quad (45)$$

$$\left\{ \frac{\|\bar{q}\|_{\infty, (x, b)} \left( \left\| {}^H \mathbb{D}_{b^-}^{v, \beta} f \right\|_{\infty, (x, b)} \right)^r}{\prod_{i=1}^l (\Gamma(v - \gamma_i + 1))^{r_i}} \right\} \left\{ \frac{(b - x)^{rv - \sum_{i=1}^l r_i \gamma_i + 1}}{\left( rv - \sum_{i=1}^l r_i \gamma_i + 1 \right)} \right\},$$

$\forall x \in [a, b]$ .

**Proof** Similar to (44).

From now on, we present only left side inequalities as the right side ones follow easily.

## 2.2 Results Involving Two Functions

We present

**Theorem 23** Let  $v > 0$ ,  $v \notin \mathbb{N}$ ,  $\lceil v \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f_1, f_2 \in C([a, b])$ ; and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{a^+}^{\delta} f_{j^*} \in C([a, b])$ :  $\Delta_{a^+}^{\delta-j} f_{j^*}(a) = 0$ ,  $j = 1, \dots, n$ ;  $j^* = 1, 2$ . Let also  $\gamma_i > 0$ :  $\lceil \gamma_i \rceil = m_i$ ;  $v - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, 2$ ;  $a \leq x \leq b$ . Consider  $p(t) > 0$  and  $q(t) \geq 0$ , with all  $p(t)$ ,  $\frac{1}{p(t)}$ ,  $q(t) \in L_{\infty}(a, b)$ . Let  $\lambda_v > 0$  and  $\lambda_{\alpha}, \lambda_{\bar{\beta}} \geq 0$ :  $\lambda_v < p$ , where  $p > 1$ . Set

$$P_k(\omega) := \int_a^{\omega} (\omega - t)^{\frac{(v - \gamma_k - 1)p}{(p-1)}} (p(t))^{-\frac{1}{(p-1)}} dt, \quad (46)$$

$k = 1, 2, a \leq x \leq b;$

$$A(\omega) := \frac{q(\omega) (P_1(\omega))^{\lambda_\alpha \left(\frac{p-1}{p}\right)} (P_2(\omega))^{\lambda_{\bar{\beta}} \left(\frac{p-1}{p}\right)} (p(\omega))^{-\frac{\lambda_\nu}{p}}}{(\Gamma(\nu - \gamma_1))^{\lambda_\alpha} (\Gamma(\nu - \gamma_2))^{\lambda_{\bar{\beta}}}}, \quad (47)$$

$$A_0(x) := \left( \int_a^x (A(\omega))^{\frac{p}{p-\lambda_\nu}} d\omega \right)^{\frac{p-\lambda_\nu}{p}}, \quad (48)$$

and

$$\delta_1 := \begin{cases} 2^{1-\left(\frac{\lambda_\alpha + \lambda_\nu}{p}\right)}, & \text{if } \lambda_\alpha + \lambda_\nu \leq p, \\ 1, & \text{if } \lambda_\alpha + \lambda_\nu \geq p. \end{cases} \quad (49)$$

If  $\lambda_{\bar{\beta}} = 0$ , we obtain that

$$\begin{aligned} & \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1(\omega) \right|^{\lambda_\nu} + \right. \\ & \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_2(\omega) \right|^{\lambda_\nu} \right] d\omega \leq \\ & \left( A_0(x) \Big|_{\lambda_{\bar{\beta}}=0} \right) \left( \frac{\lambda_\nu}{\lambda_\alpha + \lambda_\nu} \right)^{\frac{\lambda_\nu}{p}} \delta_1 \\ & \left[ \int_a^x p(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_2(\omega) \right|^p \right] d\omega \right]^{\frac{(\lambda_\alpha + \lambda_\nu)}{p}}. \end{aligned} \quad (50)$$

**Proof** Similar to Theorem 2 of [5] and Theorem 4 of [9].

The counterpart of the last theorem follows.

**Theorem 24** All here are as in Theorem 23. Denote

$$\delta_3 := \begin{cases} 2^{\frac{\lambda_{\bar{\beta}}}{\lambda_\nu} - 1}, & \text{if } \lambda_{\bar{\beta}} \geq \lambda_\nu, \\ 1, & \text{if } \lambda_{\bar{\beta}} \leq \lambda_\nu. \end{cases} \quad (51)$$

If  $\lambda_\alpha = 0$ , then

$$\begin{aligned} & \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_2(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1(\omega) \right|^{\lambda_\nu} + \right. \\ & \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_2(\omega) \right|^{\lambda_\nu} \right] d\omega \leq \end{aligned} \quad (52)$$

$$(A_0(x) |_{\lambda_\alpha=0}) 2^{p-\frac{\lambda_\nu}{p}} \left( \frac{\lambda_\nu}{\lambda_{\bar{\beta}} + \lambda_\nu} \right)^{\frac{\lambda_\nu}{p}} \delta_3^{\frac{\lambda_\nu}{p}}$$

$$\left[ \int_a^x p(\omega) \left[ |H\mathbb{D}_{a+}^{\nu,\beta} f_1(\omega)|^p + |H\mathbb{D}_{a+}^{\nu,\beta} f_2(\omega)|^p \right] d\omega \right]^{\frac{(\lambda_\nu + \lambda_{\bar{\beta}})}{p}},$$

all  $a \leq x \leq b$ .

**Proof** Similar to Theorem 3 of [5] and Theorem 5 of [9].

The complete case  $\lambda_\alpha, \lambda_{\bar{\beta}} \neq 0$  follows.

**Theorem 25** All here are as in Theorem 23. Denote

$$\bar{\gamma}_1 := \begin{cases} 2^{\frac{\lambda_\alpha + \lambda_{\bar{\beta}}}{\lambda_\nu}} - 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} \geq \lambda_\nu, \\ 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} \leq \lambda_\nu, \end{cases} \quad (53)$$

and

$$\bar{\gamma}_2 := \begin{cases} 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_\nu \geq p, \\ 2^{1 - \left( \frac{\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_\nu}{p} \right)}, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_\nu \leq p. \end{cases} \quad (54)$$

Then

$$\begin{aligned} & \int_a^x q(\omega) \left[ |H\mathbb{D}_{a+}^{\gamma_1,\beta} f_1(\omega)|^{\lambda_\alpha} |H\mathbb{D}_{a+}^{\gamma_2,\beta} f_2(\omega)|^{\lambda_{\bar{\beta}}} |H\mathbb{D}_{a+}^{\nu,\beta} f_1(\omega)|^{\lambda_\nu} + \right. \\ & \left. |H\mathbb{D}_{a+}^{\gamma_2,\beta} f_1(\omega)|^{\lambda_{\bar{\beta}}} |H\mathbb{D}_{a+}^{\gamma_1,\beta} f_2(\omega)|^{\lambda_\alpha} |H\mathbb{D}_{a+}^{\nu,\beta} f_2(\omega)|^{\lambda_\nu} \right] d\omega \leq \\ & A_0(x) \left( \frac{\lambda_\nu}{(\lambda_\alpha + \lambda_{\bar{\beta}})(\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_\nu)} \right)^{\frac{\lambda_\nu}{p}} \left[ \lambda_\alpha^{\frac{\lambda_\nu}{p}} \bar{\gamma}_2 + 2^{p-\frac{\lambda_\nu}{p}} (\bar{\gamma}_1 \lambda_{\bar{\beta}})^{\frac{\lambda_\nu}{p}} \right] \\ & \left( \int_a^x p(\omega) \left[ |H\mathbb{D}_{a+}^{\nu,\beta} f_1(\omega)|^p + |H\mathbb{D}_{a+}^{\nu,\beta} f_2(\omega)|^p \right] d\omega \right)^{\frac{(\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_\nu)}{p}}, \end{aligned} \quad (55)$$

all  $a \leq x \leq b$ .

**Proof** As for Theorem 4 of [5] and Theorem 6 of [9].

We continue with a special important case.

**Theorem 26** Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f_1, f_2 \in C([a, b])$ ; and set  $\delta = \nu + \beta(n - \nu)$ . Assume further that  $\Delta_{a+}^\delta f_{j^*} \in C([a, b]) : \Delta_{a+}^{\delta-j} f_{j^*}(a) = 0$ ,  $j = 1, \dots, n$ ;  $j^* = 1, 2$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i$ ;  $\nu - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta$

$(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, 2$ ; but here it is  $\gamma_2 = \gamma_1 + 1$ ;  $a \leq x \leq b$ . Consider  $p(t) > 0$  and  $q(t) \geq 0$ , with all  $p(t), \frac{1}{p(t)}, q(t) \in L_\infty(a, b)$ . Let  $\lambda_\alpha \geq 0, 0 < \lambda_{\alpha+1} < 1$ , and  $p > 1$ . Denote

$$\theta_3 := \begin{cases} 2^{\frac{\lambda_\alpha}{\lambda_{\alpha+1}}} - 1, & \text{if } \lambda_\alpha \geq \lambda_{\alpha+1}, \\ 1, & \text{if } \lambda_\alpha \leq \lambda_{\alpha+1}, \end{cases} \tag{56}$$

$$L(x) := \left( 2 \int_a^x (q(\omega))^{\frac{1}{1-\lambda_{\alpha+1}}} d\omega \right)^{1-\lambda_{\alpha+1}} \left( \frac{\theta_3 \lambda_{\alpha+1}}{\lambda_\alpha + \lambda_{\alpha+1}} \right)^{\lambda_{\alpha+1}}, \tag{57}$$

and

$$P_1(x) := \int_a^x (x-t)^{\frac{(v-\gamma_1-1)p}{p-1}} (p(t))^{-\frac{1}{p-1}} dt, \tag{58}$$

$$T(x) := L(x) \left( \frac{P_1(x)^{\frac{p-1}{p}}}{\Gamma(v-\gamma_1)} \right)^{\lambda_\alpha + \lambda_{\alpha+1}}, \tag{59}$$

$$\omega_1 := 2^{\frac{p-1}{p}(\lambda_\alpha + \lambda_{\alpha+1})}, \tag{60}$$

and

$$\Phi(x) := T(x) \omega_1. \tag{61}$$

Then

$$\begin{aligned} \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_2(\omega) \right|^{\lambda_{\alpha+1}} + \right. \\ \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_1(\omega) \right|^{\lambda_{\alpha+1}} \right] d\omega \leq \end{aligned} \tag{62}$$

$$\Phi(x) \left[ \int_a^x p(\omega) \left( \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^p \right) d\omega \right]^{\frac{\lambda_\alpha + \lambda_{\alpha+1}}{p}},$$

all  $a \leq x \leq b$ .

**Proof** As in Theorem 5 of [5] and Theorem 8 of [9].

We give

**Corollary 1** All here are as in Theorem 23, with  $\lambda_{\bar{\beta}} = 0, p(t) = q(t) = 1$ . Then

$$\int_a^x \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^{\lambda_v} + \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^{\lambda_v} \right] d\omega \leq \tag{63}$$

$$C_1(x) \left( \int_a^x \left( \left| {}^H\mathbb{D}_{a+}^{\nu,\beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{\nu,\beta} f_2(\omega) \right|^p \right) d\omega \right)^{\frac{(\lambda_\alpha + \lambda_\nu)}{p}},$$

all  $a \leq x \leq b$ , where

$$C_1(x) := \left( A_0(x) \Big|_{\lambda_{\bar{\beta}}=0} \right) \left( \frac{\lambda_\nu}{\lambda_\alpha + \lambda_\nu} \right)^{\frac{\lambda_\nu}{p}} \delta_1, \quad (64)$$

$$\delta_1 := \begin{cases} 2^{1 - \frac{\lambda_\alpha + \lambda_\nu}{p}}, & \text{if } \lambda_\alpha + \lambda_\nu \leq p, \\ 1, & \text{if } \lambda_\alpha + \lambda_\nu \geq p. \end{cases} \quad (65)$$

We find that

$$\begin{aligned} \left( A_0(x) \Big|_{\lambda_{\bar{\beta}}=0} \right) &= \left\{ \left( \frac{(p-1)^{\frac{\lambda_\alpha p - \lambda_\alpha}{p}}}{(\Gamma(\nu - \gamma_1))^{\lambda_\alpha} (\nu p - \gamma_1 p - 1)^{\frac{\lambda_\alpha p - \lambda_\alpha}{p}}} \right) \right. \\ &\left. \left( \frac{(p - \lambda_\nu)^{\frac{p - \lambda_\nu}{p}}}{(\lambda_\alpha \nu p - \lambda_\alpha \gamma_1 p - \lambda_\alpha + p - \lambda_\nu)^{\frac{p - \lambda_\nu}{p}}} \right) \right\} (x - a)^{\frac{\lambda_\alpha \nu p - \lambda_\alpha \gamma_1 p - \lambda_\alpha + p - \lambda_\nu}{p}}. \end{aligned} \quad (66)$$

**Proof** As for Corollary 1 of [5] and Corollary 10 of [9].

We continue with a related result regarding  $\|\cdot\|_\infty$ .

**Theorem 27** Let  $\nu > 0$ ,  $\nu \notin \mathbb{N}$ ,  $\lceil \nu \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f_1, f_2 \in C([a, b])$  and set  $\delta = \nu + \beta(n - \nu)$ . Assume further that  $\Delta_{a+}^\delta f_{j^*} \in C([a, b])$ :  $\Delta_{a+}^{\delta-j} f_{j^*}(a) = 0$ ,  $j = 1, \dots, n$ ;  $j^* = 1, 2$ . Let also  $\gamma_i > 0$ :  $\lceil \gamma_i \rceil = m_i$ ;  $\nu - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$  and assume that  $\nu > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, 2$ ;  $a \leq x \leq b$ . Consider  $p(x) \geq 0$  and  $p(x) \in L_\infty(a, b)$ . Let  $\lambda_\alpha, \lambda_{\bar{\beta}}, \lambda_\nu \geq 0$ . Set

$$T(x) := \frac{(x - a)^{(\nu \lambda_\alpha - \gamma_1 \lambda_\alpha + \nu \lambda_{\bar{\beta}} - \gamma_2 \lambda_{\bar{\beta}} + 1)}}{(\nu \lambda_\alpha - \gamma_1 \lambda_\alpha + \nu \lambda_{\bar{\beta}} - \gamma_2 \lambda_{\bar{\beta}} + 1)}. \quad (67)$$

$$\frac{\|p(s)\|_{\infty, (a, x)}}{(\Gamma(\nu - \gamma_1 + 1))^{\lambda_\alpha} (\Gamma(\nu - \gamma_2 + 1))^{\lambda_{\bar{\beta}}}}.$$

Then

$$\begin{aligned} \int_a^x p(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_2(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1(\omega) \right|^{\lambda_\nu} + \right. \\ \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_2(\omega) \right|^{\lambda_\nu} \right] d\omega \leq \end{aligned} \quad (68)$$

$$\frac{T(x)}{2} \left[ \left\| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1 \right\|_{\infty, (a, x)}^{2(\lambda_\alpha + \lambda_\nu)} + \left\| {}^H\mathbb{D}_{a+}^{\nu, \beta} f_1 \right\|_{\infty, (a, x)}^{2\lambda_{\bar{\beta}}} + \right.$$



$$\left\| {}^H\mathbb{D}_{a+}^{v,\beta} f_2 \right\|_{\infty,(a,x)}^{2\lambda_{\bar{\beta}}} + \left\| {}^H\mathbb{D}_{a+}^{v,\beta} f_2 \right\|_{\infty,(a,x)}^{2(\lambda_{\alpha}+\lambda_v)},$$

all  $a \leq x \leq b$ .

**Proof** Similar to Theorem 7 of [5] and Theorem 18 of [9].

Next we give converse results involving two functions.

**Theorem 28** Let  $v > 0, v \notin \mathbb{N}, \lceil v \rceil = n, 0 \leq \beta < 1; f_1, f_2 \in C([a, b]);$  and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{a+}^{\delta} f_{j^*} \in C([a, b]) : \Delta_{a+}^{\delta-j} f_{j^*}(a) = 0, j = 1, \dots, n; j^* = 1, 2.$  Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i; 0 < p < 1, \frac{1}{p} > v - \gamma_i \geq 1,$  with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i),$  and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i, i = 1, 2; a \leq x \leq b.$  Consider  $p(t) > 0$  and  $q(t) \geq 0,$  with all  $p(t), \frac{1}{p(t)}, q(t) \in L_{\infty}(a, b).$  Further assume that  ${}^H\mathbb{D}_{a+}^{v,\beta} f_i, i = 1, 2,$  is of fixed sign a.e. on  $[a, b].$  Let  $\lambda_v > 0$  and  $\lambda_{\alpha}, \lambda_{\bar{\beta}} \geq 0$  such that  $\lambda_v > p.$  Here  $P_k(\omega), A(\omega), A_0(x)$  are as in (46), (47), and (48), respectively.

Set

$$\delta_1 := 2^{1 - \frac{(\lambda_{\alpha} + \lambda_v)}{p}}. \tag{69}$$

If  $\lambda_{\bar{\beta}} = 0,$  then

$$\begin{aligned} \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^{\lambda_v} + \right. & \tag{70} \\ \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^{\lambda_v} \right] d\omega \geq \\ \left( A_0(x) \Big|_{\lambda_{\bar{\beta}}=0} \right) \left( \frac{\lambda_v}{\lambda_{\alpha} + \lambda_v} \right)^{\frac{\lambda_v}{p}} \delta_1 \\ \left[ \int_a^x p(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^p \right] d\omega \right]^{\frac{(\lambda_{\alpha} + \lambda_v)}{p}}. \end{aligned}$$

**Proof** Similar to Theorem 5 of [10] and Theorem 4 of [8].

We continue with

**Theorem 29** All here are as in Theorem 28. Further assume  $\lambda_{\bar{\beta}} \geq \lambda_v.$  Denote

$$\begin{aligned} \delta_2 &:= 2^{1 - \frac{\lambda_{\bar{\beta}}}{\lambda_v}}, \\ \delta_3 &:= (\delta_2 - 1) 2^{-\frac{\lambda_{\bar{\beta}}}{\lambda_v}}. \end{aligned} \tag{71}$$

If  $\lambda_{\alpha} = 0,$  then

$$\begin{aligned}
& \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_2(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^{\lambda_v} + \right. \\
& \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^{\lambda_v} \right] d\omega \geq \\
& (A_0(x)|_{\lambda_\alpha=0}) 2^{p-\frac{\lambda_v}{p}} \left( \frac{\lambda_v}{\lambda_{\bar{\beta}} + \lambda_v} \right)^{\frac{\lambda_v}{p}} \delta_3^{\frac{\lambda_v}{p}} \\
& \left( \int_a^x p(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^p \right] d\omega \right)^{\frac{(\lambda_\alpha + \lambda_{\bar{\beta}})}{p}},
\end{aligned} \tag{72}$$

all  $a \leq x \leq b$ .

**Proof** Similar to Theorem 6 of [10] and Theorem 5 of [8].

Next we give a particular converse result involving two functions.

**Theorem 30** Let  $v > 0$ ,  $v \notin \mathbb{N}$ ,  $\lceil v \rceil = n$ ,  $0 \leq \beta < 1$ ;  $f_1, f_2 \in C([a, b])$ ; and set  $\delta = v + \beta(n - v)$ . Assume further that  $\Delta_{a+}^\delta f_{j^*} \in C([a, b]) : \Delta_{a+}^{\delta-j} f_{j^*}(a) = 0$ ,  $j = 1, \dots, n$ ;  $j^* = 1, 2$ . Let also  $\gamma_i > 0 : \lceil \gamma_i \rceil = m_i$ ;  $0 < p < 1$ ,  $\frac{1}{p} > v - \gamma_i \geq 1$ , with  $\varepsilon_i = \gamma_i + \beta(m_i - \gamma_i)$ , and assume that  $v > \gamma_i$  and  $\delta > \varepsilon_i$ ,  $i = 1, 2$ ;  $\gamma_2 = \gamma_1 + 1$ ;  $a \leq x \leq b$ . Consider  $p(t) > 0$  and  $q(t) \geq 0$ , with all  $p(t), \frac{1}{p(t)}, q(t), \frac{1}{q(t)} \in L_\infty(a, b)$ . Further assume that  ${}^H\mathbb{D}_{a+}^{v, \beta} f_i$ ,  $i = 1, 2$ , is of fixed sign a.e. on  $[a, b]$ . Let  $\lambda_\alpha \geq \lambda_{\alpha+1} > 1$ .

Denote

$$\theta_3 := \left( 2^{1-\frac{\lambda_\alpha}{\lambda_{\alpha+1}}} - 1 \right) 2^{-\frac{\lambda_\alpha}{\lambda_{\alpha+1}}}, \tag{73}$$

$L(x)$  is as in (57),  $P_1(x)$  as in (58),  $T(x)$  as in (59),  $\omega_1$  as in (60), and  $\Phi$  as in (61). Then

$$\begin{aligned}
& \int_a^x q(\omega) \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_2(\omega) \right|^{\lambda_{\alpha+1}} + \right. \\
& \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_2(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_1(\omega) \right|^{\lambda_{\alpha+1}} \right] d\omega \geq \\
& \Phi(x) \left[ \int_a^x p(\omega) \left( \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^p + \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_2(\omega) \right|^p \right) d\omega \right]^{\frac{\lambda_\alpha + \lambda_{\alpha+1}}{p}},
\end{aligned} \tag{74}$$

all  $a \leq x \leq b$ .

**Proof** Similar to Theorem 7 of [10] and Theorem 7 of [8].

### 2.3 Results Involving Several Functions

We present

**Theorem 31** Here all notations, terms, and assumptions are as in Theorem 23, but for  $f_j \in C([a, b])$ , with  $j = 1, \dots, M \in \mathbb{N}$ . Instead of  $\delta_1$  there, we define here

$$\delta_1^* := \begin{cases} M^{1-\frac{\lambda_\alpha+\lambda_\nu}{p}}, & \text{if } \lambda_\alpha + \lambda_\nu \leq p, \\ 2^{\frac{\lambda_\alpha+\lambda_\nu}{p}-1}, & \text{if } \lambda_\alpha + \lambda_\nu \geq p. \end{cases} \quad (75)$$

Call

$$\varphi_1(x) := (A_0(x)|_{\lambda_{\bar{\beta}}=0}) \left( \frac{\lambda_\nu}{\lambda_\alpha + \lambda_\nu} \right)^{\frac{\lambda_\nu}{p}}. \quad (76)$$

If  $\lambda_{\bar{\beta}} = 0$ , then

$$\int_a^x q(w) \left( \sum_{j=1}^M |{}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_j(w)|^{\lambda_\alpha} |{}^H\mathbb{D}_{a+}^{\nu, \beta} f_j(w)|^{\lambda_\nu} \right) dw \leq \delta_1^* \varphi_1(x) \left[ \int_a^x p(w) \left( \sum_{j=1}^M |{}^H\mathbb{D}_{a+}^{\nu, \beta} f_j(w)|^p \right) dw \right]^{\frac{(\lambda_\alpha+\lambda_\nu)}{p}}, \quad (77)$$

all  $a \leq x \leq b$ .

**Proof** As in Theorem 2 of [6] and Theorem 4 of [7].

We continue with

**Theorem 32** All here are as in Theorem 31. Denote

$$\delta_3 := \begin{cases} 2^{\frac{\lambda_{\bar{\beta}}}{\lambda_\nu}} - 1, & \text{if } \lambda_{\bar{\beta}} \geq \lambda_\nu, \\ 1, & \text{if } \lambda_{\bar{\beta}} \leq \lambda_\nu, \end{cases} \quad (78)$$

$$\varepsilon_2 := \begin{cases} 1, & \text{if } \lambda_\nu + \lambda_{\bar{\beta}} \geq p, \\ M^{1-\frac{\lambda_\nu+\lambda_{\bar{\beta}}}{p}}, & \text{if } \lambda_\nu + \lambda_{\bar{\beta}} \leq p, \end{cases} \quad (79)$$

and

$$\varphi_2(x) := (A_0(x)|_{\lambda_\alpha=0}) 2^{\frac{p-\lambda_\nu}{p}} \left( \frac{\lambda_\nu}{\lambda_{\bar{\beta}} + \lambda_\nu} \right)^{\frac{\lambda_\nu}{p}} \delta_3^{\frac{\lambda_\nu}{p}}. \quad (80)$$

If  $\lambda_\alpha = 0$ , then

$$\begin{aligned}
& \int_a^x q(w) \left\{ \left[ \sum_{j=1}^{M-1} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_{j+1}(w) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(w) \right|^{\lambda_v} + \right. \right. \\
& \quad \left. \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_j(w) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_{j+1}(w) \right|^{\lambda_v} \right] + \right. \\
& \quad \left. \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_M(w) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(w) \right|^{\lambda_v} + \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(w) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_M(w) \right|^{\lambda_v} \right] \right\} d\omega \\
& \leq 2^{\frac{\lambda_v + \lambda_{\bar{\beta}}}{p}} \varepsilon_2 \varphi_2(x) \left\{ \int_a^x p(\omega) \left[ \sum_{j=1}^M \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(\omega) \right|^p \right] d\omega \right\}^{\frac{\lambda_v + \lambda_{\bar{\beta}}}{p}}, \quad (81)
\end{aligned}$$

all  $a \leq x \leq b$ .

**Proof** As for Theorem 3 of [6] and Theorem 5 of [7].

We give the general case.

**Theorem 33** All are as in Theorem 31. Denote

$$\bar{\gamma}_1 := \begin{cases} 2^{\frac{\lambda_\alpha + \lambda_{\bar{\beta}}}{\lambda_v}} - 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} \geq \lambda_v, \\ 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} \leq \lambda_v, \end{cases} \quad (82)$$

and

$$\bar{\gamma}_2 := \begin{cases} 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v \geq p, \\ 2^{1 - \left( \frac{\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v}{p} \right)}, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v \leq p. \end{cases} \quad (83)$$

Set

$$\varphi_3(x) := A_0(x) \left( \frac{\lambda_v}{(\lambda_\alpha + \lambda_{\bar{\beta}})(\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v)} \right)^{\frac{\lambda_v}{p}} \left[ \lambda_\alpha^{\frac{\lambda_v}{p}} \bar{\gamma}_2 + 2^{\frac{p - \lambda_v}{p}} (\bar{\gamma}_1 \lambda_{\bar{\beta}})^{\frac{\lambda_v}{p}} \right], \quad (84)$$

and

$$\varepsilon_3 := \begin{cases} 1, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v \geq p, \\ M^{1 - \left( \frac{\lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v}{p} \right)}, & \text{if } \lambda_\alpha + \lambda_{\bar{\beta}} + \lambda_v \leq p. \end{cases} \quad (85)$$

Then

$$\int_a^x q(w) \left[ \sum_{j=1}^{M-1} \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_j(w) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_{j+1}(w) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(w) \right|^{\lambda_v} + \right. \right.$$

$$\begin{aligned}
 & \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_j(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_{j+1}(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_{j+1}(\omega) \right|^{\lambda_v} \Bigg] + \\
 & \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_M(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^{\lambda_v} + \right. \\
 & \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_M(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_M(\omega) \right|^{\lambda_v} \right] d\omega \leq \\
 & 2^{\frac{\lambda_{\alpha} + \lambda_{\bar{\beta}} + \lambda_v}{p}} \varepsilon_3 \varphi_3(x) \left\{ \int_a^x p(\omega) \left[ \sum_{j=1}^M \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(\omega) \right|^p \right] d\omega \right\}^{\frac{(\lambda_{\alpha} + \lambda_{\bar{\beta}} + \lambda_v)}{p}}, \quad (86)
 \end{aligned}$$

all  $a \leq x \leq b$ .

**Proof** As for Theorem 4 of [6] and Theorem 6 of [7].

We give

**Theorem 34** All here are as in Theorem 26, but for  $f_j \in C([a, b])$ ,  $j = 1, \dots, M \in \mathbb{N}$ . Also put

$$\varepsilon_4 := \begin{cases} 1, & \text{if } \lambda_{\alpha} + \lambda_{\alpha+1} \geq p, \\ M^{1 - \left(\frac{\lambda_{\alpha} + \lambda_{\alpha+1}}{p}\right)}, & \text{if } \lambda_{\alpha} + \lambda_{\alpha+1} \leq p. \end{cases} \quad (87)$$

Then

$$\begin{aligned}
 & \int_a^x q(\omega) \left\{ \sum_{j=1}^{M-1} \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_j(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_{j+1}(\omega) \right|^{\lambda_{\alpha+1}} + \right. \right. \\
 & \left. \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_{j+1}(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_j(\omega) \right|^{\lambda_{\alpha+1}} \right] + \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_M(\omega) \right|^{\lambda_{\alpha+1}} + \right. \right. \\
 & \left. \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_M(\omega) \right|^{\lambda_{\alpha}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1+1, \beta} f_1(\omega) \right|^{\lambda_{\alpha+1}} \right] \right\} d\omega \leq \\
 & 2^{\frac{\lambda_{\alpha} + \lambda_{\alpha+1}}{p}} \varepsilon_4 \Phi(x) \left\{ \int_a^x p(\omega) \left[ \sum_{j=1}^M \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(\omega) \right|^p \right] d\omega \right\}^{\frac{(\lambda_{\alpha} + \lambda_{\alpha+1})}{p}}, \quad (88)
 \end{aligned}$$

all  $a \leq x \leq b$ .

**Proof** As for Theorem 5 of [6] and Theorem 7 of [7].

We continue with results regarding  $\|\cdot\|_{\infty}$ .

**Theorem 35** All are as in Theorem 27, but for  $f_j \in C([a, b])$ ,  $j = 1, \dots, M \in \mathbb{N}$ . Then

$$\int_a^x p(\omega) \left\{ \left[ \sum_{j=1}^{M-1} \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_j(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_{j+1}(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_j(\omega) \right|^{\lambda_v} + \right. \right. \right. \\ \left. \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_j(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_{j+1}(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_{j+1}(\omega) \right|^{\lambda_v} \right] \right. \\ \left. \left[ \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_1(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_M(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_1(\omega) \right|^{\lambda_v} + \right. \right. \\ \left. \left. \left| {}^H\mathbb{D}_{a+}^{\gamma_2, \beta} f_1(\omega) \right|^{\lambda_{\bar{\beta}}} \left| {}^H\mathbb{D}_{a+}^{\gamma_1, \beta} f_M(\omega) \right|^{\lambda_\alpha} \left| {}^H\mathbb{D}_{a+}^{v, \beta} f_M(\omega) \right|^{\lambda_v} \right] \right\} d\omega \leq \quad (89)$$

$$T(x) \left\{ \sum_{j=1}^M \left[ \left\| {}^H\mathbb{D}_{a+}^{v, \beta} f_j \right\|_{\infty, (a, x)}^{2(\lambda_\alpha + \lambda_v)} + \left\| {}^H\mathbb{D}_{a+}^{v, \beta} f_j \right\|_{\infty, (a, x)}^{2\lambda_{\bar{\beta}}} \right] \right\},$$

all  $a \leq x \leq b$ .

**Proof** Based on Theorem 27.

One based on Sect. 2.2 can write down converse results for several functions and also write down right side inequalities for two or several functions and cover interesting specific examples.

All these results can have great applications to related fractional differential equations proving the uniqueness of solutions or giving upper bounds to their solutions. The reader is referred to the author's monograph of 2009, [11], to continue such studies. We choose to stop here.

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# On a Non-linear Diffusion Model of Wood Impregnation: Analysis, Approximate Solutions, and Experiments with Relaxing Boundary Conditions



Jordan Hristov

**Abstract** Analysis and approximate integral-balance solutions of a non-linear diffusion model of wood impregnation by methacrylate have been developed in two cases: (i) Dirichlet boundary condition assuming instantaneous saturation of the wood surface contacting the liquid bath and (ii) Relaxing Dirichlet boundary conditions accounting for the fact that instantaneous saturation is unphysical and takes some time to be established.

**Keywords** Diffusion · Wood impregnation · Non-linear diffusivity · Approximate solutions · Relaxing boundary conditions

## 1 Introduction

The liquid penetration into wood chips is an important technological operation related to the development of new building and insulation materials, materials for work under severe climatic conditions, as well as new materials for everyday use with increased and specific properties. Many approaches both experimental and theoretical have been developed to understand the main mechanisms and underlying phenomena of liquid penetration into the complex structures of woods [1–3]. In general, the forced penetration of liquids into wood's capillaries happens due to a pressure gradient which can be considered as a difference between the sum of external, hydrostatic and capillary pressure and the total gaseous and water vapour pressure in the wood chip internal space, that is,

$$\Delta P = (P_{external} + P_{hydrostatic} + P_{Capillary}) - P_{Gas+Vapours} \quad (1)$$

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J. Hristov (✉)

Department of Chemical Engineering, University of Chemical Technology and Metallurgy, 8 Kliment Ohridsky Blvd, 1756 Sofia, Bulgaria  
e-mail: [jordan.hristov@mail.bg](mailto:jordan.hristov@mail.bg)

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In general, from a theoretical point of view different, different types of liquid flows may occur in the porous structure of wood [2]. However, it is generally accepted by the convention that the flow is strongly non-linear including also molecular slip at the porous walls and kinetic energy loss when the fluid enters the pit openings [4–6]. Upon the assumption of pure viscous liquid flow inside the wood porous structure, the process can be modelled by the Darcy model

$$Q = \frac{K}{\mu} A \frac{\Delta P}{L} \quad (2)$$

where  $K$  is the permeability [ $\text{m}^2$ ] and  $\mu$  [ $\text{Pa} \cdot \text{s}$ ] is the fluid dynamic viscosity.

Alternatively, the Poiseuille flow equation through  $N$  parallel capillaries of radius  $r$

$$Q = N \frac{\pi}{8\mu} r^4 \frac{\Delta P}{L} \quad (3)$$

can be applied. In such cases, the capillary pressure may be presented by the capillary rise  $h$  and surface tension  $\sigma$  as

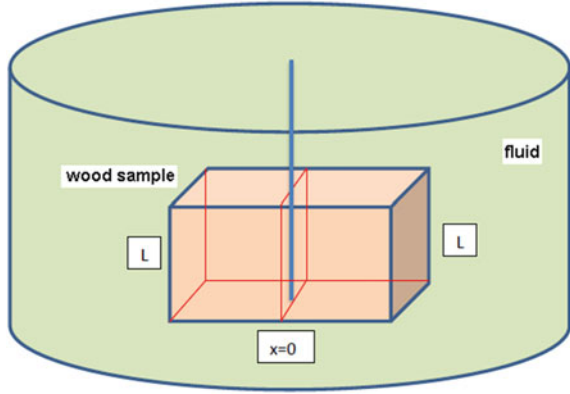
$$h = \sqrt{\frac{r\sigma}{2\mu} t} \quad (4)$$

### 1.1 Wood Impregnation: Main Factors Affecting the Process

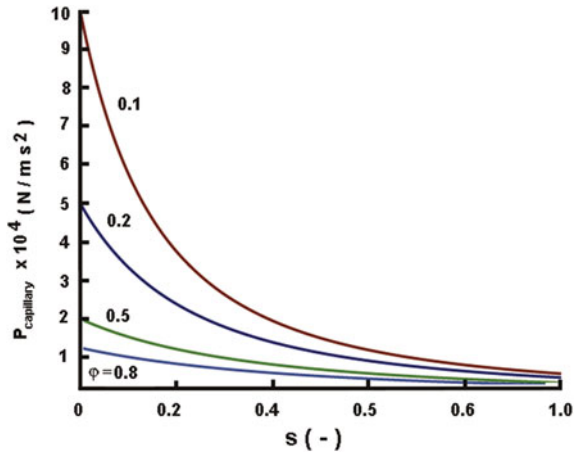
The most important factor in the process of fluid penetration into the wood capillaries is the geometry which varies and depends on the type of wood nature. However, the longitudinal flow is dominant in woods and the most critical dimension is the length of the sample (wood chip). In this context, permeability is strongly affected by moisture and air contents. The viscosity of the penetrating fluid and its surface tension are the principal fluid characteristics when there are no chemical reactions during the impregnation process. But if a chemical reaction takes place, this may change the internal wood structure and affect the fluid flow through the capillaries. With increase in the temperature of the penetration liquid, below the boiling point, when the process is driven by constant outside pressure, the result is an increasing penetration rate, because of the reduction in the fluid viscosity. In this context, upon all conditions remaining unchanged, the increase in the pressure gradient also increases the penetration rate.

Thus, we may consider two types of fluid penetration (impregnation) regimes: (i) Upon a constant external pressure when the concentration in the bath surrounding the wood chip is not so important, and (ii) Penetration of fluid into the wood sample only due to capillary pressure in *a calm bath with concentration unchangeable during the entire impregnation process*. In both cases, the sample saturation  $S$  should be considered. In general, the concentration of saturation in a porous medium is defined as  $C_\infty = \frac{M_\infty - M_0}{M_0}$ , where  $M_0$  is the initial amount (at  $t = 0$ ). In wood impregnation Processing, the fluid saturation  $S$  (dimensionless quantity) is defined as

**Fig. 1** Wood penetration in a stagnant fluid by the mechanism of capillary suction



**Fig. 2** Capillary pressure as a function of the wood saturation  $S$  at various porosities  $\varphi$  in accordance with Eq. (6) with  $m = 2$



$$S = \frac{1}{\varphi} \left( \frac{\rho_f}{\rho_{rf}} \right) = \frac{\theta}{\varphi} \left( \frac{\rho_s}{\rho_{rf}} \right), \quad \theta = \frac{\rho_f}{\rho_{rf}} \tag{5}$$

where  $\rho_s$  is the bulk mass density of the dry wood [kg/m<sup>3</sup>],  $\rho_f$  is the bulk mass density of the fluid inside the pores [kg/m<sup>3</sup>],  $\rho_{rf}$  is the real mass density of the fluid [kg/m<sup>3</sup>],  $\varphi$  is the wood porosity (dimensionless) and  $0 < \varphi < 1$ . If a capillary rise mechanism of liquid is only considered as a driving force, as in the present study, then the correlation between the saturation  $S$  and the porosity is well presented by the approximate reciprocal relation [2] of a typical shape presented in Fig. 1.

$$p_{cap} \equiv \frac{1}{(S + \sqrt{\varphi})^m}, \quad 0 < S < 1 \tag{6}$$

where  $m$  depends on the accuracy of data approximation procedure (Fig. 1).

## 1.2 Kowalski's Model

Kowalski et al. [7], concerning diffusion of methacrylate in wood (impregnation) with the saturation  $S = C/C_\infty$  ( $C_\infty$  is the saturation concentration corresponding to  $S = 1$  as a dependent variable, formulated the following model:

$$\frac{\partial S}{\partial t} = \Lambda \rho_f \frac{\partial}{\partial x} \left[ \frac{1}{(S + \sqrt{\bar{\varphi}})^2} \frac{\partial S}{\partial x} \right], \quad 0 \leq S \leq 1, \quad S(t=0) = 0 \quad (7)$$

with a boundary condition at the solid-fluid interface

$$\underbrace{-\Lambda \rho_f \frac{1}{(S + \sqrt{\bar{\varphi}})^2} \frac{\partial S}{\partial x}}_{\text{inside the porous solid, at } x \rightarrow 0} = \underbrace{\alpha_m (1 - S)}_{\text{outside the porous body, at } x \rightarrow 0} \quad (8)$$

This model assumes only capillary pressure (see the relationship (6)) as the driving force of the impregnation process. It is worth noting that in the formulation of the boundary condition (8), both sides consider the same value of  $S$  without taking into account that both sides of this relationship are constituted for different media separated by the fluid-solid interface at  $x = 0$ . This is a wrong formulation of a boundary condition, common for mass transfer processes, which has to be formulated as an equilibrium relationship, namely

$$q_{x=0}|_{fluid} = \alpha_m (C_{x=0} - C_\infty) \quad (9)$$

where  $C_{x=0}$  is the concentration of the fluid at the interface, while  $\alpha_m$  is the mass transfer coefficient at the same point from the side of the liquid bath where the wood sample is immersed.

The interface concentration can be easily converted to saturation  $S$  through the relationship (5) but we have to mention one important fact, precisely, the samples are in an infinite liquid bath with unchangeable concentration due to the part of fluid absorbed by the body. Therefore, two options might be considered concerning the correct formulation of this boundary condition, namely

- (i) Instantaneous establishment of the equilibrium (saturation) at the body surface, that means  $S_{x=0} = 1$ , thus formulating Dirichlet boundary condition, and
- (ii) A relaxing in time boundary condition taking into account that establishment of the equilibrium (complete saturation) at the solid-fluid interface needs some portion of time, that is, we need a formulation of the so-called viscoelastic boundary condition.

To close these comments, the main conclusion is that the boundary condition (8) is incorrectly formulated and physically unsound (see further Sect. 1.3). From a mathematical point of view, this boundary condition is challenging, as we will see in

the next section commenting on the Fokas' solution, but the results of these solutions are physically questionable.

### 1.3 Kowalski's Model: Some Comments

In the work of Kowalski et al. [7] from where the model considered in this work comes, the saturation flux  $j_s$  is defined as

$$j_s = -\Lambda \frac{1}{(S + \sqrt{\varphi})^2} \frac{\partial S}{\partial x} \quad (10)$$

Here,  $\Lambda$  is a general constant depending on the process Parameter, and we may consider it as diffusivity in the sense of the diffusion process modelled by Eq. (7). Precisely, the relationship (10) can be presented more correctly as

$$j_s = -D(S) \frac{\partial S}{\partial x} \quad (11)$$

with a non-linear saturation-dependent diffusivity expressed as

$$D(S) = \frac{D_0}{(S + b)^m}, \quad m = 2 \quad (12)$$

and related to the so-called Fujita's non-linear diffusivities [11–13].

It is worth noting to mention that Kowalski's model is a particular case of the general non-linear diffusion model with moving boundary formulated by Broadbridge (in a CSIRO preprint as quoted by [14] and also discussed in [15]) in a semi-infinite heterogeneous medium  $0 < x < X(t)$ , namely

$$\frac{\partial u}{\partial t} = \frac{\partial}{\partial x} \left[ \frac{1 + cx}{(a + bu)^2} \frac{\partial u}{\partial x} + \frac{c}{2b(a + bu)} \right], \quad 0 < x < X(t) \quad (13)$$

with fluxes at the boundaries

$$\frac{1 + cx}{(a + bu)^2} \frac{\partial u}{\partial x} = U(t), \quad x = 0, \quad t > 0 \quad (14)$$

$$\frac{c}{2b(a + bu)} \frac{\partial u}{\partial x} = \alpha \dot{X}(t), \quad x = \dot{X}(t), \quad X(t = 0) = 0 \quad (15)$$

For  $c = 0$ , corresponding to the homogenous case, i.e. when the diffusivity is spatially independent, we get

$$\frac{\partial u}{\partial t} = \frac{\partial}{\partial x} \left[ \frac{1}{(a + bu)^2} \frac{\partial u}{\partial x} \right], \quad 0 < x < X(t) \quad (16)$$

which is the non-linear diffusion equation considered by Storm [16].

This equation, related to heat conduction in solid hydrogen, has been also studied by Rosen [17]. In the literature available, the main solution approaches address reciprocal transformations [8, 14, 18] (explained next in this text through Fokas' solution) and Backlund transformation [19].

#### 1.4 Fokas' Solution Approach

Fokas et al. [8] considered the model (7)–(8) with a formulation considering a half part of the body (see Fig. 1) with an impermeable condition at the symmetry axis  $x = 0$  as  $(dS/dx)_{x=0} = 0$  and a fluid-solid interaction at the interface  $x = L$  (the boundary condition—Eq. (8)). The main approach of Fokas is to linearize the model equation (due to the non-linear diffusion coefficient) and move the non-linearity to the boundary condition. This was done by applying the reciprocal transformation [8, 9] with a new variable  $S^* = S + \sqrt{\varphi}$ , namely

$$\frac{\partial S^*}{\partial t} = \frac{\partial}{\partial x} \left[ \frac{1}{S^{*2}} \frac{\partial S^*}{\partial x} \right], \quad 0 < x < L \quad (17)$$

and boundary conditions

$$\frac{\partial S^*}{\partial x} = 0, \quad x = 0 \quad (18)$$

$$-\frac{1}{S^{*2}} \frac{\partial S^*}{\partial x} = \alpha [1 + \sqrt{\varphi} - S^*], \quad \alpha = \frac{\alpha_m}{\Lambda \rho_f} \quad (19)$$

Then, applying the following reciprocal transformation to the model (17)–(19)

$$dx' = S^* dx + \frac{1}{S^{*2}} \frac{\partial S^*}{\partial x} dx, \quad dt' = dt, \quad S' = \frac{1}{S^*}, \quad 0 < |S^*| < \infty \quad (20)$$

the result is a linearized equation with a non-linear boundary condition (23), namely

$$\frac{\partial S'}{\partial t'} = \frac{\partial^2 S'}{\partial x'^2} \quad (21)$$

$$\frac{\partial S'}{\partial x'} = 0, \quad x' = x'|_{x=0} \quad (22)$$

$$\frac{\partial S'}{\partial x'} = \alpha [S' (1 + \sqrt{\varphi}) - 1], \quad x' = x'|_{x=L} \quad (23)$$

However, this linearization of the main equation needs a definition of the so-called reciprocal boundaries defined as follows:

*At the symmetry axis*

$$x'(0, t) = 0 \quad (24)$$

*At the solid-fluid interface ( $x = L$ )*

$$x'(L, t) = \sqrt{\varphi}L - \alpha(1 + \sqrt{\varphi})t + \alpha \int_0^t S^*(L, \tau) d\tau \quad (25)$$

Finally, the modified model becomes

$$\frac{\partial S'}{\partial t'} = \frac{\partial^2 S'}{\partial x'^2}, \quad 0 < x' < X'(t') \quad (26)$$

$$\frac{\partial S'}{\partial x'} = 0, \quad x' = 0 \quad (27)$$

$$\frac{\partial S'}{\partial x'} = \alpha[S'(1 + \sqrt{\varphi}) - 1], \quad x' = X'(t'), S' = \frac{1}{\sqrt{\varphi}}, \quad t' = 0 \quad (28)$$

$$X'(t') = L\sqrt{\varphi} - \alpha(1 + \sqrt{\varphi})t' + \alpha \int_0^{t'} \frac{d\tau}{S'(X'(\tau), \tau)} \quad (29)$$

## 1.5 Some Comments and Aim

The main idea is to develop an approximate and physically adequate solution rather than mathematically correct but difficult to handle results. These two approaches are not in conflict but mainly demonstrate alternatives in the problem solution. Furthermore, there is a reformulation of the boundary condition at the fluid-porous medium interface stressing the attention on the fact that it should relate functional relationship on both sides of the solid-fluid interface which is not taken into account in Kowalski's model. In addition, *relaxing* Dirichlet boundary conditions account for the fact that *there is no instantaneous* surface (at the fluid-solid interface) saturation.

## 1.6 Chapter Organization

In the sequel, the following interrelated steps towards the new approach of the problem solution are developed: Sect. 2 presents the basic techniques of the integral-balance method (with an assumed parabolic profile 2.1.4) and the basic steps in the transformation of the non-linear diffusion term 2.1.3. The approximate solutions in Sect. 3 consider two principal directions: Solutions with the classical Dirichlet boundary condition (assuming instantaneous saturation of the fluid-sample interface) in 3.1 and experiments with relaxing Dirichlet boundary conditions allowing also to develop integral-balance solutions in 3.2. Numerical tests with both types of boundary conditions are presented in 3.1.4 and 3.3.4.

## 2 Integral-Balance Solutions and Related Model Transformations

The approximate solutions developed in this chapter are based on the integral-balance approach which transforms the initial diffusion model into an integral relation which has to be satisfied by an assumed profile (as a function of the dimensionless variable  $\eta = x/\delta$ ) and obeying the so-called Goodman' boundary conditions [22, 23]

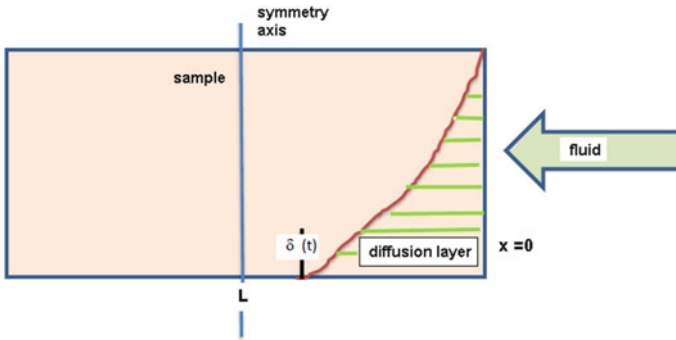
$$u(\delta) = \frac{\partial(\delta)}{\partial x} = 0 \quad (30)$$

This approach introduces a *finite penetration depth*  $\delta(t)$  of the diffusion substance (absorbed fluid or heat) depending on the nature of diffusion process modelled. The motion of this front in time has a finite speed thus correcting the infinite speed of the original model which can be presented as

$$\frac{\partial \Theta}{\partial t} = \frac{\partial}{\partial x} \left[ D_0 \Theta^{-m} \frac{\partial \Theta}{\partial x} \right], \quad \Theta = (S + \sqrt{\varphi})^{-m} \quad (31)$$

where in the present case  $m = 2$ . Equation (31) is from the class of the *fast diffusion processes* [19] in contrast to the *slow diffusion processes* [24–26] where with  $D(\Theta) = D_0 \Theta^m$  ( $m > 1$ ) the solutions as *sharp fronts* propagate with *finite speeds* [25, 26].

The problem considered in this study is a transient process of fluid penetration into a porous medium, within a diffusion layer bounded within the range  $0 < x < \delta$ , up to the moment when the symmetric centre of the slab will be reached (when  $\delta(t) = L$ —see Fig. 3).



**Fig. 3** One-dimensional physical model of fluid impregnation of a porous wood slab with a finite diffusion layer defining the penetration front  $\delta(t)$

## 2.1 Integration Techniques

The integral-balance method employs mainly two principal integration techniques: (i) Single-integration method and (ii) Double-integration method. For the sake of clarity of explanations, these integration techniques will be briefly presented next.

### 2.1.1 Single-Integration method

The single integration over the penetration depth  $\delta$ , known as the heat-balance integral method of Goodman [22], yields

$$\int_0^{\delta} \frac{\partial u(x, t)}{\partial t} dx = \int_0^{\delta} D_0 \frac{\partial^2 u(x, t)}{\partial x^2} dx \Rightarrow \frac{d}{dt} \int_0^{\delta} u(x, t) dx = -D_0 \frac{\partial u(0, t)}{\partial x} \quad (32)$$

This is a simple mass balance relation of the mass absorbed  $m(t) = \int_0^{\delta} u dx$  whose time evolution  $dm(t)/dt$  is controlled by the mass flux at the interface  $x = 0$ . On the right-hand side of (32), the gradient  $\partial u(0, t)/\partial x$  should be defined through the assumed approximation of  $u(x, t)$ .

### 2.1.2 Double-Integration method

This approach has two consequent integration steps over different domains along the penetration depth, namely [26, 27] (1) integration from  $x$  to  $\delta$  and consequently (2) integration from 0 to  $\delta$ .



$$\int_0^{\delta} \int_x^{\delta} \frac{\partial u(x, t)}{\partial t} dx dx = \int_0^{\delta} \int_x^{\delta} D_0 \frac{\partial^2 u(x, t)}{\partial x^2} dx dx \Rightarrow \frac{d}{dt} \int_0^{\delta} \int_x^{\delta} u(x, t) dx dx = D_0 u(0, t) \quad (33)$$

The result is that now the right-hand side of the new integral relationship depends on the boundary condition at  $x = 0$  only, i.e. *it is independent of the type of the assumed profile*. Moreover, this is a mass balance relationship in an integral form over the diffusion layer (penetration depth), expressed through the time evolution of the first moment of the absorbed mass of the fluid which at any time is balanced by the mass load (concentration) at the interface  $x = 0$ .

### 2.1.3 Transform of the Non-linear Diffusivity

The method applied in this chapter does not utilize either the commonly encountered approach [28, 29] applying the similarity variable  $\xi = x/\sqrt{D_0 t}$  to the original model thus allowing to solve a non-linear ordinary differential equation [30] or the reciprocal technique commented earlier. The principal step is oriented towards a transformation of the diffusion term on the right-hand side of the original model allowing easily the application of the integral method (see such an example in [32]). Precisely, for the sake of simplicity and generalization of the applied technique, replacing  $S$  by  $u$  and  $\sqrt{\varphi}$  by  $a$ , we have

$$\frac{D_0}{(a + bu)^2} \frac{\partial u}{\partial x} = -\frac{D_0}{b} \frac{\partial}{\partial x} \left[ \frac{1}{(a + bu)} \right] \quad (34)$$

and therefore

$$\frac{\partial u}{\partial t} = -\frac{D_0}{b} \frac{\partial^2}{\partial x^2} \left[ \frac{1}{(a + bu)} \right] \quad (35)$$

where, with  $a = \sqrt{\varphi}$  and  $b = 1$ , in the original notations we have

$$\frac{\partial u}{\partial t} = -D_0 \frac{\partial^2}{\partial x^2} \left[ \frac{1}{(\sqrt{\varphi} + S)} \right] \quad (36)$$

Equation (36) is the principle equation used in the solutions developed next. How this transformation affects the result of the integration techniques will be demonstrated next.

*Single-integration method:* Integrating the model equation in its general form (35) from 0 to  $\delta$ , the result is

$$\int_0^{\delta} \frac{\partial u}{\partial t} dx = \int_0^{\delta} \frac{\partial}{\partial x} \left[ \frac{-D_0}{(a + bu)^2} \frac{\partial u}{\partial x} \right] dx \Rightarrow \frac{d}{du} \int_0^{\delta} u dx = \left[ \frac{-D_0}{(a + bu)^2} \frac{\partial u}{\partial x} \right]_{x=0} \quad (37)$$

Therefore, the time evolution of the absorbed mass at any time is balanced by the mass flux at the interface  $x = 0$  as it was commented earlier in the text. In terms of the liquid absorbed evolving in time, this means that the rate of mass uptake, i.e.  $dm/dt = d/dt \int_0^\delta u dx$ . Now, replacing the function  $u(x, t)$  in (37) by an assumed profile  $u_a$ , expressed as a function of dimensionless space variable  $\eta = x/\delta$  (see the next section), obeying the Goodman's conditions (30), we get

$$\frac{d}{dt} \int_0^\delta u_a(x, t) dx = \frac{D_0}{a + bu_a(0, t)} \frac{\partial u_a(0, t)}{\partial x} \tag{38}$$

where  $u_a(0, t) = 1$  (Dirichlet problem, for instance) and  $u_a(\delta, t) = u_a(\delta, t)/dx = 0$ .

This integral relationship becomes a differential equation about  $\delta(t)$  when  $u_a$  is replaced by the assumed profile (43).

*Double-integration method:* Following the DIM integration technology, we have

$$\int_0^\delta \int_x^\delta \frac{\partial u}{\partial t} dx dx = \int_0^\delta \int_x^\delta \frac{\partial}{\partial x} \left[ \frac{D_0}{(a + bu)^2} \frac{\partial u}{\partial x} \right] dx dx \tag{39}$$

The first step in (39), in dimensionless fixed (non-moving) coordinates (see the comments in the next point), results in

$$\int_\eta^1 \frac{-D_0}{b} \frac{\partial^2}{\partial \eta^2} \left[ \frac{1}{(a + bu)} \right] d\eta = -D_0 \frac{\partial}{\partial \eta} \left[ \frac{1}{(a + bu)} \right] \Big|_\eta = -D_0 \frac{\partial}{\partial \eta} \left[ \frac{1}{a} - \frac{1}{(a + bu)} \right]_\eta \tag{40}$$

The second integration yields

$$\int_0^1 \frac{-D_0}{b} \frac{\partial^2}{\partial \eta^2} \left[ \frac{1}{(a + bu)} \right] d\eta = D_0 \frac{bu_0}{a(a + bu_0)} = D_0 \frac{b}{a(a + b)} \tag{41}$$

Taking into account that  $a = cont.$  the result of the second integration is

$$\int_0^\delta \int_x^\delta \frac{\partial}{\partial x} \left[ \frac{D_0}{(a + bu)^2} \frac{\partial u}{\partial x} \right] dx dx = D_0 \frac{b}{a(a + b)} \tag{42}$$

which depends only on the boundary condition (in this case  $u_0 = u_s = 1$ ) at  $x = 0$  (Dirichlet problem).

### 2.1.4 Assumed Profile

The solution developed further uses an assumed parabolic profile with an unspecified exponent  $n$  expressed as a function of the dimensionless variable (distance)  $\eta = x/\delta$  [23], namely

$$u_a = u_0 \left(1 - \frac{x}{\delta}\right)^n \Rightarrow S_a = S_0(1 - \eta)^n \quad (43)$$

This assumed profile has been analysed in [31, 32] when the diffusion coefficient is constant as well as when it is concentration dependent [26]. Defining a dimensionless variable  $\eta = x/\delta$ , we have  $0 \leq \eta \leq 1$  and consequently  $S_a = S_s(1 - \eta)^n$ . At the boundaries of the penetration layer, we have  $S(\eta = 0) = 1$  and  $S(\eta = 1) = 0$ , that is, it satisfies the boundary conditions (30). The introduction of the dimensionless variable  $\eta = x/\delta$  allows the moving boundary problem (with a moving front  $\delta(t)$ ) to be transformed into a fixed boundary problem.

## 3 Approximate Solutions

### 3.1 Dirichlet Boundary Condition

With the Dirichlet boundary condition, the approximate profile takes the form

$$S_a = S_0 \left(1 - \frac{x}{\delta}\right)^n \quad (44)$$

Physically, this means instantaneous saturation of the solid-fluid interface at  $x = 0$ , that is,  $S_0 = 1$  since by definition  $0 < S < 1$ . Now, we will see how upon such boundary condition the integration techniques of the integral method work and define the penetration depth  $\delta(t)$ .

#### 3.1.1 Penetration Depth: Single Integration

From the integral relation of the single-integration approach (38) and applying the Leibniz rule to the left side, we get

$$\frac{d}{dt} \int_0^{\delta} \left(1 - \frac{x}{\delta}\right)^n dx = \frac{-D_0}{a + bS_a(0, t)} \frac{\partial S_a(0, t)}{\partial x} \quad (45)$$

With  $S_a(0, t) = 1$  and  $\frac{\partial S_a(0, t)}{\partial x} = -\frac{n}{\delta}$  in (45), we get

$$\frac{d}{dt} \int_0^{\delta} \left(1 - \frac{x}{\delta}\right)^n dx = \frac{-D_0}{a+b} \left(-\frac{n}{\delta}\right) \Rightarrow \frac{1}{2} \frac{d\delta^2}{dt} = \frac{D_0}{a+b} n(n+1) \quad (46)$$

The integration of the final result in (46) with  $a = \sqrt{\varphi}$  and  $b = 1$ , yields the penetration depth defined as

$$\delta_{HBIM} = \sqrt{D_0 t} \frac{\sqrt{2n(n+1)}}{\sqrt{1+\sqrt{\varphi}}} \quad (47)$$

or in a dimensionless form as

$$\frac{\delta_{HBIM}}{\sqrt{D_0 t}} = \frac{\sqrt{2n(n+1)}}{\sqrt{1+\sqrt{\varphi}}} \quad (48)$$

This result resembles the integral-balance solution of the classical diffusion equation where  $\delta_{HBIM} = \sqrt{D_0 t} \sqrt{2n(n+1)}$  which can be obtained from (47) setting  $\varphi = 0$ , which refers to solid media without voids such as in heat conduction in solids (where this integral-balance solution is mainly applied); this extreme case is not considered in the development of the wood impregnation model discussed here.

### 3.1.2 Penetration Depth: Double Integration

With the result (40), then from the DIM integral relation (39) and the result (39) with the assumed profile (43), we get

$$\frac{1}{(n+1)(n+2)} \frac{d\delta^2}{dt} = D_0 \frac{b}{a(a+b)} \Rightarrow \delta = \sqrt{D_0 t} \sqrt{(n+1)(n+2)} \sqrt{\frac{b}{a(a+b)}} \quad (49)$$

With  $a = \sqrt{\varphi}$  and  $b = 1$ , the penetration depth is

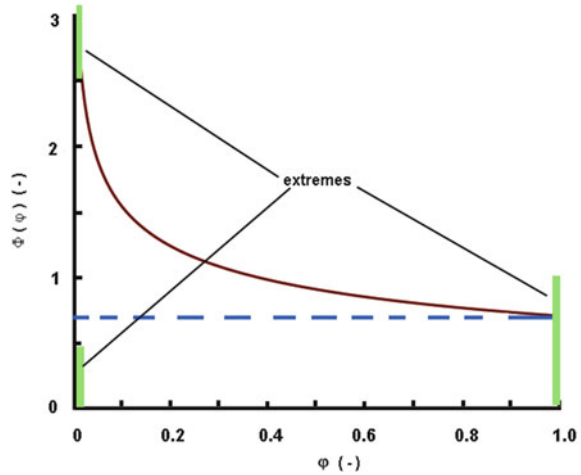
$$\delta_{DIM} = \sqrt{D_0 t} \sqrt{(n+1)(n+2)} \Phi(\varphi), \quad \Phi(\varphi) = \sqrt{\frac{1}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \quad (50)$$

or in a dimensionless form as

$$\frac{\delta_{DIM}}{\sqrt{D_0 t}} = \sqrt{(n+1)(n+2)} \Phi(\varphi) \quad (51)$$

The final functional relationship  $\Phi(\varphi) = f(\sqrt{\varphi})$  excludes the extreme cases of  $\varphi = 0$  (a complete solid without voids) and  $\varphi = 1$  (free space Fickian diffusion). For example, only to the physical adequacy of (50) with  $\varphi = 0.5 \Rightarrow \sqrt{\varphi} \approx 0.707$ , we get  $\Phi(0.5) \approx 0.910$ ; also for  $\varphi = 0.1$  (low-porosity solids), this function gets a

**Fig. 4** Porosity function  $\Phi(\varphi)$  controlling the depth of the diffusion layer



value  $\Phi(0.5) \approx 1.550$ ; more illustrative is the plot in Fig. 4. In general, the increase in  $\varphi$  makes the penetration depth shorter and this is not in conflict with the physics since the saturation of the medium is inverse to its porosity because the capillary pressure (see (45)) increases with reduction in the medium porosity and therefore the flux defined by (10) increases too. In other words, the diffusivity defined by this relationship increases as the porosity is decreased upon conditions keeping equal level of the saturation  $S$ .

### 3.1.3 Approximate Saturation Profiles

With the results (47) and (50) about the penetration depth, we may now construct the approximate solution through the assumed profile (43) as follows.

*Single integration (HBIM)*

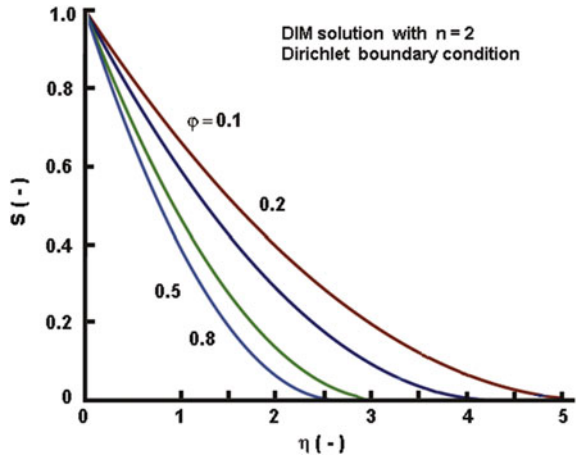
$$S_{HBIM} = \left[ 1 - \frac{x}{\sqrt{D_0 t} \frac{\sqrt{2n(n+1)}}{\sqrt{1+\sqrt{\varphi}}}} \right]^n = [1 - \eta N_1(n, \varphi)]^n, \quad N_1(n, \varphi) = \frac{\sqrt{1+\sqrt{\varphi}}}{\sqrt{2n(n+1)}} \quad (52)$$

*Double integration (DIM)*

$$S_{DIM} = \left[ 1 - \frac{x}{\sqrt{D_0 t} \sqrt{(n+1)(n+2)} \Phi(\varphi)} \right]^n = [1 - \eta N_2(n, \varphi)]^n \quad (53)$$

$$N_2(n, \varphi) = \sqrt{(n+1)(n+2)} \Phi(\varphi) = \sqrt{\frac{(n+1)(n+2)}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \quad (54)$$

**Fig. 5** Approximate profile generated by DIM solution and Dirichlet boundary condition for various sample porosities. The crossing points of the profiles and the horizontal axis mark the penetration depths



Both solutions (HBIM and DIM) generate the Boltzmann similarity variable  $\eta = x/\sqrt{D_0t}$  as an indicator that despite the non-linearity in the model equation the behaviour of the saturation spreading into the depth of the impregnated solids is Fickian.

The functions  $N_1(n, \varphi)$  and  $N_2(n, \varphi)$  accumulate effects of both the assumed profile and the physical initial condition of the medium *via* the porosity (assuming isotropic porous media without swelling or shrinking). Moreover, it represents implicitly the effect of the wood porosity and capillary pressure on the penetration depth.

### 3.1.4 Approximate Solutions: Numerical Tests

Qualitative numerical simulations of the approximate solutions with stipulate values of the exponent  $n$  ( $n = 2$  and  $n = 3$ ) are presented in Fig. 5. The behaviour of these profiles should be related to the effect of the sample porosity on the capillary pressure (see Fig. 2), that is, the lower wood porosity, the higher capillary pressure. As a consequence, the higher the capillary pressure, the longer the penetration depth. In general, since this a *fast diffusion* equation (see the comments related to Eq. (31)), the solutions are concave profiles which is a well-known fact [37, 38].

## 3.2 Relaxing Dirichlet Boundary Conditions

The problem developed in this section refers to the physical fact that all natural phenomena have their relaxation times up to establishments of equilibria. The Dirichlet boundary condition is classic in the parabolic diffusion problem, but its formula-

tion neglects the relaxation time of the surface concentration establishment, that is, it considers instantaneous surface equilibrium between the porous medium and the surrounding fluid. Since the main model is also parabolic and mainly valid for long times, now we formulate a relaxing boundary condition that should obey the following conditions:

$$S_0(t) = 0, \quad t = 0 \quad (55)$$

$$S_0(t) = 1, \quad t \rightarrow \infty \quad (56)$$

The second condition in (56) actually means the Dirichlet boundary condition to be reached at large acceptable technological time. From this point of view, we suggest three functions obeying the above conditions, namely

(a) Exponential relaxing boundary condition

$$S_0(t) = 1 - e^{-kt} \quad (57)$$

where the rate constant  $k$  controls the time needed by the equilibrium  $S_0(t) = 1$  to be attained. This relaxing function can be considered as a solution of the kinetic equation

$$\frac{dS_0}{dt} = ke^{-kt}, \quad S_0(t=0) = 0 \quad (58)$$

With this formulation, the assumed profile becomes

$$S(t) = (1 - e^{-kt}) \left(1 - \frac{x}{\delta}\right)^n \quad (59)$$

satisfying Goodman's boundary conditions.

(b) Relaxing boundary condition utilizing the Gaussian error function

$$S_0(t) = \operatorname{erf}(kt) \quad (60)$$

with a rate constant  $k$  which is a solution of

$$\frac{dS_0}{dt} = \frac{2k}{\sqrt{\pi}} e^{-k^2 t^2}, \quad S_0(t=0) = 0 \quad (61)$$

leading to an assumed profile

$$S(t) = \operatorname{erf}(kt) \left(1 - \frac{x}{\delta}\right)^n \quad (62)$$

(c) Relaxing boundary condition utilizing the Verhulst logistic function

$$S_0(t) = \frac{1}{1 + e^{-kt}} \quad (63)$$

widely used to simulate the Heaviside step function. The simple sigmoid function is a solution of the logistic differential equation (considered also as a special case of the Bernoulli equation)

$$\frac{dS_0}{dt} = kS_0 \left(1 - \frac{S_0}{S_{sat}}\right), \quad S_0(0) = \frac{1}{2}, \quad S_{sat} = 1 \quad (64)$$

In this case, the first condition of (55) is not obeyed but this will not affect the solution developed next since an additional condition  $\delta(t=0) = 0$  should be satisfied. We apply only this simple sigmoid function since the task here is to see what is the integral-balance solution when the physical background needs the fluid-surface equilibrium to be modelled as a time-dependent process, but there is no restriction on other functions obeying the conditions (55) to be obeyed. In such a case, the assumed profile is

$$S_3(t) = \frac{1}{1 + e^{-kt}} \left(1 - \frac{x}{\delta}\right)^n \quad (65)$$

The behaviours of the relaxing functions considered are illustrated in Fig. 6 for various values of the rate constant  $k$ .

### 3.3 Penetration Depth and Applicability of the Selected Relaxing Functions

Now, we have to see what the results, when applying the selected relaxing boundary functions to the stages where the penetration depth should be determined, are. Here, we apply directly the DIM techniques since in this case, the right-hand side of the integral relation depends only on the boundary condition at  $x = 0$ .

#### 3.3.1 Exponential Relaxation Function

With the exponential relaxing function (57), we have (see the formulation (49))

$$\int_0^{\delta} \int_x^{\delta} (1 - e^{-kt}) \left(1 - \frac{x}{\delta}\right)^n dx dx = D_0 \frac{b}{a(a+b)} (1 - e^{-kt}) \quad (66)$$

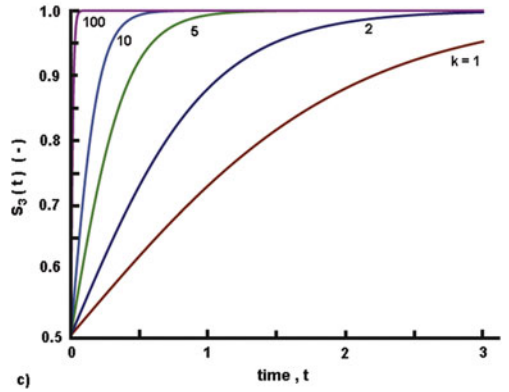
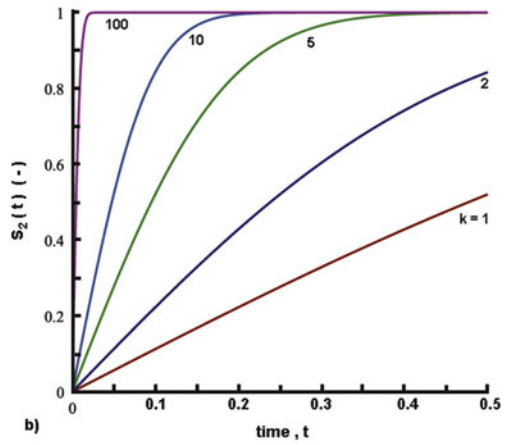
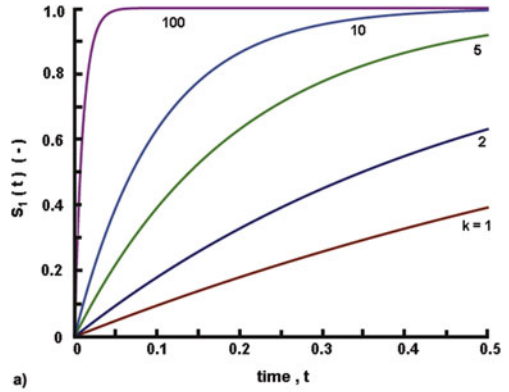
which leads to

$$\frac{d}{dt} \left[ (1 - e^{-kt}) \frac{\delta^2}{(n+1)(n+2)} \right] = D_0 \frac{b}{a(a+b)} (1 - e^{-kt}) \quad (67)$$

The integration in (67) yields



**Fig. 6** Behaviour of the functions used to simulate the time-dependent saturation of the solid-fluid interface, for various values of the rate constant  $k$ : **a**  $S_1$ —exponential function; **b**  $S_2$ —error function; **c**  $S_3$ —logistic function



$$\delta^2 = D_0 \frac{b}{a(a+b)} (n+1)(n+2) \frac{1}{(1-e^{-kt})} \left( t + \frac{e^{-kt}}{k} \right) + C_1 \tag{68}$$

With  $C_1 = 0$ , we get

$$\delta = \sqrt{D_0 \frac{b}{a(a+b)} (n+1)(n+2) R_{exponential}(t)} \tag{69}$$

where

$$R_{exponential}(t) = \sqrt{\frac{1}{(1-e^{-kt})} \left( t + \frac{e^{-kt}}{k} \right)} \tag{70}$$

The physical condition  $\delta(t=0) = 0$  cannot be obeyed since the first term on the right-hand side of (68) is singular at  $t = 0$ , but we can see that for large times we get  $\delta^2 \equiv t$  which corresponds to the solution with already established Dirichlet boundary condition.

### 3.3.2 Error Function as a Relaxing Boundary Condition

With the error function as a boundary relaxing function and DIM, we get

$$\int_0^\delta \int_x^\delta erf(kt) \left( 1 - \frac{x}{\delta} \right)^n dx dx = D_0 \frac{b}{a(a+b)} erf(kt) \tag{71}$$

From (71), we have

$$\delta^2 = D_0 \frac{b}{a(a+b)} (n+1)(n+2) \left[ t + \frac{1}{k\sqrt{\pi} erf(kt) e^{k^2 t^2}} \right] \tag{72}$$

or in the manner of the classical solutions with the integral-balance method, we get

$$\delta = \sqrt{D_0 t} \sqrt{(n+1)(n+2)} \sqrt{\frac{b}{a(a+b)} \left[ 1 + \frac{1}{t [k\sqrt{\pi} erf(kt) e^{k^2 t^2}]} \right]^{1/2}} \tag{73}$$

In these functional relationships ((72) and (73)), the second terms in the brackets are also singular at  $t = 0$ , but we can easily see that for large times these terms become negligible and we get the classical result  $\delta^2 \equiv t$ .

**Note 1:** Both relaxing functions ((57) and (59)) lead to relationships for  $\delta$  which are singular for  $t = 0$  but behave physically correct for large times. They are illustrated in Fig. 7a, c, respectively. The singularity at  $t = 0$  can be, to some extent, and mainly from practical reasons, neglected since for  $t > 0$  the solutions (in both cases)

behave physically correct and approach the case with the classical Dirichlet boundary condition. It is worth noting that the introduction of relaxing Dirichlet boundary condition when the main equation is parabolic avoids the infinite speed of solution but in the present case of wood interface it is easily handled as a solution but unphysical. To this end is worth noting that in the integral-balance solutions have an inherent drawback since in the simple case  $\delta \equiv \sqrt{D_0 t} \Rightarrow d\delta/dt \equiv D_0/2\sqrt{t}$  which is singular at  $t = 0$  and yields an infinite speed of the diffusion front. Such unphysical result can be avoided if the constitutive equation about the flux contains a relaxing part (see more details in [33, 34]), for instance, applying the fading memory approach [35, 36].

**Note 2:** Regarding the relaxation terms of the penetration depths for long times, there are two distinct behaviours: (i) For the exponential and logistic relaxation functions, controlling the boundary saturation, the long time behaviours approach the square-root time dependence relevant to the Dirichlet boundary condition (Fig. 7a, c). In contrast to these two cases, the use of the error function results in a relaxation term of the penetration depth which for long times approaches unity (see Fig. 7b).

**Note 3:** To see what should be done in such a case, it is important to see what the ratio of the relaxation time  $\lambda = 1/k$  to the observation time is since the parabolic model of the fluid penetration into the porous wood has no natural relaxation time if the medium is considered as a semi-infinite. The ratio  $De = \frac{\lambda}{t} = \frac{1}{kt}$  can be defined as a surface Deborah number. From the above expressions, it is obvious that for large values of the product  $kt$ , i.e. small  $De$  the classical Dirichlet boundary condition is practically acceptable. On the other hand, with physical objects having finite dimensions such as the wood chips impregnated, the classical diffusion time scale  $t_D = L^2/D_0$  can be used to define  $\frac{\lambda}{t_D} = \frac{\lambda D_0}{L^2} = De_{bulk}$  as a Deborah number also. If  $\frac{\lambda}{t_D} = \frac{\lambda D_0}{L^2} \ll 1$ , then we can also accept the instantaneous surface saturation modelled by the Dirichlet boundary condition as an acceptable approximation. All these thoughts address further studies requiring experimental data to be fitted, but for now, such data about the kinetics of surface saturation are missing; this does not allow us to establish the magnitude of the rate constant  $k$  and the best relaxation function that should be used at the boundary condition.

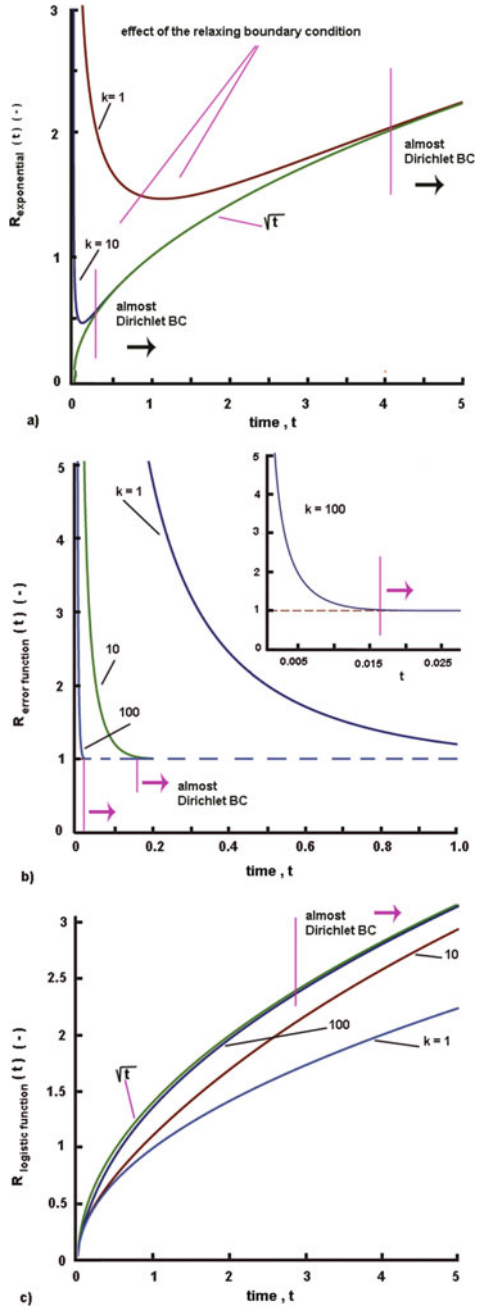
### 3.3.3 Logistic Relaxation Function

After the two tests with relaxing boundary conditions, let us now see what is the outcome of the integral-balance solution when the logistic function is used. In such a case, the DIM integral relation is

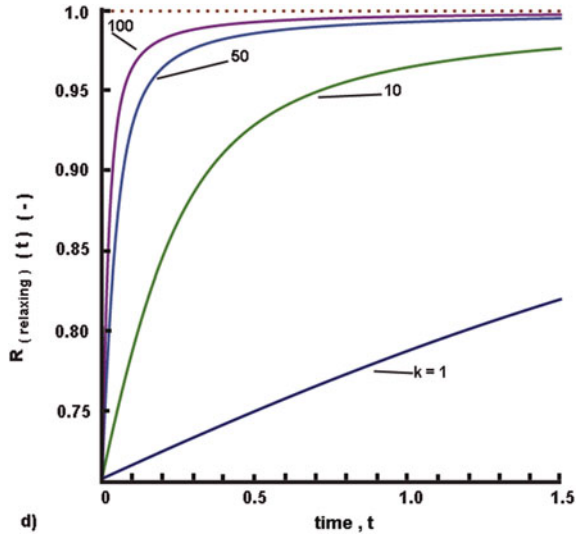
$$\int_0^\delta \int_x^\delta \frac{1}{(1 + e^{-kt})} \left(1 - \frac{x}{\delta}\right)^n dx dx = D_0 \frac{b}{a(a+b)} \frac{1}{(1 + e^{-kt})} \quad (74)$$

leading to

**Fig. 7** Behaviour of the time-relaxing terms of the penetration depth with different relaxation functions at the boundary  $x = 0$ : **a** Exponential relaxation function; **b** Relaxing error function; **c** Logistic function at the boundary; **d** Relaxing term in Eq. (85). *Note* All calculations are performed starting from  $t = 0.0001$  thus avoiding the numerical singularities



**Fig. 8** Relaxing term (logistic function in the boundary condition) in Eq. (85)



$$\frac{d}{dt} \left[ \frac{1}{(1 + e^{-kt})} \frac{\delta^2}{(n + 1)(n + 2)} \right] = D_0 \frac{b}{a(a + b)} \frac{1}{(1 + e^{-kt})} \quad (75)$$

The integration in (75) yields

$$\delta^2 = \left\{ D_0 \frac{b}{a(a + b)} \frac{1}{k} \left[ \ln \frac{1 + e^{-kt}}{e^{-kt}} \right] + C_3 \right\} (n + 1)(n + 2)(1 + e^{-kt}) \quad (76)$$

The condition  $\delta^2(t = 0) = 0$  yields

$$0 = 2 \left\{ D_0 \frac{b}{a(a + b)} \frac{1}{k} \ln(2) + C_3 \right\} (n + 1)(n + 2) \Rightarrow C_3 = -D_0 \frac{b}{a(a + b)} \frac{1}{k} \ln(2) \quad (77)$$

Then,

$$\delta^2 = D_0 \frac{b}{a(a + b)} \frac{2}{k} \ln \left( \frac{1 + e^{-kt}}{2e^{-kt}} \right) (n + 1)(n + 2) \quad (78)$$

and

$$\delta_3(t) = \sqrt{D_0} \sqrt{\frac{b}{a(a + b)}} \sqrt{(n + 1)(n + 2)} \sqrt{\frac{2}{k}} \sqrt{\ln \left( \frac{1 + e^{-kt}}{2e^{-kt}} \right)} \quad (79)$$

which is valid for short times, as this approximation of the boundary condition suggested.

In the simplest case with large  $k$  and  $t \rightarrow \infty$ , the relaxation function approaches  $\sqrt{t}$  because

$$\sqrt{\frac{2}{k}} \sqrt{\ln \left( \frac{1 + e^{-kt}}{2e^{-kt}} \right)} = \sqrt{\frac{2}{k}} \sqrt{\ln \left( \frac{1 + e^{kt}}{2} \right)} \quad (80)$$

then for  $kt \rightarrow \infty$

$$\sqrt{\frac{2}{k}} \sqrt{\ln \left( \frac{1}{2} e^{kt} \right)} \approx \sqrt{\frac{2}{k}} \sqrt{\ln (e^{kt})} \approx \sqrt{\frac{2}{k}} \sqrt{kt} \approx \sqrt{2} \sqrt{t} \quad (81)$$

thus providing the conventional DIM solution developed in the preceding section. It is demonstrated in Fig. 7c that for large values of the product  $kt$ , the relaxation terms behave as  $\sqrt{t}$  corresponding to the solution developed with the unit step boundary (Dirichlet) condition. In addition, an alternative approach with the series expansion of the logarithmic term in the first expression of (78) yields

$$\ln \left( \frac{1 + e^{-kt}}{2e^{-kt}} \right) \approx \frac{1}{2}t + \frac{1}{8}t^2 - \frac{1}{198}t^4 + O(t^6) \quad (82)$$

If only the linear term is accepted with  $k = 1$ , then from (79) we get the conventional DIM solution as a linear approximation

$$\delta_3(t) \approx \sqrt{D_0 t} \sqrt{\frac{b}{a(a+b)}} \sqrt{(n+1)(n+2)} \quad (83)$$

Alternatively, we may express (79) in a more elegant way bearing in mind that  $t = \ln(e^t)$  and  $kt = \ln(e^{kt})$ . Then, multiplying and dividing the expression for  $\delta_3(t)$  by  $\sqrt{kt} = \sqrt{\ln(e^{kt})}$  we get

$$\delta_3(t) = \sqrt{D_0 t} \sqrt{\frac{b}{a(a+b)}} \sqrt{(n+1)(n+2)} \sqrt{2} \sqrt{\frac{\ln \left( \frac{1+e^{-kt}}{2e^{-kt}} \right)}{kt}} \quad (84)$$

Rearranging (84), one obtains a more convenient result

$$\delta_3(t) = \sqrt{D_0 t} \sqrt{\frac{1}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \sqrt{(n+1)(n+2)} \sqrt{2} \sqrt{\frac{\ln \left( \frac{1+e^{-kt}}{2e^{-kt}} \right)}{kt}} \quad (85)$$

or in a dimensionless form as (bearing in mind that  $a = \sqrt{\varphi}$  and  $b = 1$ )

$$\frac{\delta_3(t)}{\sqrt{D_0 t}} = \sqrt{\frac{1}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \sqrt{(n+1)(n+2)} \sqrt{2} \underbrace{\sqrt{\frac{\ln\left(\frac{1+e^{-kt}}{2e^{-kt}}\right)}{kt}}}_{R(\text{relaxing})} \quad (86)$$

Now, we can see how for large times the dimensionless penetration depth approaches the solution developed with the unit step boundary (Dirichlet) condition. Obviously, at  $t = 0$  it is singular, but as commented above, the singularity of penetration depth rate at  $t = 0$  is an inherent property of the method when it is applied to parabolic models. The plots in Fig. 7d show the behaviour of the relaxation term in (85) and (86) for various values of the rate constant  $k$ .

### 3.3.4 Approximate Saturation Profiles with Relaxing Boundary Conditions

Finally, we have to construct the approximate solutions. We have discussed the problem related to the time development of the penetration depths, so in the sequel, we will stress attention only to the approximate solution with logistic function in the time-dependent boundary conditions. In this case, the approximate solution is

$$S_3(t) = \frac{1}{1+e^{-kt}} \left( 1 - \frac{x}{\sqrt{D_0 t}} \frac{1}{\left[ \sqrt{\frac{1}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \sqrt{(n+1)(n+2)} \sqrt{2} \sqrt{\frac{\ln\left(\frac{1+e^{-kt}}{2e^{-kt}}\right)}{kt}} \right]} \right)^n \quad (87)$$

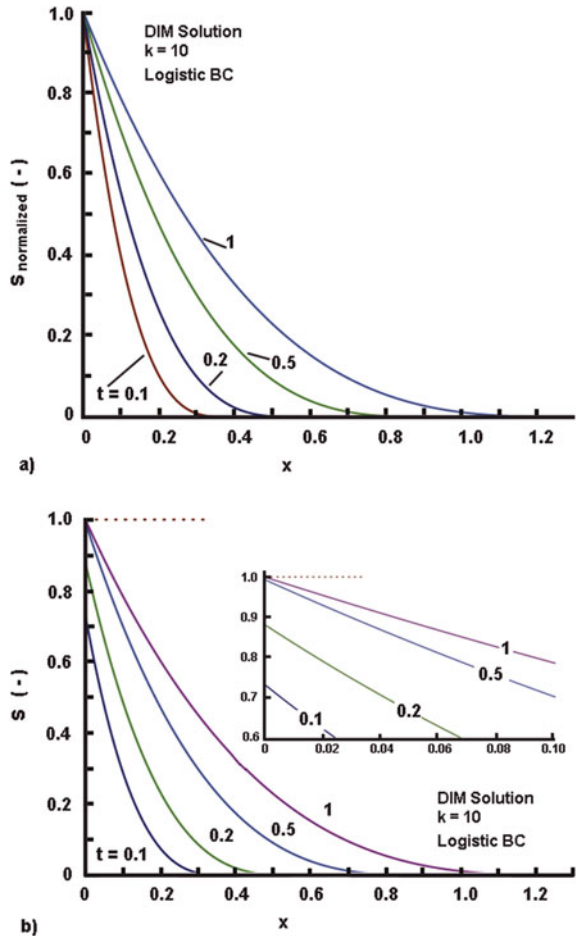
or as

$$S_3(t) = \frac{1}{1+e^{-kt}} \left( 1 - \frac{\eta}{\left[ \sqrt{\frac{1}{\sqrt{\varphi}(1+\sqrt{\varphi})}} \sqrt{(n+1)(n+2)} \sqrt{2} \sqrt{\frac{\ln\left(\frac{1+e^{-kt}}{2e^{-kt}}\right)}{kt}} \right]} \right)^n \quad (88)$$

We cannot express the solution only as a function of the Boltzmann similarity variable  $\eta = \frac{x}{\sqrt{D_0 t}}$  since there are two time-dependent terms which both for large times approach unity. In such a case, only profiles in terms of the physical variables  $x$  and  $t$  are possible.

The plots in Figs. 8 and 9 show that the saturation profiles are concave in shape irrespective of the non-linearity of the problem solved. The normalized saturation profiles  $S(t)/S_0(t)$  are similar irrespective of the relaxation function at the boundary  $x = 0$ . From these plots, we can see that the penetration depths for lower porosity (see Fig. 9a with  $\varphi = 0.25$ ) are longer than when the medium porosity is higher

**Fig. 9** Approximate solutions (saturation profiles) with a logistic function as a relaxing boundary condition along the  $x$  axis for various times: **a** Normalized profiles where  $S_{normalized} = S(t)/S_{logistic}$ ; **b** Non-normalized profiles; Inset: the behaviours close to the interface showing the unsaturated solid (wood) surface at  $x = 0$ . *Note* Calculations performed with a porosity  $\varphi = 0.5$ ,  $D_0 = 1$  and  $n = 3$

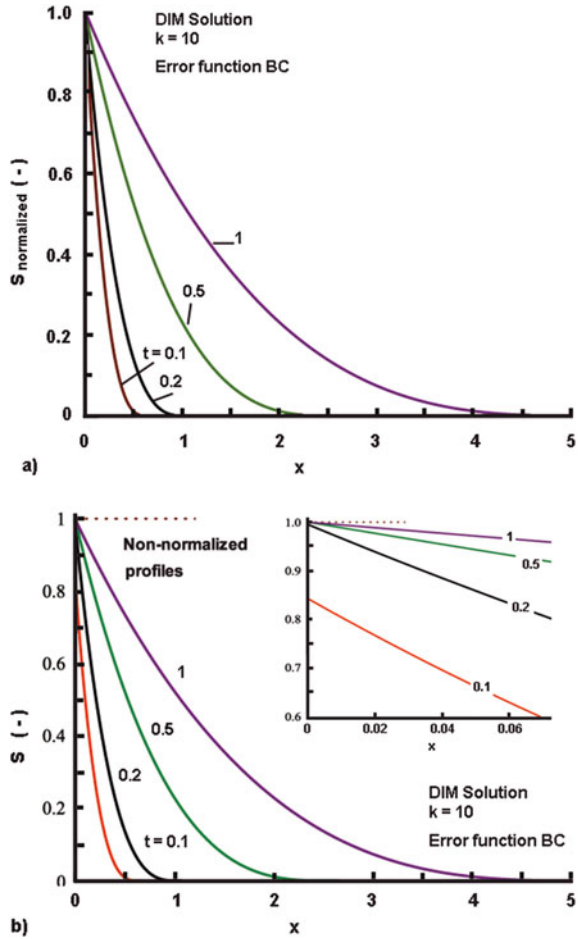


(see Fig. 9a with  $\varphi = 0.5$ ). This fact has to be attributed to the reciprocal relationship between the capillary pressure and medium porosity (see Fig. 2 and the comments related to it). It is worth noting that the approach to relaxing boundary conditions adequately models (see Figs. 9b and 10b) the behaviour of real systems modelled as it is confirmed by the results of Kowalski et al. [7] which is shown schematically in Fig. 11.

It is obvious that surface saturation is a slow process needing hundreds of hours upon realistic circumstances. It is important to mention that the present work considers the diffusivity  $D_0$  with dimension  $m^2/s$  which means the time is measured in seconds. This is correct, but we have to mention that the diffusivities of highly viscous fluids (such as the methacrylate used by Kowalski et al. [7] in low porous woods) are extremely low and therefore it is more convenient to use a dimension  $m^2/h$  which leads to time measured in hours (as in Fig. 11). Kowalski's results strongly

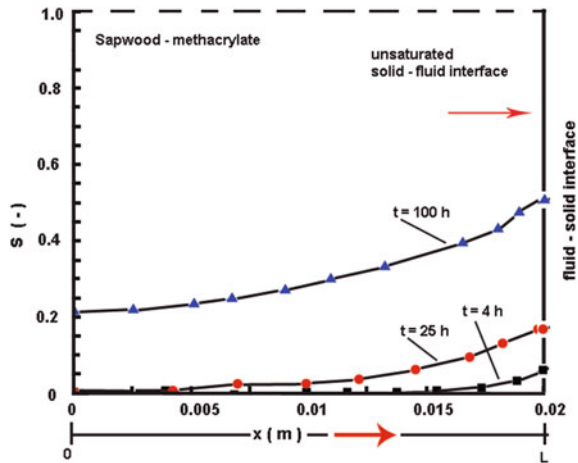


**Fig. 10** Approximate solutions (saturation profiles) with error function as a relaxing boundary condition along the  $x$  axis for various times: **a** Normalized profiles where  $S_{normalized} = S(t)/S_{errorfunction}$ ; **b** Non-normalized profiles; Inset: the behaviours close to the interface showing the unsaturated solid (wood) surface at  $x = 0$ . *Note* Calculations performed with a porosity  $\varphi = 0.25$ ,  $D_0 = 1$  and  $n = 3$



indicate that even after 800 h (not presented here but available in Fig. 5a of [7]), the solid-surface interface remains unsaturated (i.e. with  $S < 1$  at  $x = L$  in Fig. 10). This once again confirms the adequacy of the approach with the relaxing Dirichlet boundary conditions (the term coined here) instead of the boundary condition (7) to cases when the penetration of the diffusant already reached the symmetry axis of the slab while the solutions developed here consider only the initial stages of fluid diffusion process when  $\delta(t) < L$ .

**Fig. 11** Wood penetration in a stagnant fluid (methacrylate) by the mechanism of capillary suction: Results based on the solution of Kowalski et al. (Fig. 5a in [7]). *Note* The plot corresponds to the configuration shown in Fig. 1; the points correspond to the solution in [7] but the lines show only the tendency in the profile spatial development



### 4 Final Comments

This chapter addressed a non-linear diffusion model of a practically high relevant process of special wood treatment by liquids and polymers thus developing new wood-based materials achieving properties answering many new requirements in the modern technological world.

The starting point is the mode developed by Kowalski et al. [7] but, in general, this is a parabolic equation from the class of fast diffusion models with reciprocal diffusivities, or in other words with Fujita’s concentration-depended diffusion coefficients. The model was analysed and the available solution [8] was analysed. Moreover, the boundary condition used was critically discussed from the point of view of its physical adequacy.

The approximate solutions developed here address the physical fact that the real processes of wood impregnation take place in infinite baths of fluids and therefore the Dirichlet boundary condition is more adequate rather than the flux boundary condition suggested in [7, 8]. However, taking into account the experimental results in [7] it was suggested that the classical Dirichlet boundary condition with a unit step of the saturation at the fluid-solid interface is also a mathematical approximation that conflicts with reality since there is no infinite speed of the wood surface saturation. This point of view resulted in the concept of time-relaxing Dirichlet boundary conditions. Precisely, this concept envisages a kinetic approach to the wood interface saturation where the complete Dirichlet boundary condition is attained for long times.

The approximate solutions developed reveal that when relaxing boundary conditions are employed, the final behaviour of the saturation profiles is more physically adequate and corresponds to the real observations in [7]. Moreover, the concept of relaxing boundary conditions approaching the unit steps at the solid-fluid interface is more general and allows formulating physically relevant boundary conditions not

only for the present case of wood impregnation but also for any other processes such as adsorption or absorption and surfactant adherence of surfactants on solid surfaces.

Last but not the least, it is important to mention that the calculations were performed with stipulated values of the exponent  $n$ . This makes the solutions qualitative, but highly informative because the next step addressing determining the optimal values of the profile exponent is a more complicated procedure that is beyond the scope of this study.

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# Algorithmic Complexity-Based Fractional-Order Derivatives in Computational Biology



Yeliz Karaca  and Dumitru Baleanu 

**Abstract** Fractional calculus approach, providing novel models through the introduction of fractional-order calculus to optimization methods, is employed in machine learning algorithms. This scheme aims to attain optimized solutions by maximizing the accuracy of the model and minimizing the functions like the computational burden. Mathematical-informed frameworks are to be employed to enable reliable, accurate, and robust understanding of various complex biological processes that involve a variety of spatial and temporal scales. This complexity requires a holistic understanding of different biological processes through multi-stage integrative models that are capable of capturing the significant attributes on the related scales. Fractional-order differential and integral equations can provide the generalization of traditional integral and differential equations through the extension of the conceptions with respect to biological processes. In addition, algorithmic complexity (computational complexity), as a way of comparing the efficiency of an algorithm, can enable a better grasping and designing of efficient algorithms in computational biology as well as other related areas of science. It also enables the classification of the computational problems based on their algorithmic complexity, as defined according to the way the resources are required for the solution of the problem, including the execution time and scale with the problem size. Based on a novel mathematical informed framework and multi-staged integrative method concerning algorithmic complexity, this study aims at establishing a robust and accurate model reliant on the combination of fractional-order derivative and Artificial Neural Network (ANN) for the diagnostic and differentiability predictive purposes for the disease, (diabetes, as a metabolic disorder, in our case) which may display various and transient biological properties. Another aim of this study is benefitting from the concept of algorithmic complexity to obtain the fractional-order derivative with the least complexity in order that it would

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Y. Karaca (✉)

University of Massachusetts Medical School (UMASS), Worcester, MA 01655, USA

e-mail: [yeliz.karaca@ieee.org](mailto:yeliz.karaca@ieee.org)

D. Baleanu

Department of Mathematics, Çankaya University, 1406530 Balgat, Ankara, Turkey

e-mail: [dumitru@cankaya.edu.tr](mailto:dumitru@cankaya.edu.tr)

Institute of Space Sciences, Magurele–Bucharest R 76900, Romania

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be possible to achieve the optimized solution. To this end, the following steps were applied and integrated. Firstly, the Caputo fractional-order derivative with three-parametric Mittag-Leffler function  $(\alpha, \beta, \gamma)$  was applied to the diabetes dataset. Thus, new fractional models with varying degrees were established by ensuring data fitting through the fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on heavy-tailed distributions. Following this application, the new dataset, named the `mfc_diabetes`, was obtained. Secondly, classical derivative (calculus) was applied to the diabetes dataset, which yielded the `cd_diabetes` dataset. Subsequently, the performance of the new dataset as obtained from the first step and of the dataset obtained from the second step as well as of the diabetes dataset was compared through the application of the feed forward back propagation (FFBP) algorithm, which is one of the ANN algorithms. Next, the fractional order derivative model which would be the most optimal for the disease was generated. Finally, algorithmic complexity was employed to attain the Caputo fractional-order derivative with the least complexity, or to achieve the optimized solution. This approach through the application of fractional-order calculus to optimization methods and the experimental results have revealed the advantage of maximizing the model's accuracy and minimizing the cost functions like the computational costs, which points to the applicability of the method proposed in different domains characterized by complex, dynamic and transient components.

**Keywords** Computational complexity · Complex systems · Fractional calculus and complexity · Fractional-order derivatives · Caputo fractional-order derivative · Classical derivatives · Mittag-Leffler functions · Integer-order derivatives · Computational and nonlinear dynamics · Mathematical biology · Dynamic biological models · Data analysis · Data fitting · Uncertainty · Nonlinearity · Neural networks · Multilayer perceptron algorithm · Data-driven fractional biological modeling

## 1 Introduction

Complex systems, characterized by order and homogeneity, hierarchy of subsystems and different levels both in time and space, entail the observation of the interplay regarding various multiple biological elements including tissues, cells, molecules and human body. Given this complexity, it is not sufficient to identify and characterize the individual biological components in the system merely. Thus, in order to have a complete grasping of the multiple and emergent interactions between biological components and their respective pathways, mathematical modeling plays an important role for the solution of complex problems and the application to biological data so that the correlations between different observable phenomena can be revealed accurately. Besides such advantage to address the challenges like heterogeneity, being dynamic and having intricate characteristics, inherent in complex systems, mathematical models also allow researchers to explore the degree of com-

plexity related to processes and the way they are interconnected. Innovative thinking of modern science enables the interpretation of natural and physical phenomena via optimized models for the complex systems' analysis while exerting control for the related emergent and transient behaviors. Mathematical-informed frameworks are employed to provide researchers with accurate, reliable and robust understanding. At this point, fractional-order differential and integral equations procure the generalization of traditional integral and differential equations through the extension of the conceptions with respect to various biological phenomena. Hinting all non-integer numbers, which include irrational numbers, complex numbers as well as fractions, "fractional" shows and directs the "fractional view" to gain insights into natural phenomena [1, 2]. Proficiency in computational complexity provides a sophisticated, multifarious and integrative outlook to problems; that is the reason why applicable sets of ideas and implementations are put into real life to identify complex dynamic systems' subtle properties. One important aspect therein is to accept the varying degrees of problems so that the models can be constructed in a manner, which can adjust and fit the matter into the right data, which is another challenge in numerical and experimental analyses addressed in various domains including neuroscience [3], biology [4] and so forth.

Biological problems and phenomena, which are modeled by ordinary or partial differential equations with integer-order, can be described well by using ordinary and partial differential equations. At each time instance, the correct information can be measured through a non-integer order derivative; yet, one challenge might be related to the expression of the dynamics between two different points if the derivative is in the integer-order. Furthermore, due to having description of memory and also heredity-related properties, fractional derivatives display another difficulty. It is, therefore, acknowledged that compared to classical derivatives, fractional derivative may yield more useful information for the models related to biological diseases. Being the pillar of different systems in engineering and science, fractional differential equations are seen in a broad range of disciplines such as mathematical biology, physics, engineering, finance, biomechanics, control theory, circuit analysis, to name some. Any biological phenomenon is inherently characterized by uncertainty and nonlinearity; and thus, the variables, parameters, attributes, observation states as well as initial conditions in the model are required for computational purposes. When incomplete, imprecise or vague elements are existent with regard to parameters and variables, then errors are inevitably seen in observations, experiments, applications of different operating conditions or another case is maintenance-induced errors that are also uncertain in nature. The introduction of such uncertainties is conducted through fractional-order differential equations as defined in the Caputo sense to attain reliable, applicable and efficient techniques for the solution thereof.

Fractional Calculus (FC), a swiftly advancing discipline of mathematics with broad application domains (i.e. applied sciences, computer science, medical, ecological, physical, biological in addition to electricity [5–8]), refers to the calculus of derivatives and integrals of arbitrary complex order or real order. FC, therefore, provides the noteworthy and viable means to solve integral, differential and integro-differential equations [9, 10]. As a part of mathematics, fractional-order

calculus (FOC) addresses derivatives and integration of arbitrary order. For the solution of various fractional-order biological disease models in uncertain environments, there are different studies in the literature. Concerning the analytical investigation of fractional-order biological model, [11] addresses the implementation of iterative Laplace transform method for the solution of population models of non-integer order. The authors applied the Caputo operator to express the non-integer derivative of fractional order. The method suggested by the authors is stated to have a small volume of calculations, which allows its application to manage the various problems' solutions with fractional-order derivatives. Another study, namely [12], within the mathematical modeling of biological systems framework, explores the pathological behavior of HIV in fractional sense and the model proposed is investigated with three different fractional operators. Through the efficient numerical method proposed for the solutions of the related equations, the models presented are said to have the potential for the extraction of the new hidden features of biological systems. The new models provided on the basis of the three operators reveal asymptomatic behaviors, which do not seem during the modeling with integer-order derivatives; thus, the significance of fractional calculus is put forth due to its provision of more precise models of biological systems, allowing more realistic judgments concerning complex dynamics. Sajjadi et al. [13] is another study that handles chaos control and synchronization of a biological snap oscillator. By designing adaptive and optimal controllers, the authors attempted to overcome hyperchaotic behaviors, by compensating the undesirable hyperchaotic behaviors. The study introduces an adaptive control scheme for the synchronization of two identical snap oscillators. The development of a new fractional model is also presented in that study where efficient control strategies were employed in fractional sense to do controlling and synchronization effectively. Singh et al. [14] develop a fractional guava fruit model with memory effect and stability of the fractional model is discussed, besides the examination of existence and uniqueness of the solution by using Picard Lindelof approach. The study puts forth the importance of fractional operators through the consideration of a fractional guava fruit model that involves a non-local additionally non-singular fractional derivative regarding the interaction into guava pests and natural enemies. The approximate numerical solution of the fractional guava fruit problem is obtained by one numerical scheme suggested which is said to be efficient in terms of solving nonlinear fractional models of physical importance. With its peculiar complexity features, FOC reflects natural behavior relatively accurately in various areas (bioengineering [15], biology [16], electronics [17], image processing [18], control theory [19], robotics [20, 21] and signal processing [22], as well as viscoelasticity [23]).

Mittag-Leffler functions with one, two and three parameters due to the various applications in fractional calculus and fractional differential equations can be prone to modification on complex plane with the extension of certain fractional-calculus operators. In the literature, [24] provides the way Atangana-Baleanu and Prabhakar operators are applied to find fractional derivatives besides the functions' integrals concerning complex variables. Likely, differing numerical techniques are used along with the algorithms the Mittag-Leffler function's numerical evaluation,



$E_{\alpha,\beta}(z) = \sum_{k=0}^{\infty} \frac{z^k}{\Gamma(\beta+\alpha k)}$ ,  $\alpha > 0$ ,  $\beta \in \mathbb{R}$ ,  $z \in \mathbb{C}$ , and its derivative belonging to the parameters' each value  $\alpha > 0$ ,  $\beta \in \mathbb{R}$  [25]. Dynamic models reflect a system's temporal aspects through a convenient memory function, resulting in the Mittag-Leffler waiting time renewal process and rendering the Mittag-Leffler function essential. Concerning data applications in the related scope, the study [26] addresses the characterization of anomalous diffusion and application of continuous time random walk (CTRW) theory to diffusion MRI. The authors introduced the CTRW parameters ( $\alpha$  and  $\beta$ ) and entropy as the biomarkers for diffusion in biological tissues. In the CTRW approach presented in the study, the Fourier transform generates a solution to the generalized diffusion equation which is to be expressed by the Mittag-Leffler function (MLF). While fitting the data to their model, the fractional-order parameters,  $\alpha$  and  $\beta$ , along with the entropy measure,  $H(q, \bar{\Delta})$ , were found to provide good contrast between white and gray matter, with results yielded sensitive to the type of diffusion experiment conducted. Jose and Abraham [27] introduces a new generalized counting process with Mittag-Leffler inter-arrival time distribution. The model proposed is a generalization of the Poisson process and the authors overcome the computational intractability through the derivation of the Mittag-Leffler count model by employing polynomial expansion. Markov Chain Monte-Carlo (MCMC) methods, using Metropolis-Hastings algorithm, were utilized for the simulation new count model can be simulated. Another work [28] aims at the exploration a COVID-19 SEIR model that involves Atangana-Baleanu-Caputo type (ABC) fractional derivatives. Besides establishing existence, uniqueness, positivity as well as boundedness of the alternative model's solutions, the authors also present the stability results of the system proposed in their study. Furthermore, [25] is a study that investigates a new model based on COVID-19 with three compartments that involve susceptible, infected, and recovered class under the Mittag-Leffler type derivative. A scheme is also developed for the approximate solutions to the model considered through the application of a numerical technique named fractional Adams-Bashforth (AB) method. The study involves the use of some real data available and the authors conduct the numerical simulation that corresponds to different values of fractional order. Sher et al. [29] deals with COVID-19 through the consideration of a fractional-order epidemic model describing the disease's dynamics under non-singular kernel type of the fractional derivative. The fixed point theorem of Banach and Krasnoselskii's type is discussed, and the simulated results are compared with some of the real data reported for commutative class at classical order.

Making predictions based on mathematical models concerning biological processes and datasets necessitates the estimation of parameters step for the model's simulation. Thus, fitting parameters to experimental data is regarded as a challenge due to the fact that finding the model parameters' optimal values could require the exploration of a huge space while the model parameters' different values may display consistency with the data, known as identifiability problem accordingly, [30, 31] deal with the related problematic aspects in systems biology through different approaches. As for the algorithm, maintaining the best fit to the data as much as possible is important while eliminating the parameters from the model in a manner that will not impact the optimal fit, which means that there has to be a trade-off between goodness of

fit and model complexity as measured by the function of likelihood. Kiiveri [32], to illustrate, provides comparison with support vector machines and random forests. The advantage of variable selection and parameter estimation is at stake without any extra steps required to obtain a sparse model. Another important point regarding data fitting is that parameters may not have any biological meaning if the model selected is not informative. Concerning this point, [33] states that model parameters fit to biological processes have to be stable; whereas transferability of parameters is proposed by [34] for utility purposes with a high level and biological significance. To recap, goodness of fit and biologically meaningful parameters in model selection can ensure the identification of an effective model with a model selection process considering only the best fit. Adams et al. [34] point out this aspect in thermal optima and maxima, demonstrating that the biologically meaningful parameters have the facilitating function. Zhao et al. [35] also address the clustering challenge in big medical and biological datasets by developing a three-topic model-derived clustering methods, showing the application benefit of topic modeling as well as an analytic enhancement of the topic model-based methods. Albrecher et al. [36] define the class of matrix Mittag-Leffler distributions, studying some of its properties. The authors demonstrate that it can be interpreted as a specific case of an inhomogeneous phase-type distribution with random scaling factor and as the absorption time of a semi-Markov process with Mittag-Leffler distributed interarrival times. Both simulated data and a set of real-life data modeled differently in the previous times are used in the study.

Being credited as a natural mathematical model for power-law relations, fractional calculus, enables the observation of related links as accurate descriptors regarding natural phenomena. The application of fractional calculus to artificial neural networks (ANNs) provides significant advantages by providing the augmentation of a neural network (NN) through the implementation of differintegral operation based on fractional calculus to the data stream via each of the neurons in the neural network. It is, thus, possible to achieve achieved fitness, behavioral differences as well as related simulation-specific metrics through the related experimental processes. Fractional calculus approach to machine learning provides a novel method through the introduction of fractional-order calculus to optimization methods as employed in machine learning algorithms. It is, therefore, intended to maximize the model's accuracy and minimize the cost functions like the computational costs. Including ANNs, in all the machine learning algorithms, learning is a crucial step with respect to accuracy or convergence rate, obtained potentially by the use of fractional-order gradient in the area of data science. One of the related studies, [37], uses a model of a neural network with a new backpropagation rule employing a fractional-order derivative mechanism. The proposed scheme, by using the Grünwald–Letnikov definition of the discrete approximation of the fractional derivative, employs the fractional derivative mechanism to model the individual neurons' dynamics and to minimize the error function least. The network model proposed in the study is a new tool that could be utilized in the classification tasks of signals. One other study [38] has the aim of investigating the handling of the fractional vibration problem utilizing the multilayer artificial neural network (ANN) method. Fractional derivatives yield better models regarding the vibration systems in contrast with classical integer-order

models. The authors employed multilayer feed-forward neural architecture and error back-propagation algorithm with unsupervised learning to minimize error function as well as to modify the parameters (weights and biases). Kadam et al. [39] deal with ANN approximation of fractional derivative operators. The input-output data of Grünwald–Letnikov as well as Caputo fractional derivatives for a range of functions such as power law type, sinusoidal, ramp and Mittag-Leffler functions are used for the training of multilayer ANNs. Last but not least, based on the ANNs based on fractional calculus, [40, 41] propose a fractional-order deep backpropagation neural network model with  $L_2$  regularization. The authors optimize the proposed network by the fractional gradient descent method with Caputo derivative while showing the required conditions for the convergence of the network proposed. The influence of  $L_2$  regularization on the convergence is analyzed with the fractional-order variational method. The experiments performed on the dataset demonstrate that the proposed network is deterministically convergent and capable of avoiding overfitting in an effective way.

The conceptual aspects of algorithms and complexity become meaningful provided that they are defined in terms of formal computational models [42]. Computing is essential to address intensive data tasks and attain scalable solutions to complex problems. Therefore, it is important for researchers and developers to be cognizant of effects of computational complexity and its theory for better grasping and designing of efficient algorithms in computational biology. Given that, it is possible to classify the computational problems depending on their algorithmic complexity defined according to the way the resources required for the solution of the problem, including the execution time and scale with the problem size. Algorithmic complexity, called complexity or running time, is a way of comparing an algorithm's efficiency. For a particular task, an algorithm completing a task is regarded as more complex if more steps are involved. Varying in relation to the size of the input, complexity can be expressed with the Big O notation. Thus, complexity can be measured with regard to the time it takes for a program to run in relation to the size of the input, which is referred to as time complexity, or with regard to the memory it will take up, called space complexity. In computational biology, the investigation of algorithmic complexity provides guidance with regard to the application of efficient programs to process, model and analyze biological data [43]. Algorithmic complexity theory from the computer science perspective enables an individual to examine algorithms' properties to solve computational problems as well as the relationship between the size of an instance of the generic problem and the time needed for computation [44].

Based on a novel mathematical informed framework and multi-staged integrative method concerning algorithmic complexity, no earlier work exists equivalent to this study in the literature [32–44], as derived from such a holistic perspective with the methods proposed. The preliminary objective of this study is to establish a robust and accurate model based on the combination of fractional-order derivative and Artificial Neural Network (ANN) for the diagnostic and differentiability predictive purposes for the diseases (diabetes dataset in our case) which may display various and transient biological properties. In addition, we have also aimed to benefit from the concept of algorithmic complexity in order to obtain the fractional-order

derivative with the least complexity so that it could be possible to reach the optimized solution. To this end, we implemented the following steps: (i) The Caputo fractional derivative was applied with three-parametric Mittag-Leffler function to the diabetes dataset. In this way, new fractional models with varying degrees were established by ensuring data fitting through the Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on Heavy-tailed distributions. (By applying Algorithm 1 (Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on Heavy-tailed distributions.) to the diabetes dataset, in order to identify the optimized three-parametric ML function, ML  $(\alpha, \beta, \gamma)$  parameters that fit the data were found with heavy-tailed distributions. Thus, the optimized ML  $(\alpha, \beta, \gamma)$  functions were obtained. In other words, this application allowed us to find the best fitting Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  in the diabetes dataset). The reason and motivational aspect behind the choosing of the Mittag-Leffler function is that this function directs the distributions of broad application areas, which makes it possible to address irregular and heterogeneous environments for the dynamic problems' solution. Following this particular application, the new dataset, named `mfc_diabetes`, was obtained. (ii) Classical derivative (calculus) was applied to the diabetes dataset (namely the raw dataset); and accordingly, the `cd_diabetes` dataset was obtained. (iii) The performance of the new dataset as obtained from the Caputo fractional-order derivative with the three-parametric Mittag-Leffler function (based on step i), the dataset obtained from the classical derivative (calculus) application (based on step ii) and the diabetes dataset (ie: raw dataset) was compared through the application of the feed forward back propagation (FFBP) algorithm, which is among the ANN algorithms (along with accuracy rate, sensitivity, precision, specificity, F1 score, multiclass classification (MCC), ROC curve). Consequently, the fractional-order derivative model that would be the most optimal for the disease was generated, which makes up another motivational and novel aspect of the method proposed in our study. (iv) We benefited from the concept of algorithmic complexity to attain the Caputo fractional-order derivative with the least complexity, in other words, to achieve the optimized solution. This approach through the application of fractional-order calculus (FOC) to optimization methods has the advantage of maximizing the model's accuracy and minimizing the cost functions like the computational costs. Thus, algorithmic complexity was computed with the application of Caputo fractional-order derivative with three parametric Mittag-Leffler function and classical derivative (calculus) in a comparative way by identifying the complexity for each concerning the diabetes dataset. As a result, the derivatives with the highest and lowest level of complexity were identified with Big O. The experimental results obtained from our integrative and multi-stage approach corroborate the applicability of the scheme proposed through this study by revealing the Caputo fractional-order derivative with the least complexity yielded the best outcome based on the output derived from the ANN algorithm (FFBP).

The remainder parts of the study are outlined as follows. Section 2 is concerned with Biological Dataset and Methodology, with Sect. 2.1 on Diabetes Dataset and Sect. 2.2 methodology dealing with Mittag-Leffler Functions with Heavy-tailed distributions' Algorithm for Data Fitting Purposes, Caputo Fractional-order Deriva-

tives, Artificial Neural Networks Algorithm as well as Algorithmic (Computational) Complexity. Section 3 is entitled Experimental Results and Discussion. Finally, the last section, which is to say Sect. 4, presents the Conclusion, Outcomes and Future Directions of our study.

## 2 Biological Dataset and Methodology

### 2.1 Diabetes Dataset

The accurate interpretation of data is important for a complete understanding emerging properties resulting the interplay of multiple biological elements in complex biological systems. Therefore, it would not be adequate to characterize only the individual biological components in the system. Thus, accurate and appropriate mathematical modeling plays an important role to serve the investigation of problems since mathematical models enable us to explore how complexity processes and disruptions related to these processes influence the disease. This study addresses one biological dataset, diabetes, with dynamic, heterogeneous, and complex characteristics that are common diseases that need to be taken under meticulous control so that detrimental effects would not be the case for the future.

For the classification and prediction of diabetes disease, the variables of Underweight (18.5–), Healthy (18.5–25), Overweight (25–30) or Obese (30+) are taken into consideration on the body mass indices of the related individuals. As per this variable code interval, the insulin values ranging from 16 to 166 are accepted to be normal, whereas the values out of this interval are regarded as not normal. Moreover, the glucose values are taken as Low (70–), Normal (70–99), Secret (99–126) and High (126–200) for the classification (see Table 1 for the details of the diabetes dataset) [45].

### 2.2 Methodology

#### 2.2.1 Mittag-Leffler Functions with Heavy-Tailed Distributions' Algorithm for Data Fitting Purposes

##### *Mittag-Leffler functions with three parameters*

Special function is one of the domains of mathematical analysis, associated with different topics like fractional calculus, differential equations and mathematical physics [44]. With its extensions, Mittag-Leffler function is among the noteworthy classes of special functions [45]. Due to the connections to fractional calculus, and fractional exponential functions, arising in solutions for the different fractional differential equations, they are known to be useful [46, 47].

**Table 1** Diabetes dataset with attributes computed in unit

Diabetes classification	Attributes (units)
0 = Non-diabetes 1 = Diabetes	<b>Body mass index (BMI)</b> (weight in kg/(height in m) <sup>2</sup> )
	<b>Age</b> (years)
	<b>Diastolic blood pressure</b> (mm Hg)
	<b>Skin thickness</b> Triceps skin fold thickness (mm)
	<b>Insulin</b> 2-h serum insulin (mu U/ml)
	<b>Number of pregnancy</b>
	<b>Diabetes pedigree function</b> (a function that calculates the probability that an individual may be afflicted with diabetes based on the genetic disposition)
	<b>Plasma glucose</b> (Concentration a 2-h interval in an oral glucose tolerance test)

\* Dataset size: (768 × 9)

The original function of Mittag-Leffler  $E_\alpha(z)$  depends on one single variable  $z$  and one parameter  $\alpha$ , described as per Eq. 1 [48].

$$E_\alpha(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(n\alpha + 1)} \quad \alpha > 0, z \in C \tag{1}$$

Different ways are the case to extend this definition, the most known extensions are the functions.  $E_{\alpha,\beta}^\gamma(z)$ , being reliant upon variable  $z$  and three parameters with definitions as stated below [49, 50].

$$E_{\alpha,\beta}^\gamma(z) = \sum_{n=0}^{\infty} \binom{-\gamma}{n} \cdot \frac{(-z)^n}{\Gamma(n\alpha + \beta)} = \sum_{n=0}^{\infty} \frac{\Gamma(\gamma + n)}{\Gamma(\gamma)\Gamma(n\alpha + \beta)} \cdot \frac{z^n}{n!} \quad \alpha, \beta, \delta > 0, z \in C \tag{2}$$

**Heavy-Tailed Distributions**

**Mittag-Leffler distribution**

Denoted as  $E_\alpha(y)$ , Mittag-Leffler function was defined by Pillai [51], stating its dependence upon the distribution function or cumulative density function (cdf), indicated according to Eq. 3 [52].

$$f(x; \alpha) = 1 - E_\alpha(-y^\alpha) = \sum_{k=1}^{\infty} (-1)^{k-1} \frac{(k\alpha) x^{k\alpha-1}}{\Gamma(\alpha k + 1)}, \quad x > 0, 0 < \alpha \leq 1 \quad (3)$$

As a heavy-tailed distribution, Mittag-Leffler  $\alpha = 1$ , reduction to exponential distribution is conducted with mean 1. It is addressed as the exponential distribution's generalization. References [53–55] have addressed the varying characteristics of this distribution, proposing a class of discrete Mittag-Leffler distribution with the function of producing probability (*pgf*)  $P(z) = E(X^z) = 1/[1 + c(1 - z)^\alpha]$ .

MLFD is a flexible distribution with different shapes, for example, those like non-increasing pattern with unique mode at, unimodal one with one or two non-zero modes. There also exist some different distributional properties, for instance, the recurrence relation with regard to probability distribution function (pdf), cdf, index of dispersion, classification, producing functions, formulae for different type of moments besides reliability properties as well as increasing failure rate, unimodality, survival and stochastic ordering.

**Pareto distribution**

As a random variable, Pareto distribution  $P_D$  [56] is followed by the Pareto distribution providing that it possesses the pattern of tail which is provided as follows [57]:

$$P_D(U) = \begin{cases} 1 - \frac{(b)}{U} & U \leq b \\ 0. & U < b \end{cases} \quad (4)$$

$a$  and  $b$  denote the scale and shape parameters, in respective order, with 1 and 1 values.

**Cauchy distribution**

As a random variable, the Cauchy distribution [58] is followed with the tail formula formulated as per Eq. 5:

$$C_D(U) = \frac{1}{\pi} \arctan\left(\frac{2(U - \mu)}{\beta}\right) + \frac{1}{2} \quad (5)$$

$b$  and  $u$  are the denotations of the scale and location parameters, in respective order with 1 and 0 values.

**Weibull distribution**

As a random variable, the Weibull ( $W_D$ ) [59] is to follow the Weibull providing the tail formula is taken according to Eq. 6 [52–68].

$$W_D(U) = \exp\left(\frac{U}{k}\right)^\xi \quad (6)$$

$k$  and  $\xi$  correspond to scale and shape parameters. With  $\xi$  having values, less than 1, the Weibull distribution is said to be heavy-tied. The  $k$  and  $\xi$  values are taken as 1 and 1 [51].

The comparison of the Mittag-Leffler distribution, Pareto distribution, Cauchy distribution and Weibull distribution has been done with respect to their performances,

through the utilization of the log likelihood value (MLE) and the Akaike Information Criterion (AIC). Their related definitions are as such.

$AIC = -2\ln L + 2k$ , here  $k$  denotes the number of parameter(s) and  $L$  refers to the maximum log-likelihood concerning a specific dataset [19]. In addition, the applications of the following have also been performed: standard deviation (SD), mean absolute error (MAE), mean absolute percentage error (MAPE), sum of the square error (SSE), mean squared error (MSE) and root mean squared error (RMSE) (for theoretical aspects and other related details please see Refs. [60, 61]).

Comparatively high (small) values of log likelihood (AIC) can suggest better fittings, which are outlined in Table 2 for Pareto distribution, Weibull distribution, Mittag-Leffler distribution and Cauchy distribution, respectively, yield, in an evident way, the best of the fit in the related order. Furthermore, the performance of the likelihood ratio test is also addressed so that MLFD Mittag-Leffler distribution, Cauchy distribution, Pareto distribution, Weibull distribution can be differentiated (see Table 2).

Mittag-Leffler function's numerical evaluation with the default accuracy set has the  $10^{-4}$  the Matlab routine [62]. The function of Matlab  $f = gml\_fun(a, b, c, x, eps0)$  addresses a generalized Mittag-Leffler function [63, 64].

Figure 3 is plotted for the functions, Pareto distribution, Weibull distribution, Mittag-Leffler distribution and Cauchy distribution, which have been computed by Matlab pattern of  $[ ] = gml\_fun ( )$  which was made for the Mittag-Leffler function's evaluation [63, 64].

The fitting of the biological datasets in this study as based on the Mittag-Leffler function with two parameters ( $\alpha, \beta, \gamma$ ) relying on heavy-tailed distributions is a critical step in this process for the investigation of the complex attributes. The application steps for this investigation are presented in Algorithm 1 (for further related details, see [65]).

**Algorithm 1:** Fitting algorithm Mittag-Leffler function with three parameters ( $\alpha, \beta, \gamma$ ) based on Heavy-tailed distributions.

**Step 1:** Begin with a  $\alpha = 1$ ;  $\beta = 1$  and  $\gamma = 1$   $mlf(1, 1, 1) = e^z$  as the most basic form of Mittag-Leffler function with three parameters.

**Step 2:** Fitting  $mlf(1, 1, 1)$  in the following respective integer-order for: Mittag-Leffler distribution, Cauchy distribution, Pareto distribution, Weibull distribution.

**Step 3:** Do the calculation of the maximum likelihood estimation with MLE, AIC, SD, MAE, MAPE, SSE, MSE, RMSE.

**Step 4:** For  $\beta = 1$  (constant),  $\alpha = 0.1, 0.5, \dots, 7, 10$  and  $\gamma = 0.1, 0.5, \dots, 7, 10$  the values are chosen accordingly for values of  $\alpha$ ; hence, the MLE, AIC, MLE, AIC, SD, MAE, MAPE, SSE, MSE and RMSE values are calculated as in Step 3 and the comparison of values is performed.

**Step 5:** For  $\alpha = 1$  (constant),  $\beta = 0.1, 0.5, \dots, 7, 10$  and  $\gamma = 0.1, 0.5, \dots, 7, 10$  the values are chosen accordingly for values of  $\beta$ ; hence, the MLE, AIC, MLE, AIC, SD, MAE, MAPE, SSE, MSE and RMSE values are calculated as in Step 3 and the comparison of values is performed.

**Step 6:** For  $\gamma = 1$  (constant),  $\alpha = 0.1, 0.5, \dots, 7, 10$  and  $\beta = 0.1, 0.5, \dots, 7, 10$  the values are chosen accordingly for values of  $\beta$ ; hence, the MLE, AIC, MLE, AIC,



SD, MAE, MAPE, SSE, MSE and RMSE values are calculated as in Step 3 and the comparison of values is performed.

**Step 7:** Based on the different values of  $\alpha$ ,  $\beta$  and  $\gamma$  as chosen, the best fit values are taken.

**Step 8:** Based on the procedure in Step 7, the calculations are performed distinctively and individually for all the heavy-tailed distributions (Mittag-Leffler Function distribution, Cauchy distribution, Pareto distribution, Weibull distribution) and comparative analyses are performed.

Through this algorithm (Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on Heavy-tailed distributions) applied to the diabetes dataset, in order to identify the optimized three-parametric ML function,  $ML(\alpha, \beta, \gamma)$  parameters that fit the data were found with heavy-tailed distributions. The optimized  $ML(\alpha, \beta, \gamma)$  functions were, thus, obtained. This application, in a way, allowed the finding of the best fitting Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  in the diabetes dataset.

### Fractional-Order Derivatives

To comprehend the fractional calculus definitions and their uses, it is important that one is familiar with the particular mathematical explanations. Among these are the Gamma functions, Beta functions, Laplace transforms and Mittag-Leffler functions. Mittag-Leffler function is a critical function in mathematics with broad areas of application in fractional differential method. Mittag-Leffler function is also important in terms of differential equations theory depending on the exponential function as in integer-order.

The subsequent power series are employed for the definition of the Mittag-Leffler function, which is convergent in the entire complex plane [22]:

$$\begin{aligned}
 E_{\alpha,\beta}^{\gamma}(z) &= \sum_{n=0}^{\infty} \binom{-\gamma}{n} \cdot \frac{(-z)^n}{\Gamma(n\alpha+\beta)} \\
 &= \sum_{n=0}^{\infty} \frac{\Gamma(\gamma+n)}{\Gamma(\gamma)\Gamma(n\alpha+\beta)} \cdot \frac{z^n}{n!} \quad \alpha, \beta, \delta > 0, z \in \mathbb{C}
 \end{aligned}
 \tag{7}$$

As an entire function, it allows a simple generalization of the exponential function. It is reduced for  $\alpha = 1$  and  $\beta = 1$ . About the convergence of power series in Eq. 8, the two parameters and possess the likelihood of being complex providing  $\Re(\alpha) > 0$ ,  $\Re(\beta) > 0$  and  $\Re(\gamma) > 0$ . The asymptomatic expansion of Mittag-Leffler is another interesting property signified as  $z \rightarrow \infty$  in the different sectors of the complex plane [66].

Ordinary fractional differential equations are solved clearly through the Mittag-Leffler function, its generalized forms and relevant special functions. The role of the Mittag-Leffler function becomes more conspicuous in the solution of ordinary FDEs. The most expansively used definitions of fractional derivatives are Riemann, Liouville, Caputo and Grünwald-Letnikov. The two approaches are conducted for their introduction: the former one is dependent on the inversion of the generalization of the integer-order integral. The second approach is reliant on a more direct gen-

eralization of the limit of the difference quotients defining integer-order derivatives [67].

When a physical system is ruled by the fractional differential equation of Eq. 8 [60, 61],

$$aD_t^\alpha y(t) = f(t) \quad (8)$$

zero initial condition is under consideration and the Laplace transform specified in the aforementioned part provides the following Eq. 9:

$$G_1(s) = \frac{Y(s)}{U(s)} = \frac{1}{as^\alpha} \quad (9)$$

Equation 9's inverse Laplace transform yields another equation specified in Eq. 10.

$$g_1(t) = \frac{1}{a} \frac{t^{\alpha-1}}{\Gamma(\alpha)} \quad (10)$$

Equation 10's inverse Laplace transform yields another equation specified in Eq. 11.

$$g_1(t) = \frac{1}{a} \frac{t^{\alpha-1}}{\Gamma(\alpha)} \quad (11)$$

Equation 11 is regarded as the impulse response of the system's transfer function model  $G_1(s)$ . When one extends the concept for the two term differential equation, then the related structure will be according to Eq. 12 [66, 67]:

$$aD_t^\alpha y(t) + by(t) = f(t) \quad (12)$$

A similar Laplace operation with zero initial condition produces the system's transfer function based on Eq. 13.

$$G_2(s) = \frac{Y(s)}{U(s)} = \frac{1}{as^\alpha + b} = \frac{1}{a} \cdot \frac{1}{s^\alpha + \frac{b}{a}} \quad (13)$$

The inverse Laplace of Eq. 13 lets the gaining of the following indication according to Eq. 14.

$$g_2(t) = \frac{1}{a} t^{\alpha-1} E_{\alpha,\alpha} \left( -\frac{b}{a} t^\alpha \right) \quad (14)$$

### Caputo Fractional-Order Derivatives

Being preferred for the solution of differential equations, the Caputo definition is denoted according to Eq. 15 [22, 67]:

$$D_m^\alpha f(t) = \frac{1}{\Gamma(m - \alpha)} \int_0^t \frac{f^{(m)}(\tau)}{(t - \tau)^{\alpha+1-m}} d\tau, \tag{15}$$

That the Grünwald–Letnikov fractional derivative resembles Caputo fractional derivative is proven, with regard to the majority of the analytic functions. One slight different aspect is detected during the handling of the constant function. For a constant, the Caputo fractional derivative is zero; and yet, its Riemann-Liouville counterpart is not zero. Caputo fractional derivative is usually utilized for addressing the initial value fractional ordinary differential Equation 23.

The essential results on fractional integral and derivatives of the power function  $(t - t_0)^\beta$  for,  $\beta > -1$  are the case and for the Caputo’s derivative, and in this regard, Eq. 16 is used as follows:

$$D_{t_0}^\alpha (t - t_0)^\beta = \begin{cases} 0 & \beta \in \{0, 1, \dots, m - 1\} \\ \frac{\Gamma(\beta+1)}{\Gamma(\beta-\alpha+1)} (t - t_0)^{\beta-\alpha} & \beta > m - 1 \\ \text{nonexisting} & \text{otherwise} \end{cases} \tag{16}$$

When there is an absence of Caputo’s derivative of  $(t - t_0)^\beta$  for real  $\beta < m - 1$  with  $\beta \notin \{0, 1, \dots, m - 1\}$  connected with the fact that when  $m - th$  order derivative of  $(t - t_0)^\beta$  is evaluated, the integer as per Eq. 17 is not integrable as with Eq. 16.

$$\begin{aligned} {}^C D_{t_0}^\alpha f(t) &:= J_{t_0}^m D^m f(t) \\ &= \frac{1}{\Gamma(m-\alpha)} \int_{t_0}^t (t - \tau)^{m-\alpha-1} f^{(m)}(\tau) d\tau, \quad t > t_0 \end{aligned} \tag{17}$$

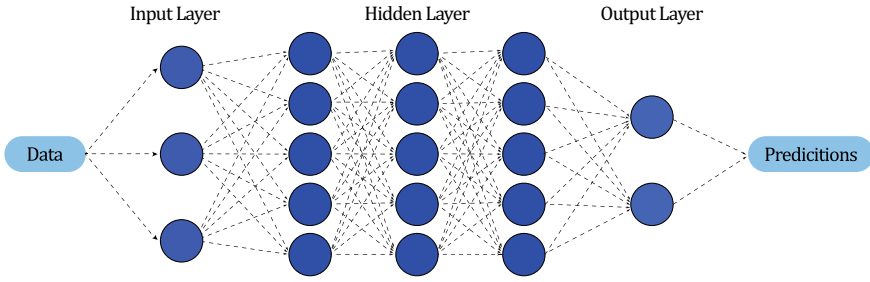
$D^m$  and  $f^{(m)}$  denote the integer-order derivatives [68].

Unlike the Riemann-Liouville derivative, the Laplace transform for the Caputo’s derivative is initialized with the standard initial values signified in terms of integer-order derivatives.

As a matter of fact, the definition of Caputo’s derivative and Grünwald–Letnikov’s derivative show consistency with the definition of Riemann-Liouville derivative, hinting that Grünwald–Letnikov positive non-integer order derivative definition is consistent with the Riemann-Liouville positive non-integer order derivative definition as an inference [57].

### Artificial Neural Networks Algorithm

Neural networks are built depending on simple units that are connected with each other by a set of weighted connections. Generally speaking, such units own their structure and organization in the form of layers; and in that organization, each unit



**Fig. 1** The general network structure of the FFBP algorithm

of the first layer, in other words the input layer, signifies a feature of a pattern that will be considered for analyzing purposes. The units with respect to the last layer, which is to say, the output layer, generates a decision, subsequently, after the information propagation; so artificial neural networks (ANNs) are computational systems, which are inspired by biological neural networks that mimic the animals; brains. The procedure employed towards the learning process in a neural network is called the training algorithm. In this regard, Feed Forward Back Propagation algorithm is among the ANN algorithms [69].

Being among the most commonly utilized artificial neural networks, feedforward neural network is an artificial neural network with inter-unit connections that do not form a cycle [70]. With this aspect, it is different from the recurrent neural networks. The feedforward neural network is the first and simplest type of artificial neural network devised. Information moves only in one direction; and thus, no loops or cycles are existent in the network [70]. The network architecture of the algorithm is defined and the weights are involved [70, 71]. When the input examples with  $m$ -dimension are entered,  $x_i = [x_1, x_2, \dots, x_m]^T$  can be observed. Correspondingly, the output examples for  $n$ -dimension are noted by  $d_k = [d_1, d_2, \dots, d_n]^T$  (see Fig. 1).  $x_i$  values, the output values of the neurons in the  $i$ th layer ( $n$ ), the total input that will correspond to a neuron in  $j$  layer is performed according to Eq. 18 [70–74] (see Fig. 1).

$$net_j = \sum_{i=1}^m w_{ij} X_i \quad (18)$$

The  $j$  neuron's output (namely transfer function input), which is in the hidden layer, is calculated as per Eq. 19 [70]

$$y_j = f_j (net_j) \quad j = 1, 2, \dots, J \quad (19)$$

The total input corresponding to  $k$  neuron in the output layer is calculated as per Eq. 20:

$$net_k = \sum_{j=1}^J w_{kj} \cdot y_j \quad (20)$$

The calculation of the non-linear output of a  $k$  neuron in the output layer is performed based on Eq. 21 [70, 74].

$$o_k = f_k(net_k), \quad k = 1, 2, \dots, n \quad (21)$$

Based on the comparison of the output obtained from the network and actual output, the calculation of the  $e_k$  error is done according to Eq. 22 [70, 74].

$$e_k = (d_k - o_k) \quad (22)$$

$D_k$  shows the target of any  $k$  neuron, which is in the output layer and  $O_k$  shows the outputs obtained from the network. The updating of the weights that are obtained from the output layer is also done, and the performance of the total square error calculation is conducted according to Eq. 23 for each of the examples:

$$E = \frac{1}{2} \sum_k (d_k - o_k)^2 \quad (23)$$

In this study, FFBP algorithm was applied to the diabetes dataset ( $768 \times 9$ ) for the diagnostic and predictive purposes of the disease classification.

### Algorithmic (Computational) Complexity

*Big-O notation*, as a simple mathematical formula, provides the rough approximation or placing of an upper bound on the resource requirements for an algorithm depending on the size of the input—the *complexity* of the algorithm, refers to the algorithmic complexity. In technical aspects, algorithmic complexity is able to and supposed to apply to both space and time (storage and memory) resource requirements. What most are interested in is the running time of an algorithm [75, 76].

Algorithmic complexity is stated with the term of “*on the order of*”, which indicates the rough or approximate cost of the algorithm in terms of resource requirements. “*on the order of*” is abbreviated as a capitalized “O”, which provides the more known term which is the *Big-O notation*.

The form of *Big-O notation* is: O (formula)

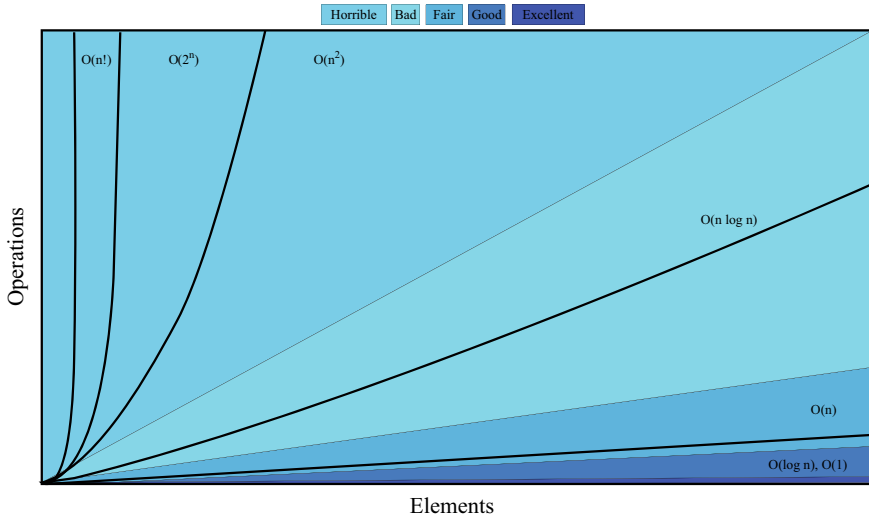
In which, the *formula* is mathematical expression that is reliant on the input parameters or size of the input data for the algorithm. The input size is often denoted in the formula with a lower-case “n”.

A brief presentation of the common forms of *Big-O notation*, in increasing order of cost and decreasing order of performance contain:

O(1) or O(k): Constant. Great, fastest, easy, simple, trivial,

O(log n): Logarithmic. Non-trivial yet really manageable.

O(n log n): Linearithmic. Not quite as good as linear, yet not that bad.



**Fig. 2** The order of growth for algorithms as indicated in Big-O notation

$O(n^2)$ : Quadratic. Approaching somewhat expensive, yet not terrible.

*Big-O notation* is a prominent notation used for the representation of algorithmic complexity. By providing an upper bound on complexity, it expresses the algorithm’s worst-case performance in a way. Through this notation, it will be convenient to compare different algorithms since the notation yields apparently the expression of how the algorithm scales as the input size gets bigger, which is often termed as the order of growth (see Fig. 2) [76].

**The Fast Fourier Transform (FFT)**

The problem regarding the Fourier transform is due to its sine/cosine regression model form or its complex exponential form, which requires  $O(n^2)$  operations for the computation of all the Fourier coefficients. Each frequency coefficient necessitates the multiplication of a summing a cosine or sine with all the data points. Regarding short-time series, this is not the case. However, for time series that are very long, this can be a costly computation even though they are to be conducted on the computers of the current era.

The Fast Fourier Transform (FFT), as a way to reduce the complexity of the Fourier transform computation from  $O(n^2)$  to  $O(n \log n)$ , is an important improvement [77]. The primary version of the FFT is one with Cooley and Tukey. The basic idea is that:

Suppose, there is a time series  $y_1, \dots, y_n$  and one wishes to compute the complex Fourier coefficient  $z_1$ . Through the formula, this shall require the computing of:

$$z_0 = \sum_{t=0}^{n-1} y_t,$$

That is proportional to the mean of the data. If the data were de-meanned or de-trended, then this shall be zero. The next Fourier coefficient is, then,

$$\begin{aligned}
 z_1 &= \sum_{t=0}^{n-1} y_1 \exp(-2\pi i.1.t/n) \\
 &= y_0 \exp(-2\pi i.1.0/n) + y_1 \exp(-2\pi i.1.1/n) + y_2 \exp(-2\pi i.2.1/n) + \dots
 \end{aligned}$$

At this point, assume that one wishes to compute the new coefficient  $z_1$ . Then, this would require the computing:

$$z_2 = y_0 \exp(-2\pi i.2.0/n) + y_1 \exp(-2\pi i.2.1/n) + \dots$$

The exponential in the second term in the sum for  $z_1$  is the same as the exponential in the third term in the sum for  $z_2$ , which are equal to  $\exp(-2\pi i.1.2/n)$ . There is not any need for the computation of this exponential quantity tow times, so it is possible to compute it for the first time, storing it in memory, then retrieving it when it is required to compute  $z_2$  (assuming that retrieving from memory is faster than computing it from scratch). One can think of the FFT algorithm as an elaborate bookkeeping algorithm that keeps track of these symmetries in computing the Fourier coefficients.

### 3 Experimental Results and Discussion

Mathematical-informed modeling of complex systems by fractional order derivatives based on fractional calculus is important in order to be able to attain the related syntheses in an effective and robust way. In view of that, our study aims to establish a robust and accurate model based on the combination of fractional-order derivative and Artificial Neural Network (ANN) for the diagnostic and differentiability predictive purposes for the diseases which manifest various and transient biological properties. Moreover, another aim is to show the benefit of the concept of algorithmic complexity to obtain the fractional-order derivative with the least complexity in order that it would be conceivable to reach the optimized solution. To this end, the integrative multi-stage approach proposed in this study includes the steps outlined as follows:

(i) The Caputo fractional derivative was applied with three-parametric Mittag-Leffler function to the diabetes dataset. Thus, new fractional models with varying degrees were established by performing data fitting with the Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on Heavy-tailed distributions. (By applying Algorithm 1 (Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on heavy-tailed distributions.) to the diabetes dataset, to identify the optimized three-parametric ML function, ML  $(\alpha, \beta, \gamma)$  parameters that fit the data were found with heavy-tailed distributions. In this way, the optimized ML  $(\alpha, \beta, \gamma)$  functions were obtained. This application allowed the finding of the best fitting Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  in the dia-

betes dataset). After this particular application, the new dataset, `mfc_diabetes`, was obtained.

(ii) Classical derivative (calculus) was applied to the diabetes dataset (namely the raw dataset); and accordingly, the `cd_diabetes` dataset was obtained.

(iii) the performance of the new dataset, as obtained from the Caputo fractional-order derivative with the three-parametric Mittag-Leffler function (as per step i), the dataset obtained from the classical derivative (calculus) application (as per step ii) and the diabetes dataset (ie: raw dataset) was compared through the application of the feed forward back propagation (FFBP) algorithm, one of the ANN algorithms (along with accuracy rate, sensitivity, precision, specificity, F1 score, multiclass classification (MCC), ROC curve). As a result, the fractional-order derivative model, the most optimal one for the disease, was generated.

(iv) Algorithmic complexity was addressed to achieve the Caputo fractional-order derivative with the least complexity and with the optimized solution. Hence, algorithmic complexity was calculated with the application of Caputo fractional-order derivative with three parametric Mittag-Leffler function and classical derivative (calculus) in a comparative way by identifying the complexity for each concerning the diabetes dataset. The derivatives with the highest and lowest level of complexity were identified with *Big-O*. The experimental results obtained from our integrative and multi-stage approach demonstrate the applicability of the scheme proposed in this study by showing that the Caputo fractional-order derivative with the least complexity has yielded the best end result based on the output derived from FFBP.

All the analyses and results obtained as well as the visual depictions performed have been obtained by [78] and Phyton [79].

### ***3.1 Application of Mittag-Leffler Functions with Heavy-Tailed Distributions' Algorithm for Data Fitting Purposes***

By applying Algorithm 1 (Fitting algorithm Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  based on Heavy-tailed distributions.) to the diabetes dataset, in order to identify the optimized three-parametric ML function, ML  $(\alpha, \beta, \gamma)$  parameters that fit the data were found with heavy-tailed distributions. Thus, the optimized ML  $(\alpha, \beta, \gamma)$  functions were obtained. In other words, this application allowed us to find the best fitting Mittag-Leffler function with three parameters  $(\alpha, \beta, \gamma)$  in the diabetes dataset.

Algorithm 1 Fitting algorithm Mittag-Leffler function based on heavy-tailed distributions has been applied to the diabetes dataset ( $768 \times 9$ ) for the nine attributes in units (number of pregnancy, plasma glucose, diastolic blood pressure, skin thickness, insulin, body mass index (BMI), diabetes pedigree function, age) (see Table 1 for further details).



Applications for Fitting Mittag-Leffler function based on heavy-tailed distributions to diabetes dataset (Number of pregnancy, plasma glucose, diastolic blood pressure, skin thickness, insulin, body mass index (BMI), diabetes pedigree function, age) were conducted concerning the related attributes. The log likelihood value and the Akaike Information Criterion (AIC) were computed as per the fitting to Mittag-Leffler distribution, Pareto distribution, Cauchy distribution and Weibull distributions (Step 2 carried out as per Algorithm 1) for all the attributes of diabetes dataset along with the different values of Mittag-Leffler function with three parameters ( $\alpha, \beta, \gamma$ ). Accordingly, Mittag Leffler functions were attained for all the significant attribute values (Step 3 carried out as per Algorithm 1).

Concerning the analyses, negative log likelihood:  $-\log L$  was taken for the log likelihood value. By taking the log likelihood value, the maximum value, the distribution that is best fit is obtained. The best fit distribution is generated as retrieved from the Akaike Information Criterion (AIC) calculations. Negative log likelihood: in  $-\log L$  gives the best fitting minimum value in the distribution, therefore, negative log likelihood was taken in the analyses. Hence, the lowest of the both values were taken and the best fitting distribution was achieved so that the ML functions which represent the data most suitably were obtained (Step 4 performed as per Algorithm 1). The lowest value was taken for each distribution; the computations were conducted for all the nine attributes. For the purpose of setting an example, presentations for one attribute, namely the body mass index (weight in kg/(height in m)<sup>2</sup>), are indicated in Table 2). Thus, the lowest value obtained is indicated in bold in the related tables. The figure depictions as per the calculations obtained from the aforementioned attribute presented in the table show the distribution and also its peak points (see Fig. 3).

Table 2 provides the body mass index (weight in kg/(height in m)<sup>2</sup>) attribute indicating the lowest value (indicated in bold) taken for each heavy-tailed distribution

The depictions as per the calculations obtained from the attributes presented in Table 2 for the Body mass index (weight in kg/(height in m)<sup>2</sup>) attribute the heavy-tailed distributions (Mittag-Leffler distribution, Cauchy distribution, Pareto distribution, Weibull distribution) and its peak points are displayed in Fig. 3.

Two approaches exist regarding the handling of each diabetes dataset attribute to conduct the analysis mentioned above. The first approach is that for the results obtained from each distribution as per Algorithm 1 (based on the  $\alpha, \beta$  and  $\gamma$  values), the most accurate distribution is achieved as per the results as have been obtained with the lowest value. The latter approach includes the addressing of the outcomes based on  $\alpha, \beta$  and  $\gamma$  values according to the results generated by the four heavy-tailed distributions (namely Mittag-Leffler distribution, Cauchy distribution, Pareto distribution, Weibull distribution) in conjunction with MLE, AIC, SD, MAE, MAPE, SSE, MSE and RMSE) while at the same time making the comparison of the related attributes (see Table 3 for further details) between themselves; and additionally, the most accurate distribution is obtained as per the results attained with the minimum value. If there existed extreme points within the distribution, then those extreme values were not taken into account for the analyses performed in our study.

As per the applications in Algorithm 1, the diabetes dataset in line with the ML function, the best fit values are found to be as MLF(10,2,2).

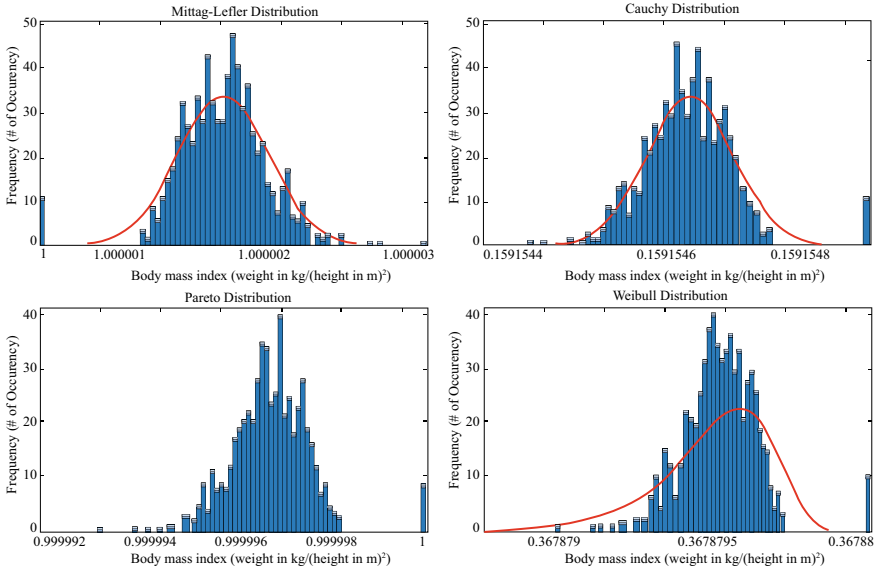
**Table 2** Body mass index (weight in kg/height in m)<sup>2</sup> attribute computation based on the diabetes dataset for Mittag-Leffler function based on Heavy-tailed distributions

Distributions	$\alpha$	$\beta$	$\gamma$	$-\log L$	AC	SD	MAE	MAPE	SSE	MSE	RMSE
Mittag-Leffler dist.	0.5	0.5	0.5	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>
Cauchy dist.	0.5	0.5	0.5	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>
Pareto dist.	0.5	0.5	0.5	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>
Weibull dist.	0.5	0.5	0.5	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	<missing>	0.028959
Mittag-Leffler dist.	3	1	1	26598.571	53199.142	2.061189	0.003466	0.003466	0.644048	0.000839	0.020002
Cauchy dist.	3	1	1	4018.0837	8038.1674	2.061189	0.00781	0.003076	0.307272	0.0004	0.121285
Pareto dist.	3	1	1	3117.8201	6237.6401	2.061189	0.032074	0.016886	11.297328	0.01471	0.0444071
Weibull dist.	3	1	1	6104.8158	12211.632	2.061189	0.006318	0.005448	1.491643	0.001942	0.087339
Mittag-Leffler dist.	5	1	3	1967.1498	3936.2996	0.197894	0.081993	0.04835	5.858431	0.007628	0.077852
Cauchy dist.	5	1	3	1986.0064	3974.0128	0.197894	0.076484	0.044114	4.654852	0.006061	0.337281
Pareto dist.	5	1	3	894.22195	1790.4439	0.197894	0.322152	0.188561	87.36659	0.113759	0.172323
Weibull dist.	5	1	3	1383.6363	2769.2725	0.197894	0.168421	0.097463	22.805815	0.029695	0.031756
Mittag-Leffler dist.	5	1	7	3961.3134	7924.6268	0.463599	0.012784	0.007145	0.774468	0.001008	0.040766
Cauchy dist.	5	1	7	2574.4379	5150.8757	0.463599	0.037056	0.014901	1.276306	0.001662	0.176273
Pareto dist.	5	1	7	1598.3795	3198.7591	0.463599	0.138224	0.059477	23.863365	0.031072	0.076839
Weibull dist.	5	1	7	2207.7026	4417.4052	0.463599	0.063737	0.02687	4.534439	0.005904	0.241779
Mittag-Leffler dist.	7	2	1	1090.3544	2182.7089	0.000196	0.241779	0.241587	44.894942	0.058457	0.159029
Cauchy dist.	7	2	1	1412.099	2826.1979	0.000196	0.159029	0.158903	19.422822	0.02529	0.998415
Pareto dist.	7	2	1	1.218254	4.436507	0.000196	0.998415	0.997624	765.56759	0.996833	0.367588
Weibull dist.	7	2	1	768.60938	1539.2188	0.000196	0.367588	0.367296	103.77269	0.135121	0.241587
Mittag-Leffler dist.	7	2	2	1090.9646	2183.9292	0.000391	0.241587	0.241204	44.823674	0.058364	0.158903
Cauchy dist.	7	2	2	1412.7084	2827.4167	0.000391	0.158903	0.158651	19.392028	0.02525	0.996834
Pareto dist.	7	2	2	2.435485	6.870969	0.000391	0.996834	0.995255	763.14538	0.993679	0.367296
Weibull dist.	7	2	2	769.21877	1540.4375	0.000391	0.367296	0.366714	103.60817	0.134906	0.241203

(continued)

**Table 2** (continued)

Distributions	$\alpha$	$\beta$	$\gamma$	$-\log L$	AC	SD	MAE	MAPE	SSE	MSE	RMSE
Mittag-Leffler dist.	7	2	4	1092.1864	2186.3729	0.000782	0.241203	0.24044	44.681318	0.058179	0.158651
Cauchy dist.	7	2	4	1413.9271	2829.8542	0.000782	0.158651	0.158149	19.330595	0.02517	0.993685
Pareto dist.	7	2	4	4.866886	11.733772	0.000782	0.993684	0.990542	758.33134	0.987411	0.366714
Weibull dist.	7	2	4	770.43754	1542.8751	0.000782	0.366714	0.365554	103.27994	0.134479	0.240435
Mittag-Leffler dist.	7	2	8	1094.6363	2191.2726	0.001564	0.240435	0.238919	44.397331	0.057809	0.158148
Cauchy dist.	7	2	8	1416.3646	2834.7293	0.001564	0.158148	0.157151	19.20835	0.025011	0.987436
Pareto dist.	7	2	8	9.717495	21.43499	0.001564	0.987431	0.98121	748.82334	0.97503	0.365553
Weibull dist.	7	2	8	772.87508	1547.7502	0.001564	0.365552	0.363248	102.6268	0.133629	0.24197
<b>Mittag-Leffler dist.</b>	<b>10</b>	<b>2</b>	<b>2</b>	<b>1089.746</b>	<b>2181.4921</b>	<b>0</b>	<b>0.24197</b>	<b>0.24197</b>	<b>44.966126</b>	<b>0.05855</b>	<b>0.159155</b>
<b>Cauchy dist.</b>	<b>10</b>	<b>2</b>	<b>2</b>	<b>1411.4908</b>	<b>2824.9816</b>	<b>0</b>	<b>0.159155</b>	<b>0.159154</b>	<b>19.453605</b>	<b>0.02533</b>	<b>0.999997</b>
<b>Pareto dist.</b>	<b>10</b>	<b>2</b>	<b>2</b>	<b>0.002462</b>	<b>2.004924</b>	<b>0</b>	<b>0.999997</b>	<b>0.999995</b>	<b>767.99508</b>	<b>0.999994</b>	<b>0.367879</b>
<b>Weibull dist.</b>	<b>10</b>	<b>2</b>	<b>2</b>	<b>768.00123</b>	<b>1538.0025</b>	<b>0</b>	<b>0.367879</b>	<b>0.367878</b>	<b>103.93716</b>	<b>0.135335</b>	<b>0.24197</b>
Mittag-Leffler dist.	10	2	5	1089.7479	2181.4957	1.00E-06	0.24197	0.241969	44.96591	0.058549	0.159154
Cauchy dist.	10	2	5	1411.4927	2824.9853	1.00E-06	0.159154	0.159154	19.453511	0.02533	0.999992
Pareto dist.	10	2	5	0.006155	2.012311	1.00E-06	0.999992	0.999988	767.98769	0.999984	0.999992
Weibull dist.	10	2	5	768.00308	1538.0062	1.00E-06	0.367878	0.367876	103.93666	0.135334	0.367878
Mittag-Leffler dist.	10	2	7	1089.7491	2181.4982	1.00E-06	0.241969	0.241968	44.965766	0.058549	0.241969
Cauchy dist.	10	2	7	1411.4939	2824.9878	1.00E-06	0.159154	0.159153	19.453449	0.02533	0.159154
Pareto dist.	10	2	7	0.008618	2.017235	1.00E-06	0.999989	0.999983	767.98277	0.999978	0.999989
Weibull dist.	10	2	7	768.00431	1538.0086	1.00E-06	0.367877	0.367875	103.93633	0.135334	0.367877



**Fig. 3** The body mass index (weight in kg)/(height in m)<sup>2</sup> attribute computation based on diabetes dataset for Mittag-Leffler function based on Heavy-tailed distributions

### 3.2 Application of Caputo Fractional-Order Derivatives with Three Parametric Mittag-Leffler Functions to Diabetes Dataset

Algorithm 2 presents the application steps of fractional derivatives on datasets with non-integer orders accordingly for the diabetes dataset. Algorithm 2 serves the identification of the order degree, which identifies the most significant attribute. In this way, the fractional derivation function is formed.

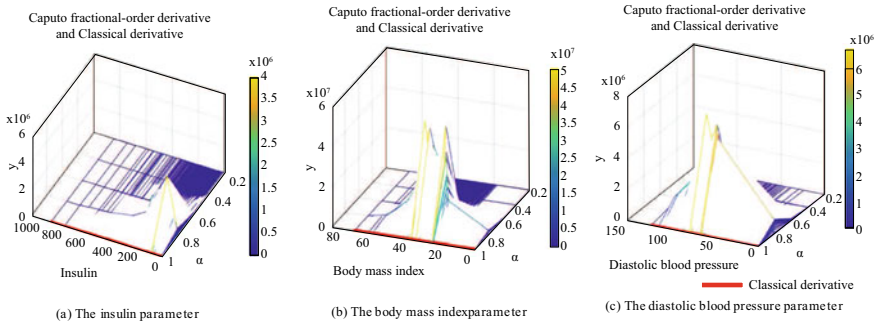
**Algorithm 2:** Fractional derivatives application on dataset with non-integer orders.

**Step 1:** set non-integer orders ( $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ ).

**Step 2:** For the  $y$  order fractional derivatives that have been identified in Step 1, all the orders are applied to the attributes distinctly in the dataset. Consequently,  $z$  values are obtained.

**Step 3:** Print 3D graphs of three types of derivatives as grid and surface ( $x, y, z$ )=(for each attribute of the data  $u, \alpha$ , derivative of all the data).

Algorithm 3 provides the application steps of Caputo fractional derivatives on the diabetes dataset (see Table 1). The most significant orders have been obtained as per the application of the procedures stated in Fig. 4, and for these orders, Caputo fractional-order derivative models have been identified, as elaborated with the results obtained accordingly.



**Fig. 4** Computational application of Caputo fractional-order derivatives and classical derivative, both with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$  for the three parameters. **a** The insulin parameter and CAD). **b** The body mass index parameter and **c** The body mass index parameter pertaining to the diabetes dataset

**Algorithm 3:** Caputo fractional derivatives application on diabetes dataset with non-integer orders:

Step 1: Set non-integer orders ( $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ ).

Step 2: For the  $y$  order Caputo fractional derivatives that have been identified in Step 1, all the orders are applied to the attributes distinctly in the dataset. As a result, values are obtained.

Step 3: Print graphs of types of derivatives as grid and surface  $(x; y; z) =$  (for each attribute of the data  $u, \alpha$ , derivative of all the data)

The computational application of Caputo fractional-order derivatives and classical derivative, both with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ , for all the parameters have been conducted (Table 1 for the diabetes dataset details) concerning the diabetes dataset. To set as an example and depict the computations in a clear way, we provide Fig. 4 for three parameters (the insulin parameter, the body mass index parameter and the body mass index parameter). Accordingly, Fig. 4 depicts the computational application of Caputo fractional-order derivatives and classical derivative, both with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ , for the three parameters concerning the diabetes dataset. For these orders, Caputo fractional-order derivative and classical derivative (calculus) models have been identified.

The computational application of Caputo fractional-order derivatives and classical derivative, both with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ , for all the parameters parameters have been performed (see Table 1 for the details) pertaining to the diabetes dataset. To set as an example and depict the computations in a clear way, we provide Fig. 4 for three parameters (parameters: The insulin parameter and CAD), the body mass index parameter and the body mass index parameter). Accordingly, Fig. 4 depicts the computational application of Caputo fractional-order derivatives and classical derivative, both with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ , for the three parameters (see Table 1 for the diabetes dataset details of all

the parameters involved) concerning the diabetes dataset. For these orders, Caputo fractional-order derivative and classical derivative models have been identified.

### 3.3 Application of ANN Algorithm to Diabetes Dataset and Results

The computational application of Caputo fractional-order derivatives and classical derivative obtained according to Fig. 5, with  $y = \text{order} = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9]$ , for all the parameters (see Table 1) pertaining to the diabetes dataset yields the significant attributes in new datasets. For these orders based on the model, Caputo fractional-order derivative and classical derivative models have been identified. Table 3 provides the parameters of FFBP algorithm used in this study.

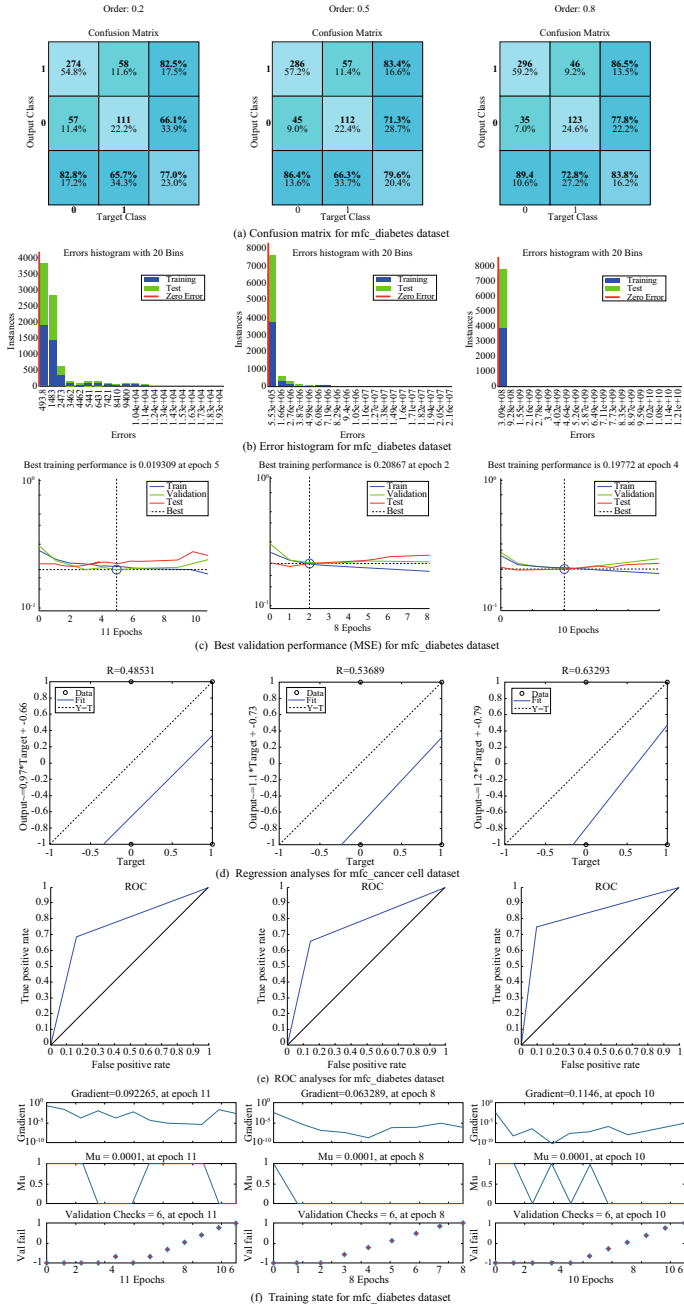
Figure 5 provides the application of Caputo fractional-order derivatives with Mittag-Leffler function parameters MLF (10, 2, 2) to the diabetes dataset, together with the new dataset (mfc\_diabetes dataset), which was obtained from the significant attributes from the related application, with the FFBP algorithm application to the new dataset providing the orders' performance in terms of the disease's course and prediction.

Figure 6 provides the application of classical derivative to the diabetes dataset, along with the FFBP algorithm application to the new dataset (cd\_diabetes dataset) providing the orders' performance in terms of the disease's course and prediction.

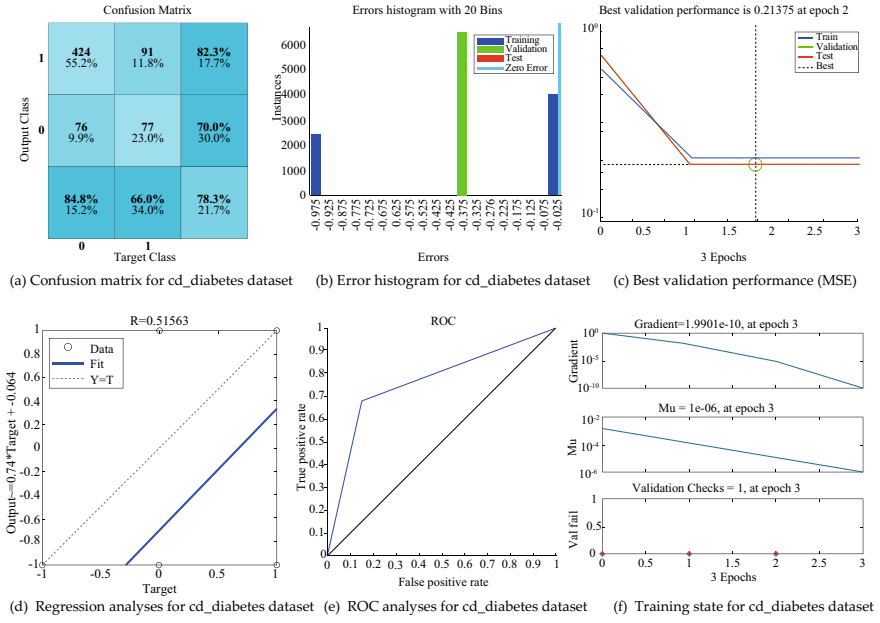
Table 4 provides the optimized results obtained from Caputo fractional-order derivatives with three parametric Mittag-Leffler function parameters' orders for the mfc\_diabetes dataset and cd\_diabetes dataset with the FFFBP algorithm application to the related dataset, besides the disease's diagnosis, course and prediction performances.

**Table 3** The network parameters of the FFBP algorithm

Network properties	Values
Training properties	Levenberg-Marquardt ('trainlm')
Adoption learning function	LearnGDM
Performance	Mean squared error (MSE)
Transfer function	Tansig
Epoch number	1000
Hidden layer number	1
Training dataset	(538 × 1)
Test	(115 × 1)
Validation dataset	(115 × 1)
Output	Diabetes



**Fig. 5** The FFBP algorithm application to the mfc\_diabetes dataset providing the orders' performance. **a** Confusion matrices. **b** Error Histograms with 20 Bins. **c** Best validation performance analyses. **d** Linear regression analyses. **e** ROC analyses and **f** Training state analyses



**Fig. 6** The FFBP algorithm application to the cd\_diabetes dataset providing the orders' performance. **a** Confusion matrices. **b** Error histograms with 20 Bins. **c** Best validation performance analyses. **d** Linear regression analyses. **e** ROC analyses and **f** Training state analyses

**Table 4** The optimized results obtained from Caputo fractional-order derivatives with three parametric Mittag-Leffler function and classical derivative concerning the mfc\_diabetes dataset and cd\_diabetes dataset with FFBP algorithm

Fractional differential type/order	Percentage of correct classification (accuracy)	Sensitivity	Precision	Specificity	F1-score	Multiclass classification (MCC)	Area under ROC curve the (AUC)
Caputo/0.2	77.0000	65.6805	66.0714	82.7795	65.8754	0.48531	0.94345
Caputo/0.5	79.6000	66.2722	71.3376	86.4048	68.7117	0.53689	0.95495
Caputo/0.8	83.8000	72.7811	77.8481	89.4260	75.2294	0.63293	0.96152
Caputo/1	78.2552	66.0448	69.9605	84.8000	67.9463	0.51563	0.94981
Diabetes dataset	81.1198	99.6269	64.9635	71.2000	78.6451	0.67685	0.85654

Caputo fractional-order derivatives show the requirement of higher conditions of regularity for differentiability, and its derivative must initially be computed for the fractional derivative of a function in the Caputo sense.

Table 4 provides the results that have been obtained by Caputo fractional-order derivatives; and the classical derivative application is compared with the results of classical derivative presenting that Caputo fractionalorder derivative (with order 0.8)



generates better results. Table 4 presents the outcome that FFBP Algorithm application diabetes dataset based on Caputo fractional-order derivative and classical calculus yields the following best accuracy results for the respective orders: for order 0.2 (77.0000%); for order 0.5 (79.6000%); for order 0.8 (83.8000%) and for order 1 (78.2552%). When the results obtained by Caputo fractional-order derivative application are observed, it apparently seems that Caputo fractional-order derivative with varying orders generates more accurate results. As a result, the Caputo fractional-order derivative for differentiable functions has yielded more robust accuracy rates. Therefore, the definition for Caputo fractional-order derivatives is performed for differentiable functions whereas functions that have no first-order derivative could have fractional derivatives with all orders which are less than one.

### 3.4 The Application of Algorithmic Complexity Based on Caputo Fractional-Order Derivative to the Diabetes Dataset

Algorithmic complexity, concerning the way the required resources are to be used for the solution of the problem, is employed for the classification of computational problems. Since some problems in computational biology are not feasible in the computational sense, the search for the optimal solution may be limiting in terms of practical aspects. As a result, it is sought that such problems are handled by approximations and heuristics to get over the computational necessities, which results in suboptimal solutions. However, when the underlying complexity of an algorithm is looked into, then efficiency of the algorithm can also be identified.

In view of that, while doing the complexity computations regarding Caputo fractional-order derivative with three parametric Mittag-Leffler function and classical derivative (cd), fast Fourier transform, gamma function, integration and mth derivative have been addressed in the form of Big O.

The application of the algorithmic complexity regarding three parametric Mittag-Leffler function is as per Eqs. 24 and 25.

$$E_{\alpha,\beta}^{\gamma}(x) = \sum_{n=0}^{\infty} \frac{\Gamma(\gamma+n)}{\Gamma(\gamma)\Gamma(n\alpha+\beta)} \cdot \frac{x^n}{n!} \quad \alpha, \beta, \delta > 0, x \in N \tag{24}$$

$$O(E_{\alpha,\beta}^{\gamma}(x)) = O\left(\frac{\Gamma(\gamma+n)}{\Gamma(\gamma)\Gamma(n\alpha+\beta)} \cdot \frac{x^n}{n!}\right) \tag{25}$$

The application of the algorithmic complexity regarding Caputo fractional-order derivative (FOD) is as per Eqs. 26, 27 and 28.

**Table 5** Results of the application of algorithmic complexity based on Caputo fractional-order with three parametric Mittag-Leffler function and classical derivatives to the diabetes dataset

	Order	Diabetes (N = 8)	
Caputo FOD with three parametric Mittag-Leffler function	0.2	$(N/\log(0.8))^2 * (\log(-18 * N - 4)/N)$	$= 8.0288e + 02 + 5.0474e + 02i$
	0.5	$(N/\log(0.5))^2 * (\log(-18 * N - 4)/N)$	$= 83.2083 + 52.3105i$
	0.8	$(N/\log(0.2))^2 * (\log(-18 * N - 4)/N)$	$= 15.4337 + 9.7027i$
cd	1	$(N/\log(0))^2 * (\log(-18 * N - 4)/N)$	$= 0$

$$O(D^\alpha f(t)) = O\left(\frac{1}{\Gamma(m - \alpha)} \int_0^t \frac{f^{(m)}(\tau)}{(t - \tau)^{\alpha + 1 - m}} d\tau\right) \tag{26}$$

$$O(D^\alpha f(t)) = O(\log(m - \alpha)^{-2} \cdot N^2 \cdot O_{ML}(N)^m) \tag{27}$$

$$O(D^\alpha f(t)) = O(\log(1 - \alpha)^{-2} \cdot N^2 \cdot O_{ML}(N)) \tag{28}$$

The results of the application of algorithmic complexity based on Caputo fractional-order and classical derivatives to the diabetes dataset are provided in Table 5.

When Caputo fractional-order derivative with three parametric Mittag-Leffler function is  $\alpha \leq 1$ ,  $\alpha$  the value decreases, while the complexity increases logarithmically. When there is this condition,  $\alpha = 1$ , then it is trivial.

Based on the complexity results obtained for Caputo fractional-order derivative with three parametric Mittag-Leffler function concerning the algorithmic complexity obtained, as provided in Table 5, it is seen that the highest complexity is the case for order 0.2. The lowest complexity order is for 0.8.

The lowest order, which is 0.8, with the least complexity of Caputo fractional-order derivative, yields the best result as 83.8000% in the diagnosis and classification of the disease by the ANN algorithm.

## 4 Conclusions, Outcomes and Future Directions

Fractional calculus approach provides novel models by introducing fractional-order calculus to optimization methods, which is employed with regard to machine learning algorithms. This scheme aims at achieving optimized solutions by maximiz-

ing the accuracy of the model and minimizing the functions like computational costs. Mathematical-informed frameworks, in this structure, are utilized to allow accurate, reliable and robust understanding of various complex biological processes which include spatial and temporal scales. This complexity requires a comprehensive handling of different biological processes, capable of capturing the significant attributes on the temporal and spatial scales. The investigation of algorithmic complexity enables directions towards the application of efficient programs to process, model and analyze biological data in the field of computational biology. Furthermore, algorithmic complexity, as a way of comparing the efficiency of an algorithm, enables the examination of an algorithm's properties to solve computational problems along with the relationship between the size of an instance of the generic problem and the time needed for computation. Algorithmic complexity, moreover, allows the classification of the computational problems based on their algorithmic complexity, as defined according to the way the resources are required for the solution of the problem. Our aim in this study has been the diagnostic and differentiability predictive purposes for the disease, (diabetes, as a metabolic disorder, in our case) which might display various transient biological properties. Thus, we have aimed to establishing a robust and accurate model based on the combination of fractional-order derivative and Artificial Neural Network (ANN) and foreground the importance of algorithmic complexity in order to obtain the fractional-order derivative with the least complexity so that it would be possible to attain optimized solution. This sort of integrative multi-stage scheme based on mathematical-informed framework as proposed in our study has not been addressed in this manner in previous works in the literature [32–44]. Accordingly, the following steps have been applied to serve our aims: (i) The Caputo fractional derivative was applied with three-parametric Mittag-Leffler function ( $\alpha, \beta, \gamma$ ) to the diabetes dataset. Hence, new fractional models with varying degrees were established by ensuring data fitting through the Fitting algorithm Mittag-Leffler function with three parameters ( $\alpha, \beta, \gamma$ ) based on Heavy-tailed distributions. After this application, the new dataset (mfc\_diabetes) was obtained. (ii) Classical derivative (calculus) was applied to the diabetes dataset, generating the cd\_diabetes dataset. (iii) The performance of the new dataset as obtained from step (i) and the dataset obtained from step (ii) as well as the diabetes dataset was compared via the FFBP algorithm. The fractional-order derivative model which would be the most optimal for the disease was generated through this step. (iv) Algorithmic complexity was used to attain the Caputo fractional-order derivative with the least complexity, namely to achieve the optimized solution.

Considering these aspects and way of thinking proposed in our study, the following future directions can be stated:

- Computational biologists and researchers can benefit from algorithmic complexity to attain optimized solutions by managing the intensive data tasks through maximizing accuracy and minimizing computational costs; thus, to attain the most optimized results by providing directions to process, model and analyze the related data.

- Through the identification of the applicable models in advance by algorithmic complexity, it will be possible to attain efficiency in terms of resources when time needed to execute the algorithm and memory are considered.
- The use of fractional-order derivative with the least complexity enables one to achieve the optimized scalable solutions to problems driven by complexity.
- The integration and application of multi-stage methods can assure a better understanding of complexity in computational biology algorithms and effective design of the concomitant high-performance computing.

The multi-stage integrative approach, including the application of fractional-order calculus to optimization methods, and the experimental results we obtained have revealed the benefit of maximizing the model's accuracy and minimizing the cost functions like the computational burden through algorithmic complexity, which elucidates the applicability of the method proposed in different domains characterized by complex, dynamic, variable and transient components.

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# Case Study of Non-singular Kernel Model for MHD Boundary Layer Flow of a Rate Type Fluid over an Oscillating Plate



Oluwasoji John Osalusi, Azhar Ali Zafar, Maryam Asgir, Dumitru Baleanu, and Muhammad Bilal Riaz

**Abstract** The magnetohydrodynamics boundary layer flow of rate type fluid over an oscillating inclined infinite plate along with Newtonian heating and slip at the boundary is investigated. The model is developed by using the Atangana-Baleanu time-fractional derivative operator. Temperature and velocity fields for the non-integer order derivative model are computed. From our general results, several results from the literature could be recovered, for example; the cases corresponding to the constant motion of the plate, as well as the analogous results for ordinary Maxwell fluid, the fractional viscous and ordinary viscous fluid could be recovered. Moreover, the physical significance of the parameters like relaxation time, fractional order parameter, Grashof number, and inclination of the plate is discussed and their control on the velocity of the fluid is analysed through graphical illustrations and useful conclusions are recorded.

**Keywords** MHD · Boundary layer · Newtonian heating · Fractional derivative · Slip effects

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O. J. Osalusi (✉) · A. A. Zafar · M. Asgir  
Department of Mathematics, Government College University Lahore, Lahore, Pakistan  
e-mail: [bilalsehole@gmail.com](mailto:bilalsehole@gmail.com)

D. Baleanu  
Department of Mathematic, Cankay University, Ankara, Turkey  
Institutes of Space Sciences, 077125 Magurele, Bucharest, Romania

M. B. Riaz  
Department of Automation, Biomechanics and Mechatronics, Lodz University of Technology,  
1/15 Stefanowskiego St., 90924 Lodz, Poland

Department of Mathematics, University of Management and Technology Lahore, Lahore, Pakistan  
Institute for Groundwater Studies, University of the Free State, Bloemfontein, South Africa



## 1 Introduction

Magnetohydrodynamics (MHD) boundary layer flow of fluids over an oscillating plate is the interest of many researchers because such models have lots of applications in the chemical and food industry [25]. MHD free and mixed convective flow, as well as the impacts of the flow of thermal energy and mass transference past an upright plate, have been extensively investigated in literary works [13, 18–20, 23, 27, 29]. Moreover, studies related to natural convective flow under the influence of magnetic parameters as well as with the flow of thermal energy and mass transmit over an inclined plate can be found in [1, 2, 8, 28].

In 2013, Mishra et al. [22] analysed the oscillatory suction and heat source influences on MHD fluid flow having the property of visco-elasticity passing across a permeable medium. The critical investigation of boundary layer flow over a permeable non-linearly elastic sheet with partial slip effect at the boundary was done by Mukhopadhyay [24]. Further investigations include MHD heat transference over a porous plate with slip effects [12], combined effects of partial slip and magnetic flux on diagonally prominent rheological fluid over an elastic surface [26], heat conductivity with emission consequences of slip flow of Casson fluid [30], mass transfer and radiation influences on MHD natural convective flow over an exponentially stimulated upright permeable plate [29], turbulent free convection in fluid over a vertical plate submerged in a permeable medium [35].

More recently, Gupta et al. examined the MHD flow of Williamson nanofluid confined by the stretching sheet with the variable thickness in [10]. Moreover, MHD three-dimensional boundary layer flow and heat transfer of water-driven nanoparticles are discussed in [11]. Furthermore, Imran et al. [14] investigated boundary layer flow of generalized MHD Maxwell fluid over an exponentially stimulated infinite perpendicular surface with Newtonian heating and slip effect at the bounds.

Due to fact that the complex behaviours of many materials can be explained with ease employing non-integer order derivative approach, that is the reason, the use of non-integer order derivatives in the modelling of dynamical systems is gaining much fame. Fractional order characterization inhered many physical phenomenon, hence, it is necessary to explain them. Non-integer order derivatives provide an excellent instrument for explaining the memory and hereditary characteristics of many materials and processes [6] that are clearly ignored while examining the model using classical integer- order derivative approaches. Clearly, classical integer-order models are inadequate to certify suitable correlation with experimental data. That is the very reason that, over the recent few years, researchers have renewed their investigations by using fractional order derivative to get accurate modelling and more insight of the dynamical systems, for example, we refer [6, 31, 32, 34] and the work cited in there. Nowadays, fractional calculus and its applications have caught the attention of many researchers. Many engineering, economics, and medical problems can easily be analysed and solved by models associated with fractional calculus. In the literature, there are several definitions of the fractional derivative operators, which have their own advantages and disadvantages [3–5, 7]. In 2016, Atangana and

Baleanu [3] established fractional-order derivative with non-local and non-singular kernels having its implementation in heat transference models [4]. For some notable most recent studies using this new definition of fractional order derivative operator, we refer [15, 17].

Motivated by these investigations, we aim to explore the MHD boundary layer flow of rate-type fluid over a inclined plate that is oscillating in its plane. Furthermore, the flow is influenced by the slip effects and the Newtonian heating of the plate. The governing equations of the model are developed in the setting of fractional calculus employing the Atangana-Baleanu time-fractional derivative operator. Further, the control of the flow parameters on the dynamics of the fluid will be graphically analysed.

## 2 Problem Description

We shall examine an incompressible Maxwell fluid with unsteady boundary layer flow over an inclined plate along with Newtonian heating and slip at the boundary. The inclined plate makes an angle  $\gamma$  with  $\psi$ -axis and  $0 < \gamma < \frac{\pi}{2}$ . At  $t$  is zero, the plate and the fluid are static with constant temperature  $T_\infty$ . Starting at  $t > 0$ , there will be a sinusoidal motion of the plate with a slip effect at the wall. The assumption as proposed by Makinde [21] was taken into account that the wall is subject to Newtonian heating. All the physical pertinent quantities are the function of  $\psi$  and  $t$  only as the plate is infinite. The influence of the magnetic flux is upright to the plate. The governing equations for Maxwell fluid flow problem associated with heat transfer and shear stress owing to a mix of heat emission and convection are elaborated in terms of partial differential equations (PDE) in a dimensionless form are [14, 21, 32].

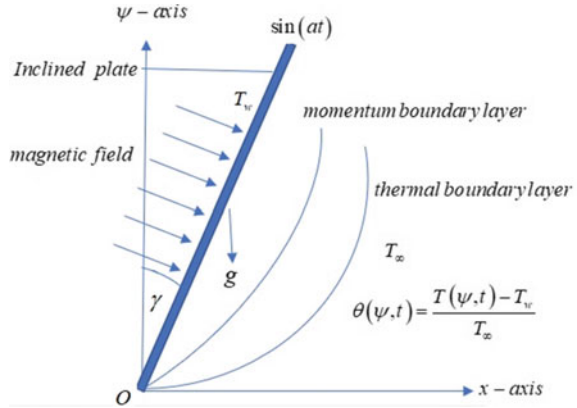
$$\left(1 + \lambda \frac{\partial}{\partial t}\right) \frac{\partial w(\psi, t)}{\partial t} = \frac{\partial^2 w(\psi, t)}{\partial \psi^2} + \left(1 + \lambda \frac{\partial}{\partial t}\right) Gr \theta(\psi, t) \cos \gamma - M \left(1 + \lambda \frac{\partial}{\partial t}\right) w(\psi, t) \quad (1)$$

$$\left(1 + \lambda \frac{\partial}{\partial t}\right) \tau(\psi, t) = \frac{\partial w(\psi, t)}{\partial \psi} \quad (2)$$

$$Pr_{eff} \frac{\partial \theta(\psi, t)}{\partial t} = \frac{\partial^2 \theta(\psi, t)}{\partial \psi^2} \quad (3)$$

In the above relations  $w(\psi, t)$  denotes the velocity of the fluid,  $\tau(\psi, t)$  is the shear stress,  $\theta(\psi, t) = \frac{T(\psi, t) - T_\infty}{T_w}$  temperature of the fluid. Moreover,  $\lambda$ ,  $Gr$ ,  $M$  and  $Pr_{eff}$  represent the relaxation time parameter, Grashof number, magnetic parameter and effective Prandtl number, respectively.

**Fig. 1** Geometry of the problem



The imposed initial and boundary conditions are as follow:

$$w(\psi, 0) = 0, \quad \left. \frac{\partial w(\psi, t)}{\partial t} \right|_{t=0} = 0, \quad \theta(\psi, 0) = 0, \quad (4)$$

$$w(0, t) - b \frac{\partial w(0, t)}{\partial y} = \sin(at), \quad \left. \frac{\partial \theta(\psi, t)}{\partial \psi} \right|_{\psi=0} = -[1 + \theta(0, t)], \quad (5)$$

$$w(\psi, t) \rightarrow 0, \quad \theta(\psi, t) \rightarrow 0, \text{ as } \psi \rightarrow \infty. \quad (6)$$

where  $a$  is a constant and  $b$  describe the parameter of slip (Fig. 1).

Using Atangana-Baleanu time-fractional derivative operator of order  $\alpha \in (0, 1)$ , Eqs. (1–3) become:

$$(1 + \lambda^{ABC} D_t^\alpha) \frac{\partial w(\psi, t)}{\partial t} = \frac{\partial^2 w(\psi, t)}{\partial \psi^2} + Gr(1 + \lambda^{ABC} D_t^\alpha) \theta(\psi, t) \cos \gamma - M(1 + \lambda^{ABC} D_t^\alpha) w(\psi, t), \quad (7)$$

$$(1 + \lambda^{ABC} D_t^\alpha) \tau(\psi, t) = \frac{\partial w(\psi, t)}{\partial \psi}, \quad Pr_{eff}^{ABC} D_t^\alpha \theta(\psi, t) = \frac{\partial^2 \theta(\psi, t)}{\partial \psi^2}, \quad (8)$$

where time-fractional Atangana-Baleanu derivative is stated as [3]:

$${}^{ABC} D_t^\alpha (h(t)) = \frac{1}{1 - \alpha} \int_b^t h'(x) E_\alpha \left[ -\alpha \frac{(t - x)^\alpha}{1 - \alpha} \right] dx. \quad (9)$$

The Laplace transform (LT) of the time-fractional Atangana-Baleanu fractional derivative is

$$\mathcal{L}\{{}^{ABC}D_t^\alpha(w(\psi, t))\}(s) = \frac{s^\alpha \mathcal{L}\{w(\psi, s)\} - s^{\alpha-1}w(\psi, 0)}{(1-\alpha)s^\alpha + \alpha}.$$

### 3 Investigation of the Solution of the Problem

#### 3.1 Investigation of the Solution of the Temperature

For the solution of the temperature profile, we will solve the equation associated with temperature,

$$Pr_{eff} \frac{\partial \theta(\psi, t)}{\partial t} = \frac{\partial^2 \theta(\psi, t)}{\partial y^2}, \quad (10)$$

subject to the conditions,

$$\theta(\psi, 0) = 0, \quad (11)$$

$$\frac{\partial \theta(\psi, t)}{\partial \psi} \Big|_{\psi=0} = -[1 + \theta(0, t)], \quad (12)$$

$$\theta(\psi, t) \rightarrow 0, \text{ as } \psi \rightarrow \infty. \quad (13)$$

Applying the Laplace transform,

$$L\{Pr_{eff} {}^{ABC}D_t^\alpha \theta(\psi, t)\} = L\left\{\frac{\partial^2 \theta(\psi, t)}{\partial \psi^2}\right\}, \quad (14)$$

we get

$$\frac{Pr_{eff} s^\alpha \bar{\theta}(\psi, s)}{(1-\alpha)s^\alpha + \alpha} = \frac{\partial^2 \bar{\theta}(\psi, s)}{\partial \psi^2} \quad (15)$$

satisfying

$$\frac{\partial \bar{\theta}(0, s)}{\partial \psi} = -\left[\frac{1}{s} + \bar{\theta}(0, s)\right] \text{ and } \bar{\theta}(\psi, s) \rightarrow 0, \text{ as } \psi \rightarrow \infty. \quad (16)$$

Finally, solving (15) using (16)<sub>2</sub>, we get

$$\bar{\theta}(\psi, s) = \frac{\sqrt{(1-\alpha)s^\alpha + \alpha}}{\sqrt{Pr_{eff} s^\alpha} - \sqrt{(1-\alpha)s^\alpha + \alpha}} \cdot \frac{e^{-\psi \sqrt{\frac{Pr_{eff} s^\alpha}{(1-\alpha)s^\alpha + \alpha}}}}{s^\alpha} \quad (17)$$

or

$$\bar{\theta}(\psi, s) = \bar{\theta}_1(s) \times \bar{\theta}_2(\psi, s) \quad (18)$$

where

$$\bar{\theta}_1(s) = \frac{\sqrt{(1-\alpha)s^\alpha + \alpha}}{\sqrt{\text{Pr}_{eff} s^\alpha - \sqrt{(1-\alpha)s^\alpha + \alpha}}}, \tag{19}$$

which can be written as

$$\begin{aligned} \bar{\theta}_1(s) = & \left\{ \frac{(1-\alpha)s}{\text{Pr}_{eff} + \alpha - 1} + \frac{\text{Pr}_{eff} \alpha}{(\text{Pr}_{eff} + \alpha - 1)^2} + \frac{\text{Pr}_{eff} \alpha^2}{(\text{Pr}_{eff} + \alpha - 1)^3} \times \frac{1}{s - \frac{\alpha}{\text{Pr}_{eff} + \alpha - 1}} \right\} \\ & \times \frac{\sqrt{\text{Pr}_{eff}}}{\sqrt{(1-\alpha)s^2 + \alpha}} \\ & + \left\{ \frac{(1-\alpha)}{\text{Pr}_{eff} + \alpha - 1} + \frac{\text{Pr}_{eff} \alpha}{(\text{Pr}_{eff} + \alpha - 1)} + \frac{\text{Pr}_{eff} \alpha}{(\text{Pr}_{eff} + \alpha - 1)^2} \times \frac{1}{s - \frac{\alpha}{\text{Pr}_{eff} + \alpha - 1}} \right\}, \end{aligned} \tag{20}$$

and

$$\bar{\theta}_2(\psi, s) = \frac{e^{-\psi} \sqrt{\frac{\text{Pr}_{eff} s^\alpha}{(1-\alpha)s^\alpha + \alpha}}}{s^\alpha}. \tag{21}$$

Using the formulae

$$L^{-1} \left\{ \frac{e^{-\psi} \sqrt{\frac{\text{Pr}_{eff} s^\alpha}{(1-\alpha)s^\alpha + \alpha}}}{s^\alpha} \right\} = 1 - \frac{2 \text{Pr}_{eff}}{\pi} \int_0^\infty \frac{\sin(\frac{\psi}{\sqrt{1-\alpha}} x)}{x(\text{Pr}_{eff} + x^2)} e^{(\frac{\psi}{1-x})\alpha^2} dx, \tag{22}$$

$$L^{-1} \left\{ \frac{a}{\sqrt{s^2 + a^2}} \right\} = I_0(at) \text{ and } L^{-1} \left\{ \frac{s}{\sqrt{s^2 + a^2}} \right\} = \delta(t) + aI_1(at) \tag{23}$$

we get

$$\theta(\psi, t) = \int_0^t \theta_1(t - \tau)\theta_2(\psi, \tau)d\tau \tag{24}$$

where

$$\begin{aligned} \theta_1(t) = & a_1 \sqrt{\frac{\text{Pr}_{eff}}{1-\alpha}} e^{-bt} [\delta(t) + bI_1(bt)] + \sqrt{\frac{\text{Pr}_{eff}}{1-\alpha}} (a_2 - ba_1) e^{-bt} I_0(bt) \\ & + a_3 \sqrt{\frac{\text{Pr}_{eff}}{1-\alpha}} [e^{a_4 t} + e^{-bt} I_0(bt)] + a_1 \delta(t) + a_2 e^{a_4 t} \end{aligned} \tag{25}$$

and

$$\theta_2(\psi, t) = 1 - \frac{2 \text{Pr}_{eff}}{\pi} \int_0^\infty \frac{\sin(\frac{\psi}{\sqrt{1-\alpha}}x)}{x(\text{Pr}_{eff} + x^2)} e^{(\frac{\psi}{\sqrt{1-\alpha}}\alpha^2)} dx \quad (26)$$

with  $a_1 = \frac{1-\alpha}{\text{Pr}_{eff} + \alpha - 1}$ ,  $a_2 = \frac{\text{Pr}_{eff} \alpha}{(\text{Pr}_{eff} + \alpha - 1)^2}$ ,  $a_3 = \frac{\text{Pr}_{eff} \alpha^2}{(\text{Pr}_{eff} + \alpha - 1)^3}$ ,  $a_4 = \frac{\alpha}{\text{Pr}_{eff} + \alpha - 1}$  and  $b = \frac{\alpha}{2(1-\alpha)}$ .

Moreover, the heat flow rate from plate to fluid is measured by Nusselt number:

$$Nu = \left. \frac{\partial \theta(\psi, t)}{\partial \psi} \right|_{\psi=0}, \text{ so}$$

$$Nu = \text{Pr}_{eff} e^{a_4 t} - a_1 \sqrt{\frac{\text{Pr}_{eff}}{1-\alpha}} e^{-bt} I_0(bt) + a_2 \sqrt{\frac{\text{Pr}_{eff}}{1-\alpha}} [e^{a_4 t} + e^{-bt} I_0(bt)]. \quad (27)$$

By taking limit  $\alpha \rightarrow 1$ , we obtain the related results for the ordinary temperature field:

$$\theta(\psi, t) = e^{(-\psi + \frac{t}{\text{Pr}_{eff}})} \text{erfc} \left( \frac{\psi \sqrt{\text{Pr}_{eff}}}{2\sqrt{t}} - \sqrt{\frac{t}{\text{Pr}_{eff}}} \right) - \text{erfc} \left( \frac{\psi \sqrt{\text{Pr}_{eff}}}{2\sqrt{t}} \right), \quad (28)$$

and the corresponding Nusselt number:

$$Nu = e^{\left(\frac{t}{\text{Pr}_{eff}}\right)} \left( 2 - \text{erfc} \left( \sqrt{\frac{t}{\text{Pr}_{eff}}} \right) \right). \quad (29)$$

### 3.2 Investigation of the Solution of the Velocity

Employing the LT on Eq. (7) considering the concerned initial condition, the velocity in transformed domain is obtained as follows:

$$\begin{aligned} & \frac{[[s(1-\alpha) + \lambda]s^\alpha + s\alpha] \bar{w}(\psi, s)}{(1-\alpha)s^\alpha + \alpha} \\ &= \frac{\partial^2 \bar{w}(\psi, s)}{\partial \psi^2} + \left[ \frac{[[s(1-\alpha) + \lambda]s^\alpha + s\alpha]}{(1-\alpha)s^\alpha + \alpha} Gr \frac{\sqrt{(1-\alpha)s + \alpha}}{\sqrt{\text{Pr}_{eff}s^\alpha - \sqrt{(1-\alpha)s^\alpha + \alpha}}} \right] \\ & \frac{e^{-\gamma \sqrt{\text{Pr}_{eff}s^\alpha / (1-\alpha)s^\alpha + \alpha}}}{s^\alpha} \cos \gamma - M \left[ \frac{[s(1-\alpha) + \lambda]s^\alpha + s\alpha]}{(1-\alpha)s^\alpha + \alpha} \right] \bar{w}(\psi, s). \end{aligned} \quad (30)$$

On simplification, we have:

$$\begin{aligned} & \frac{\partial^2 \bar{u}(\psi, s)}{\partial \psi^2} - \left[ \frac{[s(1 - \alpha) + \lambda]s^\alpha + s\alpha}{(1 - \alpha)s^\alpha + \alpha} (1 + M) \right] \bar{w}(\psi, s) \\ &= \left[ \frac{[s(1 - \alpha) + \lambda]s^\alpha + s\alpha}{(1 - \alpha)s^\alpha + \alpha} Gr \frac{\sqrt{(1 - \alpha)s + \alpha}}{\sqrt{\text{Pr}_{eff}s^\alpha - \sqrt{(1 - \alpha)s^\alpha + \alpha}}} \right] \\ & \frac{e^{-\psi \sqrt{\text{Pr}_{eff}s^\alpha / (1 - \alpha)s^\alpha + \alpha}}}{s^\alpha} \cos \gamma. \end{aligned}$$

Take  $1 - \alpha = \sigma$ ,  $w = \frac{[s\sigma + \lambda]s^\alpha + s\alpha}{\sigma s^\alpha + \alpha} (1 + M)$  and  $A = \frac{[s\sigma + \lambda]s^\alpha + s\alpha}{s^\alpha (\sigma s^\alpha + \alpha)} \frac{\sqrt{\sigma s + \alpha} Gr \cos \gamma}{\sqrt{\text{Pr}_{eff}s^\alpha - \sigma s^\alpha + \alpha}}$ , the last expression becomes

$$\frac{\partial^2 \bar{u}}{\partial \psi^2} - \omega \bar{w} = A e^{-\psi \sqrt{\frac{\text{Pr}_{eff}s^\alpha}{\sigma s^\alpha + \alpha}}} \tag{31}$$

with boundary conditions  $\bar{w}(0, s) - b \frac{\partial \bar{w}(0, s)}{\partial \psi} = \frac{a}{s^2 + a^2}$  and  $\bar{w}(\psi, s) \rightarrow 0$ , as  $\psi \rightarrow \infty$ .

Equation (31) is a second-order non-homogenous differential equation. Its complementary and particular solutions are of the form:

$$\begin{aligned} \bar{w}_c(\psi, s) &= C_1 e^{\psi \sqrt{\omega}} + C_2 e^{-\psi \sqrt{\omega}} \\ \bar{w}_p(\psi, s) &= \frac{A(\sigma s^\alpha + \alpha) e^{-\psi \sqrt{\frac{\text{Pr}_{eff}s^\alpha}{\sigma s^\alpha + \alpha}}}}{\text{Pr}_{eff}s^\alpha - \omega^2(\sigma s^\alpha + \alpha)} \end{aligned}$$

thus the general solution  $\bar{w}(\psi, s) = \bar{w}_c(\psi, s) + \bar{w}_p(\psi, s)$  is

$$\bar{w}(\psi, s) = C_1 e^{\psi \sqrt{\omega}} + C_2 e^{-\psi \sqrt{\omega}} + \frac{A(\sigma s^\alpha + \alpha) e^{-\psi \sqrt{\frac{\text{Pr}_{eff}s^\alpha}{\sigma s^\alpha + \alpha}}}}{\text{Pr}_{eff}s^\alpha - \omega^2(\sigma s^\alpha + \alpha)}. \tag{32}$$

In order to find  $C_1$  and  $C_2$ , we use the conditions  $\bar{w}(\psi, s) \rightarrow 0$ , as  $\psi \rightarrow \infty$  and  $\bar{w}(0, s) - b \frac{\partial \bar{w}(0, s)}{\partial \psi} = \frac{a}{s^2 + a^2}$ , we have

$$C_1 = 0 \text{ and } C_2 = \frac{a - AK(s^2 + a^2)(1 + bD)}{(s^2 + a^2)(1 + b\sqrt{\omega})}, \text{ where } K = \frac{\sigma s^\alpha + \alpha}{\text{Pr}_{eff}s^\alpha - \omega^2(\sigma s^\alpha + \alpha)}, \text{ and } D = \sqrt{\frac{\text{Pr}_{eff}s^\alpha}{(\sigma s^\alpha + \alpha)}}.$$

Finally,

$$\begin{aligned}
 \bar{w}(\psi, s) = & \left[ \frac{a}{(s^2 + a^2)} + \left[ \frac{((s\sigma + \lambda)s^\alpha + s\alpha)}{s^\alpha(\sigma s^\alpha + \alpha)} \frac{\sqrt{\sigma s + \alpha} Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s^\alpha - \sqrt{\sigma s^\alpha + \alpha}}} \right] \right. \\
 \times & \left[ \frac{\sigma s^\alpha + \alpha}{\text{Pr}_{eff} s^\alpha - \left[ \frac{[(s\sigma + \lambda)s^\alpha + s\alpha]}{\sigma s^\alpha + \alpha} (1 + M) \right]^2 (\sigma s^\alpha + \alpha)} \left( 1 + b \sqrt{\frac{\text{Pr}_{eff} s^\alpha}{\sigma s^\alpha + \alpha}} \right) \right] \\
 \times & \left[ \left( \frac{1}{\left( 1 + b \sqrt{\frac{(1+M)(\sigma s^\alpha + \lambda)s^\alpha}{\sigma s^\alpha + \alpha}} \right)} \right) \right] e^{-\psi \sqrt{\frac{(s\sigma + \lambda)s^\alpha + s\alpha(1+M)}{\sigma s^\alpha + \alpha}}} \\
 + & \left[ \left( \frac{(s\sigma + \lambda)s^\alpha + s\alpha}{s^\alpha(\sigma s^\alpha + \alpha)} \frac{\sqrt{\sigma s + \alpha} Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s^\alpha - \sqrt{\sigma s^\alpha + \alpha}}} \right) \right. \\
 & \left. \left( \frac{\sigma s^\alpha + \alpha}{\text{Pr}_{eff} s^\alpha - \left[ \frac{(s\sigma + \lambda)s^\alpha + s\alpha}{\sigma s^\alpha + \alpha} (1 + M) \right]^2 (\sigma s^\alpha + \alpha)} \right) \right] \times \left[ e^{-\psi \sqrt{\frac{\text{Pr}_{eff} s^\alpha}{\sigma s^\alpha + \alpha}}} \right]. \tag{33}
 \end{aligned}$$

It is worth mentioning that inverse Laplace transform of Eq. (33) is somewhat tedious to obtain. In [29], Stehfest proposed a numerical algorithm for Laplace inversion. So, we will apply Stehfest’s algorithm to obtain the solution for velocity in the original domain.

The Stehfest’s algorithm is as follows [29];

$$\varphi(\Psi, t) = \frac{\ln(2)}{t} \sum_{j=1}^{2q} d_j \bar{\varphi}(\Psi, j) \frac{\ln(2)}{t},$$

where  $q$  is the positive integer,

$$d_j = (-1)^{j+q} \sum_{i=\lfloor \frac{j+1}{2} \rfloor}^{\min(j,q)} \frac{i^q (2i)!}{(q-i)! i! (i-1)! (j-i)! (2i-j)!},$$

and  $\lfloor \frac{j+1}{2} \rfloor$  denote the integer part of the number  $\frac{j+1}{2}$ .

### 4 Limiting Solutions

In this part, using our general results, we will recover the results corresponding to the ordinary Maxwell and fractional/viscous fluids.



### 4.1 Ordinary Maxwell Model ( $\alpha \rightarrow 1$ )

Corresponding results for the case of ordinary Maxwell fluid can be recovered from our results by taking limit  $\alpha \rightarrow 1$  in (33) and then applying Stehfest's algorithm to obtain the corresponding solution for velocity in the original domain Remember  $1 - \alpha = \sigma$ , as  $\alpha \rightarrow 1, \sigma = 0$ , so Eq. (33) becomes

$$\begin{aligned} \bar{w}(\psi, s) = & \left[ \frac{a}{(s^2 + a^2)} + \frac{(\lambda + 1)Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s} - 1} \left( \frac{1}{\text{Pr}_{eff} s - \lambda^2 s^2} \right) \left( 1 + b\sqrt{\text{Pr}_{eff} s} \right) \right] \\ & \times \left( \frac{1}{(1 + b\sqrt{\lambda s(1 + M)})} \right) e^{-\psi \sqrt{(\lambda + 1)s(1 + M)}} \\ & + \left[ \left( \frac{(\lambda + 1)Gr}{\sqrt{\text{Pr}_{eff} s} - 1} \right) \left( \frac{1}{\text{Pr}_{eff} s - (\lambda + 1)s(1 + M)} \right) \right] e^{-\psi \sqrt{\text{Pr}_{eff} s}}. \end{aligned} \quad (34)$$

### 4.2 Fractional Viscous Fluid ( $\lambda = 0$ )

Likewise, setting  $\lambda = 0$  into Eq. (33), we get the corresponding results for fractional viscous fluid

$$\begin{aligned} \bar{w}(\psi, s) = & \left[ \frac{a}{(s^2 + a^2)} + \left[ \frac{(\sigma s^{\alpha+1} + s\alpha)}{s^\alpha(\sigma s^\alpha + \alpha)} \frac{\sqrt{\sigma s + \alpha} Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s^\alpha - \sqrt{\sigma s^\alpha + \alpha}}} \right] \right] \\ & \times \left[ \frac{\sigma s^\alpha + \alpha}{\text{Pr}_{eff} s^\alpha - \left[ \frac{[s\sigma s^{\alpha+1} + s\alpha]}{\sigma s^\alpha + \alpha} (1 + M) \right]^2 (\sigma s^\alpha + \alpha)} \left( 1 + b\sqrt{\frac{\text{Pr}_{eff} s^\alpha}{\sigma s^\alpha + \alpha}} \right) \right] \\ & \times \left[ \left( \frac{1}{\left( 1 + b\sqrt{\frac{(1 + M)\sigma s^{2\alpha}}{\sigma s^\alpha + \alpha}} \right)} \right) \right] e^{-\psi \sqrt{\frac{\sigma s^{\alpha+1} + s\alpha(1 + M)}{\sigma s^\alpha + \alpha}}} \\ & + \left[ \left( \frac{\sigma s^{\alpha+1} + s\alpha}{s^\alpha(\sigma s^\alpha + \alpha)} \frac{\sqrt{\sigma s + \alpha} Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s^\alpha - \sqrt{\sigma s^\alpha + \alpha}}} \right) \right] \\ & \left( \frac{\sigma s^\alpha + \alpha}{\text{Pr}_{eff} s^\alpha - \left[ \frac{\sigma s^{\alpha+1} + s\alpha}{\sigma s^\alpha + \alpha} (1 + M) \right]^2 (\sigma s^\alpha + \alpha)} \right) \times \left[ e^{-\psi \sqrt{\frac{\text{Pr}_{eff} s^\alpha}{\sigma s^\alpha + \alpha}}} \right]. \end{aligned} \quad (35)$$

### 4.3 Viscous Fluid ( $\alpha \rightarrow 1$ )

In order to recover the results for the case of viscous fluid apply limit  $\alpha \rightarrow 1$  to Eq. (35), we get

$$\bar{w}(\psi, s) = \left[ \frac{a}{(s^2 + a^2)} + \frac{Gr \cos \gamma}{\sqrt{\text{Pr}_{eff} s} - 1} \frac{1 + b\sqrt{\text{Pr}_{eff} s}}{\text{Pr}_{eff} s - s^2(1 + M)^2} \right] e^{-\psi\sqrt{s(1+M)}} + \frac{Gr \cos \gamma}{(\sqrt{\text{Pr}_{eff} s} - 1)(\text{Pr}_{eff} s - (s(1 + M))^2)} e^{-\psi\sqrt{\text{Pr}_{eff} s}} \quad (36)$$

## 5 Graphical Description and Discussion of the Results

In this section, we will discuss the influence of system parameters on fluid flow. Moreover, in pursuance of analysing the control of the physical pertinent parameters in particular Grashof number, relaxation time, magnetic field strength, fractional order parameter, and effect of the slip and non-slip boundary condition and inclination of the plane on the fluid flow behaviour. We have prepared the graphs of velocity versus  $\psi$ , corresponding to different values of parameters by using the computer software MATHCAD 15.

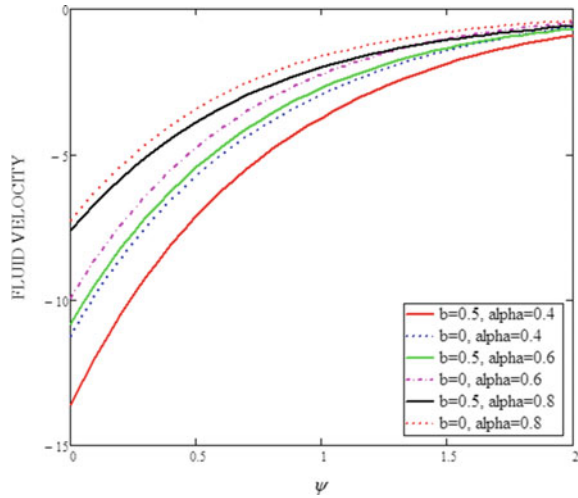
Figure 2 portrays the behaviour of the velocity curves for varying values of the fractional parameter with and without slip conditions. We have noticed that the fluid's velocity decreases as we increase the fractional parameter because the rise in the value of parameter  $\alpha$  increases the viscosity of the fluid which results in a decline in the fluid's velocity. Also, it is observed that the velocity of the fluid without slip effect condition on the plate is larger than the velocity of the fluid with slip. The reason is that, for the case of no slip, the fluid elements are moving not only due to the temperature variations but also the shear stress provided by the motion of the plate.

The consequence of different values of Grashof number on the velocity curves with and without slip conditions is depicted in Fig. 3. It is reported that an add-up in the value of Grashof number, enhances the profiles of the velocity of the fluid. It is observed that the fluid with no-slip condition moves faster at different values of the Grashof number parameter as compared to the case when fluid slips on the wall.

Figure 4 illustrates velocity profiles versus  $\psi$  for varying values of relaxation time with and without slip. We have reported that any increase in relaxation time parameter results in depreciation in the velocity of the fluid for both cases, i.e. with and without slip conditions. Because, increase in relaxation time causes the thinning in shear stress, which results in fall in velocity.

The velocity curves against  $\psi$  for varying values of the magnetic parameter are plotted in Fig. 5. We have noticed that when magnetic strength grows there is depreciation in the fluid velocity curves. Because the Lorentz force that is induced by transverse magnetic field causes a drag force that resists the motion of the fluid.

**Fig. 2** Velocity description versus  $\psi$  for varying of fractional parameter with and without slip condition at  $t = 0.2$



**Fig. 3** Velocity description versus  $\psi$  for varying of Grashof number with and without slip condition at  $t = 0.2$

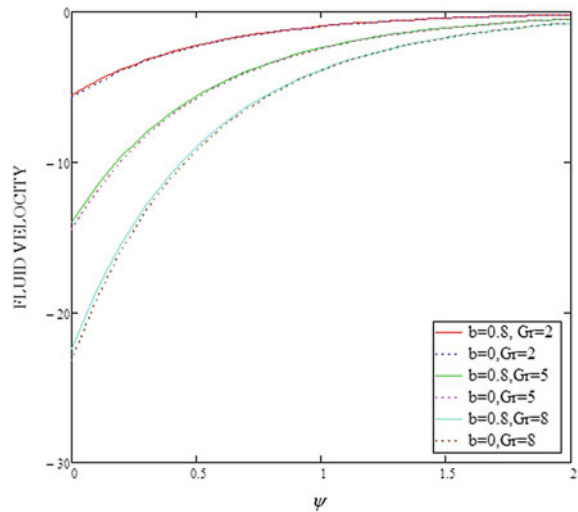
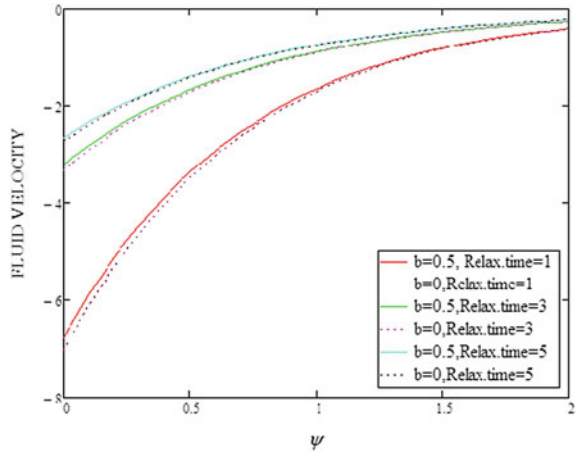


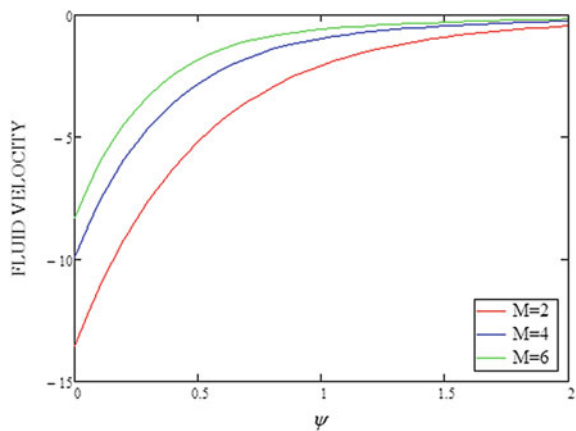
Figure 6 shows the velocity curves against  $\psi$  for varying values of angle of inclination of the plate. It is noticed that elevation in inclination declines the velocity curves of the fluid.

In Figs. 7 and 8, we have compared the velocities of viscous (for  $\lambda = 0$  in Eqs. (33) and (34)) and Maxwell (ordinary and fractional) fluid with and without slip condition. We have noticed that fractional and ordinary viscous fluid move faster than fractional and ordinary Maxwell fluids when there is a slip and no slip effect at the boundary. This is because Maxwell fluid contains both viscous and relaxation parameters, which reduce the velocity while viscous fluid contains only viscosity parameter.

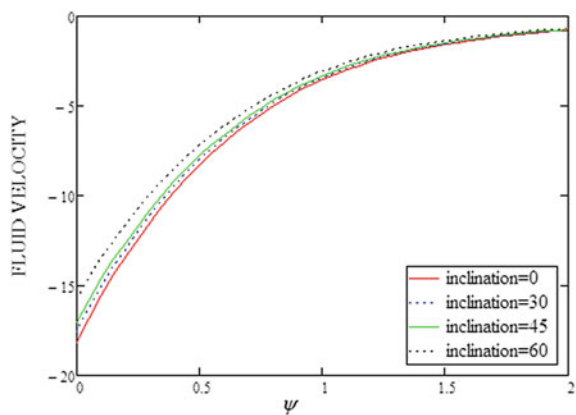
**Fig. 4** Velocity description versus  $\psi$  for varying of relaxation time parameter with and without slip condition at  $t = 0.2$



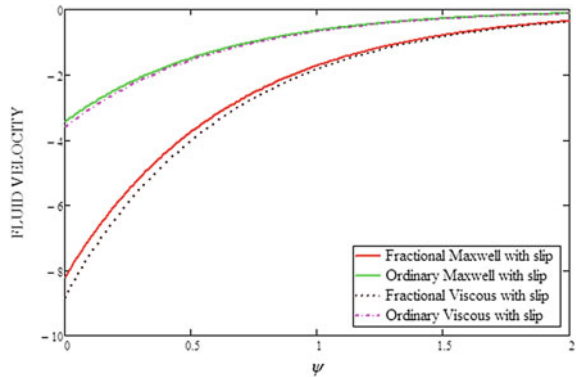
**Fig. 5** Velocity description versus  $\psi$  for varying of Magnetic strength parameter at  $t = 0.2$



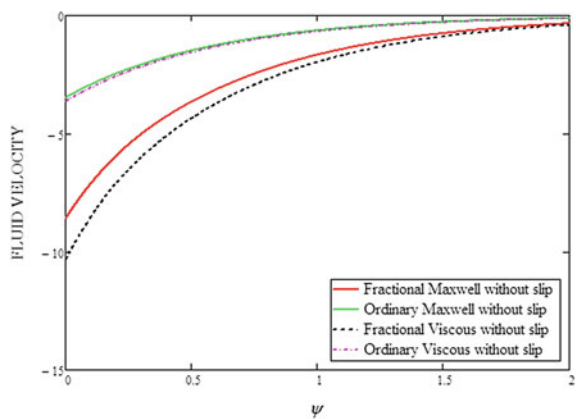
**Fig. 6** Velocity description versus  $\psi$  for varying of angle of inclination at  $t = 0.2$



**Fig. 7** Comparison betwixt Maxwell’s fluid velocity (fractional and ordinary), and viscous fluid velocity (fractional and ordinary)



**Fig. 8** Comparison betwixt Maxwell’s fluid velocity (fractional and ordinary) and viscous fluid velocity (fractional and ordinary)



## 6 Final Remarks

Heat transfer magnetohydrodynamics boundary layer flow model of a rate type fluid over an inclined oscillating plate employing the recent definition of non-integer order derivative, i.e. Atangana-Baleanu time-fractional derivative operator in the sense of Caputo is developed. The fluid is flowing over an oscillating inclined plate with slip/no slip and Newtonian heating at the boundary. The governing equations for the description of the fluid flow phenomenon are used in dimensionless form and the Laplace transform method is employed to solve the problem. From our general results, several results from the literature could be recovered, for example; the cases corresponding to the constant motion of the plate (by setting frequency of oscillation of the plate equals to zero), as well as the analogous results for ordinary Maxwell fluid (by taking  $\alpha = 1$ ), the fractional viscous (for  $\lambda = 0$ ) and ordinary viscous fluid (for  $\lambda = 0, \alpha = 0$ ) could be recovered. The important findings of the investigation are as under:

1. Increase in the value of fractional order parameter  $\alpha$ , results depreciation in the velocity of the fluid, so  $\alpha$  behaves as shear thickening parameter.
2. Velocity of fluid without slip effect is larger in comparison to the velocity of a fluid with slip.
3. Rise in the value of magnetic strength parameter  $M$ , decreases the fluid flow velocity.
4. Fluid velocity boosts up with the increase in Grashof number  $Gr$ .
5. The fluid flow depreciates with the increment in the value of relaxation time  $\lambda$ .
6. Influence of an increase in the angle of inclination of the plate results in decreases in the velocity of the fluid.
7. Viscous fluid flows faster than fractional/ ordinary Maxwell fluids for both the cases, i.e. with and without slip effect at the wall.

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# Multilayer Perceptron Artificial Neural Network Approach to Solve Sixth-Order Two-Point Boundary Value Problems



Akanksha Verma and Manoj Kumar

**Abstract** In this article, we have presented a multilayer perceptron artificial neural network (MLP ANN) method to solve the sixth-order boundary value problems that arise in several branches of engineering and physics such as fluid dynamics, hydrodynamics, beam theory, astrophysics and so on. The obtained solutions of these boundary value problems by our method are optimal as compared to other existing approximation methods. Furthermore, we have tested few models in order to decide the performance of the proposed technique. The numerical outcomes show that the proposed strategy is very effective for higher order boundary value problems and required low memory space and less computational time.

**Keywords** Sixth-order boundary value problem · Multilayer perceptron neural network · Quasi-Newton BFGS algorithm

**AMS Subject Classification** 65N20 · 65L10 · 68T07 · 68W50

## 1 Introduction

Boundary value problems (BVPs) play a vital role in the field of science and engineering and have attracted much attention. For instance, in the field of astronomy when a vast level layer of fluid is warmed under the action of rotation then instability occurs. If this instability is fixed as ordinary convection, then it is represented by sixth-order BVPs [1]. Other than this, the slender convecting layers enclosed by stable layers that are assumed to encircle A-type stars can be modeled by sixth-order BVPs [2, 3]. Glatzmaier [4] clarified that dynamo activity in certain stars can be demonstrated by

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A. Verma (✉) · M. Kumar  
Department of Mathematics, Motilal Nehru National Institute of Technology Allahabad,  
Prayagraj 211004, U.P., India  
e-mail: [rma1702@mnnit.ac.in](mailto:rma1702@mnnit.ac.in)

M. Kumar  
e-mail: [manoj@mnnit.ac.in](mailto:manoj@mnnit.ac.in)

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sixth-order differential equations. Also, many authors have discussed the numerical behavior of sixth-order BVPs [5–7].

The literature of numerical study on the solution of the sixth-order BVPs is not too vast. In 1986, Agrawal [8] presented the existence and uniqueness of the solution of such BVPs, however, he did not discuss any numerical strategies.

In this manuscript, we have discussed the approximate solution of the following sixth-order BVPs:

$$w^{(6)}(r) = f(w, r), \quad 0 \leq r \leq 1, \quad (1)$$

with respect to the boundary conditions:

$$w^{(i)}(0) = a \quad \text{and} \quad w^{(i)}(1) = b, \quad i = 0, 1, 2, \quad (2)$$

where  $f(w, r)$  is a given linear/non-linear function and  $a$  and  $b$  are given constants.

In 2001, Wazwaz [9] demonstrated the modified a domain decomposition method (MADM) for solving sixth-order BVPs with boundary conditions at two points and achieved convergent series solutions. Further, Gamel et al. [10] presented the Sinc-Galerkin technique to figure out the sixth-order BVPs and obtained better results as compared to the MADM technique. In 2006, Akram and Siddiqi [11] used the non-polynomial Spline strategy to obtain the approximate solution of sixth-order linear BVPs and proved that the method is second-order convergent. Afterward, Islam et al. [12] used the non-polynomial spline function to expand a class of numerical techniques for solving sixth-order linear and nonlinear BVPs and obtained second, fourth, and sixth-order convergence results. In 2007, Noor and Mohyud-din [13] solved higher order BVPs by using a variation iteration method (VIM). In order to solve these BVPs, they first convert the higher order BVPs into the system of integral equations and then they used VIM method to get the required solution. In 2010, Liang and Jeffrey [14] presented the homotopy analysis method (HAM) for solving parameterized sixth-order BVPs. However, for enormous parameter values, these equations cannot be clarified by other expository strategies therefore they obtain the approximate series solutions and proposed that the HAM is an analytical tool to understand the linear and nonlinear BVPs. In 2013, Akram and Rehman [15] presented the reproducing kernel method to clarify the linear and nonlinear sixth-order BVPs and provides the results in terms of convergent series.

Whereas, Agrawal et al. [5] used the monotone iterative technique and an operator spectral theorem for the presence and assortment of positive solution of sixth-order BVPs with four variable parameters. Recently, Sohaib et al. [16] proposed the Legendre-wavelet collocation method (LWCM), which is based on Legendre polynomial to get the arrangement of sixth-order BVPs. In this strategy, they used the collocation points to change the differential equation into the system of algebraic equations and then get the solution by LWCM technique. Recently, Khalid et al. [17] presented the Cubic B-Spline method to get the solution of eighth-order linear and nonlinear BVPs. In 2020, Mustafa et al. [18] introduced a technique for solving second-order singularly perturbed boundary value problems, which is based on the

basis function of a six-point interpolatory subdivision.

In 2013, Kumar and Yadav [19] gave the mathematical model and MLP ANN technique for computing the buckling load of beam column with several end conditions. They solved fourth-order BVPs by using the MLP ANN technique. Thereafter, Mall and Chakraverty [20] presented Chebyshev neural network technique to get the solution of second-order singular initial value problems. Recently, Verma and Kumar [21] presented the MLP ANN technique for solving the same problem [20] and get the better results as compared to Chebyshev neural network technique. Towards this, many researchers used the ANN technique to solve the different types of differential equations (see. [22–26]) but the solution of sixth-order two-point BVPs by using MLP ANN with the Quasi-Newton BFGS optimization technique has not been discussed by anyone.

Motivated by above, in this manuscript, we have discussed the multilayer perceptron ANN strategy to find out the solution of sixth-order two-point BVPs. This technique offers us following attractive features:

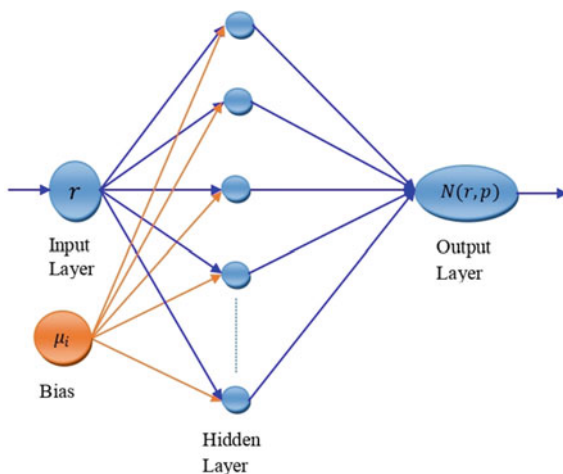
- The obtained solutions by the proposed technique are in closed analytic form whereas the other techniques such as the Euler method, Galerkin methods and finite difference method give the solutions in discrete forms.
- This method does not need any linearization procedure to solve a nonlinear problem.
- Proposed technique does not need any type of adjustment for different boundary conditions. A comparative system has followed for illuminating differential equation with a different type of boundary conditions.
- The implementation of this technique is very easy for solving the higher-order ODEs, PDEs, and system of DEs.

The arrangement of the paper is as per the following: Sect. 2 represents the architecture of multilayer perceptron neural network. In Sect. 3, we have discussed the MLP ANN technique and used it to solve the sixth-order BVPs. In Sect. 4, we have solved some numerical test problems and compared the obtained solution with exact results and other numerical results available in the literature, while Sect. 5, concluded the article.

## 2 Structure of MLP ANN

In this segment, we have presented the architecture and working process of multilayer perceptron neural network. The multilayer perceptron is basically a feed-forward neural network that contains one input layer  $r$  along with bias  $\mu_j$ , one or more than one hidden layers and single output layer. Figure 1 depicted the framework of MLP ANN, here  $\xi_{ij}$  are the connection weights between the input layer to the hidden layer and  $v_i$  are the connection weights between the hidden layer to the output layer. This kind of network is broadly utilized in the approximation of arbitrary functions as it gives good generalization and high precision. In most cases, the multilayer

**Fig. 1** Architecture of multilayer perceptron artificial neural network



perceptron with one hidden layer is enough to approximate any arbitrary function with the desired accuracy. The approximation capacity of MLP ANN is more reliant upon the number of neurons in the hidden layer rather than a number of hidden layers.

### 3 Description of MLP ANN Technique

To solve the second-order ODEs and PDEs, Lagaris et al. [23] presented a method based on MLP neural system. For solving the sixth-order BVPs, we have used a feed-forward neural system as a fundamental estimation component whose parameters, biases, and weights are modified to minimize the error function. For the training of the network, we have used optimization algorithms that required the computation of the gradient of the error concerning network input, weight and biases.

The proposed approach has demonstrated in terms of general sixth-order BVP:

$$G(\vec{r}, w(\vec{r}), \nabla w(\vec{r}), \nabla^2 w(\vec{r}), \nabla^3 w(\vec{r}), \nabla^4 w(\vec{r}), \nabla^5 w(\vec{r}), \nabla^6 w(\vec{r})) \quad \vec{r} \in D, \quad (3)$$

with respect to boundary points  $w^{(i)}(0) = \alpha$  and  $w^{(i)}(1) = \beta$  for  $i = 0, 1, 2$ . Here,  $\vec{r} = (r_1, r_2, \dots, r_n) \in R^n$ ,  $D \subset R^n$  signifies the domain of definition and  $w(\vec{r})$  is the answer to be calculated.

**Conversion:** Initially, we break the domain of definition  $D$  and its boundary  $S$  into a lot of discrete points  $\hat{D}$  and  $\hat{S}$ , separately. Then we convert it into following

$$G(\vec{r}_i, w(\vec{r}_i), \nabla w(\vec{r}_i), \nabla^2 w(\vec{r}_i), \nabla^3 w(\vec{r}_i), \nabla^4 w(\vec{r}_i), \nabla^5 w(\vec{r}_i), \nabla^6 w(\vec{r}_i)) \quad \vec{r}_i \in \hat{D}, \quad (4)$$

with respect to constraint imposed by the boundary condition.

If  $w_t(\vec{r}, \vec{p})$  signifies a trial solution with the flexible parameters  $\vec{p}$ , then the problem is converted to an unconstrained optimization problem

$$\min_{\vec{p}} \sum_{r_i \in \hat{D}} G(\vec{r}_i, w_t(\vec{r}_i), \nabla w_t(\vec{r}_i), \nabla^2 w_t(\vec{r}_i), \nabla^3 w_t(\vec{r}_i), \nabla^4 w_t(\vec{r}_i), \nabla^5 w_t(\vec{r}_i), \nabla^6 w_t(\vec{r}_i)). \tag{5}$$

**Construction of Trial Solution:** Assume that trial solution is

$$w_t(\vec{r}) = A(\vec{r}) + B(\vec{r})N(\vec{r}, \vec{p}), \tag{6}$$

where  $A(\vec{r})$  represents the continuous ansatz function, which is created in such a manner in this way, that it fulfills boundary conditions  $B(\vec{r}) = r^3(r - 1)^3$  and  $N(\vec{r}, \vec{p})$  represents the particular output feed-forward neural network.

**Gradient Computation:** The effective minimization can be considered as a cycle of preparing the neural network, where the error corresponding to each input vector is the worth that needs to get zero.

We have taken a multilayer perceptron with  $n$  input nodes, one hidden layer with  $h$  hidden nodes and a linear output node. For an input vector  $\vec{r}$ , the output of the network is

$$N(\vec{r}, \vec{p}) = \sum_{i=1}^h v_i \sigma(z_i). \tag{7}$$

Here,

$\sigma$  is the activation function, which is taken as  $\tanh(z)$  and  $(1 + \exp(-z_i))^{-1}$ ,

$$z_i = \sum_{j=1}^n \xi_{ij} r_j + \mu_i,$$

$\xi_{ij}$  represents the connection weight from the input node  $j$  to hidden node  $i$ ,

$v_i$  represents the connection weight from hidden node  $i$  to output node,

$\mu_i$  represents the bias of hidden node  $i$ .

Now, we have differentiate  $N$  w. r. t.  $r_j$

$$\frac{\partial^k N}{\partial r^k} = \sum_{i=1}^h v_i \xi_{ij}^k \sigma_i^{(k)}, \tag{8}$$

where  $\sigma_i = \sigma(z_i)$  and  $\sigma^{(k)}$  signifies the  $k$ th order derivative of activation function.

Usually, the derivative of various order w. r. t. various inputs can be composed as

$$\frac{\partial^{m_1}}{\partial r_1^{m_1}} \frac{\partial^{m_2}}{\partial r_2^{m_2}} \cdots \frac{\partial^{m_n}}{\partial r_n^{m_n}} = \sum_{i=1}^h v_i P_i \sigma_i^{(\Lambda)}, \tag{9}$$

where  $P_i = \prod_{k=1}^n \xi_{ik}^{m_k}$  and  $\Lambda = \sum_{i=1}^n m_i$ .

Gradient computation with respect to network parameters

$$\frac{\partial N}{\partial v_i} = P_i \sigma_i^{(\Lambda)}, \tag{10}$$

$$\frac{\partial N}{\partial \mu_i} = v_i P_i \sigma_i^{(\Lambda+1)}, \tag{11}$$

$$\frac{\partial N}{\partial \xi_{ij}} = r_j v_i P_i \sigma_i^{(\Lambda+1)} + v_i m_j \xi_{ij}^{(m_j-1)} \left( \prod_{k=1, k \neq j} \xi_{ik}^{m_k} \right) \sigma_j^{(\Lambda)}. \tag{12}$$

**Network Parameter Updation:** When the differentiation of the error function with respect to system parameters has been characterized then for updating the parameters we have used delta rule

$$v_i(t + 1) = v_i(t) + \delta \frac{\partial N_g}{\partial v_i}, \tag{13}$$

$$\mu_i(t + 1) = \mu_i(t) + \epsilon \frac{\partial N_g}{\partial \mu_i}, \tag{14}$$

$$\xi_{ij}(t + 1) = \xi_{ij}(t) + \zeta \frac{\partial N_g}{\partial \xi_{ij}}, \tag{15}$$

where the learning rates have been taken as  $\delta, \epsilon,$  and  $\zeta, i = 1, 2, \dots, n$  and  $j = 1, 2, \dots, h.$

We proceed with this iterative cycle until the error function reduces to its lowest.

### 4 Numerical Results

In this section, we have presented the approximate solutions of sixth-order linear and nonlinear BVPs. We have utilized a multilayer perceptron artificial neural network having one hidden layer with five hidden nodes and one output node. For each test problem, the activation function has been selected according to the accuracy of the results. To minimize the error function, we have used the Quasi-Newton BFGS optimization technique, which is quadratically convergent and shows outstanding performance. Both the test problems have been executed on MATLAB R2016a.

**Problem 4.1** Consider the boundary value problem [27]

$$w^{(6)}(r) + r w(r) = -(24 + 11r + r^3)exp(r), \quad 0 \leq r \leq 1, \tag{16}$$

with respect to the boundary conditions

$$w(0) = 0, \quad w(1) = 0, \quad w'(0) = 1, \quad w'(1) = -e, \quad w''(0) = 0, \quad w''(1) = -4e.$$

**Table 1** The ideal estimations of weights and biases (Problem 4.1)

$i$	1	2	3	4	5
$\mu_i$	0.53143741	-0.18649484	0.09170045	0.30018984	0.46037251
$\nu_i$	-0.39732087	-0.42266970	0.52914815	0.25034663	0.16175795
$\xi_{ij}$	0.57484654	0.74307111	1.11358492	0.95564375	0.95564376

**Table 2** Comparison of numerical results of Problem 4.1

$r$	MLP ANN	Exact Solution	Cubic [27]B-Spline $h=1/10$	Absolute error (MLP ANN)	Absolute error [27]
0.0	0.0	0.0	-	0.0	-
0.1	0.099571	0.099465	0.099427	$1.06 \times 10^{-4}$	$3.79 \times 10^{-5}$
0.2	0.196024	0.195424	0.195266	$5.99 \times 10^{-4}$	$1.57 \times 10^{-4}$
0.3	0.284835	0.283470	0.283130	$1.36 \times 10^{-3}$	$3.40 \times 10^{-4}$
0.4	0.360090	0.358038	0.357505	$2.05 \times 10^{-3}$	$5.33 \times 10^{-4}$
0.5	0.414518	0.412180	0.411507	$2.33 \times 10^{-3}$	$6.74 \times 10^{-4}$
0.6	0.439393	0.437309	0.436600	$2.08 \times 10^{-3}$	$7.08 \times 10^{-4}$
0.7	0.424296	0.422888	0.422280	$1.40 \times 10^{-3}$	$6.08 \times 10^{-4}$
0.8	0.356715	0.356087	0.355696	$6.28 \times 10^{-4}$	$3.91 \times 10^{-4}$
0.9	0.221477	0.221364	0.221230	$1.13 \times 10^{-4}$	$1.35 \times 10^{-4}$
1.0	0.0	0.0	-	0.0	-

The precise solution of problem 4.1 is  $r(1 - r)exp(r)$  and is shown in Fig. 2. The trial solution of the problem according to the proposed technique is  $r(1 - r)exp(r) + r^3(1 - r)^3N(r, p)$ . We have prepared the system by taking 10 equidistant points in [0 1]. It has been solved in 301 epochs and the computational time is 7.455 s.

In Table 1, we have shown the ideal estimations of weights and biases. In Table 2, we compared our obtained results with the exact solution and cubic B-Spline solutions [27]. We used the first column for the inputs while the second column is used for obtained MLP ANN solution. In column 3, we have mentioned the exact solution for the problem whereas column 4 presented the approximated solution by Cubic B-Spline method with step size  $\frac{1}{10}$ . Columns 5 and 6 are used for the absolute error of MLP ANN solution and Cubic B-spline solution with the exact solution, respectively.

In Fig. 2, we have graphically represented the exact solution and MLP ANN solution for problem 4.1 whereas in Fig. 3, we have shown the error.

**Problem 4.2** Consider the boundary value problem [27]

$$w^{(6)}(r) + exp(-r)w(r) = -720 + (r - r^2)^3exp(r), \quad 0 \leq r \leq 1, \quad (17)$$

with respect to the boundary conditions

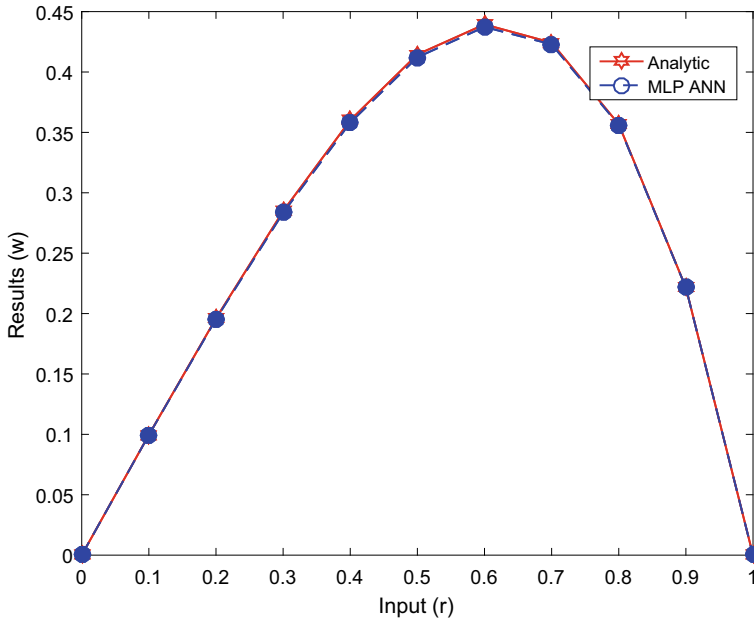


Fig. 2 Graphically comparison of MLP ANN results with Exact results (Problem 4.1)

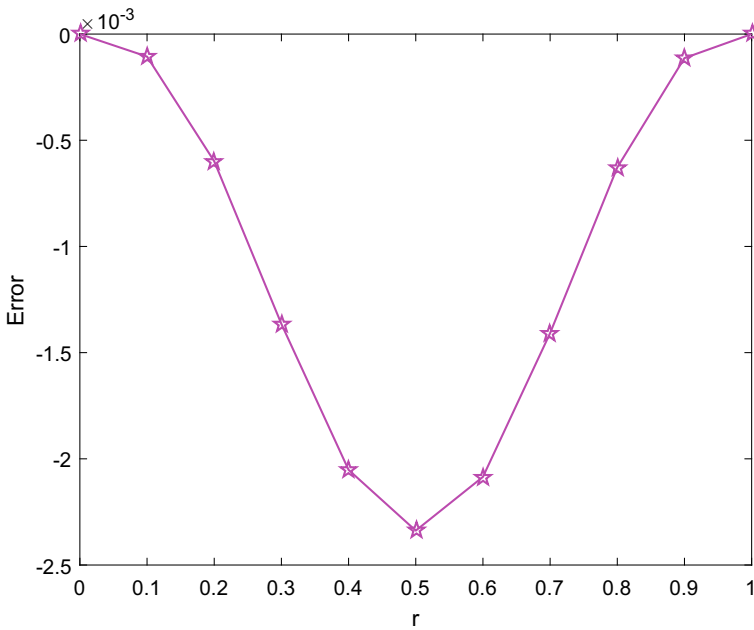


Fig. 3 Graph of the error between exact solution and MLP ANN solution (Problem 4.1)

**Table 3** The ideal estimation of weights and biases (Problem 4.2)

$i$	1	2	3	4	5
$\mu_i$	0.60704392	0.48918147	-9.262512E-04	0.41202518	0.00370112
$\nu_i$	-0.03415692	0.14825743	1.03624592	-0.09377157	0.50964194
$\xi_{ij}$	0.60996704	0.89110647	0.37717831	0.71546001	0.55369925

**Table 4** Comparison of numerical results of Problem 4.2

$r$	MLPNN	Exact solution	Cubic [27] B-Spline h=1/10	Absolute error (MLPNN)	Absolute error [27]
0.0	0.0	0.0	-	0.0	-
0.1	0.000729	0.000729	0.000504	0.0	$2.25 \times 10^{-4}$
0.2	0.004096	0.004096	0.003360	0.0	$7.36 \times 10^{-4}$
0.3	0.009261	0.009261	0.007980	0.0	$1.28 \times 10^{-3}$
0.4	0.013824	0.013824	0.012144	0.0	$1.68 \times 10^{-3}$
0.5	0.015625	0.015625	0.013800	0.0	$1.83 \times 10^{-3}$
0.6	0.013824	0.013824	0.012144	0.0	$1.68 \times 10^{-3}$
0.7	0.009261	0.009261	0.007980	0.0	$1.28 \times 10^{-3}$
0.8	0.004096	0.004096	0.003360	0.0	$7.36 \times 10^{-4}$
0.9	0.000729	0.000729	0.000504	0.0	$2.25 \times 10^{-4}$
1.0	0.0	0.0	-	0.0	-

$$w(0) = 0, w(1) = 0, w'(0) = 0, w'(1) = 0, w''(0) = 0, w''(1) = 0.$$

The precise solution of Problem 4.2 is  $r^3(1 - r)^3$  and is shown in Fig. 4. According to the proposed technique, the trial solution of the problem is  $r^3(1 - r)^3 N(r, p)$ . We have prepared the system by taking ten equidistant points in [0 1]. It has been solved in 87 epochs and the computational time is 3.346 s.

In Table 3, we have shown the ideal estimations of weights and biases. The obtained outcomes have been compared with the exact results and cubic B-Spline results [27] which is shown in Table 4. We used the first column for the inputs while the second column is used for obtained MLP ANN solution. In column 3, we have mentioned the exact solution for the problem whereas column 4 presented the approximated solution by Cubic B-Spline method with step size  $\frac{1}{10}$ . Columns 5 and 6 are used for the absolute error of MLP ANN solution and Cubic B-spline solution with the exact solution respectively.

In Fig. 4, we have graphically represented the exact solution and MLP ANN solution for problem 4.2 whereas in Fig. 5 we have shown the error.



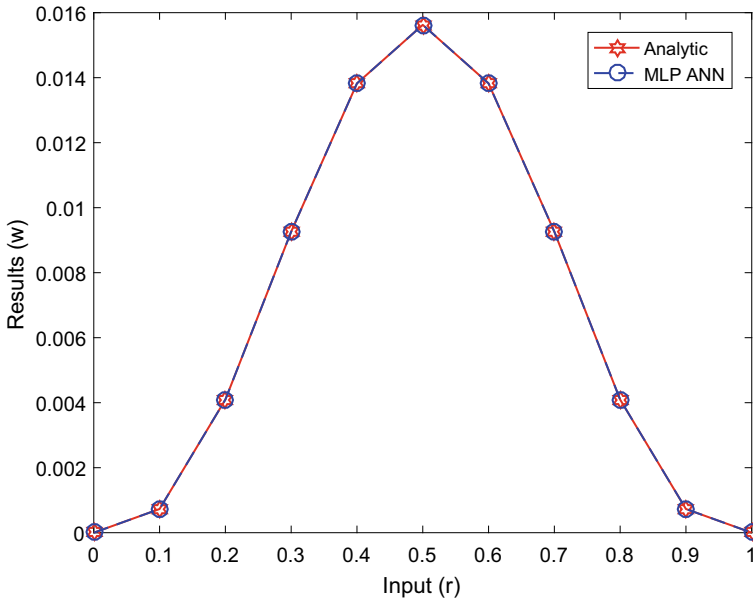


Fig. 4 Graphically comparison of MLP ANN results with exact results (Problem 4.2)

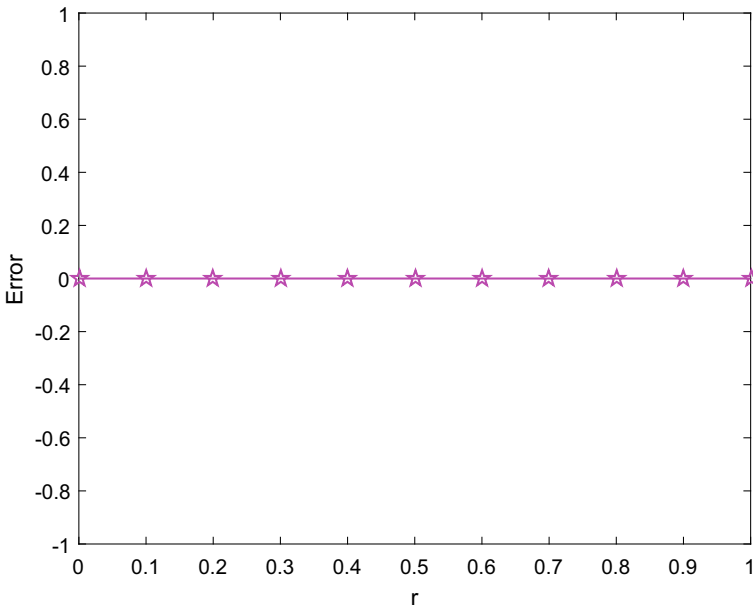


Fig. 5 Graph of error between exact solution and MLP ANN solution (Problem 4.2)

## 5 Conclusion

In this manuscript, we have proposed an approximation technique for solving the sixth-order BVPs, which relies on the capacity estimate abilities of feed-forward neural network. We have shown that our obtained results are in closed analytic form and are very close to the exact solution. Moreover, in Problem 4.2 from Fig. 5 and table 4, it can be seen that the obtained results are equal to the exact results. So we can say that the proposed technique combines accuracy, effectiveness and does not need any special lattice discretization for tackling the problems. Also, this technique has been considered as a mesh free numerical technique.

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# Wavelet Transform on Generalized Quotient Spaces and Its Applications



Abhishek Singh, Aparna Rawat, and Jagdev Singh

**Abstract** The concept of Schwartz distributions presents a unique area of mathematical research, which contributed to the development of several mathematical disciplines. In this chapter, we discuss the theory of generalized quotients which is a generalization of Schwartz distributions. The general construction of generalized quotients is discussed, which is applied to various function spaces in order to obtain several generalized quotient spaces. Consequently, wavelet transform is extended to these spaces to obtain some generalized results. The concept of convolution related to wavelet is applied to obtain operational properties for quotient of sequences. Further, wavelet transform of periodic quotient of sequences is presented and a uniqueness theorem is defined for the wavelet transform of analytic functions. Moreover, we discuss some fundamental concepts of the theory of generalized quotients and then explain some of its applications such as extending the wavelet transform on a space of generalized quotients on the torus  $\mathcal{B}_S(T^d)$ .

**Keywords** Fourier transform · Wavelet transform · Schwartz distributions · Tempered Boehmians

**Mathematics Subject Classification (2010)** 44A15 · 46F12 · 54B15 · 46F99

## 1 Introduction

Wavelets are the latest area in the frontiers of mathematics, signal processing, image processing and scientific computing. It is a versatile tool in every aspect of mathematical context and possesses great potential for applications, as wavelets can be viewed as a unique basis for representing functions for time-frequency analysis. The theory

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A. Singh (✉) · A. Rawat

Department of Mathematics and Statistics, Banasthali Vidyapith, Banasthali, India  
e-mail: [mathdras@gmail.com](mailto:mathdras@gmail.com)

J. Singh

Department of Mathematics, JECRC University, Jaipur, India

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of Fourier analysis is well established and popular subject at the core of pure and applied mathematical analysis. The basic building blocks of the Fourier transform (complex exponentials:  $e^{i2\pi tu}$ ) oscillate over all of the time ( $-\infty < t < \infty$ ). As a result, it is difficult for the Fourier transform to represent signals that are localized in time. Thus, it fails to accumulate information that varies with time. As it does not provide the time at which frequency exists hence, it is only ideal for stationary signals. Hence, Fourier methods are not very effective in recapturing the non-smooth signal. In these cases, wavelet analysis is often very efficient, as it presents a simple approach for dealing with the local aspects of a signal. For the last two decades, the advancement of wavelet transform in the field of signal analysis is expanding making it an important mathematical tool. The main reason is wavelet transform, which can represent a function of the time domain in a time-frequency plane. Therefore, it works as a frequency and time localization operator. Also, wavelets can change according to time intervals to obtain high and low-frequency components. Hence, enhancing the study of signal analysis with localized impulses and oscillations. In particular, wavelet analysis is efficient in extracting noise from signals that complement the classical methods of Fourier analysis. Wavelet analysis has been one of the major research directions in both pure and applied mathematics and is still undergoing rapid growth.

The wavelets were developed mostly during the last two decades and are associated with the classical theories of different disciplines, including pure and applied mathematics and engineering. The concept of wavelets started to emerge in the literature in the late 1980s. The theory of wavelets can be seen as syntheses of different ideas that started from various areas, including physics (coherent states formalism in quantum mechanics), mathematics (Caldern Zygmund operators and Littlewood–Paley theory) and engineering (in signal and image processing). The mathematical interpretation of the wavelet transform started in the year 1985 when Meyer discovered the results given by Morlet and the Marseille group. He noticed a link of Morlets algorithm to the resolution of identity in the harmonic analysis due to Caldern in 1964. Therefore, Meyer built the mathematical foundation of wavelet analysis and hence may be regarded as the founder of it. He still actively promotes the field of wavelet analysis as an interdisciplinary area of research. Recently, applications of wavelet analysis have been extended across various fields of mathematics, physics, computer science and engineering.

Based on the idea of wavelets as a family of functions, the mother wavelet  $\psi_{b,a}(t)$  is constructed from translation and dilation of a single function  $\psi \in L^2(\mathbb{R})$  and is defined by

$$\psi_{b,a}(t) = (\sqrt{a})^{-1} \psi \left( \frac{t-b}{a} \right), \quad t, b \in \mathbb{R}, \quad a > 0, \quad (1)$$

where  $a$  and  $b$  act as the dilation and translation parameter that measures the level of compression and finds the location of the wavelet. Further, if  $|a| < 1$ , then (1) is the compressed version of the mother wavelet and represents higher frequencies.

The wavelet transform of  $\phi \in L^2(\mathbb{R})$ , with respect to (1), is defined by [18]

$$(W\phi)(b, a) = \int_{\mathbb{R}} \phi(t) \overline{\psi_{b,a}(t)} dt, \quad t, b \in \mathbb{R}, \quad a > 0 \quad (2)$$

and the inversion for (2) is given by

$$\phi(x) = \frac{2}{C_\psi} \int_0^\infty \left[ \int_{-\infty}^\infty \frac{1}{\sqrt{a}} (W\phi)(b, a) \psi\left(\frac{x-b}{a}\right) db \right] \frac{da}{a^2}, \quad x \in \mathbb{R}, \quad (3)$$

where

$$\frac{C_\psi}{2} = \int_0^\infty \frac{|\hat{\psi}(v)|^2}{|v|} dv = \int_0^\infty \frac{|\hat{\psi}(-v)|^2}{|v|} dv < \infty \quad [3; p.64]. \quad (4)$$

If (2) exists, then  $(W\phi)(b, a)$  maps each square integrable function  $\phi$  on  $\mathbb{R}$  to wavelet transform function  $W$  on  $\mathbb{R} \times \mathbb{R}_+$ . Therefore, from (2),

$$(W\phi)(b, a) = (\phi * h_{a,0})(b), \quad (5)$$

where  $h(t) = \overline{\psi(-t)}$ .

If  $\phi \in L^p(\mathbb{R})$  and  $\psi \in L^q(\mathbb{R})$ , then

$$\phi * h_{a,0}(b) \in L^r(\mathbb{R}), \quad \frac{1}{p} + \frac{1}{q} = \frac{1}{r} + 1. \quad (6)$$

Now, applying Fourier transform to (5), we get

$$\begin{aligned} (W\phi)(b, a) &= \frac{|a|^{1/2}}{(2\pi)} \int_{\mathbb{R}} e^{i\omega b} \overline{\hat{\psi}(a\omega)} \hat{\phi}(\omega) d\omega \\ &= \frac{|a|^{1/2}}{(2\pi)} \mathcal{F}^{-1} \left[ \hat{\phi}(\omega) \overline{\hat{\psi}(a\omega)} \right] (b, a). \end{aligned} \quad (7)$$

Hence,

$$\mathcal{F} [(W\phi)(b, a)](\omega) = |a|^{1/2} \hat{\phi}(\omega) \overline{\hat{\psi}(a\omega)}. \quad (8)$$

This relation holds in general, for  $\phi \in L^p(\mathbb{R})$  and  $\psi \in L^q(\mathbb{R})$ , where  $\frac{1}{p} + \frac{1}{q} = \frac{1}{r} + 1$ ;  $1 \leq p, q, r \leq 2$ .

The theory of Distribution or generalized function was first proposed by Sergei Sobolev in the year 1935 while exploring the uniqueness of the solution for the Cauchy problem of linear hyperbolic equations. Later, Schwartz expanded the area of mathematical research by extending the theory of generalized function in the well-

known hand graph Theories des Distributions which provided a detailed basis for the numerical concept of generalized function spaces [23]. The theory of wavelet analysis provides fundamental characterizations of function and distribution spaces. Using the representation of wavelet transform given by (7),  $(W\phi)(b, a)$  is expanded to Schwartz tempered distributions and inversion formulae are also obtained in the distribution setting [12–18, 20, 26, 27, 30].

In recent years, the theory of distributions or generalized functions is at its peak bringing a great revolution in mathematical analysis. In 1935, Sergei L. Sobolev derived the theory of generalized functions while working on the second-order hyperbolic partial differential equations. But in the 1950s, L. Schwartz introduced the concept of distributions that opened a new area of mathematical research. This concept supported the development of several mathematical disciplines, such as transformation theory, operational calculus, ordinary and partial differential equations, and functional analysis. Another approach for this theory was given by S. Bochner around 1930s, to generalize the Fourier transformation for functions  $f(t)$  that grow as  $t$  approaches infinity [1]. The concept of distribution gives a better mechanism for analysing various entities, such as the delta function, which arise naturally in several mathematical sciences and which can be corrected using distributions. The idea behind distribution is assigning a function not by its values but by its behaviour as a functional on some space of testing functions. Here the space of testing functions is represented by  $\mathcal{D}$  which contains all complex-valued functions that are infinitely smooth and have compact support. A continuous linear functional on the space  $\mathcal{D}$  is called a distribution and space of all distributions is dual of the space  $\mathcal{D}$ , denoted by  $\mathcal{D}'$ .

In the theory of distributional analysis, differentiation is a continuous operation as every distribution has derivatives of all orders. Consequently, distributional differentiation commutes with different limiting processes such as integration and infinite summation. This is the contrast to classical analysis wherein either such operations cannot be interchanged or the inversion of the order must be justified by an additional argument. Though not very recently, yet during the last five decades the theory of generalized functions and integral transforms has been combined, which gave rise to fruitful results in the theory of integral transforms associated with distributions, known as distributional transform analysis. Recently, there were many applications of wavelet and other transforms in distribution spaces [14, 16]. Further, the investigation of the wavelet transform of distributions, tempered distributions and ultra-distributions has extended the applications of the wavelet transform.

There are various other approaches to the theory of generalized functions. One of them is Mikusiński's algebraic approach or the sequential approach, used to define generalized quotient spaces also known as the Boehmian spaces. Here, distributions are defined as a class of equivalent sequences. The construction of generalized quotient space with its brief introduction is presented in Sect. 2. In Sect. 3, we study the wavelet transform of periodic generalized quotients to obtain a uniqueness property. Sections 4 and 5 examine the wavelet transform on generalized quotients in Lebesgue space and in tempered distribution space. The last section is dedicated to presenting the applications of generalized quotient spaces.

## 2 Generalized Quotient Spaces

The theory of quotient of sequences (Boehmians) in 1973 by Boehme [2] brought a new change in the theory of applicable functional analysis, whereas the generalized quotients are considered to be a generalization of Schwartz distribution theory (see [23]). The use of an algebraic approach, quite similar to the construction of Mikusiński operators, advented a new class of generalized function  $\mathcal{B}$ , called generalized quotients. This is constructed by Piotr Mikusiński. Motivation for the development of the theory of generalized quotients lies in the core of regular operators, given by Mikusiński and Mikusiński in [6], since these operators form a subalgebra of Mikusinski operators and contain the distributions whose supports are bounded from the left, which does not allow them to contain all continuous functions. Strictly speaking, the space of generalized quotients contains all regular operators, all distributions, and few objects which are neither distributions nor operators. Mikusiński introduced and studied the convergence of generalized quotients, where the space provided with the induced convergence [7, 8]. Further, there always exists a corresponding field of quotients for a ring without zero divisors.

The theory of convolution quotients is inclusive of operators of differentiation, integration and related operators of differentiation and integration. This is the theory that provides a satisfactory answer to Heaviside's calculus, particularly when applied to partial differential equations. This generalization of the Schwartz distribution theory together with their integral transforms is further generalized, came to be known as Boehmian transform analysis, that is the theory of generalized quotients and their integral transforms, which prompted several authors to study several such integral transforms on various generalized quotient spaces along with their specific properties.

A natural extension of tempered distribution is tempered generalized quotients hence the wavelet transform can be extended for this class of generalized quotients. In [21], Roopkumar defined convolution theorems for the wavelet transform of tempered distributions and hence constructed space of tempered generalized quotient for the wavelet transform. Application of generalized quotients to different spaces with the convolution product gives numerous generalized spaces. Therefore, different integral transforms have been studied in the space of generalized quotients [4, 5, 11, 24, 25].

Let us denote a linear space by  $G$  and its subspace by  $S$ . For each pair of elements  $\phi \in G$  and  $\varphi \in S$ , let the product  $f * g$  be assigned ( $*$  is a map from  $G \times S$  to  $G$ ) such that

- (i) if  $\psi, \varphi \in S$ , then  $\psi * \varphi \in S$  and  $\psi * \varphi = \varphi * \psi$
- (ii) if  $\phi \in G$  and  $\psi, \varphi \in S$ , then  $(\phi * \varphi) * \psi = \phi * (\varphi * \psi)$
- (iii) if  $\phi, f \in G$ ,  $\varphi \in S$ , and  $k \in \mathbb{R}$ , then  $(\phi + f) * \varphi = (\phi * \varphi) + (f * \varphi)$  and  $k(\phi * \varphi) = (k\phi) * \varphi$ .

Let the set of delta sequences denoted by  $\Delta$  satisfies

- (iv) if  $\phi, f \in G$ ,  $(\delta_n) \in \Delta$  and  $\phi * \delta_n = f * \delta_n$  ( $n = 1, 2, \dots$ ), then  $\phi = f$ .
- (v) if  $(\varphi_n), (\delta_n) \in \Delta$ , then  $(\varphi_n * \delta_n) \in \Delta$ .



Let  $\mathcal{A}$  denotes the class for which the pair of sequences are defined by  $\mathcal{A} = \{(\phi_n), (\varphi_n) : (\phi_n) \subseteq G^{\mathbb{N}}, (\varphi_n) \in \Delta\}$ , for each  $n \in \mathbb{N}$ . An element  $((\phi_n), (\varphi_n)) \in \mathcal{A}$  is called quotient of sequences, denoted by  $\phi_n/\varphi_n$ , if

$$\phi_i * \varphi_j = \phi_j * \varphi_i, \quad \forall i, j \in \mathbb{N}.$$

Let  $\phi_m/\varphi_m$  and  $f_n/\psi_n$  be two quotients of sequences, then these two are equivalent, if

$$\phi_m * \psi_n = f_n * \varphi_m, \quad \forall m, n \in \mathbb{N},$$

which divides  $\mathcal{A}$  into equivalence classes, denoted by  $[\phi_n/\varphi_n]$ . These are called generalized quotients and their space is given by  $\mathcal{B} = \mathcal{B}(G, \Delta)$ . Following illustrates the behaviour of generalized quotients for the algebraic properties.

(i) Addition of two generalized quotients and multiplication by a scalar are defined by

$$[\phi_n/\varphi_n] + [f_n/\psi_n] = [(\phi_n * \psi_n) + (f_n * \varphi_n)/(\varphi_n * \psi_n)]$$

and

$$\alpha[\phi_n/\varphi_n] = [\alpha\phi_n/\varphi_n], \quad \alpha \in \mathbb{C}.$$

(ii) The differentiation and the operation  $*$  are, respectively, defined by

$$[\phi_n/\varphi_n] * [f_n/\psi_n] = [(\phi_n * f_n)/(\varphi_n * \psi_n)]$$

and

$$D^\alpha[\phi_n/\varphi_n] = [D^\alpha\phi_n/\varphi_n].$$

In particular, if  $[\phi_n/\varphi_n] \in \mathcal{B}$  and  $\delta \in S$  is any fixed element, then the product  $*$  is defined by

$$[\phi_n/\varphi_n] * \delta = [(\phi_n * \delta)/\varphi_n],$$

which is said to be in  $\mathcal{B}(G, \Delta)$ .

### 3 Uniqueness Theorem for Wavelet Transform for Generalized Quotients of Analytic Functions

Let  $\mathbb{T}$  be a unit circle of the complex plane and  $C^\infty(\mathbb{T})$  be the space of smooth periodic functions of period  $2\pi$ . In [22], Roopkumar defined wavelet transform for periodic generalized quotients and showed that it is uniform with the wavelet transform on  $C^\infty(\mathbb{T})$ . An analytic function  $\phi(z)$  is bounded in the unit disc  $D$  satisfies the uniqueness property, i.e. if the radial limit  $\lim_{r \rightarrow 1} \phi(re^{i\theta}) = 0$  on  $\mathbb{T}$  then  $\phi(z) \equiv 0$ , almost everywhere on  $\mathbb{T}$ . Let  $C(\mathbb{T})$  represents the set of all continuous complex-

valued function on  $\mathbb{T}$  and  $C^N(\mathbb{T})$  represents collection of such sequences. A function on the real line with period  $2\pi$  and a function on  $\mathbb{T}$  are treated the same. Let  $\phi, \eta \in C(\mathbb{T})$ , then their convolution is given by

$$(\phi * \eta)(t) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \phi(t - u)\eta(u)du, \quad t \in [-\pi, \pi]. \tag{9}$$

Let  $\phi$  be a  $2\pi$ -periodic function which is  $L^p$  integrable over the interval  $[-\pi, \pi]$ , then by (8) the  $k$ th coefficient of the wavelet transform in terms of Fourier coefficient can also be defined by

$$c_k \{(W\phi_n)\} (k) = |a|^{1/2} \hat{\phi}_n(k) \overline{\hat{\psi}(ak)}. \tag{10}$$

**Theorem 1** Let  $F = \left[ \frac{\phi_n}{\varphi_n} \right] \in \mathcal{B}_{\mathbb{T}}$ . For each  $k$ , the sequence  $\{c_k \{(W\phi_n)\}\}_{n=1}^{\infty}$  converges.

**Proof** For  $F = \left[ \frac{\phi_n}{\varphi_n} \right] \in \mathcal{B}_{\mathbb{T}}$ , we have  $\phi_n \in C^N(\mathbb{T})$  and  $\{\varphi_p\}_{p=1}^{\infty} \in \Delta$ , then there exist a natural number  $p$  such that  $\varphi_p \neq 0$  and  $\hat{\varphi}_p \rightarrow 1$  as  $p \rightarrow \infty$ .

Let  $k \in \mathbb{Z}$  and  $a > 0$ . Consider

$$\begin{aligned} c_k \{(W\phi_n)\} (k) &= \lim_{n \rightarrow \infty} a^{1/2} \hat{\phi}_n(k) \overline{\hat{\psi}(ak)} \\ &= \frac{a^{1/2} \hat{\phi}_n(k) \overline{\hat{\psi}(ak)} \varphi_m(k)}{\hat{\varphi}_m(k)} \\ &= \frac{a^{1/2} (\phi_n * \varphi_m)(k) \overline{\hat{\psi}(ak)}}{\hat{\varphi}_m(k)} \\ &= \frac{a^{1/2} \hat{\phi}_m(k) \overline{\hat{\psi}(ak)} \varphi_n(k)}{\hat{\varphi}_m(k)}. \end{aligned} \tag{11}$$

Since,  $\hat{\varphi}_n \rightarrow 1$  as  $n \rightarrow \infty$ , therefore from (11), we have

$$\lim_{n \rightarrow \infty} c_k \{(W\phi_n)\} (k) = \frac{a^{1/2} \hat{\phi}_m(k) \overline{\hat{\psi}(ak)}}{\hat{\varphi}_m(k)}. \tag{12}$$

□

**Definition 1** For  $F = \left[ \frac{\phi_n}{\delta_n} \right] \in \mathcal{B}_{\mathbb{T}}$ , the wavelet coefficient of the generalized quotient  $F$  is defined by

$$c_k((W\phi)) = \lim_{n \rightarrow \infty} c_k((W\phi_n)).$$

**Definition 2** Let  $F = \begin{bmatrix} \phi_n \\ \varphi_n \end{bmatrix} \in \mathcal{B}_{\mathbb{T}}$ , then  $F = 0$  on an open set  $\Omega$ , if there exists a delta sequence  $\{\varphi_n\}$  such that  $F * \varphi_n \in C(\mathbb{T})$ ,  $\forall n \in \mathbb{N}$  and  $F * \varphi_n \rightarrow 0$  uniformly on compact subset of  $\Omega$  as  $n \rightarrow \infty$ .

**Definition 3** A generalized quotient  $F = \begin{bmatrix} \phi_n \\ \varphi_n \end{bmatrix} \in \mathcal{B}_{\mathbb{T}}$  is of analytic type if the wavelet transform of the generalized quotient  $F$  is zero.

**Theorem 2** If  $F = \begin{bmatrix} \phi_n \\ \varphi_n \end{bmatrix} \in \mathcal{B}_{\mathbb{T}}$  is of analytic type and its wavelet transform be given by  $(WF)(b, a)$  such that  $F$  is zero on some open arc  $\Omega$ , then  $F$  is equivalent to zero.

**Proof** Let  $F \in \mathcal{B}_{\mathbb{T}}$  be of analytic type with  $F = 0$  on  $\Omega$ . As  $(WF)(n, a) = 0$  for  $n = -1, -2, \dots$ . Therefore, for each  $n$

$$(W\phi_n)(b, a) = (WF)(b, a)\varphi_n(b) = 0 \text{ for } b = -1, -2, \dots \tag{13}$$

Thus,  $\phi_n$  is identically zero on  $\mathbb{T}$ .

By definition of generalized quotients,  $\phi_n * \varphi_k = \phi_k * \varphi$ ,  $\forall n, k \in \mathbb{N}$ , then we have

$$\phi_n = \phi_n - (\phi_n * \varphi_k) + (\phi_n * \varphi_k), \quad \forall n, k \in \mathbb{N}. \tag{14}$$

As  $\{\varphi_n\} \in \Delta$ , then for each  $k$ ,  $(\phi_n * \varphi_k) \rightarrow \phi_n$  as  $k \rightarrow \infty$  on  $\mathbb{T}$ . We consider a closed subinterval  $I_2$  on  $\Omega$ . Then there is another closed interval  $I_1$ , such that for any  $h > 0$ ,  $I_2 \subset I_1 \subset \Omega$ , and  $(-h, h) + I_2 \subseteq I_1$ . Also,  $\text{supp } \varphi_n \subseteq (-h, h)$ , for  $n \geq m \in \mathbb{N}$ . Let for fixed  $m$  and for  $k \geq k_0$ , let  $\epsilon > 0$ . As  $k \rightarrow \infty$ , we have  $\phi_k \rightarrow 0$  uniformly on  $I_1$ . Then there exist a natural number  $k_0$  such that for all  $x \in I_1$ ,  $|\phi_k(x)| < \epsilon$  for all  $k \geq k_0$ . Hence

$$\begin{aligned} |(\phi_n * \varphi_k)(t)| &= |(\phi_k * \varphi_n)(t)| \\ &\leq \frac{1}{2\pi} \int_{-h}^h |\phi_k(t-u)|\varphi_n(u)du \\ &\leq \frac{\epsilon}{2\pi} \int_{-h}^h \varphi_n(u)du = \epsilon, \quad \forall t \in I_2. \end{aligned} \tag{15}$$

Therefore,  $(\phi_n * \varphi_k) \rightarrow 0$  uniformly on  $I_2$  as  $k \rightarrow \infty$ , for each  $n \geq m$ . By combining (13), (14) and (15), we see that for each  $n \geq m$ ,  $\phi_n$  vanishes on  $I_2$ .

This completes the proof of the theorem. □

Let  $\mathcal{B}_{\mathbb{T}}$  denote the space of generalized quotients that contains the analytic functions of the complex plane in the open unit disc  $D$ . A generalized quotient is an analytic element of  $\mathcal{B}_{\mathbb{T}}$ , and is defined as follows:

$$\mathcal{A} = \left\{ \phi(z) = \sum_{k=0}^{\infty} s_k z^k : |s_k| \leq C e^{-l\omega(k)}, \forall k \in \mathbb{N}, \text{ and for each } l > 0 \right\}. \quad (16)$$

Let  $\phi_n(t)$  be the sequence of trigonometric polynomial, given by

$$\phi_n(t) = \sum_{m=1}^n s_m e^{l_m t_i}, \quad (17)$$

then the wavelet transform of an periodic function  $\phi(t)$  which is the uniform limit of the  $\{\phi_n(t)\}$  is defined by

$$(W\phi) = \lim_{n \rightarrow \infty} (W\phi_n) = \sum_{m=1}^{\infty} s_m |a|^{1/2} \overline{\hat{\psi}(a\omega)} e^{l_m \omega i}, \quad (18)$$

provided the limit exists.

**Definition 4** Let  $F = \left[ \frac{\phi_n}{\varphi_n} \right] \in \mathcal{B}_{\mathbb{T}}$ . The wavelet coefficient of  $F$ , is defined as  $c_k((WF)) = \lim_{n \rightarrow \infty} a^{1/2} \hat{\phi}_n(k) \hat{\psi}(ak)$ .

**Definition 5** A sequence  $\{F_n\} \in \mathcal{B}_{\mathbb{T}}$  is said to  $\delta$ -converge to some  $F \in \mathcal{B}_{\mathbb{T}}$  as  $n \rightarrow \infty$  if there exists  $\{\varphi_m\} \in \Delta$ , such that  $F_n * \varphi_m, F * \varphi_m \in L^1(\mathbb{T})$  and  $F_n * \varphi_m \rightarrow F * \varphi_m \in L^1(\mathbb{T})$  as  $n \rightarrow \infty$  for each fixed  $m$ .

**Theorem 3** Let  $S$  be a Schwartz distribution and the  $p$ th order distribution  $S$  is given by  $D^p S = \sum_{n=1}^m s_n (lni)^p e^{l_n t_i}$ , then the trigonometric series given by  $\sum_{n=1}^m s_n e^{l_n t_i}$  converges to  $S$  in weak sense. Also, let  $\phi$  belongs to the class of analytic functions in open unit disc  $D$ , then there exists a sequence  $\{T_m\} \in \mathcal{A}$  and  $F \in \mathcal{B}_{\mathbb{T}}$  such that  $T_m \rightarrow \phi$  and  $D^k S \xrightarrow{\delta} F$  as  $m \rightarrow \infty$ .

**Proof** Let  $\phi(z)$  be an analytic function in the open unit disc  $D$ , given by

$$\phi(z) = \sum_{n=0}^m s_n z^n, \quad (19)$$

where

$$\sum_{n=0}^m |s_m| < \infty. \quad (20)$$

Now, for each  $m \in \mathbb{N}$ , let

$$T_m(z) = \sum_{n=0}^m s_m z^n, \quad z \in \mathcal{D}. \quad (21)$$

Then by (16),  $T_m \in \mathcal{A}$  and  $T_m \rightarrow \phi$  as  $m \rightarrow \infty$ . Also, for some  $l_n > 0$ , we have

$$S = \sum_{n=0}^m s_n e^{l_n t i}. \tag{22}$$

By taking convolution of two functions  $S$  and  $\phi_k$ , we get

$$\begin{aligned} (S * \phi_k)(\omega) &= \frac{1}{2\pi} \int_{-\pi}^{\pi} S(\omega - x) \phi_k(x) dx \\ &= \frac{1}{2\pi} \int_{-\pi}^{\pi} \sum_{n=0}^m s_n e^{l_n(\omega-x)i} \phi_k(x) dx \\ &= \frac{1}{2\pi} \int_{-\pi}^{\pi} \sum_{n=0}^m s_n e^{i l_n \omega} e^{-i l_n x} \phi_k(x) dx \\ &= \sum_{n=0}^m s_n e^{i l_n \omega} \hat{\phi}_k(l_n) \\ &= \lim_{n \rightarrow \infty} \frac{(W\phi_n)}{\hat{\psi}(a\omega)} |a|^{1/2} \hat{\phi}_k. \end{aligned}$$

Taking  $\eta_k(t) = \sum_{n=0}^m s_n e^{i l_n \omega} \hat{\phi}_k(l_n) \in L^1(\mathbb{T})$  and choosing

$$\hat{\phi}_k(l_n) = \begin{cases} \left(1 + \frac{|l_n|}{k+1}\right), & |l_n| \leq k \\ 0, & \text{otherwise,} \end{cases}$$

then for each  $k$ , we have  $S * \phi_k \rightarrow \sum_{n=0}^m s_n e^{i l_n \omega} \in L^1(\mathbb{T})$  as  $m \rightarrow \infty$  and  $(\{\eta_k\}, \{\phi_k\}) \in \mathcal{A}$ . Hence, for  $F = (\{\eta_k\}, \{\phi_k\}) \in \mathcal{B}_{\mathbb{T}}$ , by definition we get  $T_m \xrightarrow{\delta} F \in \mathcal{B}_{\mathbb{T}}$  as  $m \rightarrow \infty$ . This completes the proof.  $\square$

### 4 Wavelet Transform on Generalized Quotients in Lebesgue Space

The convolution related to wavelet transform is given by

$$(W(\phi \# f))(b, a) = (W\phi)(b, a)(Wf)(b, a). \tag{23}$$

The association among the wavelet convolution # and Fourier convolution \* is defined by [18, p. 131]

$$\widehat{\psi(a\omega)}(\phi \# f)^\wedge(\omega) = (2\pi)^{-1} \overline{\widehat{\psi(a\cdot)}\hat{\phi}(\cdot)} * \widehat{\psi(a\cdot)}\hat{f}(\cdot)(\omega). \tag{24}$$

**Uniqueness:** If  $\frac{1}{p} + \frac{1}{q} = 1$  then  $\widehat{\psi(a\omega)}\hat{\phi}(a\omega) \in L^1(\mathbb{R})$ ,  $\widehat{\psi(a\omega)}\hat{f}(\omega) \in L^1(\mathbb{R})$  and  $\widehat{\psi(a\omega)} \neq 0$  for  $a \in \mathbb{R}_+$ . Let  $(W\phi)(b, a) = (Wf)(b, a)$ ,  $\forall(b, a) \in \mathbb{R} \times \mathbb{R}_+$ . Then  $\phi = f$ , a.e.

Now, dividing (24) by  $\widehat{\psi(a\omega)} \neq 0$  and then applying inverse Fourier transform [18, p. 131], we obtain

$$(\phi \# f)(z) = \int_{\mathbb{R}} \int_{\mathbb{R}} \phi(x) f(y) D_a(x, y, z) dx dy,$$

where

$$D_a(x, y, z) = (2\pi)^{-2n} \int_{\mathbb{R}} \left\{ \int_{\mathbb{R}} e^{-i(y-z)\omega - i(x-y)\eta} + \psi(a\omega, a\eta) d\omega \right\} d\eta$$

with

$$\chi(\omega, \eta) = \frac{\overline{\widehat{\psi}(\omega - \eta)}}{\widehat{\psi}(\omega)}.$$

The wavelet convolution # in terms of the basic function is given by [18, p. 119]:

$$\begin{aligned} D(x, y, z) &= \frac{1}{C_\psi} \int_0^\infty \int_{\mathbb{R}} \overline{\psi_{b,a}(x)} \overline{\psi_{b,a}(y)} \psi_{b,a}(z) db \frac{da}{a} \\ &= W_\psi^{-1}[\overline{\psi_{b,a}(x)} \overline{\psi_{b,a}(y)}](z). \end{aligned} \tag{25}$$

Hence

$$\int_{-\infty}^\infty D(x, y, z) \overline{\psi_{b,a}(z)} db da = \overline{\psi_{b,a}(x)} \cdot \overline{\psi_{b,a}(y)}. \tag{26}$$

Multiplying both sides of (24) by  $\frac{\widehat{\psi(a\omega)}}{a}$  and integrating with respect to  $a$  from 0 to  $\infty$ , we get

$$\begin{aligned} & \int_0^\infty |\hat{\psi}(a\omega)|^2 \frac{da}{a} (\phi \# f)^\wedge(\omega) \\ &= (2\pi)^{-n} \int_0^\infty \frac{\hat{\psi}(a\omega)}{a} da [\widehat{\psi(a \cdot) \hat{\phi}(\cdot)} * \widehat{\psi(a \cdot) \hat{f}(\cdot)}](\omega). \end{aligned} \tag{27}$$

By using inverse Fourier transform and (4), we get

$$\begin{aligned} C_\psi(\phi \# f)(z) &= (2\pi)^{-2n} \int_{\mathbb{R}} e^{i\omega z} d\omega \\ & \int_0^\infty e^{-i\omega a v} \psi(u) du [\widehat{\psi(a \cdot) \hat{\phi}(\cdot)} * \widehat{\psi(a \cdot) \hat{f}(\cdot)}](\omega) \\ (\phi \# f)(z) &= \int_{\mathbb{R}} \int_{\mathbb{R}} \phi(x) f(y) D(x, y, z) dx dy. \end{aligned}$$

Translation, denoted by  $\tau$ , is given by

$$(\tau_y \phi)(x) = \phi * (x, y) = \int_{\mathbb{R}} D(x, y, z) \phi(z) dz.$$

Therefore, the wavelet convolution of  $\phi$  and  $f$  is given by

$$(\phi \# f)(z) = \int_{\mathbb{R}} \int_{\mathbb{R}} D(x, y, z) \phi(x) f(y) dx dy.$$

**Lemma 1** *If  $\phi \in L^p$  and  $\varphi \in S$ , then  $(\phi \# \varphi) \in L^p$ .*

In view of Lemma 1, the mapping of convolution product  $\#$  is given by

$$\# : L^p \times S \rightarrow L^p. \tag{28}$$

Let,

$$A = \{((\phi_n), (\varphi_n)) : \phi_n \in L^p, (\varphi_n) \in \Delta\}. \tag{29}$$

Then  $((\phi_n), (\varphi_n)) \in A$  is said to be a quotient of sequence, if

$$\phi_m \# \varphi_n = \phi_n \# \varphi_m, \quad \forall m, n \in \mathbb{N}. \tag{30}$$

If two quotients of sequence  $\phi_n/\varphi_n$  and  $f_n/\sigma_n$  are equivalent, i.e.

$$\phi_n \# \sigma_n = f_n \# \varphi_n, \quad \forall n \in \mathbb{N} \tag{31}$$

then such quotient of sequences or generalized quotient is known as  $L^p$ —generalized quotient and the space of such  $L^p$ —generalized quotients is represented by  $\mathcal{B}(L^p, \Delta)$ . Let  $C^\infty$  be the set of infinitely differentiable function on the real line, then the equivalence class  $F = [\phi_n/\varphi_n]$ , where  $\phi_n \in C^\infty$  and  $\varphi_n \in \Delta$ , is known as  $C^\infty$ —generalized quotient. Its space is given by  $\mathcal{B}(C^\infty, \Delta)$ .

A function  $\phi \in L^p$  is identified with  $L^1$ -generalized quotient  $[(\phi\#\varphi_n)/\varphi_n]$ , where  $(\varphi_n) \in \Delta$ . Likewise, let  $f$  be a  $C^\infty$ —function identified with  $C^\infty$ —generalized quotient  $[(f\#\epsilon_n)/\epsilon_n]$ , where  $(\epsilon_n) \in \Delta$  is another delta sequence.

Let under the identification  $u = [(u\#\delta_n)/\delta_n]$ , the space  $\mathcal{D}'$  be identified with  $C^\infty$ —generalized quotient. Then, these identifications possess the aspired algebraic and topological properties [8].

**Lemma 2** *If  $\phi \in L^p$ ,  $1 \leq p \leq \infty$  and  $\psi \in L^q(\mathbb{R})$  with  $a > 0$  and  $\rho = 1$  also  $\delta \in S$ , then  $(W[\phi\#\delta]) = (W[\phi]) \cdot \psi[\delta]$ .*

**Definition 6** A  $L^p$  generalized quotient  $[\phi_n/\varphi_n]$  is wavelet transformable if there exists a  $C^\infty$ -generalized quotient  $y$  and a representative  $[f_n/\psi_n]$  for  $y$  with  $f_n = (W[\phi_n])$ . The space  $\mathcal{B}_W(L^p, \Delta)$  represents the set of all wavelet transform of generalized quotients.

**Lemma 3** *If  $[\phi_n/\varphi_n]$  is a quotient in  $\mathcal{B}_W(L^p, \Delta)$ , then  $(W[\phi_n])/(W[\varphi_n])$  is also a quotient in  $\mathcal{B}(C^\infty, \Delta)$ .*

**Proposition 1** *Let  $F = [\phi_n/\varphi_n]$  be a wavelet transformable  $L^p$ -generalized quotient. Then the wavelet transform of  $F$  is a  $C^\infty$ -generalized quotient  $y$ , given by*

$$y = (WF) = \frac{(W[\phi_n])}{(W[\varphi_n])}. \quad (32)$$

**Theorem 4** *If  $\phi \in L^p$ ,  $\psi \in L^q$  and wavelet transform is in  $L^r$  and, also if  $F = [(\phi\#\delta_n)/\delta_n]$  and  $y = [(W\phi).(W\delta_n)/(W\delta_n)]$ , then  $F \in \mathcal{B}_W(L^1, \Delta)$  for  $p, q = 1$  such that  $(WF) = y \in \mathcal{B}(C^\infty, \Delta)$ .*

**Proof** A  $L^p$  function  $\phi$  can be identified with the generalized quotient  $F = [(\phi\#\delta_n)/\delta_n]$ , where  $(\delta_n) \in \Delta$ . As  $\phi \in L^p$  (by Lemma 1), therefore,  $(\phi\#\delta_n) \in L^p$ , which asserts that  $(W(\phi\#\delta_n)) = (W[\phi]).(W[\delta_n])$ , (by Lemma 3). Also,  $(W[f]).(W[\delta_n]) \in C^\infty$  shows that  $F = [(\phi\#\delta_n)/\delta_n] \in \mathcal{B}_W(L^1, \Delta)$  for  $p, q = 1$ . Then

$$(WF) = \left[ \frac{\phi\#\delta_n}{\delta_n} \right] = \frac{(W[f])(W[\delta_n])}{(W[\delta_n])} = y. \quad (33)$$

□

**Theorem 5** *Let  $F \in \mathcal{B}_W(L^p, \Delta)$  and  $\alpha$  be a constant. Then  $\alpha x \in \mathcal{B}_W(L^p, \Delta)$  and  $(W(\alpha F)) = \alpha(WF)$ .*

**Proof** Let  $x = [f_n/\varphi_n]$ , then we have  $\alpha x = [\alpha f_n/\varphi_n]$ . Also,  $(W[\alpha f_n]) = \alpha(Wf_n) \in C^\infty$  as  $(Wf_n) \in C^\infty$ . Hence  $[(W(\alpha f_n))/\varphi_n] \in \mathcal{B}(C^\infty, \Delta)$ .



This shows that  $[(\alpha f_n)/\varphi_n] = \alpha x \in B_W(L^r, \Delta)$ , and that

$$\begin{aligned} (W(\alpha x)) &= [(W(\alpha f_n))/(W\varphi_n)] \\ &= \alpha[(W(f_n)/(W\varphi_n)] \\ &= \alpha(Wx). \end{aligned}$$

□

**Theorem 6** If  $x \in B_W(L^r, \Delta)$ , and  $\delta \in S$ , then  $(x\#\delta) \in B_W(L^1, \Delta)$  and  $(Wx)\#\delta$ .

**Proof** If  $x = \left[ \frac{f_n}{\varphi_n} \right] \in B_W(L^1, \Delta)$  for  $p, q = 1$ , then  $(Wx) = \left[ \frac{(Wf_n)}{(W\varphi_n)} \right] \in B(C^\infty, \Delta)$ . From Lemma 1

$$x\#\delta = \left[ \frac{f_n\#\delta}{\delta} \right] \in B_W(L^1, \Delta).$$

Here

$$(W(f_n\#\delta)) = (W[f_n]) \cdot (W[\delta]) \in C^\infty. \quad (34)$$

Therefore,  $[(W(f_n\#\delta))/\varphi_n] \in B(C^\infty, \Delta)$  and hence,  $[(f_n\#\delta)/\varphi_n] = (x\#\delta) \in B_W(L^1, \Delta)$  for both  $p = 1$  and  $q = 1$ . Also,

$$\begin{aligned} (W[x\#\delta]) &= \left[ \frac{(W(f_n\#\delta))}{(W\varphi_n)} \right] = \left[ \frac{(Wf_n) \cdot (W\delta)}{(W\varphi_n)} \right] \\ &= \frac{(Wf_n) \cdot \delta}{(W\varphi_n)} = (Wx)\#\delta. \end{aligned}$$

□

**Lemma 4** If  $f, f_n \in L^1$  and  $f_n \rightarrow f$  as  $n \rightarrow \infty$ , then  $f$  and  $f_n$ , are elements of  $B(C^\infty, \Delta)$ , satisfying  $f_n \rightarrow f$  in  $B(C^\infty, \Delta)$ .

**Theorem 7** The wavelet transform for generalized quotient is a continuous linear map from  $B_W(L^1, \Delta)$  to  $B(C^\infty, \Delta)$ .

**Proof** As  $x_n$  converges to  $x$  in  $B_W(L^1, \Delta)$ , there exists a sequence  $(\delta_k) \in \Delta$  such that  $(x\#\delta_k), (x_n\#\delta_k) \in L^1$ , and as  $n \rightarrow \infty$

$$(x_n\#\delta_k) \rightarrow (x\#\delta_k) \text{ in } L^1, \forall k \in \mathbb{N}$$

i.e.

$$(W(x_n\#\delta_k)) \rightarrow (W(x\#\delta_k)) \text{ in } L^1 \text{ for } p, q = 1 \text{ as } n \rightarrow \infty, \forall k \in \mathbb{N}.$$

Let  $f_n = (W(x_n\#\delta_k)), f = (W(x\#\delta_k))$  as  $C^\infty$ —generalized quotients, then

$$f_n \rightarrow f \text{ in } B(C^\infty, \Delta). \quad (35)$$

By the definition of generalized quotients, we get

$$\begin{aligned} (W(x_n \# \delta_k)) &= (W(x \# \delta_k)) \\ &= (W[x_n]) \cdot (W[\delta_k]) \\ &= (Wx_n) \# \delta_k. \quad (\text{by Theorem 6}) \end{aligned}$$

Similarly,

$$(W(x_n \# \delta_k)) = (Wx) \# \delta_k, \quad (36)$$

and (30) can be written as

$$(Wx_n) \# \delta_k \rightarrow (Wx) \# \delta_k \text{ in } B(C^\infty, \Delta). \quad (37)$$

Therefore, for  $((Wx_n) \# \delta_k) \# \delta_k$  and  $((Wx) \# \delta_k) \# \delta_k$  in  $C^\infty$ , we have

$$(W(x_n \# \delta_k)) \# \delta_k \rightarrow (W(x \# \delta_k)) \# \delta_k, \text{ as } n \rightarrow \infty \text{ in } C^\infty.$$

Hence,

$$(Wx_n) \rightarrow (Wx), \text{ as } n \rightarrow \infty \text{ in } B(C^\infty, \Delta).$$

□

**Theorem 8** Let  $y \in B(C^\infty, \Delta)$ . Then there exists  $x \in B_W(L^1, \Delta)$  such that  $(Wx) = y$  iff  $y$  is a quotient  $g_n/\psi_n$  such that  $g_n \in L^1 \cap C^\infty$ .

**Proof** Let us consider a generalized quotient  $x = [f_n/\varphi_n] \in B_W(L^1, \Delta)$  and  $f_n \in L^1$ . Then  $(Wf_n) \in L^1$ . From Proposition 1, we can define,  $y = (Wx) = W[f_n/\varphi_n] \in B(C^\infty, \Delta)$  and from Definition 1, we get  $(Wf_n) \in C^\infty$ . Hence  $y = (Wx) = W[f_n/\varphi_n]$  satisfies  $(Wf_n) \in L^1 \cap C^\infty$ .

Conversely, let  $y = [g_n/\psi_n] \in B(C^\infty, \Delta)$ , for  $g_n \in L^1 \cap C^\infty$ . Let  $x = [f_n/\varphi_n]$  such that  $f_n = (W[g_n])$ . As,  $g_n \in L^1$ , we have  $f_n \in L^1$  and  $f_i \# \psi_j = f_j \# \psi_i, \forall i, j \in \mathbb{N}$  and  $g_i \# \psi_j = g_j \# \psi_i, \forall i, j \in \mathbb{N}$ . This shows that  $x = [f_n/\varphi_n] \in B_W(L^1, \Delta)$  and  $(W[f_n]) = g_n \in C^\infty$ . Hence  $x = [f_n/\varphi_n] \in B_W(L^1, \Delta)$  and  $(Wx) = y$ .

Therefore, the image of the wavelet transformable generalized quotient, under the classical wavelet transform, is given from the  $L^p$  space to  $C^\infty$  space. □

**Example 1** Let the Mexican hat wavelet (MHW), which is considered as an even wavelet and is derived by taking the second derivative of the Gaussian function is defined by

$$\psi(t) = e^{-\frac{t^2}{2}} (1 - t^2) = -\frac{d^2}{dt^2} e^{-\frac{t^2}{2}}. \quad (38)$$

The Fourier transform of MHW is as follows:

$$\hat{\psi}(w) = \sqrt{2\pi} w^2 e^{-\frac{w^2}{2}} \tag{39}$$

and the  $j$ th derivative of MHW is given by

$$D^j \psi(t) = \sum_{r=0}^j \binom{j}{r} D^{(r)}(1-t^2) D^{(j-r)} e^{-\frac{t^2}{2}}. \tag{40}$$

Using Hermite polynomial's property in (40), we have

$$\begin{aligned} D^j \psi(t) &= \sum_{r=0}^j \binom{j}{r} D^{(r)}(1-t^2) e^{-\frac{t^2}{2}} H_{j-r}(t) \\ &= j(1-t^2) e^{-\frac{t^2}{2}} H_j(t) + \binom{j}{r} (-2t) e^{-\frac{t^2}{2}} H_{k-1}(t), \end{aligned} \tag{41}$$

where  $H_k(t)$  denotes the Hermite polynomial. Therefore,  $\psi(t) \in L^p(\mathbb{R})$  and the Mexican hat wavelet transform of  $f \in L^q(\mathbb{R})$  is given by (2), [19].

### 5 Wavelet Transform on $\mathcal{B}_{\mathcal{S}'}(T^d)$

In the next section, we discuss the space of tempered distribution and tempered generalized quotients for the wavelet transform on the torus  $\mathcal{B}_{\mathcal{S}'}(T^d)$ . Further, convergence structure for the wavelet transform on  $\mathcal{B}_{\mathcal{S}'}(T^d)$  is discussed and an inversion formula is obtained for the same.

Let  $\mathcal{S}(\mathbb{R})$  and  $\mathcal{S}(\mathbb{R} \times \mathbb{R}_+)$  be the Schwartz testing function spaces. The space consists of rapidly decreasing smooth functions on  $\mathbb{R}$  and  $\mathbb{R} \times \mathbb{R}_+$  with compact support and hence, their dual is the space of tempered distributions denoted by  $\mathcal{S}'(\mathbb{R})$  and  $\mathcal{S}'(\mathbb{R} \times \mathbb{R}_+)$ .

**Lemma 5** *Let  $W : \mathcal{S}'(\mathbb{R}) \rightarrow \mathcal{S}'(\mathbb{R} \times \mathbb{R}_+)$  be a continuous, linear map such that for  $f \in \mathcal{S}'(\mathbb{R})$  its wavelet transform is defined by*

$$\langle (Wf), \phi \rangle = \langle f, W^* \phi \rangle, \quad \forall \phi \in \mathcal{S}(\mathbb{R} \times \mathbb{R}_+). \tag{42}$$

The space of tempered generalized quotients is denoted by  $\mathcal{B}_{\mathcal{S}'(\mathbb{R})}$  and let  $\alpha = (\alpha_1, \dots, \alpha_d)$ , where  $\alpha_j$  is a non-negative integer. Then,

$$|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_d \quad \text{and} \quad D^\alpha = \left( \frac{\partial}{\partial x_1} \right)^{\alpha_1} \dots \left( \frac{\partial}{\partial x_d} \right)^{\alpha_d}.$$

Let  $x = (x_1, \dots, x_d) \in \mathbb{R}^d$  and  $y = (y_1, \dots, y_d) \in \mathbb{R}^d$ , such that

$$x \cdot y = x_1y_1 + \dots + x_dy_d, \quad \text{and} \quad \|x\| = \sqrt{x \cdot x}.$$

Now, a complex-valued infinitely differentiable function is rapidly decreasing if

$$\sup_{|\alpha| \leq m} \sup_{x \in \mathbb{R}^d} (1 + x_1^2 + \dots + x_d^2)^m |D^\alpha f(x)| < \infty \tag{43}$$

for every non-negative integer  $m$ . Further, let  $\mathcal{S}(\mathbb{R}^d)$  be the space of all rapidly decreasing functions on  $\mathbb{R}^d$ .

Let  $\mathcal{A}$  be the class of pair of sequences defined by

$$\mathcal{A} = \{((f_i), (\eta_i)) : (f_i) \subset \Gamma, (\eta_i) \in \Delta\}.$$

An element  $((f_i), (\eta_i)) \in \mathcal{A}$ , is said to be a quotient of sequences also denoted by  $\frac{f_i}{\eta_i}$ , if

$$f_i \star \eta_j = f_j \star \eta_i, \quad \forall i, j \in \mathbb{N}. \tag{44}$$

Two quotient of sequences  $\frac{f_i}{\eta_i}$  and  $\frac{g_i}{\xi_i}$  are said to be equivalent if

$$f_i \star \xi_j = g_j \star \eta_i, \quad \forall i, j \in \mathbb{N}. \tag{45}$$

The equivalence class including  $\frac{f_i}{\eta_i}$  is represented by  $\left[ \frac{f_i}{\eta_i} \right]$  and are called generalized quotients. The collection of all generalized quotients is given by  $\mathcal{B}(\Gamma, (S, *), \star, \Delta)$ . A function  $f \in \Gamma$  can be identified as a generalized quotient  $\left[ \frac{f_i \star \eta_i}{\eta_i} \right]$ , where  $(\eta_i) \in \Delta$  is arbitrary. The space of generalized quotients has two convergences which are defined as follows.

**Definition 7** ( $\Delta$ -convergence) A sequence  $\{X_i\}_{i=1}^\infty$  in  $\mathcal{B}(S'(\mathbb{R}))$  is said to  $\Delta$ -converge to  $X \in \mathcal{B}(S'(\mathbb{R}))$ , represented by  $X_i \xrightarrow{\Delta} X$ , if there exist  $(\eta_j) \in \Delta$  such that  $(X_i - X) \star \eta_i \in \Gamma$  for all  $i \in \mathbb{N}$  and  $(X_i - X) \star \eta_i \rightarrow 0$  in  $\Gamma$  as  $i \rightarrow \infty$ .

**Definition 8** ( $\delta$ -convergence) A sequence  $\{X_i\}_{i=1}^\infty$  in  $\mathcal{B}(S'(\mathbb{R}))$  is said to  $\delta$ -converge to  $X \in \mathcal{B}(S'(\mathbb{R}))$ , represented by  $X_i \xrightarrow{\delta} X$ , if there exist  $(\eta_j) \in \Delta$  such that  $X_i \star \eta_j \in \Gamma$  and  $X \star \eta_j \in \Gamma, \forall i, j \in \mathbb{N}$  and  $X_i \star \eta_j \rightarrow X \star \eta_j$  in  $\Gamma$  as  $i \rightarrow \infty$ .

Mikusiński [9] introduced the space of tempered generalized quotients denoted by  $\mathcal{B}(\mathbb{R}) = \mathcal{B}(S'(\mathbb{R}), (\mathcal{D}(\mathbb{R}), *), *, \Delta_0)$  and the family of sequences  $(\varphi_n)$  from  $\mathcal{D}(\mathbb{R})$  such that

1.  $\int_{\mathbb{R}} \varphi_n(x) dx = 1$ , for all  $n \in \mathbb{N}$ ,
2.  $\int_{\mathbb{R}} |\varphi_n(x)| dx \leq M$ , for some constant  $M \geq 0$  and all  $n \in \mathbb{N}$ ,

- 3. The support of  $\varphi_n$ , decreases to 0 as  $n \rightarrow \infty$ .
- 3.  $S(\varphi_n) \rightarrow 0$  as  $n \rightarrow \infty$ , where  $S(\varphi_n) = \sup \{|x| : \varphi_n(x) \neq 0\}$ .

The generalized quotients space  $\mathcal{B}(\mathbb{R} \times \mathbb{R}_+) = \mathcal{B}(\mathcal{S}'(\mathbb{R} \times \mathbb{R}_+), (\mathcal{D}(\mathbb{R}), \star), \otimes, \Delta_0)$  or simply  $\mathcal{B}$  has been constructed by Roopkumar [21]. Every generalized quotient in  $\mathcal{B}(\mathbb{R} \times \mathbb{R}_+)$  is of the form  $\left[ \frac{F_i}{\eta_i} \right]$ , where  $F_i \in \mathcal{B}(\mathbb{R} \times \mathbb{R}_+)$ ,  $\forall i \in \mathbb{N}$ ,  $(\eta_i) \in \Delta_0$  and  $F_i \otimes \delta_j = F_j \otimes \delta_i, \forall i, j \in \mathbb{N}$ .

The space  $\mathcal{D}(\mathbb{R}^d)$  consists of smooth functions with compact support which are rapidly decreasing on  $\mathbb{R}^d$ . The dual space of  $\mathcal{D}(\mathbb{R}^d)$  is the space of distributions denoted by  $\mathcal{D}'(\mathbb{R}^d)$ .

The wavelet transform  $(Wf)$  of  $f \in \mathcal{S}'(\mathbb{R}^d)$  is the tempered distribution defined by

$$\langle (Wf), \phi \rangle = \langle f, W^* \phi \rangle, \quad \forall \phi \in \mathcal{S}(\mathbb{R}^d \times \mathbb{R}_+). \tag{46}$$

In this section, we introduce the space of generalized quotients on the torus given by Nemzer[10]. The space of torus is defined by

$$T^d = \{ (e^{ix_1}, \dots, e^{ix_d}) : x_j \text{ real} \}.$$

A function on  $T^d$  and on  $\mathbb{R}^d$  will be treated same, which is  $2\pi$ -periodic in each variable. For  $f \in \mathcal{T}$ , define

$$\tau_{2\pi} f(x) = f(x_1 + 2\pi, \dots, x_d + 2\pi).$$

The translation operator  $\tau_{2\pi}$  can be extended to  $\mathcal{B}_{\mathcal{T}}$  as follows:

$$\text{For } F = \left[ \frac{f_n}{\varphi_n} \right], \text{ we have } \tau_{2\pi} F = \left[ \frac{\tau_{2\pi} f_n}{\varphi_n} \right].$$

Thus,  $\tau_{2\pi} F$  is a tempered generalized quotient.

The space of generalized quotients on the torus  $\mathcal{B}_{\mathcal{S}}(T^d)$  is defined by

$$\mathcal{B}_{\mathcal{S}}(T^d) = \{ F \in \mathcal{B} : \tau_{2\pi} F = F \}. \tag{47}$$

**Lemma 6** Let  $F = \left[ \frac{f_n}{\varphi_n} \right] \in \mathcal{B}$ . Then  $F \in \mathcal{B}_{\mathcal{S}}(T^d)$  if and only if for all  $n \in \mathbb{N}$ ,  $f_n$  is  $2\pi$ -periodic in each variable.

**Definition 9** Let  $F = \left[ \frac{f_n}{\delta_n} \right] \in \mathcal{B}$ . Then  $F \in \mathcal{B}_{\mathcal{S}}(T^d)$  if and only if for all  $n \in \mathbb{N}$ ,  $f_n$  is  $2\pi$  periodic in each variable.

The wavelet coefficient of  $\phi$  on  $T^d$  is defined by

$$C_{j,k}(\phi) = \int_{T^d} \phi(x) \psi_{j,k}(t) dt, \quad (48)$$

where  $j \in \mathbb{Z}$  and  $k \in J = \{0, \dots, 2^j - 1\}^d$ .

**Theorem 9** Let  $F = \left[ \frac{\phi_n}{\varphi_n} \right] \in \mathcal{B}_S(T^d)$ , then for each  $j \in \mathbb{Z}$  and  $k \in J$  the sequence  $\{C_{j,k}(\phi_n)\}_{n=1}^\infty$  converges.

**Proof** For  $F = \left[ \frac{\phi_n}{\varphi_n} \right] \in \mathcal{B}_S(T)^d$  and  $\{\varphi_p\}_{p=1}^\infty$  be a delta sequence, then there exist a natural number  $p$  such that  $\varphi_p \neq 0$  and  $\varphi_p \rightarrow 1$  as  $p \rightarrow \infty$ . For  $j \in \mathbb{Z}$  and  $k \in J$ , consider

$$\begin{aligned} C_{j,k}(\phi_n) &= C_{j,k} \left( \left[ \frac{(\phi_n * \varphi_p)}{\varphi_p} \right] \right) \\ &= \left[ \frac{C_{j,k}(\phi_n * \varphi_p)}{\varphi_p} \right] \\ &= \left[ \frac{C_{j,k}(\phi_p * \varphi_n)}{\varphi_p} \right] \\ &= \left[ \frac{C_{j,k}(\phi_p) \otimes \varphi_n}{\varphi_p} \right]. \end{aligned} \quad (49)$$

Therefore, (49) converges to  $\left[ \frac{C_{j,k}(\phi_p)}{\varphi_p} \right]$  as  $n \rightarrow \infty$ . Hence, the theorem is proved.  $\square$

**Definition 10** For  $F = \left[ \frac{\phi_n}{\delta_n} \right] \in \mathcal{B}_S(T^d)$ , the wavelet coefficient of the generalized quotient  $F$  is defined by

$$C_{j,k}(F) = \lim_{n \rightarrow \infty} C_{j,k}(\phi_n).$$

**Theorem 10** Let  $F \in \mathcal{B}_S(T^d)$ . Then

$$(WF) = \sum_{j \in \mathbb{Z}} \sum_{k \in J} C_{j,k}(F) \delta(x - k, y - j).$$

**Proof** The proof of this theorem is given in [29].  $\square$

The next theorem shows that the wavelet transform maps  $\mathcal{B}_S(T^d)$  onto  $\mathcal{S}'(\mathbb{R}^d \times \mathbb{R}_+)$  such that the space of hyperfunctions on  $T^d$  can be identified with a proper subspace of  $\mathcal{B}_S(T^d)$ .

**Theorem 11** *The wavelet transform is a bijection from  $\mathcal{B}_S(T^d)$  onto  $\mathcal{S}'_\delta(\mathbb{R}^d \times \mathbb{R}_+)$ , where  $\mathcal{S}'_\delta(\mathbb{R}^d \times \mathbb{R}_+)$  is the collection of all distributions of the form  $\sum_j \sum_k \alpha_{j,k} \delta(x - k, y - j)$ .*

**Proof** The proof of this theorem is given in [29]. □

## 6 Conclusions

In recent years, the theory of generalized quotients and their integral transforms has become an active and important part of the theory of generalized integral transforms. The study of generalized quotients and its spaces has given substantial support to the study of applied functional analysis. Mikusiński and his associates described different classes of generalized quotients on transform, viz., Fourier, Laplace, Radon, and Zak. Kalpakam and later extended this theory of generalized quotients on Fourier transform, Hilbert transform and Weierstrass transform. In this chapter, a uniqueness theorem is proved for the wavelet transform for the quotient space of analytic functions. Further, the wavelet transform is studied on the space of generalized quotients on the torus. This generalized space contains the space of periodic hyperfunctions and space of distributions. We have successfully derived the corresponding wavelet inversion formula by involving wavelet coefficients on the torus. It may be concluded here that the employed wavelet transform method in this chapter is a very efficient technique and could lead to a promising approach to function spaces on other domains, like locally compact groups and manifolds. The wavelet theory has been applied widely in many fields, such as signal analysis and processing, image compression, pattern recognition, detecting the mutation signal and so on.

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# Certain Image Formulae of the Incomplete $I$ -Function Under the Conformable and Pathway Fractional Integral and Derivative Operators



Manish Kumar Bansal, Devendra Kumar, and Junesang Choi

**Abstract** In this paper, we establish several interesting image formulae of the incomplete  $I$ -function under the conformable and pathway fractional integral and derivative operators. Since both the incomplete  $I$ -function and the conformable fractional integral and derivative operators are very general among special functions as well as fractional integral and derivative operators, the main results presented here can give a number of specific identities, some of which are explicitly demonstrated in the corollaries.

**Keywords** Incomplete  $I$ -functions · Mellin-Barnes-type contour · Fractional integral operator · Fractional differential operator · Pathway fractional integral

**2010 Mathematics Subject Classification:** Primary 26A33 · 30E20 Secondary 33E20 · 33C60

## 1 Introduction and Preliminaries

Numerous scholars have studied and developed various special functions over the years, including incomplete  $\mathfrak{N}$ -functions [1], incomplete  $I$ -functions [2], incomplete  $H$ -functions [3], Fox's  $H$ -function [4–6], Mittag-Leffler function and its extended variants [7–12], and others. Numerous researchers have investigated the aforemen-

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M. K. Bansal (✉)

Department of Mathematics, Jaypee Institute of Information Technology, Noida 201309, Uttar Pradesh, India

e-mail: [bansalmanish443@gmail.com](mailto:bansalmanish443@gmail.com); [manish.bansal@mail.jiit.ac.in](mailto:manish.bansal@mail.jiit.ac.in)

D. Kumar

Department of Mathematics, University of Rajasthan, Jaipur 302004, Rajasthan, India

e-mail: [devendra.maths@gmail.com](mailto:devendra.maths@gmail.com)

J. Choi

Department of Mathematics, Dongguk University, Gyeongju 38066, Republic of Korea

e-mail: [junesang@dongguk.ac.kr](mailto:junesang@dongguk.ac.kr)

tioned functions and given a number of their properties (see, for example, [13–16]), and they have been extensively used in the areas of engineering and applied sciences (see, for example, [17–23]). Bansal and Kumar [2] recently introduced the  $(\Gamma)I_{p_\ell, q_\ell; s}^{m, n}(w)$  and  $(\gamma)I_{p_\ell, q_\ell; s}^{m, n}(w)$  families of incomplete  $I$ -functions. Incomplete  $I$ -functions are an extension of Saxena’s  $I$ -functions [24]. Several special functions may be produced by specializing the parameters of the incomplete  $I$ -functions (for example, incomplete  $H$ -function,  $H$ -function, Mittag-Leffler function, etc.). The following Mellin-Barnes-type contour integral is used to define the incomplete  $I$ -function:

$$\begin{aligned}
 (\Gamma)I_{p_\ell, q_\ell; s}^{m, n}(w) &= (\Gamma)I_{p_\ell, q_\ell; s}^{m, n} \left[ w \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right] \quad (1) \\
 &= \frac{1}{2\pi i} \int_{\mathcal{L}} \chi(\xi, u) w^{-\xi} d\xi,
 \end{aligned}$$

where

$$\chi(\xi, u) = \frac{\Gamma(1 - a_1 - \alpha_1\xi, u) \prod_{i=2}^n \Gamma(1 - a_i - \alpha_i\xi) \prod_{j=1}^m \Gamma(b_j + \beta_j\xi)}{\sum_{\ell=1}^s \left[ \prod_{i=n+1}^{p_\ell} \Gamma(a_{i\ell} + \alpha_{i\ell}\xi) \prod_{j=m+1}^{q_\ell} \Gamma(1 - b_{j\ell} - \beta_{j\ell}\xi) \right]}. \quad (2)$$

Here, the following conditions are satisfied:

- (i)  $\iota = \sqrt{-1}$ ,  $w \in \mathbb{C} \setminus \{0\}$ , the multiple valued function  $w^{-\xi}$  is taken as the principal branch.
- (ii)  $u$  is a non-negative real number,  $m, n, p_\ell, q_\ell$  are non-negative integers such that

$$0 \leq n \leq p_\ell, \quad 0 \leq m \leq q_\ell \quad (\ell \in \overline{1, s} := \{k \in \mathbb{N} : 1 \leq k \leq s\}).$$

- (iii)  $\alpha_i, \beta_j, \alpha_{i\ell}, \beta_{j\ell}$  are positive real numbers, and  $a_i, b_j, a_{i\ell}, b_{j\ell} \in \mathbb{C}$ .
- (iv) The contour  $\mathcal{L}$  of integration in the complex  $\xi$ -plane is an infinite vertical line which runs from  $\gamma - \iota\infty$  to  $\gamma + \iota\infty$ , for some  $\gamma \in \mathbb{R}$ , (if necessary, it is indented) so that singularities of  $\Gamma(1 - a_i - \alpha_i\xi)$  ( $i \in \overline{1, n}$ ) lie entirely to the right of the contour and the singularities of  $\Gamma(b_j + \beta_j\xi)$  ( $j \in \overline{1, m}$ ) lie entirely to the left of the contour.
- (v) The integrand  $\chi(\xi, u)$  has simple poles. An empty product is interpreted to be unity.
- (vi) Here and elsewhere, let  $\mathbb{N}, \mathbb{Z}_0^-, \mathbb{R}, \mathbb{R}^+$ , and  $\mathbb{C}$  denote the sets of positive integers, non-positive integers, real numbers, positive real numbers, and complex numbers, respectively, and also let  $\mathbb{N}_0 := \mathbb{N} \cup \{0\}$ .

The following are the convergence criteria for the integral in (1) (see [2]): Let

$$\Omega_\ell := \sum_{i=1}^n \alpha_i + \sum_{j=1}^m \beta_j - \sum_{i=n+1}^{p_\ell} \alpha_{i\ell} - \sum_{j=m+1}^{q_\ell} \beta_{j\ell} \tag{3}$$

and

$$\mathcal{E}_\ell := \sum_{j=1}^m b_j - \sum_{i=1}^n a_i + \sum_{j=m+1}^{q_\ell} b_{j\ell} - \sum_{i=n+1}^{p_\ell} a_{i\ell} + \frac{1}{2}(p_\ell - q_\ell) \quad (\ell \in \overline{1, \mathfrak{s}}). \tag{4}$$

Then, under one of the following conditions, the integral in (1) converges:

$$\Omega_\ell > 0, \quad |\arg(w)| < \frac{\pi}{2} \Omega_\ell \quad (\ell \in \overline{1, \mathfrak{s}}) \tag{5}$$

and

$$\Omega_\ell \geq 0, \quad |\arg(w)| < \frac{\pi}{2} \Omega_\ell, \quad \Re(\mathcal{E}_\ell) + 1 < 0 \quad (\ell \in \overline{1, \mathfrak{s}}). \tag{6}$$

Numerous special functions can be obtained by specializing the parameters of the incomplete *I*-function. Several of them are listed below:

1. Setting  $u = 0$  in (1) yields Saxena's *I*-function (see [25]):

$$I_{p_\ell, q_\ell, \mathfrak{s}}^{m, n} \left[ w \left| \begin{matrix} (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right]. \tag{7}$$

2. Putting  $\mathfrak{s} = 1$  in (1) gives the incomplete *H*-functions (see [3]; see also [26]):

$$\Gamma_{p, q}^{m, n} \left[ w \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, p} \\ (b_j, \beta_j)_{1, q} \end{matrix} \right. \right]. \tag{8}$$

3. Taking  $u = 0$  and  $\mathfrak{s} = 1$  in (1) produces the familiar Fox's *H*-function (see, for example, [4, p. 10]):

$$\begin{aligned} &({}^\Gamma) I_{p_\ell, q_\ell, 1}^{m, n} \left[ w \left| \begin{matrix} (a_1, \alpha_1, 0), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right] \\ &= H_{p, q}^{m, n} \left[ w \left| \begin{matrix} (a_1, \alpha_1), \dots, (a_p, \alpha_p) \\ (b_1, \beta_1), \dots, (b_q, \beta_q) \end{matrix} \right. \right]. \end{aligned} \tag{9}$$

4. Setting  $\mathfrak{s} = 1, m = 1, n = p, q$  being replaced by  $q + 1$ , and modifying some parameters in (1) offers the incomplete Fox-Wright  $\Psi$ -function  ${}_p\Psi_q^{(\Gamma)}$  (see [3, Eq. (6.4)]):

$$({}^\Gamma)J_{p,q+1}^{1,p} \left[ -w \left| \begin{matrix} (1 - a_1, \alpha_1, u), (1 - a_j, \alpha_j)_{2,p} \\ (0, 1), (1 - b_j, \beta_j)_{1,q} \end{matrix} \right. \right] = {}_p\Psi_q^{(\Gamma)} \left[ \begin{matrix} (a_1, \alpha_1, u), (a_j, \alpha_j)_{2,p}; w \\ (b_j, \beta_j)_{1,q} \end{matrix} \right]. \tag{10}$$

Numerous researchers have been engaged in research on fractional calculus and its applications. They introduced and investigated many different types of fractional derivatives and integrals. Also, they explored many features and applications of fractional calculus. The most widely used schemes, Riemann-Liouville and Caputo derivatives, are utilized in engineering, mathematical physics, image processing, biology, applied sciences, medical and health sciences, control systems, and bio-engineering, among others [27–36].

The Riemann-Liouville left and right fractional integral operators designated  ${}_aJ^\kappa$  and  $J_b^\kappa$  and the corresponding Riemann-Liouville fractional derivative operators  ${}_aD^\kappa$  and  $D_b^\kappa$  of arbitrary order are defined as follows: (see [37–39]):

$${}_aJ^\kappa f(w) = \frac{1}{\Gamma(\kappa)} \int_a^w (w - \rho)^{\kappa-1} f(\rho) d\rho \quad (\Re(\kappa) > 0, w > a); \tag{11}$$

$$J_b^\kappa f(w) = \frac{1}{\Gamma(\kappa)} \int_w^b (\rho - w)^{\kappa-1} f(\rho) d\rho \quad (\Re(\kappa) > 0, b > a); \tag{12}$$

$${}_aD^\kappa f(w) = D_a^n J_a^{n-\kappa} f(w) = \frac{1}{\Gamma(n-\kappa)} \left( \frac{d}{dt} \right)^n \int_a^w (w - \rho)^{n-\kappa-1} f(\rho) d\rho \tag{13}$$

$$(\Re(\kappa) \geq 0, n = [\Re(\kappa)] + 1);$$

$$D_b^\kappa f(w) = (-D)^n J_b^{n-\kappa} f(w) = \frac{1}{\Gamma(n-\kappa)} \left( -\frac{d}{dt} \right)^n \int_w^b (\rho - w)^{n-\kappa-1} f(\rho) d\rho \tag{14}$$

$$(\Re(\kappa) \geq 0, n = [\Re(\kappa)] + 1).$$

The left-sided and right-sided Caputo fractional derivative operators of order  $\kappa$  are defined, respectively, by (see [37–39]):

$${}_a^C D^\kappa f(w) = {}_aJ_w^{n-\kappa} f^{(n)}(w) = \frac{1}{\Gamma(n-\kappa)} \int_a^w (w - \rho)^{n-\kappa-1} f^{(n)}(\rho) d\rho \tag{15}$$

$$(\Re(\kappa) \geq 0, n = [\Re(\kappa)] + 1)$$

and

$${}^C D_b^\kappa f(w) = J_b^{n-\kappa} (-1)^n f^{(n)}(w) = \frac{(-1)^n}{\Gamma(n-\kappa)} \int_w^b (\rho - w)^{n-\kappa-1} f^{(n)}(\rho) d\rho \tag{16}$$

$$(\Re(\kappa) \geq 0, n = [\Re(\kappa)] + 1).$$

The left-sided and right-sided Hadamard fractional integral operators designated  ${}_a\mathfrak{J}^\kappa$  and  $\mathfrak{J}_b^\kappa$  and the corresponding Hadamard fractional derivative operators  ${}_a\mathfrak{D}^\kappa$  and  $\mathfrak{D}_b^\kappa$  are defined as follows (see [40]):

$${}_a\mathfrak{J}^\kappa f(w) = \frac{1}{\Gamma(\kappa)} \int_a^w (\ln w - \ln \rho)^{\kappa-1} f(\rho) \frac{d\rho}{\rho} \quad (\Re(\kappa) > 0, w > a); \quad (17)$$

$$\mathfrak{J}_b^\kappa f(w) = \frac{1}{\Gamma(\kappa)} \int_w^b (\ln \rho - \ln w)^{\kappa-1} f(\rho) \frac{d\rho}{\rho} \quad (\Re(\kappa) > 0, b > a); \quad (18)$$

$${}_a\mathfrak{D}^\kappa f(w) = \left( w \frac{d}{dw} \right)^n {}_a\mathfrak{J}^{n-\kappa} f(w) \quad (\Re(\kappa) > 0, n = [\kappa] + 1); \quad (19)$$

$$\mathfrak{D}_b^\kappa f(w) = \left( -w \frac{d}{dw} \right)^n \mathfrak{J}_b^{n-\kappa} f(w) \quad (\Re(\kappa) > 0, n = [\kappa] + 1, b > a). \quad (20)$$

The left-sided and right-sided Katugampola fractional integral operators designated  ${}_a\mathcal{I}^{\sigma,\kappa}$  and  $\mathcal{I}_b^{\sigma,\kappa}$  and the corresponding Katugampola fractional derivative operators  ${}_a\mathcal{D}^{\sigma,\kappa}$  and  $\mathcal{D}_b^{\sigma,\kappa}$  are defined as follows (see [41, 42]):

$$({}_a\mathcal{I}^{\sigma,\kappa} f)(w) = \frac{1}{\Gamma(\sigma)} \int_a^w \left( \frac{w^\kappa - \rho^\kappa}{\kappa} \right)^{\sigma-1} f(\rho) \frac{d\rho}{\rho^{1-\kappa}} \quad (\Re(\sigma) > 0); \quad (21)$$

$$(\mathcal{I}_b^{\sigma,\kappa} f)(w) = \frac{1}{\Gamma(\sigma)} \int_w^b \left( \frac{\rho^\kappa - w^\kappa}{\kappa} \right)^{\sigma-1} f(\rho) \frac{d\rho}{\rho^{1-\kappa}} \quad (\Re(\sigma) > 0); \quad (22)$$

$$\begin{aligned} ({}_a\mathcal{D}^{\sigma,\kappa} f)(w) &= \left( w^{1-\kappa} \frac{d}{dw} \right)^n ({}_a\mathcal{I}^{n-\sigma,\kappa} f)(w) \\ &= \frac{1}{\Gamma(n-\sigma)} \left( w^{1-\kappa} \frac{d}{dw} \right)^n \int_a^w \left( \frac{w^\kappa - \rho^\kappa}{\kappa} \right)^{n-\sigma-1} f(\rho) \frac{d\rho}{\rho^{1-\kappa}}; \end{aligned} \quad (23)$$

$$\begin{aligned} (\mathcal{D}_b^{\sigma,\kappa} f)(w) &= \left( -w^{1-\kappa} \frac{d}{dw} \right)^n (\mathcal{I}_b^{n-\sigma,\kappa} f)(w) \\ &= \frac{1}{\Gamma(n-\sigma)} \left( -w^{1-\kappa} \frac{d}{dw} \right)^n \int_w^b \left( \frac{\rho^\kappa - w^\kappa}{\kappa} \right)^{n-\sigma-1} f(\rho) \frac{d\rho}{\rho^{1-\kappa}}. \end{aligned} \quad (24)$$

The left-sided and right-sided fractional conformable integral operators  ${}_a^\sigma\mathfrak{J}^\kappa$  and  ${}^\sigma\mathfrak{J}_b^\kappa$  and the corresponding fractional conformable derivative operators  ${}_a^\sigma\mathcal{D}^\kappa$  and  ${}^\sigma\mathcal{D}_b^\kappa$  are defined as follows (see [43]):

$${}_a^\sigma\mathfrak{J}^\kappa f(w) = \frac{1}{\Gamma(\sigma)} \int_a^w \left( \frac{(w-a)^\kappa - (\rho-a)^\kappa}{\kappa} \right)^{\sigma-1} f(\rho) \frac{d\rho}{(\rho-a)^{1-\kappa}} \quad (\Re(\sigma) > 0); \quad (25)$$

$${}^\sigma\mathfrak{J}_b^\kappa f(w) = \frac{1}{\Gamma(\sigma)} \int_w^b \left( \frac{(b-w)^\kappa - (b-\rho)^\kappa}{\kappa} \right)^{\sigma-1} f(\rho) \frac{d\rho}{(b-\rho)^{1-\kappa}} \quad (\Re(\sigma) > 0); \quad (26)$$

$$\begin{aligned}
 {}_a^\sigma \mathcal{D}^\kappa f(w) &= {}_a^n T^\kappa ({}_a^{n-\sigma} \mathcal{J}^\kappa) f(w) \\
 &= \frac{{}_a^n T^\kappa}{\Gamma(n-\sigma)} \int_a^w \left( \frac{(w-a)^\kappa - (\rho-a)^\kappa}{\kappa} \right)^{n-\sigma-1} f(\rho) \frac{d\rho}{(\rho-a)^{1-\kappa}} \quad (\Re(\sigma) \geq 0);
 \end{aligned}
 \tag{27}$$

$$\begin{aligned}
 {}_b^\sigma \mathcal{D}^\kappa f(w) &= {}_b^n T^\kappa ({}_b^{n-\sigma} \mathcal{J}^\kappa) f(w) \\
 &= \frac{(-1)^n {}_b^n T^\kappa}{\Gamma(n-\sigma)} \int_w^b \left( \frac{(b-w)^\kappa - (b-\rho)^\kappa}{\kappa} \right)^{n-\sigma-1} f(\rho) \frac{d\rho}{(b-\rho)^{1-\kappa}} \quad (\Re(\sigma) \geq 0).
 \end{aligned}
 \tag{28}$$

Here

$$n = [\Re(\sigma)] + 1, \quad {}_a^n T^\kappa = \underbrace{{}_a T^\kappa {}_a T^\kappa \dots {}_a T^\kappa}_{n\text{-times}}, \quad {}_b^n T^\kappa = \underbrace{T_b^\kappa T_b^\kappa \dots T_b^\kappa}_{n\text{-times}}, \tag{29}$$

and

$${}_a T^\kappa f(w) = (w-a)^{1-\kappa} f'(w), \quad T_b^\kappa f(w) = (b-w)^{1-\kappa} f'(w). \tag{30}$$

**Remark 1** The fractional conformable integral and derivative operators may be reduced to yield the aforementioned fractional integral and derivative operators as follows:

- (i) Taking  $a = 0, \kappa = 1$  in (25) yields the left-sided Riemann-Liouville fractional integral operator (11);  
 Setting  $a = 0, \kappa \rightarrow 0$  in (25) gives the left-sided Hadamard fractional integral operator (17);  
 Putting  $a = 0$  in (25) produces the left-sided Katugampola fractional integral operator (21).
- (ii) Setting  $b = 0, \kappa = 1$  in (26) yields the right-sided Riemann-Liouville fractional integral operator (12);  
 Taking  $b = 0, \kappa \rightarrow 0$  in (26) gives the right-sided Hadamard fractional integral operator (18);  
 Putting  $b = 0$  in (26) offers the right-sided Katugampola fractional integral operator (22).
- (iii) Taking  $a = 0, \kappa = 1$  in (27) yields the left-sided Riemann-Liouville fractional derivative operator (13);  
 Setting  $a = 0, \kappa \rightarrow 0$  in (27) gives the left-sided Hadamard fractional integral operator (19);  
 Putting  $a = 0$  in (27) produces the left-sided Katugampola fractional integral operator (23).
- (iv) Setting  $b = 0, \kappa = 1$  in (28) yields the right-sided Riemann-Liouville fractional integral operator (14);

Putting  $b = 0, \kappa \rightarrow 0$  in (28) gives the right-sided Hadamard fractional integral operator (20);

Taking  $b = 0$  in (28) produces the right-sided Katugampola fractional integral operator (24).

In this paper, we aim to establish several intriguing image formulae related with the incomplete  $I$ -function under the fractional conformable integral operators. For our purpose, we begin by presenting some image formulae of power functions under the conformable fractional operators, asserted in the following theorem.

**Theorem 1.1** *Let  $\Re(\lambda) > 0, \Re(\kappa) > 0, \Re(\sigma) > 0$ , and  $a \in \mathbb{R}$ . Then*

$${}_a^\sigma \mathcal{J}^\kappa (w - a)^\lambda = \frac{1}{\kappa^\sigma} \frac{\Gamma\left(\frac{\lambda}{\kappa} + 1\right)}{\Gamma\left(\frac{\lambda}{\kappa} + \sigma + 1\right)} (w - a)^{\kappa\sigma + \lambda}; \tag{31}$$

$${}_b^\sigma \mathcal{J}_b^\kappa (b - w)^\lambda = \frac{1}{\kappa^\sigma} \frac{\Gamma\left(\frac{\lambda}{\kappa} + 1\right)}{\Gamma\left(\frac{\lambda}{\kappa} + \sigma + 1\right)} (b - w)^{\kappa\sigma + \lambda}; \tag{32}$$

$${}_a^\sigma \mathcal{D}^\kappa (w - a)^\lambda = \kappa^\sigma \frac{\Gamma\left(\frac{\lambda}{\kappa} + 1\right)}{\Gamma\left(\frac{\lambda}{\kappa} - \sigma + 1\right)} (w - a)^{\lambda - \kappa\sigma}; \tag{33}$$

$${}_b^\sigma \mathcal{D}_b^\kappa (b - w)^\lambda = \kappa^\sigma \frac{\Gamma\left(\frac{\lambda}{\kappa} + 1\right)}{\Gamma\left(\frac{\lambda}{\kappa} - \sigma + 1\right)} (b - w)^{\lambda - \kappa\sigma}. \tag{34}$$

**Proof** We find

$${}_a^\sigma \mathcal{J}^\kappa (w - a)^\lambda = \frac{1}{\Gamma(\sigma)} \int_a^w \left( \frac{(w - a)^\kappa - (\rho - a)^\kappa}{\kappa} \right)^{\sigma - 1} (\rho - a)^\lambda \frac{d\rho}{(\rho - a)^{1 - \kappa}},$$

which, upon setting  $u = \left(\frac{\rho - a}{w - a}\right)^\kappa$ , yields

$${}_a^\sigma \mathcal{J}^\kappa (w - a)^\lambda = \frac{(w - a)^{\kappa\sigma + \lambda}}{\kappa^\sigma \Gamma(\sigma)} \int_0^1 (1 - u)^{\sigma - 1} u^{\frac{\lambda}{\kappa}} du.$$

Now, applying the well-known beta function (see, e.g., [44, p. 8])

$$B(\alpha, \beta) = \begin{cases} \int_0^1 u^{\alpha - 1} (1 - u)^{\beta - 1} du & (\Re(\alpha) > 0, \Re(\beta) > 0) \\ \frac{\Gamma(\alpha)\Gamma(\beta)}{\Gamma(\alpha + \beta)} & (\alpha, \beta \in \mathbb{C} \setminus \mathbb{Z}_0^-) \end{cases} \tag{35}$$

to the last integral, we obtain the desired identity (31).

Similarly, the other ones can be proved. We omit the details. □

Let  $\phi \in L(c, d)$ , which denotes the set of Lebesgue measurable functions defined on the interval  $(c, d)$ . Then the pathway fractional integral operator  $\mathcal{P}_{0^+}^{(\eta, \alpha, a)} \phi$  with

a pathway parameter  $\alpha < 1$  is defined as follows (see [45, p. 239]; see also [26, Eq. (24)]):

$$\left(\mathcal{P}_{0^+}^{(\eta, \alpha, a)}\phi\right)(t) := t^\eta \int_0^{\frac{t}{a(1-\alpha)}} \left[1 - \frac{a(1-\alpha)w}{t}\right]^{\frac{\eta}{1-\alpha}} \phi(w) dw \tag{36}$$

$$(\Re(\eta) > 0, a \in \mathbb{R}^+, \phi \in L(0, \infty)).$$

It is easy to find the following relationship between the pathway fractional integral operator and the left-sided Katugampola fractional integral operator:

$$\left(\mathcal{P}_{0^+}^{(\eta-1, 0, 1)}\phi\right)(w) = \int_0^w \frac{\phi(u)}{(w-u)^{1-\eta}} du = \Gamma(\eta) ({}_0\mathcal{I}^{\eta, 1}\phi)(w). \tag{37}$$

We also recall a known formula (see [45, Eq. (12)])

$$\mathcal{P}_{0^+}^{(\eta, \alpha, a)}(t^{\beta-1}) = \frac{t^{\eta+\beta}}{[a(1-\alpha)]^\beta} \frac{\Gamma(\beta) \Gamma(1 + \frac{\eta}{1-\alpha})}{\Gamma(1 + \beta + \frac{\eta}{1-\alpha})} \tag{38}$$

$$\left(a \in \mathbb{R}^+, \alpha < 1, \Re(\eta) > 0, \Re(\beta) > 0, \Re\left(1 + \frac{\eta}{1-\alpha}\right) > 0\right).$$

## 2 Main Results

In this section, under the fractional conformable integral and derivative operators, we establish the image formulae of incomplete  $I$ -functions.

**Theorem 2.1** *Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0$ , and  $a \in \mathbb{R}$ . Then*

$$\begin{aligned} & {}_a^\sigma \mathcal{J}^\kappa (w-a)^{\mu-1} (\Gamma) I_{p_\ell, q_\ell; s}^{m, n} \left[ (w-a)^\lambda \right] \\ &= {}_a^\sigma \mathcal{J}^\kappa (w-a)^{\mu-1} (\Gamma) I_{p_\ell, q_\ell; s}^{m, n} \left[ (w-a)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right] \\ &= \frac{(w-a)^{\kappa\sigma + \mu - 1}}{\kappa^\sigma} (\Gamma) I_{p_\ell + 1, q_\ell + 1; s}^{m, n+1} \left[ (w-a)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{matrix} \right. \right]. \end{aligned} \tag{39}$$

**Proof** Let  $\mathcal{L}$  be the left member of (39). Using (25) and (1), we get

$$\mathcal{L} = {}_a^\sigma \mathcal{J}^\kappa \left\{ (w-a)^{\mu-1} \frac{1}{2\pi i} \int_\Omega \chi(\xi, u) (w-a)^{-\lambda\xi} d\xi \right\}, \tag{40}$$



where  $\chi(\xi, u)$  is given as in (2). Then, interchanging the order of the integrals in (40), which can be verified under the given restrictions, we derive

$$\mathcal{L} = \frac{1}{2\pi l} \int_{\mathcal{L}} \chi(\xi, u) {}_{\mathfrak{a}}^{\sigma} \mathcal{J}^{\kappa} \{ (w - \mathfrak{a})^{\mu - \lambda \xi - 1} \} d\xi. \tag{41}$$

Using (31) to evaluate the left conformable fractional integral in (41), we obtain

$$\mathcal{L} = \frac{1}{2\pi l} \int_{\mathcal{L}} \chi(\xi, u) \frac{1}{\kappa^{\sigma}} \frac{\Gamma\left(\frac{\mu - \lambda \xi - 1}{\kappa} + 1\right)}{\Gamma\left(\frac{\mu - \lambda \xi - 1}{\kappa} + \sigma + 1\right)} (w - \mathfrak{a})^{\kappa \sigma + \mu - \lambda \xi - 1} d\xi.$$

Finally, with the help of (2), we get the right-hand side of (39). □

**Theorem 2.2** Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0,$  and  $\mathfrak{b} \in \mathbb{R}.$  Then

$$\begin{aligned} & {}_{\mathfrak{b}}^{\sigma} \mathcal{J}_{\mathfrak{b}}^{\kappa} (b - w)^{\mu - 1} (\Gamma) I_{\mathfrak{p}_{\ell}, \mathfrak{q}_{\ell}; \mathfrak{s}}^{m, n} \left[ (b - w)^{\lambda} \right] \\ &= {}_{\mathfrak{b}}^{\sigma} \mathcal{J}_{\mathfrak{b}}^{\kappa} (b - w)^{\mu - 1} (\Gamma) I_{\mathfrak{p}_{\ell}, \mathfrak{q}_{\ell}; \mathfrak{s}}^{m, n} \left[ (b - w)^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, \mathfrak{p}_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, \mathfrak{q}_{\ell}} \end{matrix} \right. \right] \\ &= \frac{(b - w)^{\kappa \sigma + \mu - 1}}{\kappa^{\sigma}} (\Gamma) I_{\mathfrak{p}_{\ell} + 1, \mathfrak{q}_{\ell} + 1; \mathfrak{s}}^{m, n+1} \left[ (b - w)^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, \mathfrak{p}_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, \mathfrak{q}_{\ell}}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{matrix} \right. \right]. \end{aligned} \tag{42}$$

**Proof** The proof would run parallel to that of Theorem 2.1. We omit the details. □

**Theorem 2.3** Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) \geq 0,$  and  $\mathfrak{a} \in \mathbb{R}.$  Then

$$\begin{aligned} & {}_{\mathfrak{a}}^{\sigma} \mathcal{D}^{\kappa} (w - \mathfrak{a})^{\mu - 1} (\Gamma) I_{\mathfrak{p}_{\ell}, \mathfrak{q}_{\ell}; \mathfrak{s}}^{m, n} \left[ (w - \mathfrak{a})^{\lambda} \right] \\ &= {}_{\mathfrak{a}}^{\sigma} \mathcal{D}^{\kappa} (w - \mathfrak{a})^{\mu - 1} (\Gamma) I_{\mathfrak{p}_{\ell}, \mathfrak{q}_{\ell}; \mathfrak{s}}^{m, n} \left[ (w - \mathfrak{a})^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, \mathfrak{p}_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, \mathfrak{q}_{\ell}} \end{matrix} \right. \right] \\ &= \kappa^{\sigma} (w - \mathfrak{a})^{\mu - \kappa \sigma - 1} (\Gamma) I_{\mathfrak{p}_{\ell} + 1, \mathfrak{q}_{\ell} + 1; \mathfrak{s}}^{m, n+1} \left[ (w - \mathfrak{a})^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, \mathfrak{p}_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, \mathfrak{q}_{\ell}}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}\right) \end{matrix} \right. \right]. \end{aligned} \tag{43}$$

**Proof** Let  $\Delta$  be the left member of (43). Using (27) and (1), we obtain

$$\Delta = {}_{\mathfrak{a}}^{\sigma} \mathcal{D}^{\kappa} \left\{ (w - \mathfrak{a})^{\mu - 1} \frac{1}{2\pi l} \int_{\mathcal{L}} \chi(\xi, u) (w - \mathfrak{a})^{-\lambda \xi} d\xi \right\},$$

where  $\chi(\xi, u)$  is given as in (2). Then, interchanging the order of the integrals, which can be justified under the given constraints, we find

$$\Delta = \frac{1}{2\pi i} \int_{\Sigma} \chi(\xi, u) {}_a^{\sigma} \mathcal{D}^{\kappa} \{ (w - a)^{\mu - \lambda \xi - 1} \} d\xi. \tag{44}$$

Using  $t$  (33) to evaluate the left conformable fractional derivative in (44) gives

$$\Delta = \frac{1}{2\pi i} \int_{\Sigma} \chi(\xi, u) \kappa^{\sigma} \frac{\Gamma\left(\frac{\mu - \lambda \xi - 1}{\kappa} + 1\right)}{\Gamma\left(\frac{\mu - \lambda \xi - 1}{\kappa} - \sigma + 1\right)} (w - a)^{\mu - \lambda \xi - 1 - \kappa \sigma} d\xi. \tag{45}$$

Finally, upon employing (2) in (45), we get the last equality of (43). □

**Theorem 2.4** *Let  $u \geq 0$ ,  $\Re(\lambda) > 0$ ,  $\Re(\mu) > 1$ ,  $\Re(\kappa) > 0$ ,  $\Re(\sigma) \geq 0$ , and  $a \in \mathbb{R}$ . Then*

$$\begin{aligned} & {}_{\sigma} \mathcal{D}_b^{\kappa} (b - w)^{\mu - 1} (\Gamma) J_{p_{\ell}, q_{\ell}; s}^{m, n} \left[ (b - w)^{\lambda} \right] \\ &= {}_{\sigma} \mathcal{D}_b^{\kappa} (b - w)^{\mu - 1} (\Gamma) J_{p_{\ell}, q_{\ell}; s}^{m, n} \left[ (b - w)^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}} \end{matrix} \right. \right] \\ &= \kappa^{\sigma} (b - w)^{\mu - \kappa \sigma - 1} (\Gamma) J_{p_{\ell} + 1, q_{\ell} + 1; s}^{m, n+1} \left[ (b - w)^{\lambda} \left| \begin{matrix} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}\right) \end{matrix} \right. \right]. \end{aligned} \tag{46}$$

**Proof** The proof would run parallel to that of Theorem 2.3. We omit the details. □

### 3 Pathway Fractional Integral Formula

Here, we establish a pathway fractional integral formula for the incomplete  $I$ -function.

**Theorem 3.1** *Let  $a \in \mathbb{R}^+$ ,  $\alpha < 1$ ,  $\mu \in \mathbb{R}^+$ ,  $\Re(\lambda) > 0$ ,  $\Re\left(1 + \frac{\eta}{1 - \alpha}\right) > 0$ ,  $u \geq 0$ , and  $k \in \mathbb{R}$ . Also let  $a_i \in \mathbb{C}$ ,  $\alpha_i \in \mathbb{R}^+$  ( $i = 1, \dots, p$ ) and  $b_j \in \mathbb{C}$ ,  $\beta_j \in \mathbb{R}^+$  ( $j = 1, \dots, q$ ) be the same as in (2). Then*

$$\begin{aligned} & \mathcal{P}_{0^+}^{(\eta, \alpha, a)} \left\{ w^{\lambda - 1} (\Gamma) J_{p_{\ell}, q_{\ell}; s}^{m, n} (kw^{\mu}) \right\} = \frac{w^{\eta + \lambda} \Gamma\left(\frac{\eta}{1 - \alpha} + 1\right)}{[\alpha(1 - \alpha)]^{\lambda}} \\ & \times (\Gamma) J_{p_{\ell} + 1, q_{\ell} + 1; s}^{m, n+1} \left[ \frac{kw^{\mu}}{[\alpha(1 - \alpha)]^{\mu}} \left| \begin{matrix} (a_1, \alpha_1, u), (1 - \lambda, \mu), (a_i, \alpha_i)_{2, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, \left(-\lambda - \frac{\eta}{1 - \alpha}, \mu\right), (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}} \end{matrix} \right. \right]. \end{aligned} \tag{47}$$

**Proof** Let  $\mathcal{L}_1$  be the left member of (47). Using (36) and (1), we obtain

$$\mathcal{L} = \mathcal{P}_{0^+}^{(\eta, \alpha, a)} \left\{ w^{\lambda - 1} \frac{1}{2\pi i} \int_{\Sigma} \chi(\xi, u) (kw^{\mu})^{-\xi} d\xi \right\}, \tag{48}$$

where  $\chi(\xi, u)$  is given as in (2). Then, in the double integral of the right member of (48), we interchange the order of the integrals, which can be verified under the given conditions, to find

$$\mathcal{L}_1 = \frac{1}{2\pi i} \int_{\mathcal{L}} \chi(\xi, u) k^{-\xi} \mathcal{P}_{0^+}^{(\eta, \alpha, a)} \{w^{\lambda - \mu\xi - 1}\} d\xi. \tag{49}$$

Using (38) to evaluate the pathway fractional integral in (49), we get

$$\mathcal{L}_1 = \frac{w^{\eta + \lambda}}{[\alpha(1 - \alpha)]^\lambda} \frac{1}{2\pi i} \int_{\mathcal{L}} \chi(\xi, u) k^{-\xi} \left(\frac{w}{\alpha(1 - \alpha)}\right)^{-\mu\xi} \frac{\Gamma(\lambda - \mu\xi)\Gamma(1 + \frac{\eta}{1 - \alpha})}{\Gamma(\lambda - \mu\xi + \frac{\eta}{1 - \alpha} + 1)} d\xi.$$

Finally, with the help of (1) and (2), interpreting the right member of the last identity, we get the desired result (47). □

### 4 Particular Cases

Since the main identities in Sects. 2 and 3 are very generalized ones, they may produce a large number of particular identities. Here we consider several of them as in the following corollaries.

**Corollary 4.1** *Let  $\Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0$ , and  $a, b \in \mathbb{R}$ . Then*

$$\begin{aligned} & {}_{\alpha}^{\sigma} \mathcal{J}^{\kappa} (w - a)^{\mu - 1} I_{p_{\ell}, q_{\ell}; s}^{m, n} [(w - a)^{\lambda}] \\ &= {}_{\alpha}^{\sigma} \mathcal{J}^{\kappa} (w - a)^{\mu - 1} I_{p_{\ell}, q_{\ell}; s}^{m, n} \left[ (w - a)^{\lambda} \left| \begin{matrix} (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}} \end{matrix} \right. \right] \\ &= \frac{(w - a)^{\kappa\sigma + \mu - 1}}{\kappa^{\sigma}} I_{p_{\ell} + 1, q_{\ell} + 1; s}^{m, n + 1} \left[ (w - a)^{\lambda} \left| \begin{matrix} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right] \end{aligned} \tag{50}$$

and

$$\begin{aligned} & {}_{\alpha}^{\sigma} \mathcal{J}_{b}^{\kappa} (b - w)^{\mu - 1} I_{p_{\ell}, q_{\ell}; s}^{m, n} [(b - w)^{\lambda}] \\ &= {}_{\alpha}^{\sigma} \mathcal{J}^{\kappa} (b - w)^{\mu - 1} I_{p_{\ell}, q_{\ell}; s}^{m, n} \left[ (b - w)^{\lambda} \left| \begin{matrix} (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}} \end{matrix} \right. \right] \\ &= \frac{(b - w)^{\kappa\sigma + \mu - 1}}{\kappa^{\sigma}} I_{p_{\ell} + 1, q_{\ell} + 1; s}^{m, n + 1} \left[ (b - w)^{\lambda} \left| \begin{matrix} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_{\ell}} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_{\ell}}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right]. \end{aligned} \tag{51}$$

**Proof** Taking  $u = 0$  in the identities in Theorems 2.1 and 2.2 yields the results here. □

**Corollary 4.2** *Let  $\Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) \geq 0$ , and  $a, b \in \mathbb{R}$ . Then*

$$\begin{aligned}
 & {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} I_{p_\ell, q_\ell; s}^{m, n} [(w - a)^\lambda] \\
 &= {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} I_{p_\ell, q_\ell; s}^{m, n} \left[ (w - a)^\lambda \left| \begin{matrix} (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right] \\
 &= \kappa^\sigma (w - a)^{\mu - \kappa\sigma - 1} I_{p_\ell+1, q_\ell+1; s}^{m, n+1} \left[ (w - a)^\lambda \left| \begin{matrix} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right]
 \end{aligned} \tag{52}$$

and

$$\begin{aligned}
 & {}_b^\sigma \mathcal{D}_b^\kappa (b - w)^{\mu-1} I_{p_\ell, q_\ell; s}^{m, n} [(b - w)^\lambda] \\
 &= {}_b^\sigma \mathcal{D}_b^\kappa (b - w)^{\mu-1} I_{p_\ell, q_\ell; s}^{m, n} \left[ (b - w)^\lambda \left| \begin{matrix} (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{matrix} \right. \right] \\
 &= \kappa^\sigma (b - w)^{\mu - \kappa\sigma - 1} I_{p_\ell+1, q_\ell+1; s}^{m, n+1} \left[ (b - w)^\lambda \left| \begin{matrix} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1, n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1, m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right].
 \end{aligned} \tag{53}$$

**Proof** Setting  $u = 0$  in the identities Theorems 2.3 and 2.4 produce the formulae here. □

**Corollary 4.3** Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0,$  and  $a, b \in \mathbb{R}.$  Then

$$\begin{aligned}
 & {}_a^\sigma \mathcal{J}^\kappa (w - a)^{\mu-1} \Gamma_{p, q}^{m, n} [(w - a)^\lambda] \\
 &= {}_a^\sigma \mathcal{J}^\kappa (w - a)^{\mu-1} \Gamma_{p, q}^{m, n} \left[ (w - a)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, p} \\ (b_j, \beta_j)_{1, q} \end{matrix} \right. \right] \\
 &= \frac{(w - a)^{\kappa\sigma + \mu - 1}}{\kappa^\sigma} \Gamma_{p+1, q+1}^{m, n+1} \left[ (w - a)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{2, p} \\ (b_j, \beta_j)_{1, q}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right]
 \end{aligned} \tag{54}$$

and

$$\begin{aligned}
 & {}_b^\sigma \mathcal{J}_b^\kappa (b - w)^{\mu-1} \Gamma_{p, q}^{m, n} [(b - w)^\lambda] \\
 &= {}_b^\sigma \mathcal{J}_b^\kappa (b - w)^{\mu-1} \Gamma_{p, q}^{m, n} \left[ (b - w)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2, p} \\ (b_j, \beta_j)_{1, q} \end{matrix} \right. \right] \\
 &= \frac{(b - w)^{\kappa\sigma + \mu - 1}}{\kappa^\sigma} \Gamma_{p+1, q+1}^{m, n+1} \left[ (b - w)^\lambda \left| \begin{matrix} (a_1, \alpha_1, u), (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{2, p} \\ (b_j, \beta_j)_{1, q}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}) \end{matrix} \right. \right].
 \end{aligned} \tag{55}$$

**Proof** Using the identity (8), we can get the desired results directly from Theorems 2.1 and 2.2. □

**Corollary 4.4** Let  $\Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) \geq 0,$  and  $a, b \in \mathbb{R}.$  Then

$$\begin{aligned}
 & {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} \Gamma_{p,q}^{m,n} [(w - a)^\lambda] \\
 &= {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} \Gamma_{p,q}^{m,n} \left[ (w - a)^\lambda \left| \begin{array}{l} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \\
 &= \kappa^\sigma (w - a)^{\mu-\kappa\sigma-1} \Gamma_{p+1,q+1}^{m,n+1} \left[ (w - a)^\lambda \left| \begin{array}{l} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2,p} \\ (b_j, \beta_j)_{1,q}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right] \tag{56}
 \end{aligned}$$

and

$$\begin{aligned}
 & {}_b^\sigma \mathcal{D}_b^\kappa (b - w)^{\mu-1} \Gamma_{p,q}^{m,n} [(b - w)^\lambda] \\
 &= {}_b^\sigma \mathcal{D}^\kappa (b - w)^{\mu-1} \Gamma_{p,q}^{m,n} \left[ (b - w)^\lambda \left| \begin{array}{l} (a_1, \alpha_1, u), (a_i, \alpha_i)_{2,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \\
 &= \kappa^\sigma (b - w)^{\mu-\kappa\sigma-1} \Gamma_{p+1,q+1}^{m,n+1} \left[ (b - w)^\lambda \left| \begin{array}{l} (a_1, \alpha_1, u), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2,p} \\ (b_j, \beta_j)_{1,q}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right]. \tag{57}
 \end{aligned}$$

**Proof** With the aid of (8), we can derive the desired results directly from Theorems 2.3 and 2.4. □

**Corollary 4.5** Let  $\Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0,$  and  $a, b \in \mathbb{R}.$  Then

$$\begin{aligned}
 & {}_a^\sigma \mathcal{J}^\kappa (w - a)^{\mu-1} H_{p,q}^{m,n} [(w - a)^\lambda] \\
 &= {}_a^\sigma \mathcal{J}^\kappa (w - a)^{\mu-1} H_{p,q}^{m,n} \left[ (w - a)^\lambda \left| \begin{array}{l} (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \tag{58} \\
 &= \frac{(w - a)^{\kappa\sigma+\mu-1}}{\kappa^\sigma} H_{p+1,q+1}^{m,n+1} \left[ (w - a)^\lambda \left| \begin{array}{l} \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right]
 \end{aligned}$$

and

$$\begin{aligned}
 & {}_b^\sigma \mathcal{J}_b^\kappa (b - w)^{\mu-1} H_{p,q}^{m,n} [(b - w)^\lambda] \\
 &= {}_b^\sigma \mathcal{J}_b^\kappa (b - w)^{\mu-1} H_{p,q}^{m,n} \left[ (b - w)^\lambda \left| \begin{array}{l} (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \tag{59} \\
 &= \frac{(b - w)^{\kappa\sigma+\mu-1}}{\kappa^\sigma} H_{p+1,q+1}^{m,n+1} \left[ (b - w)^\lambda \left| \begin{array}{l} \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right].
 \end{aligned}$$

**Proof** Setting  $u = 0$  and  $s = 1$  in the results in Theorems 2.1 and 2.2 yields the desired identities here.  $\square$

**Corollary 4.6** Let  $\Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) \geq 0,$  and  $a, b \in \mathbb{R}.$  Then

$$\begin{aligned} & {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} H_{p,q}^{m,n} [(w - a)^\lambda] \\ &= {}_a^\sigma \mathcal{D}^\kappa (w - a)^{\mu-1} H_{p,q}^{m,n} \left[ (w - a)^\lambda \left| \begin{array}{l} (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \\ &= \kappa^\sigma (w - a)^{\mu-\kappa\sigma-1} H_{p+1,q+1}^{m,n+1} \left[ (w - a)^\lambda \left| \begin{array}{l} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}) \end{array} \right. \right] \end{aligned} \tag{60}$$

and

$$\begin{aligned} & {}_b^\sigma \mathcal{D}^\kappa (b - w)^{\mu-1} H_{p,q}^{m,n} [(b - w)^\lambda] \\ &= {}_b^\sigma \mathcal{D}^\kappa (b - w)^{\mu-1} H_{p,q}^{m,n} \left[ (b - w)^\lambda \left| \begin{array}{l} (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q} \end{array} \right. \right] \\ &= \kappa^\sigma (b - w)^{\mu-\kappa\sigma-1} H_{p+1,q+1}^{m,n+1} \left[ (b - w)^\lambda \left| \begin{array}{l} (\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}), (a_i, \alpha_i)_{1,p} \\ (b_j, \beta_j)_{1,q}, (\frac{1}{\kappa} - \frac{\mu}{\kappa} + \sigma, \frac{\lambda}{\kappa}) \end{array} \right. \right]. \end{aligned} \tag{61}$$

**Proof** Taking  $u = 0$  and  $s = 1$  in the identities in Theorems 2.3 and 2.4 gives the desired results here.  $\square$

**Corollary 4.7** Let  $\alpha \in \mathbb{R}^+, \alpha < 1, \mu \in \mathbb{R}^+, \Re(\lambda) > 0, \Re(1 + \frac{\eta}{1-\alpha}) > 0, u \geq 0,$  and  $k \in \mathbb{R}.$  Also let  $a_i \in \mathbb{C}, \alpha_i \in \mathbb{R}^+ (i = 1, \dots, p),$  and  $b_j \in \mathbb{C}, \beta_j \in \mathbb{R}^+ (j = 1, \dots, q)$  be the same as in (2). Then

$$\begin{aligned} & \mathcal{P}_{0^+}^{(\eta, \alpha, a)} \left\{ w^{\lambda-1} I_{p_\ell, q_\ell; s}^{m,n} (kw^\mu) \right\} = \frac{w^{\eta+\lambda} \Gamma(\frac{\eta}{1-\alpha} + 1)}{[\alpha(1-\alpha)]^\lambda} \\ & \times I_{p_\ell+1, q_\ell+1; s}^{m, n+1} \left[ \frac{kw^\mu}{[\alpha(1-\alpha)]^\mu} \left| \begin{array}{l} (a_i, \alpha_i)_{1,p}, (1-\lambda, \mu), (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (-\lambda - \frac{\eta}{1-\alpha}, \mu), (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{array} \right. \right]. \end{aligned} \tag{62}$$

**Proof** Putting  $u = 0$  in Theorem 3.1 produces the desired identity here.  $\square$

**Corollary 4.8** Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0,$  and  $a \in \mathbb{R}.$  Then

$$\begin{aligned}
 {}_0\mathcal{I}^{\sigma,\kappa} \mathbf{w}^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} [\mathbf{w}^\lambda] &= {}_0\mathcal{I}^{\sigma,\kappa} \mathbf{w}^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} \left[ \mathbf{w}^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{array} \right. \right] \\
 &= \frac{\mathbf{w}^{\kappa\sigma+\mu-1}}{\kappa^\sigma} (\Gamma) I_{p_\ell+1, q_\ell+1; \mathfrak{s}}^{m,n+1} \left[ \mathbf{w}^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right] \tag{63}
 \end{aligned}$$

and

$$\begin{aligned}
 \mathcal{I}_0^{\sigma,\kappa} (-\mathbf{w})^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} [(-\mathbf{w})^\lambda] &= \mathcal{I}_0^{\sigma,\kappa} (-\mathbf{w})^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} \left[ (-\mathbf{w})^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{array} \right. \right] \\
 &= \frac{(-\mathbf{w})^{\kappa\sigma+\mu-1}}{\kappa^\sigma} (\Gamma) I_{p_\ell+1, q_\ell+1; \mathfrak{s}}^{m,n+1} \left[ (-\mathbf{w})^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), \left(\frac{1}{\kappa} - \frac{\mu}{\kappa}, \frac{\lambda}{\kappa}\right), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, \left(\frac{1}{\kappa} - \frac{\mu}{\kappa} - \sigma, \frac{\lambda}{\kappa}\right) \end{array} \right. \right]. \tag{64}
 \end{aligned}$$

**Proof** Taking  $\mathfrak{a} = 0$  and  $\mathfrak{b} = 0$  in the results in Theorems 2.1 and 2.2 gives the desired identities here. □

**Corollary 4.9** Let  $u \geq 0, \Re(\lambda) > 0, \Re(\mu) > 1, \Re(\kappa) > 0, \Re(\sigma) > 0,$  and  $\mathfrak{a} \in \mathbb{R}.$  Then

$$\begin{aligned}
 J^\sigma \mathbf{w}^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} [\mathbf{w}^\lambda] &= J^\sigma \mathbf{w}^{\mu-1}(\Gamma) I_{p_\ell, q_\ell; \mathfrak{s}}^{m,n} \left[ \mathbf{w}^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell} \end{array} \right. \right] \\
 &= \mathbf{w}^{\sigma+\mu-1}(\Gamma) I_{p_\ell+1, q_\ell+1; \mathfrak{s}}^{m,n+1} \left[ \mathbf{w}^\lambda \left| \begin{array}{l} (a_1, \alpha_1, \mathbf{u}), (1 - \mu, \lambda), (a_i, \alpha_i)_{2,n}, (a_{i\ell}, \alpha_{i\ell})_{n+1, p_\ell} \\ (b_j, \beta_j)_{1,m}, (b_{j\ell}, \beta_{j\ell})_{m+1, q_\ell}, (1 - \mu - \sigma, \lambda) \end{array} \right. \right]. \tag{65}
 \end{aligned}$$

**Proof** Putting  $\mathfrak{a} = 0$  and  $\kappa = 1$  in the result in Theorem 2.1 yields the desired identity here. □

## 5 Concluding Remarks

Classical special functions and polynomials, their numerous generalizations and variations have acquired great reputation and significance owing in particular to their proven applicability in diverse and broad areas of science and engineering. In this paper, we established several interesting image formulae of the incomplete  $I$ -function under the conformable and pathway fractional integral and derivative operators. As shown in Sect. 1, since both the incomplete  $I$ -function and the conformable fractional integral and derivative operators are very general amid special functions as well as

fractional integral and derivative operators, the main results presented here can give a number of particular identities, some of which were explicitly demonstrated in the corollaries. More particular formulae of our main identities can be provided.

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# Explicit Exact Solutions and Conservation Laws of Modified $\alpha$ Equation



Sachin Kumar and Divya Jyoti

**Abstract** The invariant solutions of generalised modified  $\alpha$  equation are obtained by using the Lie classical symmetry method. The obtained solutions are in terms of trigonometric functions and hyperbolic functions. This equation can be used in solidifying and nucleation problem. The conservation laws are obtained by using the multiplier approach. The graphical representations are also shown for some of the obtained solutions. Some new solutions of those equations are found that have been considered earlier in literature, as well as some of the previous solutions can also be recovered by taking particular values.

**Keywords** Modified  $\alpha$  equation · Lie classical method · Infinitesimals · Invariant solutions · Solitons · Conservation laws

**Mathematics Subject Classification (2010)** 35B06 · 35B10 · 35C07 · 35C09 · 35C11 · 35G20

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## 1 Introduction

Nonlinear science is important for studying the various nonlinear physical phenomena [10, 16]. It possesses both scientific and practical significance in various fields like engineering, finance and many other. The analysis of solutions of nonlinear differential equations is very significant to solve numerous problems. Sumudu transform method, Homotopy perturbation method [6], fractional natural decomposition method [20], Lie symmetry method [4, 9, 15, 19] can be used to solve these nonlinear

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S. Kumar · D. Jyoti (✉)

Department of Mathematics and Statistics, School of Basic and Applied Sciences, Central University of Punjab, Bathinda 151 001, Punjab, India  
e-mail: [divya.jyoti1995@gmail.com](mailto:divya.jyoti1995@gmail.com)

S. Kumar

e-mail: [sachin1jan@yahoo.com](mailto:sachin1jan@yahoo.com)

differential equations [7, 11, 12]. The Lie symmetry method is the more powerful method. This method has been used by Kumar [14] to find invariant solutions of Biswas–Milovic equation.

One of the most important equation, named, shallow water equation, is extensively applied to represent the environmental science, fluid dynamics and some other fields. Tsunami is also a sort of shallow water [5]. Thus, finding the exact solutions of these equations has become an important task.

A class of nonlinear shallow water wave equations

$$u_t - u_{xxt} + (\alpha + 1)uu_x - \alpha u_x u_{xx} - uu_{xxx} = 0, \quad (1)$$

is known as  $\alpha$  equation. Here, the unnamed function  $u(x, t)$ , denoting the dimension of the relevant wave mode, is a function of two independent variables  $x$  and  $t$  that specify the space variables in the flank of wave publicity and time, respectively [2]. Also, the term  $u_{xxt}$  represents disbandment wave effects,  $uu_x$  and  $uu_{xxx}$  represent the nonlinear wave steepening and  $\alpha$  is any real constant. Chang et al. [5] performed Lie symmetry analysis and bifurcation analysis for this class of equations. In 2006, Wazwaz [21] studied this important family of physical equations by changing the nonlinear convection term  $uu_x$  into  $u^2 u_x$ , i.e.

$$u_t - u_{xxt} + (\alpha + 1)u^2 u_x - \alpha u_x u_{xx} - uu_{xxx} = 0, \quad (2)$$

which is known as modified  $\alpha$  equation. For  $\alpha = 2$ , it reduces to modified Camassa–Holm (CH) equation and for  $\alpha = 3$ , it reduces to Degasperis–Procesi (DP) equation. The modification cause changes in the physical characteristics of the solutions from multi-peakon solutions into bell-shaped solitary wave solutions [3]. Wazwaz [21] obtained the soliton solutions of (2) using tanh method and sine-cosine method. Baskonus et al. [2] also obtained mixed dark-bright soliton solutions as well as complex soliton solutions of (2) by using sine-Gordon expansion method.

In this paper, the generalised modified  $\alpha$  equation is considered as

$$u_t - bu_{xxt} + (\alpha + 1)u^2 u_x - \alpha u_x u_{xx} - cuu_{xxx} = 0. \quad (3)$$

This equation is a tactical application for outlining the method of phase dissociation in cold steel alloy and is generally used in solidifying and nucleation problem [2]. The exact explicit solutions of (3) are obtained by using the Lie symmetry method. The solutions are obtained in terms of trigonometric functions and hyperbolic functions. The graphical representations are also shown for some obtained solutions. The conservation laws [1, 13] of (3) are also obtained by using the multiplier approach.

## 2 Symmetry Analysis

The Lie classical method [19] is used in this section for symmetry analysis in order to solve the above nonlinear PDE (3). Consider the Lie group of point transformations

$$\begin{aligned} x^* &= x + \epsilon \xi(x, t, u) + O(\epsilon^2), \\ t^* &= t + \epsilon \tau(x, t, u) + O(\epsilon^2), \\ u^* &= u + \epsilon \eta(x, t, u) + O(\epsilon^2), \end{aligned} \tag{4}$$

such that if  $u$  satisfies (3), then  $u^*$  also satisfies (3). The invariance condition is

$$\eta^t - b\eta^{xxt} + (\alpha + 1)(2uu_x\eta + u^2\eta^x) - \alpha(u_x\eta^{xx} + u_{xx}\eta^x) - c(u\eta^{xxx} + u_{xxx}\eta) = 0. \tag{5}$$

By substituting the values of extended infinitesimals, a set of determining equations were obtained. The infinitesimals  $\xi, \tau, \eta$  obtained by solving the determining equations [4] are found as

$$\xi = C_2, \quad \tau = C_1, \quad \eta = 0, \tag{6}$$

where  $C_1, C_2$  are arbitrary constants. The corresponding vector fields are

$$V_1 = \partial_t, \quad V_2 = \partial_x. \tag{7}$$

Corresponding to the vector field  $V_1 + \epsilon V_2$ , the similarity variables [14] are

$$r = x - \epsilon t, \quad u = g(r), \tag{8}$$

where  $r$  is new independent variable and  $g$  is new dependent function. Back substituting these variables in Eq. (3), the reduced ODE is obtained as

$$(\alpha + 1)g^2 g' - \alpha g' g'' - \epsilon g' + b\epsilon g''' - c g g''' = 0, \tag{9}$$

where  $'$  denotes the differentiation with respect to  $r$ .

## 3 Exact Solutions

In this part, the exact solutions of ODE (9) are obtained in the form of hyperbolic and trigonometric functions by using Maple.

Equation (9) possesses travelling wave solutions as

$$g(r) = C_4 \csc^2(C_2 r + C_1),$$

$$\text{with } b = -\frac{1}{4C_2^2}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad \epsilon = \frac{1}{9}(6\alpha C_4 C_2^2 + \alpha C_4^2 + C_4^2), \quad (10)$$

where  $C_1$ ,  $C_2$  and  $C_4$  are arbitrary constants. Thus, the exact solutions of generalised modified  $\alpha$  Eq. (3) are

$$(i) \quad u(x, t) = C_4 \csc^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = -\frac{1}{4C_2^2}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad \epsilon = \frac{C_4}{9}(6\alpha C_2^2 + \alpha C_4 + C_4). \quad (11)$$

Similarly, the other exact solutions can be obtained as

$$(ii) \quad u(x, t) = C_4 \sec^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = -\frac{1}{4C_2^2}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad \epsilon = \frac{C_4}{9}(6\alpha C_2^2 + \alpha C_4 + C_4), \quad (12)$$

$$(iii) \quad u(x, t) = C_4 \cot^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = \frac{6C_2^2\alpha + C_4\alpha + C_4}{2C_2^2(6C_2^2\alpha + 4C_4\alpha + 4C_4)}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad (13) \\ \epsilon = \frac{C_4}{9}(6\alpha C_2^2 + 4\alpha C_4 + 4C_4),$$

$$(iv) \quad u(x, t) = C_4 \operatorname{csch}^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = \frac{1}{4C_2^2}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad \epsilon = \frac{C_4}{9}(6\alpha C_2^2 + \alpha C_4 + C_4), \quad (14)$$

$$(v) \quad u(x, t) = C_4 \operatorname{sech}^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = \frac{1}{4C_2^2}, \quad c = -\frac{6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad \epsilon = \frac{C_4}{9}(-6\alpha C_2^2 + \alpha C_4 + C_4), \quad (15)$$

$$(vi) \quad u(x, t) = C_4 \operatorname{coth}^2(C_2(x - \epsilon t) + C_1), \quad \text{with} \\ b = -\frac{6C_2^2\alpha + C_4\alpha + C_4}{2C_2^2(6C_2^2\alpha + 4C_4\alpha + 4C_4)}, \quad c = \frac{-6C_2^2\alpha + C_4\alpha + C_4}{12C_2^2}, \quad (16) \\ \epsilon = \frac{C_4}{9}(6\alpha C_2^2 + 4\alpha C_4 + 4C_4),$$

where  $C_1$ ,  $C_2$  and  $C_4$  are arbitrary constants.

### 3.1 Special Case: $b = 1, c = 1$

For  $b = 1, c = 1$ , the ODE system (9) reduces to

$$(\alpha + 1)g^2 g' - \alpha g' g'' - \epsilon g' + \epsilon g''' - g g''' = 0. \tag{17}$$

Equation (17) possesses solutions in terms of doubly periodic Jacobi elliptic sine function. Thus, the corresponding solutions to modified  $\alpha$  Eq. (2) are

$$u(x, t) = \frac{1}{2\alpha + 2} \left( M - \alpha - 1 + 4(\alpha + 2)C_3^2 \left( -1 - C_1^2 + 3C_1^2 \times \operatorname{sn}^2 \left( \frac{C_3}{2} t M + \frac{C_3}{2} (2x - \alpha t - t) + C_2, C_1 \right) \right) \right), \tag{18}$$

with  $\epsilon = \frac{1}{4}(\alpha M - 2M + 2\alpha + 4),$

where  $M = \sqrt{(\alpha + 1)^2 - 16\alpha C_3^4(\alpha + 2)(C_1^4 - C_1^2 + 1)},$

where  $C_1, C_2$  and  $C_3$  are arbitrary constants.

## 4 Conservation Laws

In this part, the local conservation laws [8] of generalised modified  $\alpha$  equation (3) are obtained by using the multiplier approach [18]. Consider a multiplier of the form  $\Lambda(x, t, u, u_x, u_{xx})$ . The simplified determining equations to be solved are

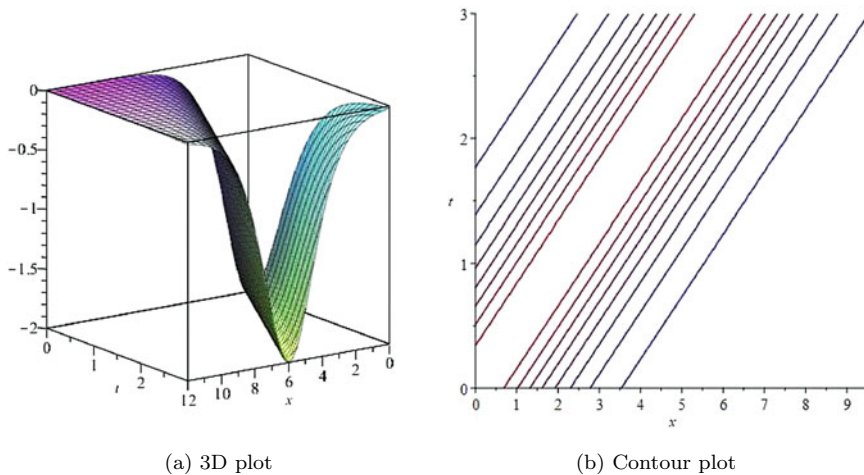
$$\Lambda_x = 0, \quad \Lambda_t = 0, \quad \Lambda_u = 0, \quad \Lambda_{u_x} = 0, \quad \Lambda_{u_{xx}} = 0. \tag{19}$$

The solution of above determining equations (19) yields  $\Lambda = C_1$ . Thus, the conserved fluxes [17] in accordance to this multiplier are

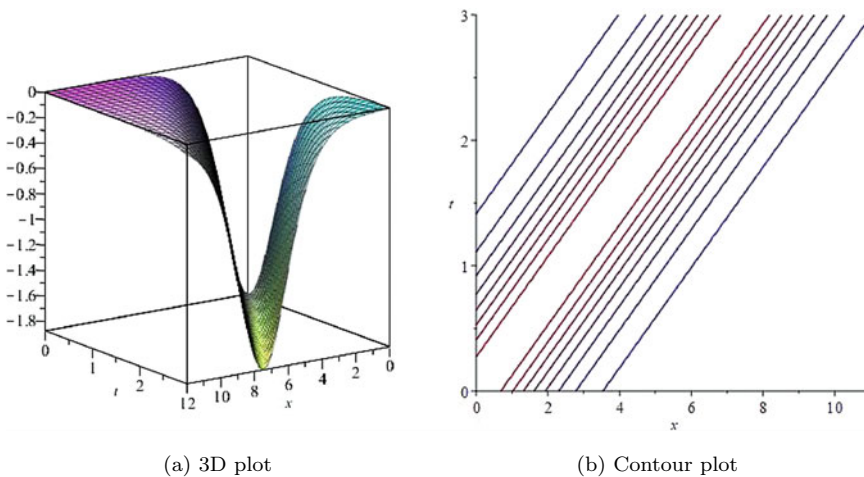
$$\begin{aligned} T_t &= u - bu_{xx}, \\ T_x &= -cuu_{xx} - \frac{1}{2}(\alpha - c)u_x^2 + \frac{1}{3}(\alpha + 1)u^3. \end{aligned} \tag{20}$$

## 5 Results and Discussions

The graphical representations of solution (15) are shown by considering particular values of arbitrary constants. These graphical representations depict the 3D and



**Fig. 1** 3D plot and contour plot of solution (15) with  $C_1 = 0, C_2 = \frac{1}{2}, C_4 = 2, \alpha = 2$



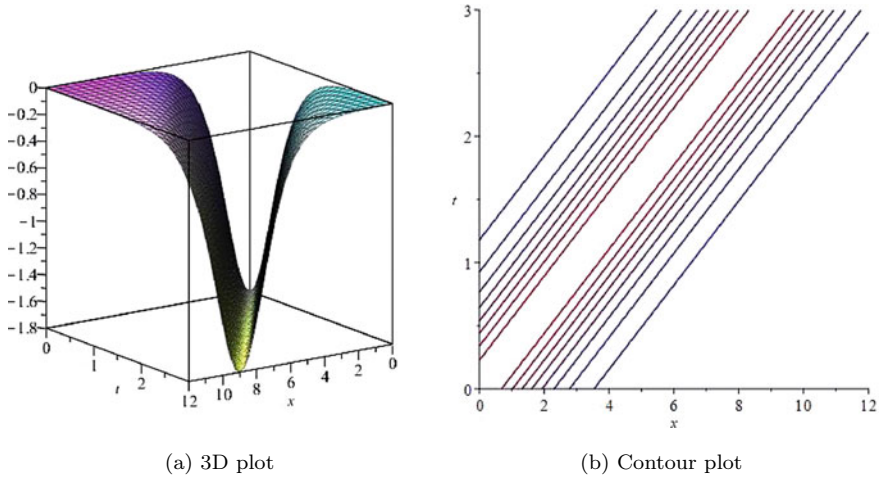
**Fig. 2** 3D plot and contour plot of solution (15) with  $C_1 = 0, C_2 = \frac{1}{2}, C_4 = \frac{15}{8}, \alpha = 3$

contour plots of (15) for different values of  $\alpha$ . For  $\alpha = 2$ , Fig. 1 represent the graph of solution of generalised modified  $\alpha$  Eq. (3) and of modified CH equation. For  $\alpha = 3$ , Fig. 2 represent the graph of solution of equation (3) and of modified DP equation. Figure 3 represent the graph of solution of Eq. (3) for  $\alpha = 4$ . These graphs represent the soliton solutions for different values of  $\alpha$ .

**Remarks:**

1. On taking  $C_1 = 0, C_2 = \frac{1}{2}, C_4 = \frac{3(\alpha+2)}{2(\alpha+1)}$  in solution (14), the solution reduces to one of the solutions of general modified DP-CH equation, as obtained by Wazwaz





**Fig. 3** 3D plot and contour plot of solution (15) with  $C_1 = 0$ ,  $C_2 = \frac{1}{2}$ ,  $C_4 = -\frac{9}{5}$ ,  $\alpha = 4$

[21]. For  $\alpha = 2$ , it reduces to the solution of modified CH equation and for  $\alpha = 3$ , it reduces to the solution of modified DP equation.

2. On considering  $C_1 = 0$ ,  $C_2 = \frac{1}{2}$ ,  $C_4 = -\frac{3(\alpha+2)}{2(\alpha+1)}$  in solution (15), the solution reduces to one of the solutions of general modified DP-CH equation, as obtained by Wazwaz [21]. For  $\alpha = 2$ , it reduces to the solution of modified CH equation and for  $\alpha = 3$ , it reduces to the solution of modified DP equation.
3. On letting  $C_1 = 0$ ,  $C_2 = \frac{1}{2}$ ,  $C_4 = -\frac{3(\alpha+2)}{2(\alpha+1)}$ ,  $\alpha = 4$ , in solution (15), the solution reduces to one of the dark soliton solutions of modified  $\alpha$  equation, as obtained by Baskonus [2].
4. Solution (18) represents the new doubly periodic solution of equation (2) considered by Wazwaz [21].

## 6 Conclusion

The explicit exact solutions of generalised modified  $\alpha$  Eq. (3) have been obtained by using the Lie classical symmetry method. The solutions (11)–(16), (18) have been obtained in terms of trigonometric functions and hyperbolic functions. Also, some new solutions of equations, considered in literature (2), have been obtained in terms of doubly periodic Jacobi elliptic functions. The general form of equation considered here (3) is useful to obtain new solutions of the existing equations in literature (2), as well as to obtain solutions of some new equations, for different values of  $b$  and  $c$  in (3). The conservation laws (20) have also been obtained by using the multiplier approach. The graphical representations of some of the obtained solutions depict the solitary wave solutions.

### Conflict of Interest:

The authors declare that they have no conflict of interest.

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# Some Approximation Results on Durrmeyer Modification of Generalized Szász–Mirakjan Operators



Rishikesh Yadav, Ramakanta Meher, and Vishnu Narayan Mishra

**Abstract** This paper deals with the approximation properties of the summation-integral type operators defined by Mishra et al. (Boll Unione Mat Ital 8:297–305, 2016). It consists of the local results and convergence theorem of the defined operators. Here, we discuss the asymptotic behaviour of the operators and the quantitative means of Voronovskaja type theorem is obtained. In this direction, we determine the Grüss Voronovskaya type theorem. Graphical representation is given to support the approximation results of the operators and, at last, conclusions are given.

**Keywords** Szász–Mirakjan operators · Rate of convergence · Voronovskaya type theorem · Lipschitz space

## 1 Introduction

In 2016, Mishra et al. [12] carried out their works on approximation properties for the operators defined by

$$S_n^*(g; x) = u_n \sum_{j=0}^{\infty} s_{u_n, j}(x) \int_0^{\infty} s_{u_n, j}(t) g(t) dt, \quad (1)$$

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R. Yadav (✉) · R. Meher

Department of Mathematics and Humanites, Sardar Vallabhbhai National Institute of Technology Surat, Surat 395007, Gujarat, India

e-mail: [rishikesh2506@gmail.com](mailto:rishikesh2506@gmail.com); [rishikesh.yadav@unamur.be](mailto:rishikesh.yadav@unamur.be)

URL: <https://sites.google.com/view/yadavrishi/home>

R. Yadav

Namur Institute for Complex Systems (naXys) and Department of Mathematics, University of Namur, 5000 Namur, Belgium

V. N. Mishra

Department of Mathematics, Indira Gandhi National Tribal University, Lalpur, Amarkantak, Anuppur 484887, Madhya Pradesh, India

where  $s_{u_n,j}(x) = e^{-u_n x} \frac{(u_n x)^j}{j!}$  and  $u_n \rightarrow \infty$  as  $n \rightarrow \infty$  with condition  $u_1 = 1$ , here the functions are considered to be Lebesgue integrable. By simple calculation, if we take  $u_n = n$ , then the above operators (1), reduced into Szász–Mirakjan Durrmeyer operators defined by Mazhar and Totik [8]. The important properties of the defined operators are studied by Mishra et al., which can be applied to the operators defined by Mazhar and Totik. Regarding the approximation of the function by Durrmeyer type operators, as well as with the other approximation properties, many works have been done in this direction [10, 11, 13, 18].

Also, the discussion regarding Durrmeyer-type modification of Szász–Mirakjan operators is seen in [8] where the authors gave a vital result for the Durrmeyer type operators, which are defined on  $[0, \infty)$  as

$$A_n(g; x) = g(0)s_{n,0}(x) + n \sum_{j=1}^{\infty} s_{n,j}(x) \int_0^{\infty} s_{n,j-1}(t)g(t)dt. \tag{2}$$

All the above operators (1, 2) are generalized form of the Szász–Mirakjan operators [9, 15] defined by

$$SM_n(g; x) = \sum_{j=0}^{\infty} s_{n,j}(x)g\left(\frac{j}{n}\right), \tag{3}$$

where  $s_{n,j} = e^{-nx} \frac{(nx)^j}{j!}$  is the Szász–Mirakjan basis function. Also, a natural generalization of the Szász–Mirakjan operators can be seen (presented in [3]) in the form of strictly increasing sequence as a simple replacement of  $n$  by  $u_n$  such that  $u_1 = 1$  and  $u_n \rightarrow \infty$  as  $n \rightarrow \infty$  in the above operators (3), and hence the modification can be seen in the form of

$$S_n^*(g; x) = \sum_{j=0}^{\infty} s_{u_n,j}(x)g\left(\frac{j}{u_n}\right). \tag{4}$$

Thus, the works have been done by Mishra et al. [12] for the above operators (1) on the natural modification of the operators defined in [8] called as Szász–Mirakjan Durrmeyer operators which put a crucial impact in the theory of approximation. For further proceeding to study the approximations properties of the operators (1), we need some basic lemma.

**Lemma 1** Consider the function  $g$  is integrable, continuous and bounded on the given interval  $[0, \infty)$ . Then the central moments are as

$$\Theta_{n,m} = u_n \sum_{j=0}^{\infty} s_{u_n,j}(x) \int_0^{\infty} s_{u_n,j}(t)(t-x)^m dt, \tag{5}$$

where  $m = 0, 1, 2, \dots$ . So for  $m = 0, 1$ , we can get the the central moments as follows:

$$\Theta_{n,0} = 1, \Theta_{n,1} = \frac{1}{u_n}, \quad (6)$$

in general, we have

$$u_n \Theta_{n,m+1} = x \left( \Theta'_{n,m} + 2m \Theta_{n,m-1} + (1+m) \Theta_{n,m} \right), \quad (7)$$

this lead us to

$$\Theta_{n,m} = O \left( u_n^{-\lfloor \frac{m+1}{2} \rfloor} \right). \quad (8)$$

**Remark 1** For all  $n \in \mathbb{N}$ , we have

$$\lim_{n \rightarrow \infty} u_n \Theta_{n,2} = \lim_{n \rightarrow \infty} \frac{2(1+u_n x)}{u_n} = 2x. \quad (9)$$

## 2 Local Approximation Properties

Next, we estimate the approximation of the defined operators (1), by a new type of Lipschitz maximal function with order  $r \in (0, 1]$ , defined by Lenze [7] as

$$\kappa_r(g, x) = \sup_{x, s \geq 0} \frac{|g(u) - g(v)|}{|u - v|^r}, \quad u \neq v. \quad (10)$$

Using the definition of Lipschitz maximal function, we have the following theorem.

**Theorem 1** For any  $g \in C_B[0, \infty)$  with  $r \in (0, 1]$ , then one can obtain

$$|S_n^*(g; x)(g; x) - g(x)| \leq \kappa_r(g, x) (\Theta_{n,2})^{\frac{r}{2}}.$$

**Proof** By Eq. (10), we can write

$$|S_n^*(g; x) - g(x)| \leq \kappa_r(g, x) S_n^*(|u - v|^r; x).$$

Using, Hölder's inequality with  $j = \frac{2}{r}$ ,  $l = \frac{2}{2-r}$ , one can get

$$|S_n^*(g; x)(g; x) - g(x)| \leq \kappa_r(g, x) (S_n^*(g; x)((u - v)^2; x))^{\frac{r}{2}} = \kappa_r(g, x) (\Theta_{n,2})^{\frac{r}{2}}.$$

Next theorem is based on modified Lipschitz type spaces [14] and this spaces is defined by

$$Lip_M^{a_1, a_2}(s) = \left\{ g \in C_B[0, \infty) : |g(u) - g(v)| \leq M \frac{|u - v|^s}{(u + v^2 a_1 + v a_2)^{\frac{s}{2}}}, \right. \\ \left. \text{where } u, v \geq 0 \text{ are variables, } s \in (0, 1] \right\},$$

here,  $a_1, a_2$  are the fixed numbers and  $M > 0$  is a constant.

**Theorem 2** For  $g \in Lip_M^{a_1, a_2}(s)$  and  $0 < s \leq 1$ , an inequality holds

$$|S_n^*(g; x) - g(x)| \leq M \left( \frac{\Theta_{n,2}}{x(xa_1 + a_2)} \right)^{\frac{s}{2}}, \quad M > 0.$$

**Proof** Since  $s \in (0, 1]$ , so we have two cases on  $s$ .

**Case 1.** For  $s = 1$ , it can be observed that  $\frac{1}{t+x^2a_1+xa_2} \leq \frac{1}{x(xa_1+a_2)}$  and it implies

$$\begin{aligned} |S_n^*(g; x) - g(x)| &\leq S_n^*(|g(t) - g(x)|; x) \\ &\leq M S_n^* \left( \frac{|t - x|}{(t + x^2 a_1 + x a_2)^{\frac{1}{2}}}; x \right) \\ &\leq \frac{M}{(x(xa_1 + a_2))^{\frac{1}{2}}} S_n^*(|t - x|; x) \\ &\leq \frac{M}{(x(xa_1 + a_2))^{\frac{1}{2}}} (\Theta_{n,2})^{\frac{1}{2}} \leq M \left( \frac{\Theta_{n,2}}{x(xa_1 + a_2)} \right)^{\frac{1}{2}}. \end{aligned}$$

**Case 2.** For  $s \in (0, 1)$  and using Hölder inequality with  $l = \frac{2}{s}, m = \frac{2}{2-s}$ , we get

$$\begin{aligned} |S_n^*(g; x) - g(x)| &\leq \left( S_n^*(|g(t) - g(x)|^{\frac{2}{s}}; x) \right)^{\frac{s}{2}} \leq M S_n^* \left( \frac{|t - x|^2}{(t + x^2 a_1 + x a_2)}; x \right)^{\frac{s}{2}} \\ &\leq M S_n^* \left( \frac{|t - x|^2}{(x(xa_1 + a_2))}; x \right)^{\frac{s}{2}} \leq M \left( \frac{\Theta_{n,2}}{x(xa_1 + a_2)} \right)^{\frac{s}{2}}. \end{aligned}$$

Thus, the proof is completed.

**Theorem 3** For the continuous and bounded function  $g$  defined on  $[0, \infty)$ , the convergence of the operators can be obtained as

$$\lim_{n \rightarrow \infty} S_n^*(g; x) = g(x), \tag{11}$$

uniformly on any compact interval of  $[0, \infty)$ .

**Proof** Using Bohman–Korovkin theorem, we can get our required result. Since  $\lim_{n \rightarrow \infty} S_n^*(1; x) \rightarrow 1, \lim_{n \rightarrow \infty} S_n^*(t; x) \rightarrow x, \lim_{n \rightarrow \infty} S_n^*(t^2; x) \rightarrow x^2$ , and hence the proposed

operators  $S_n^*(g; x)$  converge uniformly to the function  $g(x)$  on any compact interval of  $[0, \infty)$ .

### 3 Asymptotic Behaviour of the Operators

To check the asymptotic behaviour of the operators, we shall prove the Voronovskaaya theorem.

**Theorem 4** *Let us consider the function  $g$  is integrable, continuous and bounded on  $[0, \infty)$ , as well as the second derivative of the function, exists at a point  $x \in [0, \infty)$ . Then the convergence of the operators can be obtained as*

$$\lim_{n \rightarrow \infty} u_n (S_n^*(g; x) - g(x)) = g'(x) + xg''(x). \tag{12}$$

**Proof** Using the Taylor's series expansion, one can write

$$g(t) - g(x) = (t - x)g'(x) + \frac{1}{2}(t - x)^2g''(x) + \zeta(t, x)(t - x)^2, \tag{13}$$

where  $\zeta(t, x)$  be such that  $\lim_{t \rightarrow x} \zeta(t, x) = 0$ . Applying the proposed operators to the above Eq. (13), we get

$$S_n^*(g; x)g(t) - g(x) = g'(x)S_n^*(t - x; x) + \frac{g''(x)}{2}S_n^*((t - x)^2; x) + S_n^*(\zeta(t, x)(t - x)^2) \tag{14}$$

Here

$$S_n^*(\zeta(t, x)(t - x)^2) \leq \sqrt{S_n^*(\zeta^2(t, x)) S_n^*((t - x)^4)} \tag{15}$$

Using Theorem 3, we get

$$\lim_{n \rightarrow \infty} S_n^*(\zeta^2(t, x)) = \zeta^2(x, x) = 0. \tag{16}$$

And using Lemma 1, we have

$$S_n^*((t - x)^4) = O(u_n^{-2}), \tag{17}$$

thus

$$\lim_{n \rightarrow \infty} S_n^*(\zeta(t, x)(t - x)^2) = 0 \tag{18}$$

Therefore, from Eq. (14) and Lemma 1, one can write



$$\lim_{n \rightarrow \infty} u_n (S_n^*(g; x)g(t) - g(x)) = g'(x) + xg''(x). \tag{19}$$

Hence, the required result is obtained.

### 4 Quantitative Approximation

Since we have already discussed the Voronovskaya type theorem and here we shall determine quantitative means of the Voronovskaya type theorem for the proposed operators. Before, proceeding on the main results, we need some functions classes, which are defined below

$B_w[0, \infty) = \{g : [0, \infty) \rightarrow \mathbb{R} \mid |g(x)| \leq Mw(x) \text{ with the supremum norm } \|g\|_w = \sup_{x \in [0, \infty)} \frac{g(x)}{w(x)} < +\infty\}$ , where  $M > 0$  is a constant depending on  $g$  and the spaces

$$C_w[0, \infty) = \{g \in B_w[0, \infty), g \text{ is continuous}\},$$

$$C_w^k[0, \infty) = \{g \in C_w[0, \infty), \lim_{x \rightarrow \infty} \frac{|g(x)|}{w(x)} = k_g < +\infty\},$$

where  $w(x) = 1 + x^2$  is a weight function. Here, the weighted modulus of smoothness is defined in [6] and is denoted by  $\Delta(g; \xi)$ , given as

$$\Delta(g; \xi) = \sup_{0 \leq h \leq \xi, 0 \leq x \leq \infty} \frac{|g(x+h) - g(x)|}{(1+h^2)(1+x^2)}, \quad g \in C_w^k[0, \infty), \quad \xi > 0. \tag{20}$$

The properties of the weighted modulus of smoothness are as

$$\lim_{\xi \rightarrow 0} \Delta(g; \xi) = 0, \tag{21}$$

and

$$\Delta(g; \eta\xi) \leq 2(1 + \eta)(1 + \xi^2)\Delta(g; \xi), \quad \eta > 0. \tag{22}$$

**Remark 2** By above relations (22) and (20), one can write

$$\begin{aligned} |g(t) - g(x)| &\leq (1 + (t-x)^2)(1+x^2)\Delta(g; |t-x|) \\ &\leq 2 \left(1 + \frac{|t-x|}{\xi}\right) (1 + \xi^2)\Delta(g; \xi)(1 + (t-x)^2)(1+x^2). \end{aligned}$$

**Theorem 5** For the function  $g \in C_w^k[0, \infty)$  and assuming  $g''(x)$  exists at a point  $x$ , the following inequality holds:

$$u_n \left| S_n^*(g; x) - g(x) - \frac{g'(x)}{u_n} - \frac{g''(x)}{u_n} \left( x + \frac{1}{u_n} \right) \right| = O(1) \Delta \left( g'', \sqrt{\frac{1}{u_n}} \right). \tag{23}$$

**Proof** By Taylor’s series expansion, one can obtain

$$g(t) - g(x) = g'(x)(t - x) + \frac{g''(x)}{2}(t - x)^2 + \zeta(t, x), \tag{24}$$

where  $\zeta(t, x) = \frac{g''(\theta) - g''(x)}{2!}(\theta - x)^2$  and  $\theta \in (t, x)$ . Applying operators (1) and multiplying by  $u_n$  on both sides to above expansion, we obtain

$$\begin{aligned} u_n \left| S_n^*(g; x) - g(x) - g'(x)S_n^*(t - x; x) - \frac{g''(x)}{2}S_n^*((t - x)^2; x) \right| &\leq u_n S_n^*(|\zeta(t, x)|; x) \\ u_n \left| S_n^*(g; x) - g(x) - \frac{g'(x)}{u_n} - \frac{g''(x)}{u_n} \left( x + \frac{1}{u_n} \right) \right| &\leq u_n S_n^*(|\zeta(t, x)|; x). \end{aligned}$$

On the other hand,

$$\begin{aligned} \frac{g''(\theta) - g''(x)}{2} &\leq \frac{1}{2}(1 + (\theta - x)^2)(1 + x^2)\Delta(g'', |\theta - x|) \\ &\leq \frac{1}{2}(1 + (t - x)^2)(1 + x^2)\Delta(g'', |t - x|) \\ &\leq \left( 1 + \frac{|t - x|}{\delta} \right) (1 + \delta^2)(1 + (t - x)^2)(1 + x^2)\Delta(g'', \delta), \end{aligned}$$

and it can be written as

$$\left| \frac{g''(\theta) - g''(x)}{2} \right| \leq \begin{cases} 2(1 + \delta^2)^2(1 + x^2)\Delta(g'', \delta), & |t - x| < \delta, \\ 2(1 + \delta^2)^2(1 + x^2)\frac{(t-x)^4}{\delta^4}\Delta(g'', \delta), & |t - x| \geq \delta. \end{cases} \tag{25}$$

So, for  $\delta \in (0, 1)$ , we get

$$\left| \frac{g''(\theta) - g''(x)}{2} \right| \leq 8(1 + x^2) \left( 1 + \frac{(t - x)^4}{\delta^4} \right) \Delta(g'', \delta). \tag{26}$$

Hence,

$$(|\zeta(t, x)|; x) \leq 8(1 + x^2) \left( (t - x)^2 + \frac{(t - x)^6}{\delta^4} \right) \Delta(g'', \delta).$$

Thus, applying the proposed operators (1) to the both sides and using the Lemma 1, we get

$$\begin{aligned}
 S_n^*(|\zeta(t, x)|; x) &\leq 8(1 + x^2)\Delta(g'', \delta) \left( S_n^*((t - x)^2; x) + \frac{S_n^*((t - x)^6; x)}{\delta^4} \right) \\
 &\leq 8(1 + x^2)\Delta(g'', \delta) \left( O\left(\frac{1}{u_n}\right) + \frac{1}{\delta^4} O\left(\frac{1}{u_n^3}\right) \right), \text{ as } u_n \rightarrow \infty.
 \end{aligned}$$

Choose,  $\delta = \sqrt{\frac{1}{u_n}}$ , then

$$S_n^*(|\zeta(t, x)|; x) \leq 8O\left(\frac{1}{u_n}\right)(1 + x^2)\Delta\left(g'', \sqrt{\frac{1}{u_n}}\right). \tag{27}$$

Thus, it yields as

$$u_n S_n^*(|\eta(t, x)|; x) = O(1)\Delta\left(g'', \sqrt{\frac{1}{u_n}}\right). \tag{28}$$

By (25) and (28), we obtain the required result.

### 4.1 Grüss Voronovskaya Type Theorem

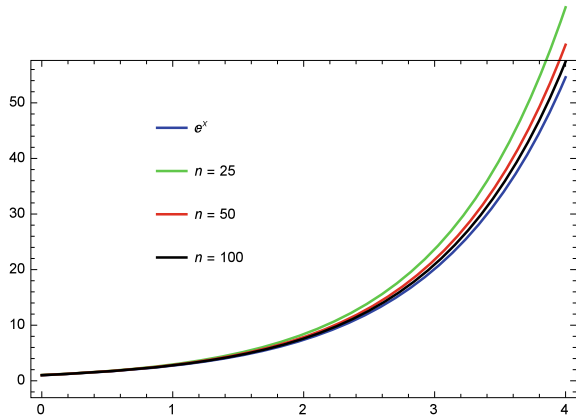
In 1935, Grüss [5], developed an inequality known as Grüss inequality after his name, which has vital importance in the theory of approximation. It estimates with a relation of integral of a product and product of integrals of the two function. First of all, Gal and Gonska [2] applied this inequality on the Bernstein’s operators to discuss the Grüss Voronovskaya type theorem, and after this significant contribution in this direction, many researchers put their efforts to develop interesting ideas using the Grüss inequality. In a note [4], the authors obtained a new approach with the help of the least concave majorant by using Grüss inequality to the operators on a compact interval. Some research regarding Grüss Voronovskaya can be seen in [1, 16, 17, 19].

**Theorem 6** *If  $f, g \in C_w^k[0, \infty)$  for which  $f', f'', g', g'' \in C_w^k[0, \infty)$ , then for each  $x \geq 0$ , an expression can be obtained, which is*

$$\lim_{n \rightarrow \infty} u_n (S_n^*(fg; x) - S_n^*(f; x)S_n^*(g; x)) = 2xf'(x)g'(x). \tag{29}$$

**Proof** For the function  $f, g \in C_w^k[0, \infty)$  with  $f', f'', g', g'' \in C_w^k[0, \infty)$ , we can write

**Fig. 1** Convergence of operators  $S_n^*(g; x)$  to the function  $g(x)$  (blue)



$$\begin{aligned}
 n (S_n^*(fg; x) - S_n^*(f; x)S_n^*(g; x)) = n \left\{ \right. & \left( S_n^*(fg; x) - f(x)g(x) - (fg)' \Theta_{n,1} - \frac{(fg)''}{2!} \Theta_{n,2} \right) \\
 & - g(x) \left( S_n^*(f; x) - f(x) - f'(x) \Theta_{n,1} - \frac{f''(x)}{2!} \Theta_{n,2} \right) \\
 & - S_n^*(f; x) \left( S_n^*(g; x) - g(x) - g'(x) \Theta_{n,1} - \frac{g''(x)}{2!} \Theta_{n,2} \right) \\
 & + \frac{g''(x)}{2!} S_n^*((t-x)^2; x) (f - S_n^*(f; x)) + f'(x)g'(x) \Theta_{n,2} \\
 & \left. + g'(x) \Theta_{n,1} (f - S_n^*(f; x)) \right\}.
 \end{aligned}$$

Applying Theorem 3 for each  $x \in [0, \infty)$ ,  $n \rightarrow \infty$ , as well as using Remark 1, then with the help of Theorem 5, we get our desired result.

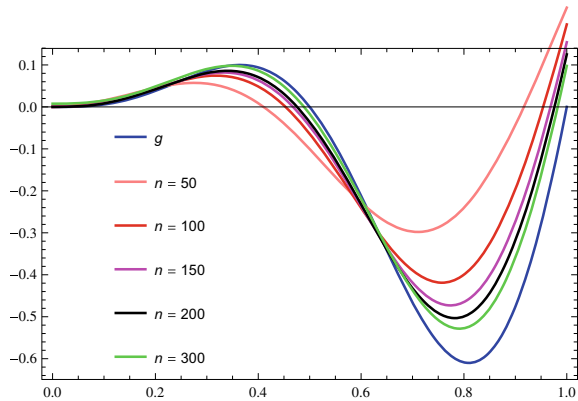
$$\lim_{n \rightarrow \infty} u_n (S_n^*(fg; x) - \mathcal{U}_n^{[\alpha]}(f; x)S_n^*(g; x)) = 2xf'(x)g'(x).$$

**Example 1** For the approximation by the operators defined by (1) to the given function, here, we consider the function  $g : [0, 4] \rightarrow [0, \infty)$  such that  $g(x) = e^x$  (blue), for all  $x \in [0, 4]$ . Choosing  $n = 25, 50, 100$  and corresponding operators are as  $S_{25}^*$  (green),  $S_{50}^*$  (red),  $S_{100}^*$  (black), shown in Fig. 1. Here, the approach of the operators can be seen in given Fig. 1. As the value of  $n$  is increased, the operators approach towards the function. For large value of  $n$ , the approximation is good.

**Example 2** Let the function  $g = x^2 \sin 2\pi x$  (blue). For the values of  $n = 50, 100, 150, 200, 300$ , the approach of the corresponding operators  $S_{50}^*, S_{100}^*, S_{150}^*, S_{200}^*, S_{300}^*$ , represented by pink, red, magenta, black, green colours, respectively in Fig. 2, to the function is good for large value of  $n$ .

**Conclusions and applications:** Here we have determined the approximation properties for the functions belonging to different spaces and the order of approxima-

**Fig. 2** Convergence of operators  $S_n^*(g; x)$  to the function  $g(x)$  (blue)



tion of the operators has been estimated. The asymptotic behaviour of the operators is discussed and we determined the quantitative means of the asymptotic formula, as well as proved the Grüss Voronovskaya type theorem. Finally, we have justified the approximation results by graphical representations. Derived results are all beneficial and these can be applied in mathematical analysis, mathematical physics and quantum calculus with  $q$  and  $(p, q)$  analogues of the proposed operators. Concerning the proposed operators as for the applications, one can apply these in the integral equations to solve the Volterra and Fredholm equations of the first and second kind for the numerical approximate solutions. Also, some specific differential equations with boundary conditions can be solved.

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# Fuzzy Approach to Solve General De-Novo Programming Problem



Sayanta Chakraborty  and Debasish Bhattacharya 

**Abstract** A General De-Novo Programming Problem (GDNPP) is a multi-objective De-Novo Programming Problem (MODNPP) involving both maximizing and minimizing type of objectives. In this paper, a modified fuzzy approach is adopted to solve GDNPP by means of reflection of decision-maker's choice. It is seen that flexibility in decision-maker's choice to some extent could be reflected in multi-objective linear programming problem (MOLPP) using Meta-Goal Programming (GP) technique. This flexibility in decision process can also be efficiently incorporated using fuzzy technique to solve GDNPP, which is achieved by introducing new constraints as per requirement of the problem. Moreover, these constraints could be so chosen that the highest possible number of objectives could attain their ideal values. This is a new type of flexibility introduced in the decision process. The proposed method of solution has been illustrated by a numerical example. Finally, the solutions obtained have been compared with those of other existing method of solving GDNPP.

**Keywords** Multi-objective De-Novo Programming · Non-compensatory operator · Ideal values · Meta-GP

## 1 Introduction

The De-Novo Programming Problem (DNPP) introduced by Zeleny [17] is popularly used to design an optimal system by extending existed resources (if necessary) instead of finding an optimum in a given system with fixed resources. The main advantage of De-Novo Programming is that through this approach a decision-maker can obtain trade-off free solution for its objectives. In his papers [13–23], Zeleny considered only maximizing type of objective functions. Now there was no general method to solve MODNPP containing both maximizing and minimizing type of objectives. But to apply the DNPP technique for the solution of real-life problems, DNPP having both maximizing and minimizing type of objectives, also known as General De-Novo

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S. Chakraborty (✉) · D. Bhattacharya  
NIT Agartala, Jirania 799046, India  
e-mail: [sayantasmalbox@gmail.com](mailto:sayantasmalbox@gmail.com)

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Programming Problem (GDNPP), has to be considered. Later on some researchers, e.g., Li and Lee [7], Chen [4], Nurullah [12] solved GDNPP. The first two authors utilized fuzzy techniques for the solution of the problem by replacing the objectives by their membership functions. While Nurullah employed min–max Goal Programming (GP) technique for its solution, which is akin to fuzzy method. In [1, 2], authors solved GDNPP using compensatory and non-compensatory operators under fuzzy environment.

In fuzzy method of solution of MOLPP, the overall performance of the system is maximized, i.e., overall satisfaction of the decision-maker is maximized. But it is beyond the scope of fuzzy MOLPP techniques to maximize the level of satisfaction of all the objectives separately or paying attention to a particular set of objectives for their attainment of highest level of membership values. Also due to the conflicting nature of the objectives, it is impossible to optimize all the conflicting objectives simultaneously. Thus fuzzy methods of solution of GDNPP elicit solution giving moderate values of the objectives. But decision-maker's choice of attaining some targeted values to a specified set of objectives could not be taken into account. This is a drawback of fuzzy methods in solving DNPP in its true spirit.

To overcome this weakness of fuzzy method, Rodriguez et al. [9] introduced meta-GP in 2002, based on some variants of GP, to solve MOLPP by incorporating flexibility. Through this approach a decision-maker can set some additional goals (which are not real goals), called meta-goals [2], to offer more flexibility for preference expression. One of the advantages of meta-GP is that the decision-maker can use any one of the GP variants for the solution process. The decision-maker can set an aspiration level for each goal in the chosen GP variant. The meta-goals can be thought of as a “secondary/derived goals” from the original set of explicit goals. So through meta-GP approach, flexibility can be incorporated in the solution of any MOLPP. In [9], the authors proposed three types of meta-goals for reflecting decision-maker's choice, which are given as follows:

**Type 1 meta-goal:** The pro-rata-based sum of unwanted deviations (represented by deviation variables) should not exceed a certain bound.

**Type 2 meta-goal:** The maximum percentage of deviation of some goals in concern (i.e., some of the goals) should not exceed a certain bound.

**Type 3 meta-goal:** Among some specifically watched explicit goals, the percentage of (the number of) unachieved goals should not exceed a certain bound.

Finally, the authors minimized the deviations of the achievement of the meta-goals from their targeted values using lexicographic, min–max, and weighted GP techniques.

But in this meta-GP method too it has been seen that if a large number of constraints are used in the problem formulation, then the solution may render to infeasibility [9]. So a limited number of constraints were used in the problem formulation. Due to such constraints it has been seen that few objectives could reach to their ideal values (as per the choice of the decision-maker), whereas the others could not and in fact they assume worst possible values (negative ideal values).

In 2017, Zhuang et al. [25] used meta-GP technique to solve GDNPP. Here also the authors considered three types of meta-goals as explained above. Meta-GP approach



of solving GDNPP also suffers from the similar limitations as mentioned above. So it would be very much pertinent and appreciable if the highest number of objectives in a GDNPP could get to their respective ideal values and at the same time the overall performance of the system could be maximized. With this motivation, by annexing some additional constraints (like the secondary goals in meta-GP) to the fuzzy method [1–3], a new method of solving GDNPP is introduced. The introduced method inherits the merits of both of the fuzzy method and meta-GP technique to solve GDNPP.

In literature [1, 2, 7], it has been seen that when a GDNPP is solved by fuzzy method, then the solution yields overall satisfaction of the decision-maker in the form of moderate objective values. However, there is no space of reflecting decision-maker's choice in the solution process. But generally decision-maker wants to incorporate flexibility in choosing certain objectives of his/her interest which he/she intends to get to their respective ideal values [9, 25]. This is based on the ground reality of the problem.

### ***1.1 Research Gap***

So far our knowledge is concern, as in GDNPP, the objectives are conflicting in nature, so there exists no method available in the literature through which maximum number of objectives could reach their ideal values and at the same time, the overall performance of the decision-making process could be maximized. Also the decision-maker's choice could not be reflected in the decision-making process through the existing approaches of solving GDNPP.

### ***1.2 Novelty***

In the present treatise, it has been seen that flexibility in the decision process could also be embedded to fuzzy technique [1, 2, 7] of solving GDNPP by incorporating some additional constraints as per the requirement of the problem or choice of the decision-maker. The benefit of the proposed fuzzy method is that it maximizes the overall performance of the system as well as satisfies the additional constraints. Also by judicious choice of the additional constraints, the highest possible number of objectives could attain their ideal values. The number of such objectives could be determined and possible combinations of such objectives could also be ascertained. This is a new type of flexibility in the decision process. The novelty of the proposed method is threefold.

- i. First of all, it provides a way in ascertaining the highest possible number of objectives which could attain their ideal values and their possible combinations.

- ii. Secondly, it elicits solution with highest number of objectives attaining their ideal values.
- iii. Thirdly, overall performance of the system is maximized.

The method has been discussed with the help of a real-life example and the results obtained by the proposed approach have also been compared with the existing methods.

With this aim the paper has been organized as follows:

In Sect. 1, a brief introduction of the previous works related to the proposed approach is given. Section 2 consists of three sub-sections, namely, Sects. 2.1, 2.2, and 2.3 where, respectively, the Zimmermann’s technique, the proposed method, and the algorithm of the solution procedure of the proposed method have been discussed. In Sect. 3, a numerical problem is solved using the proposed method and the results are compared with that obtained by the existing methods. Finally, Sect. 4 includes conclusion and the proposed future works.

## 2 Proposed Approach for Solving GDNPP

Before describing the proposed approach it is necessary to know briefly the process of solution of GDNPP under fuzzy environment using Zimmermann’s non-compensatory operator  $\lambda$  introduced by the authors in [1–3].

### 2.1 Solution of MODNPP Using Zimmermann’s Non-compensatory Operator $\lambda$

To describe this method, let us first consider a GDNPP [7] as follows:

$$\left. \begin{aligned}
 & \text{Max } \mathbf{Z}_k = \sum_{j=1}^n \mathbf{c}_{kj}x_j, \mathbf{k} = 1, 2, \dots, \mathbf{l} \\
 & \text{Min } \mathbf{W}_s = \sum_{j=1}^n \mathbf{c}_{sj}x_j, s = 1, 2, \dots, r \\
 & \text{subject to } \sum_{j=1}^n \mathbf{a}_{ij}x_j - \mathbf{b}_i \leq 0, \mathbf{i} = 1, 2, \dots, \mathbf{m} \\
 & \qquad \qquad \sum_{i=1}^m \mathbf{p}_i \mathbf{b}_i \leq B \\
 & \qquad \qquad x_j \geq 0, j = 1, 2, \dots, n
 \end{aligned} \right\} \tag{1}$$

Now  $\sum_{i=1}^n p_i a_{ij}$  represents per unit cost of the product  $j$ . Let us denote it by a variable  $v_j$ . Then  $\sum_{i=1}^n p_i a_{ij} = v_j, j = 1, 2, \dots, m$ . The constraints of system (1) can be rewritten as  $\sum_{i=1}^n p_i \sum_{j=1}^n a_{ij}x_j \leq \sum_{i=1}^m p_i b_i$  or,  $\sum_{j=1}^n (\sum_{i=1}^m p_i a_{ij})x_j \leq B$ , that is,  $\sum_{j=1}^n v_j x_j \leq B$ . Thus using  $v_j$ , system (1) can be reframed as

$$\left. \begin{aligned}
 & \text{Max } Z_k = \sum_{j=1}^n c_{kj}x_j, k = 1, 2, \dots, l \\
 & \text{Min } W_s = \sum_{j=1}^n c_{sj}x_j, s = 1, 2, \dots, r \\
 & \text{subject to } \sum_{j=1}^n v_jx_j \leq B \\
 & x_j \geq 0, j = 1, 2, \dots, n
 \end{aligned} \right\} \tag{2}$$

In fact, systems (1) and (2) are equivalent [7].

There are n basic feasible solutions (BFSs) of system (2) which are given by  $(\frac{B}{v_1}, 0, \dots, 0), (0, \frac{B}{v_2}, 0, \dots, 0), \dots, (0, 0, \dots, 0, \frac{B}{v_n})$ . Substituting each of these n BFSs to all the objectives one by one, the ideal values, that is, max (respectively, min) values of the maximizing (respectively, minimizing) objectives could be easily determined. These are

$$\text{Let } Z_k^* = \text{Max}Z_k(x) = \text{Max}(c_{k1}\frac{B}{v_1}, c_{k2}\frac{B}{v_2}, \dots, c_{kn}\frac{B}{v_n}) \text{ and}$$

$W_s^* = \text{Min}W_s(x) = \text{Min}(c_{s1}\frac{B}{v_1}, c_{s2}\frac{B}{v_2}, \dots, c_{sn}\frac{B}{v_n})$ . Thus, the ideal point  $\alpha^*$  of the system for the present state is given by  $\alpha^* = (Z_1^*, Z_2^*, \dots, Z_l^*, W_1^*, W_2^*, \dots, W_r^*)$ .

Alternatively solving  $(l + r)$  single-objective Linear Programming Problems (LPPs) independently subject to the single constraint  $\sum_{j=1}^n v_jx_j \leq B$ , the decision-maker maximizes each of the objectives  $Z_1, Z_2, \dots, Z_l$  and minimizes each of the objectives  $W_1, W_2, \dots, W_r$  to find the ideal point  $\alpha^*$  based on the present configuration and available resources.

Further, let the pessimistic values of the objective functions obtained by Luhandjula’s technique [8] be, respectively, given by  $\hat{Z}_1, \hat{Z}_2, \dots, \hat{Z}_l, \hat{W}_1, \hat{W}_2, \dots, \hat{W}_r$ . The linear membership functions [7] for the objectives of (2) are constructed as follows:

$$\mu_{Z_k} = \begin{cases} 0, & Z_k \leq \hat{Z}_k \\ \frac{Z_k - \hat{Z}_k}{Z_k^* - \hat{Z}_k}, & \hat{Z}_k < Z_k < Z_k^*, k = 1, 2, \dots, l \\ 1, & Z_k \geq Z_k^* \end{cases} \tag{3}$$

$$\mu_{W_s} = \begin{cases} 0, & W_s \geq \hat{W}_s \\ \frac{\hat{W}_s - W_s}{\hat{W}_s - W_s^*}, & W_s^* < W_s < \hat{W}_s, s = 1, 2, \dots, r \\ 1, & W_s \leq W_s^* \end{cases} \tag{4}$$

These membership functions indicate the degree of satisfaction of the decision-maker for the attainment of the aspiration levels (here the ideal values) of the corresponding objectives.

Zimmermann’s operator  $\lambda = \min\{\mu_{Z_k}, \mu_{W_s}\}$  is a non-compensatory operator because it is used to represent “logical and” or “fuzzy and”. It does not take the maximum possible values of the individual membership functions into consideration. Using the non-compensatory operator  $\lambda$  for the solution of the GDNPP (2) under fuzzy environment, the authors in [1, 2] proposed the following model:

$$\left. \begin{aligned}
 & \text{Max } \lambda \\
 & \text{subject to } \lambda \leq \frac{Z_k - \widehat{Z}_k}{Z_k^* - \widehat{Z}_k}, k = 1, 2, \dots, l \\
 & \lambda \leq \frac{\widehat{W}_s - W_s}{\widehat{W}_s - W_s^*}, s = 1, 2, \dots, r \\
 & \sum_{j=1}^n v_j x_j \leq B \\
 & x_j \geq 0, j = 1, 2, \dots, n \\
 & \lambda \in [0, 1]
 \end{aligned} \right\} \tag{5}$$

It has been seen that system (5) yields a solution which maximizes the overall performance of the system, that is, yielding intermediate objective values lying between their optimistic (here ideal values) and pessimistic values (obtained by Luhandjula’s technique). As mentioned earlier, there is no scope of reflecting decision-maker’s choice regarding the attainment of specific objective values. Also the spirit of De-Novo Programming [4–7, 10–12, 17–19, 21–26] for the attainment of as many objectives as possible to their respective ideal values under the given budgetary provision is also not fulfilled.

### 2.2 Proposed Method

The method proposed here takes care of the flexibility in decision-maker’s choice along with maximizing the overall performance of the system. This is achieved by incorporating some additional constraints in system (5) for solving a GDNPP under fuzzy environment.

The additional constraints are constructed as follows:

#### Type-I Constraint

The first set of constraints is the goal constraints representing the aspiration levels (ideal values) of each objective. For maximizing and minimizing objectives, they are, respectively,  $Z_k + n_k = Z_k^*$  and  $W_s - p_s = W_s^*$ . In [25], both positive and negative deviation variables  $n_k, p_k$  (respectively,  $n_s, p_s$ ) in each of the goal constraints were used. But here only negative and positive deviation variables, respectively, have been used for maximizing and minimizing objectives. This is because of the simple reason that a maximizing objective can never exceed its ideal value and minimizing objective cannot be less than its ideal values. This will reduce the number of deviation variables.

#### Type-II Constraint

The second set of constraints are formed introducing some binary variables  $y_i$ , one for each goal constraint of Type-I. The binary variable  $y_i$  assuming the value 1 reflects that the corresponding objective must not attain its ideal value, whereas  $y_i = 0$  indicates that the objective attains its ideal value. To achieve this the binary variables

are multiplied with a large positive integer (say 10 times of the corresponding ideal value  $Z_k^*$  or  $W_s^*$ ) and are subtracted from the corresponding deviation variable  $n_k$  or  $p_s$ . The difference is constrained to be non-positive. Thus, the second set of constraints could be represented as  $n_k - 10Z_k^*y_k \leq 0$ , for  $k$ th maximizing objective and  $p_s - 10W_s^*y_s \leq 0$ , for  $s$ th minimizing objective.

Also if the decision-maker considers that the maximum number of unachieved goals should not exceed a certain bound (a positive integer  $I$ ) then the binary variables introduced in Type-II goal constraints must satisfy  $\sum_{i=1}^{l+r} y_i \leq I$ , where  $l, r$ , respectively, denote the number of maximizing and minimizing objectives of the problem. Introducing one negative deviation variable  $\beta$ , the corresponding goal constraint takes the form  $\sum_{i=1}^{l+r} y_i + \beta = I$  and it states that  $I$  is the maximum number of objectives that do not attain their ideal values.

To embed the decision-maker's choice in system (5), we annex the Type-I and Type-II goal constraints to the system and also try to maximize  $\lambda$  and minimize  $\beta$  simultaneously after converting  $I$  to a dimensionless quantity. This is done by dividing  $\beta$  by  $I$ . Thus, introducing the additional constraints as explained in Sect. 2.2, the proposed method of solution can be described by the following system:

$$\left. \begin{aligned}
 & \text{Max } \lambda - \frac{\beta}{I} \\
 & \text{subject to } \lambda \leq \frac{Z_k - \widehat{Z}_k}{Z_k^* - Z_k}, k = 1, 2, \dots, l \\
 & \lambda \leq \frac{\widehat{W}_s - W_s}{W_s - W_s^*}, s = 1, 2, \dots, r \\
 & \sum_{j=1}^n v_j x_j \leq B \\
 & Z_k + n_k = Z_k^* \\
 & W_s - p_s = W_s^* \\
 & n_k - 10 \times Z_k^* \times y_k \leq 0 \\
 & p_s - 10 \times W_s^* \times y_s \leq 0 \\
 & \sum_{i=1}^{l+r} y_i + \beta = I \\
 & x_j \geq 0, j = 1, 2, \dots, n \\
 & n_k \geq 0, k = 1, 2, \dots, l \\
 & p_s \geq 0, s = 1, 2, \dots, r \\
 & \lambda, \beta \in [0, 1] \\
 & y_i \in \{0, 1\}, i = 1, 2, \dots, l + r
 \end{aligned} \right\} \tag{6}$$

Again the maximization of  $\lambda$ , the confluence of the membership functions of the objectives tries to maintain the overall satisfaction of the decision process. So that, the other objectives which could not have reached to their ideal values is not very far from their targets. The algorithm based on system (6) of the proposed method is as follows:

### 2.3 Algorithm

*Step 1:* Evaluate ideal values  $Z_k^*$ ,  $k = 1, 2, \dots, l$  and  $W_s^*$ ,  $s = 1, 2, \dots, r$ , respectively, for the maximizing and minimizing objectives and calculate the corresponding BFSs using the process as explained in Sect. 2.1.

*Step 2:* Place the results obtained in Step 1 in a tabular form. From the table, check the number of objectives who have attained their optimal values for each BFS. From this the maximum number of objectives ( $\Lambda$  say) which could reach to their ideal values corresponding to a particular BFS is found,  $\Lambda = l + r - I$ .

*Step 3:* Construct Type-I goal constraints  $Z_k + n_k = Z_k^*$  and  $W_s - p_s = W_s^*$ , where  $n_k$  and  $p_s$  are, respectively, the negative and positive deviation variables,  $k = 1, 2, \dots, l$ ;  $s = 1, 2, \dots, r$ .

*Step 4:* Introduce  $(l + r)$  number of binary variables  $y_i$ , one for each of the  $(l + r)$  number of objectives and then formulate corresponding goal constraint  $\sum_{i=1}^{l+r} y_i + \beta = I$ .

*Step 5:* The deviation variables introduced in Step 3 are constrained as  $n_k - 10Z_k^*y_k \leq 0$  and  $p_s - 10W_s^*y_s \leq 0$ .

*Step 6:* Annexing the introduced goal constraints in system (5) formulate system (6) where instead of maximizing  $\lambda$ ,  $\lambda - \frac{\beta}{I}$  is maximized. Here at the same time  $\lambda$  is maximized and  $\beta$  is minimized.

*Step 7:* Finally, solve system (6) by using LINGO 18.0 software.

The applicability of the proposed method has been discussed with the help of a real-life example.

## 3 Numerical Example

Let us consider a numerical problem from example from [25]. In the problem, there are three maximizing objectives  $Z_1, Z_2$ , and  $Z_3$  and two minimizing objectives  $W_1$  and  $W_2$  in three decision variables  $x_1, x_2$ , and  $x_3$  with  $x_i \geq 60$ ,  $i = 1, 2, 3$ . So the system has  $\binom{3}{1} = 3$  BFSs, given by (as explained in Sect. 2)  $x_1 = 98.7, x_2 = 60, x_3 = 60$ ;  $x_1 = 60, x_2 = 60, x_3 = 60$  and  $x_1 = 98.74189, x_2 = 60, x_3 = 109.1$ .

Next is to find the optimistic and pessimistic values of the objectives. We calculate the value of each objective at each of the BFSs. The calculations are shown in Table 1. The optimistic values are shown in bold face and the pessimistic values are bold and underlined.

From the table, it is clear that the ideal values of the objectives are given by

$$Z_1^* = 6812.192, W_1^* = 8.376, Z_2^* = 11630184885, W_2^* = 900, \\ Z_3^* = 8753585.276, Z_4^* = 1518.896$$

The pessimistic values of the objectives are determined using Luhandjula's technique [11] and are given by

**Table 1** Calculation of optimistic (ideal) and pessimistic values of the objectives

Basic feasible solutions			Optimal values of the objectives					
x <sub>1</sub>	x <sub>2</sub>	x <sub>3</sub>	Z <sub>1</sub>	Z <sub>2</sub>	Z <sub>3</sub>	Z <sub>4</sub>	W <sub>1</sub>	W <sub>2</sub>
98.7	60	60	6812.2	11,630,184,993.1	8,753,585.3	1471.2	9.6	1047.2
60	60	60	5340	8,680,929,660	6,871,620	1200	8.4	900
60	60	109.1	6370.3	9,648,039,659	8,222,953.4	1518.9	11.6	1243.4

$$(\hat{Z}_k = 5340, \hat{W}_1 = 11.5993, \hat{Z}_2 = 8680929660, \hat{W}_2 = 1243.426, \hat{Z}_3 = 6871620, \hat{Z}_4 = 1200).$$

From the table, it is clear that for the given problem the maximum number of objectives which could attain their respective ideal values is 3, since for the solution  $x_1 = 98.7419, x_2 = 60, x_3 = 60$ , three objectives, namely,  $Z_1, Z_2$ , and  $Z_3$  achieved their respective ideal values. Thus, maximum number of unachieved goals,  $I = (4 + 2) - 3 = 3, \Lambda = 1 + r - I = 3$ .

Thus, for the given problem the proposed method yields the following model:

$$\left. \begin{aligned} & \text{Max } \lambda - \frac{\beta}{3} \\ & \text{subject to } \lambda \leq \frac{Z_1 - 5340}{6812.192 - 5340} \\ & \lambda \leq \frac{11.5993 - W_1}{11.5993 - 8.376} \\ & \lambda \leq \frac{Z_2 - 8680929660}{11630184885 - 8680929660} \\ & \lambda \leq \frac{1243.426 - W_2}{1243.426 - 900} \\ & \lambda \leq \frac{Z_3 - 6871620}{8753585.276 - 6871620} \\ & \lambda \leq \frac{Z_4 - 1200}{1518.896 - 1200} \end{aligned} \right\} \text{Fuzzy Goal Constraint}$$

$$47806358.75x_1 + 42740622.5x_2 + 37751198.75x_3 \leq 9550000000 \text{ Budget Constraint}$$

$$\left. \begin{aligned} & Z_1 + n_1 = 6812.192 \\ & W_1 - n_2 = 8.376 \\ & Z_2 + n_3 = 11630184885 \\ & W_2 - n_4 = 900 \\ & Z_3 + n_5 = 8753585.276 \\ & Z_4 + n_6 = 1518.896 \end{aligned} \right\} \text{Type-I Constraint}$$

$$\left. \begin{aligned} n_1 - 68120y_1 &\leq 0 \\ n_2 - 80y_2 &\leq 0 \\ n_3 - 116301849330y_3 &\leq 0 \\ n_4 - 9000y_4 &\leq 0 \\ n_5 - 87535850y_5 &\leq 0 \\ n_6 - 15180y_6 &\leq 0 \\ \sum_{i=1}^6 y_i + \beta &= 3 \end{aligned} \right\} \text{Type-II Constraint}$$

$$\left. \begin{aligned} x_1 &\geq 60 \\ x_2 &\geq 60 \\ x_3 &\geq 60 \end{aligned} \right\} \text{Hard Constraint}$$

$$n_i \geq 0, i = 1, 2, \dots, 6$$

$$\lambda \in [0, 1]$$

$$y_i \in \{0, 1\}, i = 1, 2, \dots, 6$$

**Solution**

By LINGO 18.0 software the solution of the above model is given as follows:

$\lambda = 0.571, \beta = 0, Z_1 = 6812.192, W_1 = 9.573, Z_2 = 11630184885, W_2 = 1047.219, Z_3 = 8753585.276, Z_4 = 1471.193, n_1 = 0, n_2 = 1.197125, n_3 = 384.2183, n_4 = 147.2192, n_5 = 0.255, n_6 = 47.70274, y_1 = y_3 = y_5 = 0, y_2 = y_4 = y_6 = 1, x_1 = 98.74189, x_2 = 60, x_3 = 60.$

It has been seen that three objectives  $Z_1, Z_2,$  and  $Z_3$  have reached to their respective ideal values and the other objectives assumed moderate values as reflected by overall satisfaction  $(\lambda - \frac{\beta}{3}) = 0.571$ . A comparison of the solution obtained by the proposed method and that by Zhuang et al. [25] is furnished in Table 2. It clearly shows that the proposed method yields better solution.

From the comparison table, it is clear that both meta-GP approach and the proposed approach yield same optimal objective values for the given example.

**Table 2** Comparison of solution obtained by different methods

Objectives	Ideal values	Meta-GP approach	Proposed approach
$Z_1$	6812.1922	6812.1922	6812.1922
$Z_2$	11,630,184,993.06	11,630,184,993.06	11,630,184,993.06
$Z_3$	8,753,585.31	8,753,585.31	8,753,585.31
$Z_4$	1518.896	1471.1933	1471.219
$W_1$	8.3760	9.5731	9.573
$W_2$	900.00	1083.2192	1047.219



But through the meta-GP approach, neither the overall performance of the decision-making process could not maximized, nor the number of objectives which could reach to their ideal values could be identified. On the other hand, as the proposed approach is a fuzzy approach, so the value of  $\lambda = 0.571$  indicates that the overall satisfaction of the decision-making process is fulfilled. At the same time,  $y_1 = y_3 = y_5 = 0$  are indicating that their corresponding objectives, that is,  $Z_1$ ,  $Z_2$ , and  $Z_3$  have reached to their ideal values. Also through the proposed model, it could be identified that total number of unachieved goals will be 3.

## 4 Conclusion

A fuzzy method of solving GDNPP was proposed by the authors in [1–3]. To incorporate flexibility in decision-maker's choice, the said fuzzy method of solving GDNPP has been modified in this paper. Here, by incorporating some additional constraints, decision-maker's choice regarding the objectives and their attainment to the respective ideal values has been achieved. In the process, maximum number of such objectives could also attain their ideal values. To validate the applicability of the proposed method, a numerical example has been solved and compared with meta-GP approach. It has been seen that the proposed method yields same solution as that of meta-GP approach. Also, the proposed approach indicates that the maximum number of unachieved goals is three and the value of  $\lambda = 0.571$  indicates that half of the total number of objectives, that is, three objectives could reach to their ideal values. The most promising advantage of this approach is that apart from the desired flexibility to reflect the decision-maker's preference, the overall satisfaction of the decision process could also be maintained. It has been seen that for each GDNPP, all the objectives may not attain their ideal values. Only a certain number of objectives could reach to their ideal values. But the very essence of DNPP is to lead all the objectives to their respective ideal values. In future, this could be investigated either by sensitivity analysis or by investing more resources in terms of investment of more budgets. This approach could be applied to solve any real-life problem which could be modeled as multi-objective optimization problem, like resource allocation, academic planning, budget allocation, etc. In this regard, the parameters could be chosen as triangular or trapezoidal fuzzy numbers.

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# Comparative Study of Eight Classification Models for Diagnosis and Prediction of Breast Cancer



Hemangini Mohanty and Santilata Champati

**Abstract** Nowadays, breast cancer is creating a big problem for women all over the world. Correct and early prediction of disease is very much important for the treatment of curing the disease. Women identified at the stage of benign have high chances of getting curable but identifying at the stage of malignant is regarded as a dangerous state of cancer. Many machine learning algorithms are used for the diagnosis of breast cancer effectively. In this article, eight classification models such as Logistic Regression (LR), K-Nearest Neighborhood (K-NN), Decision Tree (DT), Random Forest (RF), Artificial Neural Network (ANN), Gaussian Naïve Bayes (NB), Support Vector Machine (SVM), and AdaBoost classifier are used for predicting two classes, i.e., benign and malignant. To choose the best fit classification model for prediction, a confusion matrix is used to evaluate the performance of each model. Also, parameters such as accuracy, precision, recall, specificity, F-measure, and Matthews correlation coefficient (MCC) are discussed for each model. For experimental results, the Wisconsin Breast Cancer Diagnosis dataset and Coimbra Breast cancer datasets are used, and at last, a comparison is being done for all of these models.

**Keywords** Decision Tree (DT) · K-Nearest Neighborhood (K-NN) · Logistic Regression (LR) · Random Forest (RF) · Support Vector Machine (SVM) · Artificial Neural Network (ANN) · Gaussian Naïve Bayes (NB) · AdaBoost Classifier

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H. Mohanty (✉)

Department of Centre for Applied Mathematics and Computing, Siksha 'O' Anusandhandhan (Deemed to Be University), Bhubaneswar, India

e-mail: [hemangini.newindia@gmail.com](mailto:hemangini.newindia@gmail.com)

S. Champati

Department of Mathematics, Siksha 'O' Anusandhandhan (Deemed to Be University), Bhubaneswar, India

e-mail: [santilatachampati@soa.ac.in](mailto:santilatachampati@soa.ac.in)

# 1 Introduction

Breast cancer is the most commonly occurring cancer disease among women worldwide [1, 2], and about 10% of women is getting affected by breast cancer disease at some stage in their life [3]. Among all types of cancer, it is the second most common cancer overall and in countries like Belgium, Luxembourg, Netherlands, etc., the number of affected women by breast cancer is very high [4]. Approximately, 2 million women are diagnosed in 2018 around the world and 140 out of 148 countries worldwide are frequently diagnosed with this cancer [5]. In the last few years, the rate of increase of this disease is found to be 0.5% per year [5]. The chance of women will die is about 1 in 39 in breast cancer disease. This disease can be curable if detected early. If a woman is diagnosed at the benign stage, then she must be curable and the death rate by this disease can be reduced [6]. So, early diagnosis of this disease is very much important. Data Mining (DM) methods can be helpful to classify a patient as benign or malignant. In many health databases, these DM techniques are proved to be predicting accurate class labels of many diseases [1, 7, 8]. Many researchers are using these techniques to improve the correct prediction of the disease and also to reduce the false-positive and false-negative rates [1].

Supervised learning is a machine learning process that has labeled data. That means you have to train the machine using existing classified data which is well labeled. This will help you to predict the class labels of unknown data [9, 10]. In medical fields, all datasets are well labeled. Hence, a supervised learning algorithm helps to develop predictive models to give accurate prediction results [8]. Classification is a supervised learning process of analysis of datasets by constructing models which describe important data labels [2]. It helps to predict the class labels of new data. It has many applications like medical diagnosis, fraud detection, performance prediction, and target achieving in the market, etc. [8, 10, 11]. In predicting the class labels of a woman affected by breast cancer as benign or malignant classification is the most suitable technique as the dataset is labeled. There are many classifiers used to predict class labels such as Decision Tree classifiers, Random Forest, Bayesian classifiers, Support Vector Machine, K-Nearest Neighborhood, Artificial Neural Network, Logistic Regression Model, and AdaBoost classifier [8, 12].

In this article, the authors discussed eight classification models to predict the class labels of breast cancer by analyzing the dataset collected from the UCI machine learning repository [13]. In the next sections, related works of these techniques, the working methods of these techniques have been described. Then, to choose the best model confusion matrix is used to evaluate the performance measure of all the models. One least-studied classification method named AdaBoost classifier is used to build a model. At last, the comparison of all these eight models is done. In the last section, a conclusion is made.

## 2 Literature Review

Nowadays, many researchers are applying machine learning techniques to the health database to predict the accurate class labels of disease diagnosis. Many classification algorithms such as DT, Logistic Regression, Random Forest, SVM, and ANN are used to develop a model for prediction of disease diagnosis and to select the best classifier for breast cancer prediction a comparison is also made by their model performance. Ahmad et al. [1] proposed three classification models such as DT, ANN, and SVM for predicting breast cancer disease and comparing their performance on the Iranian Centre for breast cancer program from 1997 to 2008. The authors have shown that SVM is the best classifier among all three models and it gives the highest accuracy 97.1%. Bazazeh et al. [3] made a comparison of three popular ML techniques such as SVM, RF, and BN to Wisconsin original breast cancer dataset, and their results have shown that RF classifier performs better to classify between benign and malignant. They have compared the value of precision, recall, and ROC area of these three techniques to choose the best classifier. Scientific Committee [8] showed that the voting classifier gives 98.90% accuracy to predict the class as benign and malignant. They have also made a comparison of other classifiers such as Gaussian naïve Bayes, logistic regression, neural network, and SVM which gives 93.61%, 97.80%, 98.40%, and 98.50% accuracy, respectively.

## 3 Data Mining Techniques

Supervised learning and unsupervised learning are two types of learning mechanisms in machine learning. In supervised learning, all data are labeled and so it is easy to classify some new datasets into labeled data. But in unsupervised learning, no labeled data are present, and classifying some new data is a difficult task. In supervised learning, the classification task is mostly found. Mainly, classification is the task of predicting new data into existing labeled data.

Here, we have discussed different steps involved in eight classification models in classifying datasets.

### 3.1 Logistic Regression (LR)

To predict a data value based on prior observations of a dataset, logistic regression is mainly used which is a kind of statistical analysis method [8]. This is mainly used for classification problems. It helps to find a relationship between a dependent variable (target) and one or more independent variables (predictors) where the dependent variable is categorical/nominal. The logistic regression model makes a model which predicts the probability of a given data entity belongs to the class label. This model

uses the sigmoid function, which takes any real value between zero and one, i.e.,  $\sigma(t) = \frac{e^t}{e^t+1} = \frac{1}{1+e^{-t}}$  [14].

### 3.2 *Decision Tree Classifier (DT)*

A Decision Tree is a supervised learning method which is in the tree form that maps instances to their target value beginning from the root to leaf [1, 12]. It uses a top-down approach which starts from the root as training tuples and the nodes represented associated class labels of training tuples. Mainly it is a graphical representation for predicting class labels by using a solution to a decision based on certain conditions [11]. This algorithm performs the following steps:

- The tree starts with a root node that represents the entire dataset.
- Then using attribute selection measure (ASM) it selects the best attribute in the dataset for splitting. It tells the best way to split the dataset into individual classes.
- Using the splitting attribute, the nodes are labeled and generate the decision tree node.
- Using the subsets of the dataset, make new decision trees. Continue the process until the tree cannot further classify the nodes and the final node gives the class labels which is called a leaf node.

### 3.3 *Random Forest (RF)*

Random forest is one of the ensemble techniques that bag decision trees from multiple subsets of a given dataset [1, 3, 8]. This method aims to reduce overfitting to the training dataset. The algorithm consists of two parts:

- Split the dataset into many subsets based on its features and then build a decision tree classifier.
- Bag all the classifiers obtained from every subset and classify the test data. Based on voting or average method classify the data.

### 3.4 *Support Vector Machine (SVM)*

SVM is a discriminative classifier that intakes training data. The algorithm results an optimal hyperplane that classifies the data points [1, 7]. The advantage of this method is that it classifies both linear and nonlinear data [3]. This method works in following steps.

- If the data are linearly separable, then using support vectors are used to define a margin. Support vectors are those vectors that are equal distances from both sides of the margin.
- Now, it finds a linear optimal hyperplane that acts as a decision boundary separating all the tuples from one class label to another class label. This hyperplane is the linear separating plane with maximum distances between the nearest support vectors.
- In case of data which are not linearly separable, then a nonlinear mapping is used to transform the original training data into a higher dimension. After data are converted to linear separable form, similarly using support vectors a maximum margin hyperplane can be found. This hyperplane is corresponding to the nonlinear separating hypersurface in the original space.

### ***3.5 K-Nearest Neighborhood (K-NN)***

K-NN is a supervised learning algorithm used for classification. It is instance-based learning which uses specific training instances for the prediction of class labels without having a model formed by using the dataset [11]. It uses lazy learners who don't require any model for prediction and the classifier makes a prediction based on the local information [15]. K-NN classification algorithm is performed using the following steps:

- To classify a new sample to a class label, calculate the distance from the sample point to all other sample points in the data. You can use any distance metric like Euclidean distance or Manhattan distance for calculation.
- Choose the number of K-neighbors you want to take into consideration.
- Now, find the K-neighbors of the new sample point and rank the points by increasing order.
- Take the majority of these K-neighbor's sample points and assign the new sample point into the majority class label.

### ***3.6 Artificial Neural Network (ANN)***

The artificial neural network is a classification algorithm that assigns weights to each input nodes to predict the output class labels [8]. It is designed as a human brain. All the nodes in the network are called artificial neurons that are connected by edges. Each node is assigned some initial weight. This model computes the output value by using a weighted sum of its inputs nodes and subtracting a bias factor from the sum. These artificial neurons have specified threshold values such that if the output value crosses the threshold value, then the output value will be calculated [1]. This algorithm works as follows:

- In the input layer, all the input nodes are connected to the hidden layer through an edge. All input nodes are assigned some weight randomly.
- Then, calculate net input  $y_{in}$  by adding a bias “b” to all input nodes after weights are multiplied with them individually.

$$y_{in} = x_1w_1 + x_2w_2 + b$$

- The output of the network is given by  $y = f(y_{in})$ , where  $f(y_{in})$  is the activation function defined as  $f(y_{in}) = \begin{cases} 1 & \text{if } y_{in} > \theta \\ 0 & \text{if } -\theta \leq y_{in} \leq \theta \\ -1 & \text{if } y_{in} < -\theta \end{cases}$
- Here,  $\theta$  is the fixed threshold.
- If the calculated output is equal to the actual output, then the process stops.
- Otherwise, the weights of the input nodes will be adjusted based on the learning rate if an error has occurred for a particular training sample. The updated weight is

$$w_i(new) = w_i(old) + \alpha.t.x_i$$

$$b(new) = b(old) + \alpha.t,$$

where  $t$  is the target output value and  $\alpha$  is the learning rate defined by the user.

- Continue the process till the calculated output is equal to the actual output.

### 3.7 Gaussian Naïve Bayes (NB)

Gaussian Naive Bayes is a variant of Naïve Bayes classifier which works on continuous data and data are distributed to a normal (or Gaussian) distribution [8]. Naïve Bayes classifier is a supervised machine learning algorithm based on the Bayes theorem. Gaussian distribution depends on two parameters such as the mean and the standard deviation and there is no covariance between dimensions [8]. The algorithm works as follows:

- First, determine the prior probability of given class labels.
- Then calculate the mean and standard deviation of each attribute. Determine likelihood probability with each attribute for each class.
- The likelihood of the attribute is  $P(x_i|c) = \frac{1}{\sqrt{2\pi\sigma_c^2}} \exp\left(-\frac{(x_i-\mu_c)^2}{2\sigma_c^2}\right)$ .
- Find posterior probability using the Bayes formula.  

$$P(c|x) = \frac{P(x_i|c)P(c)}{P(x)}$$
- Here,  $P(c|x)$  is the posterior probability of class (c) at a given attribute (x).  
 $P(x_i|c)$  is the likelihood probability of a given class.  
 $P(c)$  is the prior probability of class.



$P(x)$  is the prior probability of attribute.

- Assign the input to the higher probability class.

### 3.8 AdaBoost Classifier

Adaptive Boosting or AdaBoost classifier is an ensemble technique that combines multiple weak classifiers to create a strong classifier to increase the accuracy of the model [11]. It is an iterative process that follows a decision tree model with a depth of one single node. AdaBoost works like the forest of stumps by putting less weight on those who give correct predictions and more weight on those who incorrectly classify instances [16, 17]. This algorithm will be working as follows:

- Initially, each sample is assigned identical weights in the dataset and the initial weight is calculated by 1 divided by the total number of samples.
- The AdaBoost classifier used a decision tree as its base learners. Each decision tree is created with one node and two leaves referred to as stumps. Then random samples are used to fit in the model and predict the class labels for each sample in the original data.
- Now the total error is calculated. The sum of weights of incorrectly classified records is the total error and the value will always lie between 0 and 1.
- Calculate the significance of the base learner by using the following formula:

$$\text{Significance} = \frac{1}{2} \log \left( \frac{1 - \text{total error}}{\text{total error}} \right)$$

- Using the value of significance update the weights of each sample. The weights of the sample which classifies correctly decrease their weights and increase the weights of the sample which misclassifies the sample by the following formula:
- For correctly classified samples:  $\text{new weight} = \text{weight} \times e^{\text{significance}}$ .
- For misclassified samples:  $\text{new weight} = \text{weight} \times e^{-\text{significance}}$ .
- Normalize the new sample weight so that their sum is equal to 1. To normalize weight, divide the new weight by the sum of the updated weights.
- Now make the second stump in the forest by using normalized weight. A new dataset was created based on the newly updated sample weight. Hence, the misclassified samples have a higher probability of getting selected. Repeat steps (ii) to (vi) and update the weights for a specified number of iterations.
- In the last step, use the forest of decision trees to make final predictions on data outside of training data.

After applying all these classification models in the Breast Cancer Diagnosis dataset, a comparison of performance measures of these models plays a very significant role. To find out the best classification model accuracy is a measure to be calculated and it is determined by the number of correct predictions divided by the total number of sample size. A confusion matrix is another most popular method

**Table 1** Confusion matrix for a binary classification

		Predicted class label	
		Benign	Malignant
Accurate class label	Benign	True positives (TP)	False negatives (FN)
	Malignant	False positives (FP)	True negative (TN)

used for calculating various performance measures of a classification model [8, 11, 18]. It is a specific table that is used to estimate the performance of an algorithm. Mainly, it is used to summarize the performance of a classification algorithm. Here, each sample can be classified into two classes: benign and malignant. The matrix is consisting of four elements (Table 1).

TP (True Positive)—Patients who are sick correctly predicted as sick.

TN (True Negative)—Patients who are healthy correctly predicted as healthy.

FP (False Positive)—Patients who are healthy incorrectly predicted as sick.

FN (False Negative)—Patients who are sick are incorrectly classified as healthy.

Using these four basic factors other evaluation measures can be evaluated to measure the goodness of the classification model.

1. Accuracy: Accuracy is a measurement of how well a model can correctly predict the class label of their actual class label [3, 8, 18]. It is calculated by the number of correct predictions divided by the total number of samples.

$$\text{Accuracy} = \frac{TP + TN}{N} \quad (1)$$

2. Precision: Precision is the rate of correctly predicted positive class labels from the total number of predicted positive classes [3, 8, 18].

$$\text{Precision} = \frac{TP}{TP + FP} \quad (2)$$

3. Recall/sensitivity: It is the effectiveness of a model how well it predicts the positive class labels from the total number of actual positive classes [3, 18]. It is also known as the true positive rate.

$$\text{Recall} = \frac{TP}{TP + FN} \quad (3)$$

4. Specificity: It is the rate of the correctly predicted negative class label from the total number of the actual negative class label [1, 8, 18]. It is also known as selectivity or true negative rate.

$$\text{Specificity} = \frac{TN}{FP + TN} \quad (4)$$

5. Misclassification error rate: Error is classifying a sample belonging to a positive class into predicted as a negative class label or belong to negative class into predicted as positive class label [11]. The error rate is the percent of misclassified samples out of the total samples in the dataset.

$$\text{Error rate} = 1 - \text{Accuracy} \quad (5)$$

6. F-measure: It is a metric that takes into account both precision and recall. Mainly, it is the harmonic mean of precision and recall [8, 18, 19].

$$\text{F-measure} = 2 \times \frac{\text{precision} \times \text{recall}}{\text{precision} + \text{recall}} \quad (6)$$

7. Matthews correlation coefficient (MCC): Biochemist Brian W. Matthews has introduced this correlation coefficient in 1975 [19]. This coefficient is used as a measurement of the quality of binary classification and the coefficient is considered as a balance measure where the classes are of very different sizes. The MCC is a correlation coefficient that lies between  $-1$  and  $1$  from the actual and predicted binary classifications.  $-1$  represents total disagreement of actual and predicted class,  $0$  represents no better than random prediction, and  $+1$  indicates a perfect prediction. The coefficient can be determined by using the following formula [19]:

$$\text{MCC} = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}} \quad (7)$$

## 4 Results and Discussion

In this section, we have shown the experimental results of these eight classification techniques incorporated in the proposed datasets.

### 4.1 Data Pre-processing

In this article, we have used the most popular dataset Wisconsin Diagnostic Breast Cancer (WDBC) from the machine learning repository of the University of California, Irvine [13]. WDBC data was taken from the University of Wisconsin, Dr. William H. Wolberg, W. Nick Street, and Olvi L. Mangasarian. In this dataset, a total of 569 instances and ten real value attributes, and one outcome variable are present. This dataset represents two types of cancer patients, i.e., benign and malignant can be diagnosis by ten input features. There is no missing attribute value contained in the dataset. From 569 instances, 357 instances are benign and 212 instances are

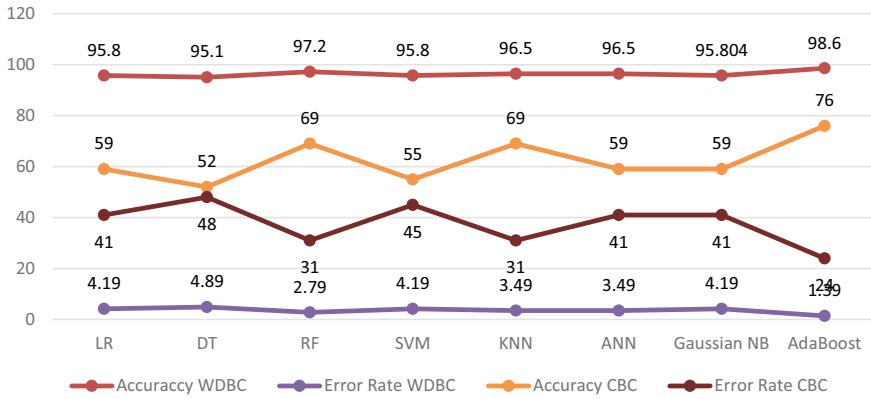
malignant. Also, three indicators are measured which are presented in the dataset: mean, standard error, and maximum value. So, there is a  $569 \times 32$  matrix from which we remove two attributes that represent ID and Diagnosis. Now the present matrix has  $569 \times 30$ . To reduce the dimensionality of the dataset, we used Principal Component Analysis (PCA). Using PCA we found that only two principal components retained approximately 99.8% of information from the original dataset. After that, we split the dataset into training data (455 instances) and testing data (114 instances). Then, the eight classification models were performed to the training data and testing data. All the algorithms are successfully run in Python 3.3 and using the confusion matrix model performance was measured. Then, we consider another breast cancer dataset from the Faculty of Medicine of the University of Coimbra (CBC) to test our result validation. This dataset contains 116 instances and 10 attributes. There are no missing values found in the dataset. The same procedure has been applied for data pre-processing. All the results are described below.

## 4.2 Results and Discussion

To evaluate the performance measure of each classification model, a confusion matrix is used. From the matrix, parameters such as accuracy, precision, recall, specificity, and F-measure are evaluated and shown in Table 2. From the above table, one can observe that the AdaBoost classifier gives the highest accuracy (98.6%) and least error rate (1.39%) among all the eight models. Then RF gives 97.2%, both K-NN and ANN have 96.5%, and LR and SVM both have 95.8% accuracy. DT classifier showed the lowest accuracy 95.1%. After the AdaBoost classifier, the RF classifier

**Table 2** Performance analysis for WDBC dataset

Parameters	Method							
	LR	DT	RF	SVC	K-NN	ANN	GAUSSIAN NB	AdaBoost
Accuracy (%)	95.8	95.1	97.2	95.8	96.5	96.5	95.8	<b>98.6</b>
Precision (%)	95.6	96.59	96.7	95.6	95.65	94.68	96.62	<b>98.87</b>
Recall (%)	97.75	93.54	98.87	97.75	98.87	1	96.62	98.87
Error rate (%)	4.19	4.89	2.79	4.19	3.49	3.49	4.19	<b>1.39</b>
Specificity (%)	92.59	94.44	94.44	92.59	92.59	90.74	94.44	<b>98.14</b>
F-measure (%)	96.66	95.04	97.77	96.66	97.23	96.62	97.26	<b>98.87</b>
MCC	0.9109	0.8963	0.9404	0.9104	0.9257	0.9268	0.9107	<b>0.9702</b>



**Fig. 1** Accuracy and error rate of WDBC and CBC dataset

gives the second highest accuracy with the second lowermost error rate (2.79%). From all other classifiers, both K-NN and ANN give an equal error (3.49%) and LR and Gaussian NB give 4.19% error. DT classifier gives the highest error rate, i.e., 4.89%. Graph of accuracy and error rate of each classifier have been shown in Fig. 1.

Also, from Table 2, it has been observed that the AdaBoost classifier gives the highest value, i.e., 98.87% for F-Measure. It shows that the average rate of precision and recall for predicting the actual positive class is approximately high. Hence, AdaBoost classifier is considered the best classifier for predicting the actual positive class. Again, it is observed that from the specificity value AdaBoost classifier is considered as the best classifier among all these classifiers because it is used to predict correctly the actual negative class, i.e., 98.14%. It gives the highest correlation coefficient, i.e., 0.9702. According to all these parameter considerations, it can be concluded that AdaBoost is the best classifier among all these eight classifiers. After that RF, ANN, K-NN, Gaussian NB, SVM, LR, and DT classifiers performed well to predict the class label for each sample sequentially.

Next, we have applied all the eight classification algorithm on Breast Cancer dataset from University of Coimbra. Since the dataset is very small dimension as it has only 116 instances and 10 attributes, the classification models are underfitted. But apart from that among all the classifiers AdaBoost classifier has performed well for classifying the patients. This model gives the highest accuracy of approximately 76% with precision 0.72, recall value 0.67, and Matthew’s correlation coefficient is 0.4976. Then RF and K-NN model gives the second highest accuracy of 69%. Then, LR, Gaussian NB, and ANN-based classification model have approximately same accuracy score of 59%. In Table 3, all the parameters are shown below. Therefore, AdaBoost-based classification model has performed well both on small dataset and large volume of dataset.

**Table 3** Performance measure of CBC dataset

Parameters	Methods							
	LR	DT	RF	SVC	K-NN	Gaussian NB	ANN	AdaBoost
Accuracy (%)	59	52	69	55	69	59	59	<b>76</b>
Precision	0.50	0.44	0.60	0.45	0.67	0.50	0	<b>0.72</b>
Recall	0.42	0.58	0.75	0.42	0.50	0.58	0.0	<b>0.67</b>
Error rate (%)	41	48	31	45	31	41	41	<b>24</b>
Specificity	0.70	0.47	0.64	0.64	0.82	0.77	1	0.82
F-measure	0.45	0.50	0.67	0.43	0.57	0.54	0.0	<b>0.69</b>
MCC	0.1269	0.0534	0.3913	0.0646	0.0001	0.2163	0.0000	<b>0.4976</b>

## 5 Conclusion

Data mining techniques have been broadly used in the medical field and proved to be one of the best ways to predict the desired results about the disease and analyze the dataset as well as design a predictive model. In this article, eight classification models are used for diagnosis of breast cancer disease, namely, Logistic regression (LR), Decision Tree (DT), Random Forest (RF), Support Vector Machine (SVM), Artificial Neural Network (ANN), K-Nearest Neighborhood (K-NN), Gaussian Naïve Bayes (NB), and AdaBoost classifier. The principle and the methodology of each classifier were described. To compare the performance measure of each classification model, we use the Wisconsin Diagnosis Breast Cancer dataset and Coimbra Breast Cancer dataset from UCI Machine learning repository.

Using the confusion matrix some evaluation measures such as Accuracy, Precision, Recall, Error rate, Specificity, and F-measure are calculated for each model. Based on the results obtained it shows that the AdaBoost classifier is the best fit model for classifying the cancer patient into benign and malignant class labels. It gives the highest performance in terms of accuracy (98.6%), precision (98.87%), recall (98.87%), and specificity (98.14%). In literature [16, 17], it is being found that the decision tree is used as a base classifier for the AdaBoost classification model. Although the CBC dataset was small in dimension, AdaBoost classifier gives the highest accuracy rate among all the classification models. But, one can study other classifiers such as SVM, RF as base classifiers to understand how they perform. Also, this classification model can be applied to different datasets having missing values or some noisy data and study the result. Also, by taking different training and testing ratio classification models can be compared to validate the test results.

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# Mathematical Model for Demonetization



Amidu Yusuf and Ebenezer Bonyah

**Abstract** Mathematical models have over the years been used to examine most of the present economic challenging problems. In this paper, demonetization effect on a population is examined using a compartmental mathematical model. Mathematical analysis of the model reveals that there is an existence of demonetization free equilibrium and demonetization existence equilibrium. The two equilibria are locally and globally asymptotically stable when  $\mathcal{R}_0 < 1$  and  $\mathcal{R}_0 > 1$ , respectively. Moreover, numerical simulation of the model is carried out and the results show that demonetization effect persists in the system.

**Keywords** Demonetization · Basic reproduction number · Stability

## 1 Introduction

Demonetization is a fundamental regulatory act of banning or stripping a currency unit of its original status so that it ceases to be a legal tender [1]. The government of a country can decide to invalidate certain banknotes or coins totally from circulation or replace specific currency with new ones. There are numerous essential reasons why the government of a country resorts to demonetization; some of these reasons include to curb corruption, tackle black money, withdraw fake currency, and to stabilize the economy [2]. Demonetization has a lot of impact on the individuals living in a country. While some of these impacts are positive, others tend to be negative.

Demonetization effect is a long-term or short-term experience basically felt by the lower and middle income class of a country [3]. Besides, the demonetization

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A. Yusuf (✉)

African Institute for Mathematical Sciences, Accra, Ghana

e-mail: [yusuf@aims.edu.gh](mailto:yusuf@aims.edu.gh)

E. Bonyah

Department of Mathematics Education, Akenten Appiah Menka University of Skills Training and Entrepreneurial Development, Kumasi, Ghana

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effect targeted at one percent of the affluence consequently affects 99 percent of the masses.

Demonetization effect is a long-term or short-term experience basically felt by the lower and middle income class of a country [3]. Besides, the demonetization effect targeted at one percent of the affluence consequently affects 99 percent of the masses. History shows that several countries worldwide have practised demonetization due to one reason or the other. In 1873, the United States withdrew silver from circulation and replaced it with gold. The move was a successful effort in controlling black money in circulation [1]. In 1923, the government of Germany under the Weimar Republic withdrew the old currency and replaced it with a new note called Rentenmark. Accordingly, all old cash ceased to be legally tendered. Moreover, the aim of such move was to combat hyperinflation in the economy [4]. India in 1946 had its first demonetization of high denominations of Rs. 1000 and Rs. 10,000. After 32 years, it was followed by a new reform which was the withdrawal of Rs. 1000, Rs. 5000, and Rs. 10,000 from circulation. Subsequently, another demonetization was announced in 2016 where the Prime Minister of India Narendra Modi banned high denominations which include Rs. 500 and Rs. 1000 and were replaced with new Rs. 500 and Rs. 2000; a move targeted at controlling black money in circulation [5].

Ghana in 1982, invalidated 50 Cedi note, an effort made toward curbing corruption, tax evasion, and to eradicate excessive liquidity in the country [6]. In 1984, the Nigerian government led by Muhammadu Buhari was forced to initiate an anti-corruption crackdown which replaced the old currency with new notes. However, the new reform failed to yield positive result on Nigeria's economy since debt-burdened and inflation ridden economy were not fixed [1]. Myanmar in 1987 banned about 80 percent of its currency in circulation. The reform was directed toward the then-existing black market. Consequently, the move triggered mass protest across the country after 1 year of economic hardship. Early 1990s, Zaire made a move to withdraw obsolescent currency from circulation by establishing new bank reforms. However, the plan was not successful due to surge in inflation and a breakdown in the exchange rate against dollar. Russia in 1991 retired large bubble bills from circulation. The effort was directed toward curtailing black money in the economy [6]. Europe in 2002 carried out a successful currency changeover operation. Prior to the execution of the new reform, the European central bank had for 3 years prepared while disseminating information about the demonetization which would take effect in the nearest future [7]. In 2010, North Korea government mounted a reform which knocked off two zeros in the face value of the old currency. Moreover, the drastic step was taken in order to tighten control of the economy and to eradicate black market [6]. Demonetization actually worked for some countries while in others, it resulted into a total failure. However, some key factors behind successful demonetization include proper conceptualization, adequate planning, and implementation.

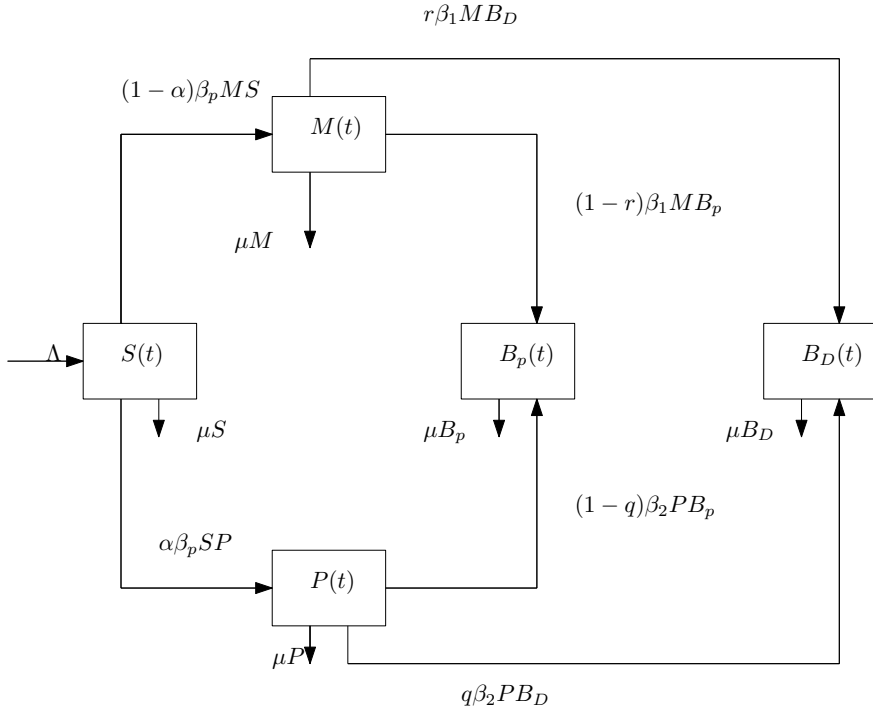


Fig. 1 Schematic diagram of demonetization effects on a population

## 2 Model Formulation

At a given time  $t$ , a population  $N(t)$  is subpartitioned into five different compartments. The class of individuals yet to experience demonetization is represented by  $S(t)$  and those that are partially affected are denoted by  $M(t)$ . Similarly, the individuals that are potentially affected are denoted by  $P(t)$  and the class that deposited their money partially in a bank is represented by  $B_p(t)$ . Moreover, those that deposited their money fully in a bank are denoted by  $B_D(t)$ . The total population is given by

$$N(t) = S(t) + M(t) + P(t) + B_p(t) + B_D(t).$$

An appropriate diagram below is used to illustrate the dynamics of the total population (Fig. 1).

Table 1 shows a clear description of each variable and parameter used in the Model (1).

From Model (1), we derived a system of non-linear differential equations which are

**Table 1** Variables and parameters of the model

Variables	Description
$N$	Total number of individuals in a population
$S$	Individuals that are yet to experience demonetization effects
$M$	Individuals that are partially affected with demonetization
$P$	Individuals that are fully affected with demonetization
$B_p$	Individuals that partially deposited their old currency into a bank
$B_D$	Individuals that fully deposited their old currency into a bank
Parameters	Description
$\Lambda$	Remitted rate into the population
$\mu$	Rate at which individuals opt for digital payment
$\alpha$	fraction of susceptible class that are fully affected
$1 - \alpha$	fraction of susceptible class that are partially affected
$r$	fraction of mildly affected class that fully deposited their old currency in a bank
$1 - r$	fraction of mildly affected class that partially deposited their old currency into a bank
$q$	fraction of fully affected class that deposited their old currency fully into a bank
$1 - q$	fraction of fully affected class that partially deposited their old currency into a bank
$\beta_p$	The rate at which susceptible individuals move into partially and mildly affected classes
$\beta_1$	rate at which mildly affected class move into depositors class
$\beta_2$	rate at which fully affected class move into depositors class

$$\left. \begin{aligned}
 \frac{dS(t)}{dt} &= \Lambda - (1 - \alpha)\beta_pMS - \alpha\beta_pSP - \mu S, \\
 \frac{dM(t)}{dt} &= (1 - \alpha)\beta_pMS - r\beta_1MB_D - (1 - r)\beta_1MB_p - \mu M, \\
 \frac{dP(t)}{dt} &= \alpha\beta_pSP - (1 - q)\beta_2PB_p - q\beta_2PB_D - \mu P, \\
 \frac{dB_p(t)}{dt} &= (1 - r)\beta_1MB_p + (1 - q)\beta_2PB_p - \mu B_p, \\
 \frac{dB_D}{dt} &= r\beta_1MB_D + q\beta_2PB_D - \mu B_D.
 \end{aligned} \right\} \quad (1)$$

For the above system to be mathematically equipped, we subject it to the following initial conditions:

$$S(0) = S_0 > 0, M(0) = M_0 > 0, P(0) = P_0 > 0, B_p(0) = B_{p_0} > 0 \\
 B_D(0) = B_{D_0} > 0.$$

The total population at a definite time  $t$  is given as follows:

$$N(t) = S(t) + M(t) + P(t) + B_p(t) + B_D(t)$$

and

$$\frac{dN(t)}{dt} = \frac{dS(t)}{dt} + \frac{dM(t)}{dt} + \frac{dP(t)}{dt} + \frac{dB_p(t)}{dt} + \frac{dB_D(t)}{dt},$$

which simplifies to

$$\begin{aligned} \frac{dN(t)}{dt} &= \Lambda - \mu(S + M + P + B_p + B_D), \\ \frac{dN(t)}{dt} &= \Lambda - \mu N. \end{aligned} \tag{2}$$

## 2.1 The Positivity of Solutions

It is essential that we investigate the solutions of each variables in Eq. (1) to make sure that they are all positive at any given time  $t \geq 0$ . To accomplish this task, we apply the following theorem.

**Theorem 1** *The solutions of equation (1) with non-negative initial conditions will always be non-negative for any time  $t > 0$  [8].*

**Proof** Let  $t_1 = \sup\{t > 0 : S > 0, M > 0, P > 0, B_p > 0, B_D > 0 \in [0, t)\}$ .

We now consider each of the equations in Eq. (1).

For the first equation in Eq. (1), we have

$$\frac{dS(t)}{dt} = \Lambda - (1 - \alpha)\beta_p MS - \alpha\beta_p SP - \mu S.$$

Since at any given time  $t \geq 0$ , the parameter  $\Lambda \geq 0$  so we have

$$\begin{aligned} \frac{dS(t)}{dt} &\geq -[(1 - \alpha)\beta_p M + \alpha\beta_p P + \mu]S, \\ \int_0^{t_1} \frac{dS(t)}{S(t)} &\geq - \int_0^{t_1} [(1 - \alpha)\beta_p M + \alpha\beta_p P + \mu]dt, \\ \ln \left\{ \frac{S(t_1)}{S(0)} \right\} &\geq - \int_0^{t_1} [(1 - \alpha)\beta_p M + \alpha\beta_p P + \mu]dt, \\ S(t_1) &\geq S(0) \exp \left\{ - \int_0^{t_1} [(1 - \alpha)\beta_p M + \alpha\beta_p P + \mu]dt \right\}, \end{aligned}$$

therefore,

$$S(t) \geq S(0) \exp \left\{ - \int_0^t [(1 - \alpha)\beta_p M + \alpha\beta_p P + \mu] dt \right\} \geq 0.$$

Similarly, we prove positivity for other variables  $M(t)$ ,  $P(t)$ ,  $B_p(t)$ ,  $B_D(t)$ .  $\square$

### 2.2 The Boundedness of Solutions

**Theorem 2** *The closed set of all solutions  $\xi = (S(t), M(t), P(t), B_p(t), B_D(t), | N \leq \frac{\Lambda}{\mu})$  with respect to Eq. (1) is uniformly bounded [8].*

**Proof** Suppose the set  $\{S(t), M(t), P(t), B_p(t), B_D(t)\} \in \mathbb{R}_+^5$  at any given time  $t > 0$ .

We consider the rate of change of the total population  $N$  with respect to time  $t$ . This is represented by Eq. (2): The integrating factor of Eq. (2) is  $e^{\mu t}$ .

$$N(t)e^{\mu t} = \int \Lambda e^{\mu t} dt,$$

$$N(t) = \frac{\Lambda}{\mu} + ce^{-\mu t},$$

where  $c$  is a real constant of integration. At initial time  $t = 0$ , the total population  $N(t) = N(0)$ , such that

$$N(t) = \frac{\Lambda}{\mu} + \left( N(0) - \frac{\Lambda}{\mu} \right) e^{-\mu t},$$

$$N(t) = N(0)e^{-\mu t} + (1 - e^{-\mu t}) \frac{\Lambda}{\mu}.$$

Model (1) represents a population which varies over time, hence  $\frac{dN}{dt} \neq 0$  so we expect feasible solutions. If  $N > \frac{\Lambda}{\mu}$ , we have  $\frac{dN}{dt} < 0$ .

The rate of change of total population  $\frac{dN}{dt}$  is bounded by  $\Lambda - \mu N$ . We now consider using the standard comparison theorem by Birkoff and Rota (1989) so that we have

$$0 \leq N(t) \leq N(0)e^{-\mu t} + (1 - e^{-\mu t}) \frac{\Lambda}{\mu}.$$

But, as

$$t \rightarrow \infty, \quad 0 \leq N(t) \leq \frac{\Lambda}{\mu}.$$

Therefore,  $N(t) \leq \frac{\Lambda}{\mu}$  whenever,  $N(0) \leq \frac{\Lambda}{\mu}$ . This implies that  $N(t)$  is bounded and all the feasible solutions representing human component of the Model (1) begin and remain in the closed set  $\xi$  [9].  $\square$

### 3 Equilibrium Points

#### 3.1 Demonetization Free Equilibrium (DFE)

The DFE point is determined by setting all equations in Eq. (1) to zero and solving for each variables. At this equilibrium point, there is no existence of demonetization and hence the entire population are susceptible. This means that

$$M(t) = P(t) = B_p(t) = B_D(t) = 0.$$

Considering first equation in Eq. (1),

$$\frac{dS(t)}{dt} = \Lambda - (1 - \alpha)\beta_p MS - \alpha\beta_p SP - \mu S = 0.$$

Since

$$M = P = 0,$$

therefore,

$$S(t) = \frac{\Lambda}{\mu}.$$

The demonetization free equilibrium point of (1) is  $H_0 = \left(\frac{\Lambda}{\mu}, 0, 0, 0, 0\right)$ .

#### 3.2 Demonetization Existence Equilibrium (DEE)

The DEE is obtained by setting each equation in Eq. (1) to zero and evaluating each variable. At this equilibrium point, demonetization persists in the economy. This is obtained from Eq. (1) when  $S(t)$ ,  $M(t)$ ,  $P(t)$ ,  $B_p(t)$ , and  $B_D(t)$  are all non-zeros

and it is represented by

$$H^* = [S^*, M^*, P^*, B_p^*, B_D^*],$$

where

$$\begin{aligned} S^* &= \frac{\Lambda\beta_1\beta_2(r - q)}{(1 - \alpha)\beta_p\beta_2\mu(1 - 2q) + \alpha\beta_p\beta_1\mu(2r - 1) + \mu\beta_1\beta_2(r - q)}, \\ M^* &= \frac{\mu(1 - 2q)}{\beta_1(r - q)}, \\ P^* &= \frac{\mu(2r - 1)}{\beta_2(r - q)}, \\ B_p^* &= \frac{\mu(2\alpha - 1) - B_D^*[(1 - \alpha)\beta_2 - r\alpha\beta_1]}{(1 - q)(1 - \alpha)\beta_2 - \alpha(1 - r)\beta_1}, \\ B_D^* &= \frac{\mu(2\alpha - 1) - B_p^*[(1 - q)(1 - \alpha)\beta_2 - \alpha(1 - r)\beta_1]}{(1 - \alpha)\beta_2 - r\alpha\beta_1}. \end{aligned}$$

### 4 The Basic Reproduction Number ( $\mathcal{R}_0$ )

The basic reproduction number ( $\mathcal{R}_0$ ) is the average number of secondary effects of demonetization caused by an affected individual who has been introduced into the susceptible class throughout the lifetime of the affected individual [10, 11]. It is also a threshold parameter for dissemination of demonetization effect into a completely susceptible population. To calculate ( $\mathcal{R}_0$ ), we consider the equations in Eq. (1) that show the existence of demonetization and changes within the affected compartments. Next, we construct a next-generation matrix  $K$  which relates the number of newly affected individuals with demonetization in various compartments of Eq. (1). Suppose that there are  $n$  compartments and  $H = (H_1, \dots, H_n)^T$  are the number of individuals in each compartment, let the first  $m < n$  compartments contain individuals affected with demonetization [12]. Suppose that the linearized equations for  $H_1, \dots, H_m$  at  $H_0$  decoupled from the other equations and we consider the decoupled equations as  $\frac{dH_j}{dt} = \mathcal{F}_j(H) - \mathcal{V}_j(H)$  For  $j = 1, \dots, m$ .

Let  $\mathcal{F}_j$  represent the rate of appearance of new effects of demonetization in compartment  $j$ . And  $\mathcal{V}_j$  represents the rate of other transitions between compartment  $j$  and other affected compartments. Similarly, we defined the entrywise non-negative matrix  $F$  and the non-singular M-matrix  $V$  where  $F = \left[ \frac{\partial \mathcal{F}_j(H_0)}{\partial H_k} \right]$  and  $V = \left[ \frac{\partial \mathcal{V}_j(H_0)}{\partial H_k} \right]$  for  $j, k = 1, \dots, m$ .

The next-generation matrix is determined by  $K = FV^{-1}$ , this gives the rate at which the affected individuals in  $H_k$  compartment becomes potentially affected in

$H_j$  compartment.  $\mathcal{R}_0$  is determined as  $\mathcal{R}_0 = \rho(K)$ , where  $\rho$  denotes the spectral radius of the next-generation matrix [13].

Assume that  $H' = (M, P, B_p, B_D, S)'$ ,  $H' = \frac{dH}{dt} = \mathcal{F}(H) - \mathcal{V}(H)$ .

Considering the compartments that disseminate demonetization from Eq. (1). We decompose the equations into two parts where the first part shows appearance terms and the second part shows transition terms of demonetization effects. From Eq. 1, we have equations which reveal effect of demonetization. These are

$$\frac{dM(t)}{dt} = (1 - \alpha)\beta_pMS - r\beta_1MB_D - (1 - r)\beta_1MB_p - \mu M.$$

$$\frac{dP(t)}{dt} = \alpha\beta_pSP - (1 - q)\beta_2PB_p - q\beta_2PB_D - \mu P.$$

Decomposing the two equations into two parts gives

$$\mathcal{F} = \begin{bmatrix} \mathcal{F}_1 \\ \mathcal{F}_2 \end{bmatrix} = \begin{bmatrix} (1 - \alpha)\beta_pMS \\ \alpha\beta_pSP \end{bmatrix}$$

$$\mathcal{V} = \begin{bmatrix} \mathcal{V}_1 \\ \mathcal{V}_2 \end{bmatrix} = \begin{bmatrix} r\beta_1MB_D + (1 - r)\beta_1MB_p + \mu M \\ (1 - q)\beta_2PB_p + q\beta_2PB_D + \mu P \end{bmatrix}.$$

The Jacobian matrices are obtained as

$$F = \begin{bmatrix} \frac{\partial \mathcal{F}_1}{\partial M} & \frac{\partial \mathcal{F}_1}{\partial P} \\ \frac{\partial \mathcal{F}_2}{\partial M} & \frac{\partial \mathcal{F}_2}{\partial P} \end{bmatrix} \text{ and } V = \begin{bmatrix} \frac{\partial \mathcal{V}_1}{\partial M} & \frac{\partial \mathcal{V}_1}{\partial P} \\ \frac{\partial \mathcal{V}_2}{\partial M} & \frac{\partial \mathcal{V}_2}{\partial P} \end{bmatrix}.$$

$$F = \begin{bmatrix} (1 - \alpha)\beta_pS & 0 \\ 0 & \alpha_pS \end{bmatrix} \text{ and } V = \begin{bmatrix} r\beta_1B_D + (1 - r)\beta_1B_p + \mu & 0 \\ 0 & q\beta_2B_D + \mu \end{bmatrix}.$$

We then evaluate  $F$  and  $V$  at DEF point  $H_0 = \left(\frac{\Lambda}{\mu}, 0, 0, 0, 0\right)$ . So  $F$  and  $V$  become

$$F = \begin{bmatrix} \frac{(1 - \alpha)\beta_p\Lambda}{\mu} & 0 \\ 0 & \frac{\alpha\beta_p\Lambda}{\mu} \end{bmatrix},$$



and

$$V = \begin{bmatrix} \mu & 0 \\ 0 & \mu \end{bmatrix}.$$

Finding the inverse of the matrix  $V$ , we have

$$V^{-1} = \begin{bmatrix} \frac{1}{\mu} & 0 \\ 0 & \frac{1}{\mu} \end{bmatrix}.$$

Determining the next-generation matrix  $K = FV^{-1}$  which is obtained as

$$K = \begin{bmatrix} \frac{(1-\alpha)\beta_p\Lambda}{\mu^2} & 0 \\ 0 & \frac{\alpha\beta_p\Lambda}{\mu^2} \end{bmatrix}.$$

The reproduction number is calculated as

$$\begin{aligned} \mathcal{R}_0 &= \rho(K), \\ &= \max \left\{ \left| \frac{(1-\alpha)\beta_p\Lambda}{\mu^2} \right|, \left| \frac{\alpha\beta_p\Lambda}{\mu^2} \right| \right\}. \end{aligned}$$

The reproduction number accounts for the effect caused by partially affected and potentially affected individuals in a completely susceptible class.

$$\text{Here } \mathcal{R}_{0_M} = \frac{(1-\alpha)\beta_p\Lambda}{\mu^2} \text{ and } \mathcal{R}_{0_P} = \frac{\alpha\beta_p\Lambda}{\mu^2}.$$

## 5 Stability Analysis

### 5.1 The Local Stability of the System

The equilibrium points of a system are said to be *locally stable* if by slight alteration of the initial condition of the system the system remains in the neighborhood of that equilibrium point [14].

### 5.2 Local Stability of the DFE

**Theorem 3** *If the reproduction number  $\mathcal{R}_0 < 1$  then demonetization free equilibrium point  $H_0$  is locally asymptotically stable but if  $\mathcal{R}_0 > 1$  then  $H_0$  is unstable [1].*

**Proof** We consider the Jacobian matrix of Eq. (1) at  $H_0$  which gives

$$J(H_0) = \begin{pmatrix} -\mu & -(1-\alpha)\beta_p \frac{\Lambda}{\mu} & -\alpha\beta_p \frac{\Lambda}{\mu} & 0 & 0 \\ 0 & (1-\alpha)\beta_p \frac{\Lambda}{\mu} - \mu & 0 & 0 & 0 \\ 0 & 0 & \alpha\beta_p \frac{\Lambda}{\mu} - \mu & 0 & 0 \\ 0 & 0 & 0 & -\mu & 0 \\ 0 & 0 & 0 & 0 & -\mu \end{pmatrix}.$$

The eigenvalues are obtained from the characteristic equations of  $|J(H_0) - I\lambda| = 0$ .

$$\begin{vmatrix} -\mu - \lambda & -(1-\alpha)\beta_p \frac{\Lambda}{\mu} & -\alpha\beta_p \frac{\Lambda}{\mu} & 0 & 0 \\ 0 & \left( (1-\alpha)\beta_p \frac{\Lambda}{\mu} - \mu \right) - \lambda & 0 & 0 & 0 \\ 0 & 0 & \left( \alpha\beta_p \frac{\Lambda}{\mu} - \mu \right) - \lambda & 0 & 0 \\ 0 & 0 & 0 & -\mu - \lambda & 0 \\ 0 & 0 & 0 & 0 & -\mu - \lambda \end{vmatrix} = 0.$$

Therefore, the obtained eigenvalues are

$$\begin{aligned} \lambda_1 &= -\mu, \\ \lambda_2 &= -\left(\mu - (1 - \alpha)\beta_p \frac{\Lambda}{\mu}\right), \\ \lambda_3 &= -\left(\mu - \alpha\beta_p \frac{\Lambda}{\mu}\right), \\ \lambda_4 &= -\mu, \\ \lambda_5 &= -\mu. \end{aligned}$$

From  $\lambda_2$ , we have

$$\begin{aligned} \lambda_2 &= -\left(\mu - (1 - \alpha)\beta_p \frac{\Lambda}{\mu}\right), \\ &= -\mu \left(1 - (1 - \alpha)\beta_p \frac{\Lambda}{\mu^2}\right), \\ &= -\mu(1 - \mathcal{R}_{0M}). \end{aligned}$$

From  $\lambda_3$ , we have

$$\begin{aligned} \lambda_3 &= -\left(\mu - \alpha\beta_p \frac{\Lambda}{\mu}\right), \\ &= -\mu \left(1 - \alpha\beta_p \frac{\Lambda}{\mu^2}\right), \\ &= -\mu(1 - \mathcal{R}_{0p}). \end{aligned}$$

Considering

$$K_1 \left(1 - \frac{S^*}{S}\right) \frac{dS}{dt} = K_1 \left(1 - \frac{S^*}{S}\right) (\Lambda - (1 - \alpha)\beta_p MS - \alpha\beta_p SP - \mu S).$$

At endemic equilibrium equation,  $\Lambda = (1 - \alpha)\beta_p M^* S^* + \alpha\beta_p S^* P^* + \mu S^*$

$$\begin{aligned} K_1 \left(1 - \frac{S^*}{S}\right) \frac{dS}{dt} &= K_1 \left(1 - \frac{S^*}{S}\right) ((1 - \alpha)\beta_p M^* S^* + \alpha\beta_p S^* P^* + \mu S^* - (1 - \alpha)\beta_p MS - \alpha\beta_p SP - \mu S) \\ &= K_1(1 - \alpha)\beta_p \left(M^* S^* - \frac{M^* S^{*2}}{S} - MS + MS^*\right) \\ &\quad + K_1\alpha\beta_p \left(S^* P^* + S^* P - SP - \frac{S^{*2}}{S}\right) + K_1\mu \left(2S^* - \frac{S^{*2}}{S} - S\right). \end{aligned}$$

Similarly,

$$\begin{aligned} K_2 \left(1 - \frac{M^*}{M}\right) \frac{dM}{dt} &= K_2 \left(1 - \frac{M^*}{M}\right) ((1-\alpha)\beta_p MS - r\beta_1 MB_D - (1-r)\beta_1 MB_p - \mu M) \\ &= K_2(1-\alpha)\beta_p S(M - M^*) + K_2 r\beta_1 B_D(M^* - M) + K_2(1-r)\beta_1 B_p(M^* - M) \\ &\quad + K_2(M^* - M)\mu. \end{aligned}$$

Also,

$$\begin{aligned} K_3 \left(1 - \frac{P^*}{P}\right) \frac{dP}{dt} &= K_3 \left(1 - \frac{P^*}{P}\right) (\alpha\beta_p SP - (1-q)\beta_2 PB_p - q\beta_2 PB_D - \mu P) \\ &= K_3\alpha\beta_p(SP - SP^*) + K_3(1-q)\beta_2 B_p(P^* - P) + K_3q\beta_2 B_D(P^* - P) \\ &\quad + K_3\mu(P^* - P). \end{aligned}$$

Furthermore,

$$\begin{aligned} K_4 \left(1 - \frac{B_p^*}{B_p}\right) \frac{dB_p}{dt} &= K_4 \left(1 - \frac{B_p^*}{B_p}\right) ((1-r)\beta_1 MB_p + (1-q)\beta_2 PB_p - \mu B_p) \\ &= K_4(1-r)\beta_1(MB_p - MB_p^*) + K_4(1-q)\beta_2(PB_p - PB_p^*) \\ &\quad + K_4\mu(B_p^* - B_p). \end{aligned}$$

Finally,

$$\begin{aligned} K_5 \left(1 - \frac{B_D^*}{B_D}\right) \frac{dB_D}{dt} &= K_5 \left(1 - \frac{B_D^*}{B_D}\right) (r\beta_1 MB_D + q\beta_2 PB_D - \mu B_D) \\ &= K_5(MB_D - MB_D^*) + K_5q\beta_2(PB_D - PB_D^*) + K_5\mu(B_D^* - B_D). \end{aligned}$$

$$\begin{aligned} \frac{dV}{dt} &= ((1-\alpha)\beta_p M^* S^* + \alpha\beta_p S^* P^*) \left(1 - \frac{S^*}{S}\right) + (1-\alpha)\beta_p S^* M \left(1 - \frac{S}{S^*}\right) + \alpha\beta_p S^* \left(1 - \frac{S}{S^*}\right) \\ &\quad + \mu S^* \left(2 - \frac{S}{S^*} - \frac{S^*}{S}\right) + (1-\alpha)\beta_p MS \left(1 - \frac{M^*}{M}\right) + r\beta_1 B_D M^* \left(1 - \frac{M}{M^*}\right) \\ &\quad + r\beta_1 B_p M^* \left(1 - \frac{M}{M^*}\right) + \mu M^* \left(1 - \frac{M}{M^*}\right) + \alpha\beta_p \left(1 - \frac{P^*}{P}\right) + (1-q)\beta_2 P^* \left(1 - \frac{P}{P^*}\right) \\ &\quad + q\beta_2 B_D P^* \left(1 - \frac{P^*}{P}\right) + \mu P^* \left(1 - \frac{P^*}{P}\right) + (1-r)\beta_1 MB_p \left(1 - \frac{B_p^*}{B_p}\right) + (1-q)\beta_2 PB_p \left(1 - \frac{B_p^*}{B_p}\right) \\ &\quad + \mu B_p^* \left(1 - \frac{B_p}{B_p^*}\right) + r\beta_1 MB_D \left(1 - \frac{B_D^*}{B_D}\right) + q\beta_2 PB_D \left(1 - \frac{B_D^*}{B_D}\right) + \mu B_D^* \left(1 - \frac{B_D}{B_D^*}\right) \end{aligned}$$

$K_i = 1$  for  $i = 1, 2, 3, 4, 5$ . Since the arithmetic mean is greater than or equal to the geometric mean, then the terms between the brackets are less or equal to zero.

**Table 2** Variable and parameter values of the model

Variables	Initial condition	Source
$N(t)$	1000	[15]
$S(t)$	618	Estimate
$M(t)$	130	Estimate
$P(t)$	120	Estimate
$B_p(t)$	70	Estimate
$B_D(t)$	62	Estimate
Parameters	Value when $\mathcal{R}_0 < 1$	Value when $\mathcal{R}_0 > 1$
$\Lambda$	100	100
$\beta_p$	0.000006	0.0990
$\beta_1$	0.50005	0.0010
$\beta_2$	0.00003	0.0200
$\alpha$	0.88890	0.7005
$q$	0.00550	0.5900
$r$	0.00333	0.0090
$\mu$	0.09300	0.09300

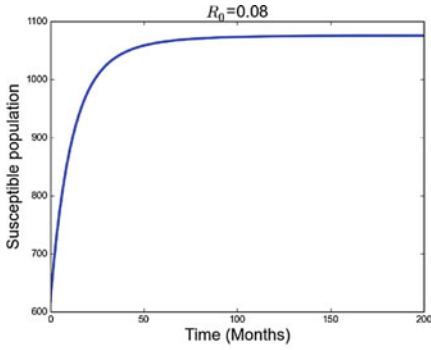
Therefore,  $\frac{dV}{dt} = 0$  holds provided  $(S, M, P, B_p, B_D) = (S^*, M^*, P^*, B_p^*, B_D^*)$ .

The singleton set  $\{(S, M, P, B_p, B_D) = (S^*, M^*, P^*, B_p^*, B_D^*)\}$  which is a subset of the set where  $\frac{dV}{dt} = 0$  is the largest compact invariant set. Therefore, by Lasalle’s invariance principle, it follows that as time  $t$  approaches infinity,  $(S, M, P, B_p, B_D) \rightarrow (S^*, M^*, P^*, B_p^*, B_D^*)$ . We therefore conclude that the endemic equilibrium is globally asymptotically stable [8].  $\square$

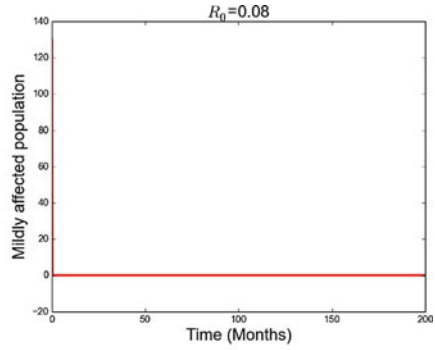
Numerical simulations are carried out in order to appropriately analyze the behavior of Eq. (1). The parameter values were estimated from the existing literature. Due to unavailability of data, we considered using some estimated parameter values and assumed variable values. The table of variable and parameter values is shown in Table 2.

From Fig. 2a, it is observed that at  $\mathcal{R}_0 = 0.08$  the susceptible population increases toward the carrying capacity of the system  $\left(\frac{\Lambda}{\mu}\right)$ , as time increases. However, at  $\mathcal{R}_0 = 0.08$ , the populations in Fig. 2b–e gradually decrease to zero over a period of time. This confirms the demonetization equilibrium of the system. Also, it means that the total number of individuals in the population before declaration of demonetization are susceptible.

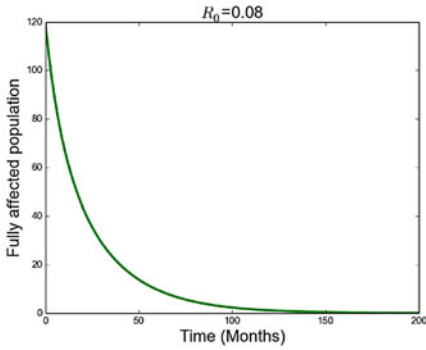
In Fig. 3a, one can observe that while the transmission rate is increasing, the number of individuals moving into mildly affected class decreases gradually when  $\beta_p = 0.000006$  and rapidly for other values of  $\beta_p$ . This decreases and settles at zero as time increases.



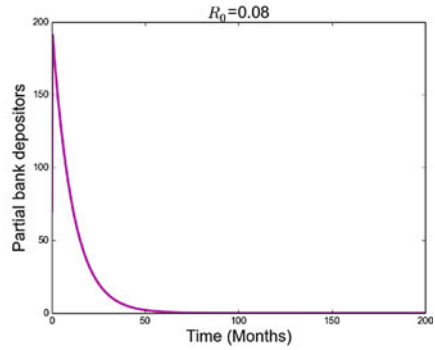
(a) A plot of susceptible population against time



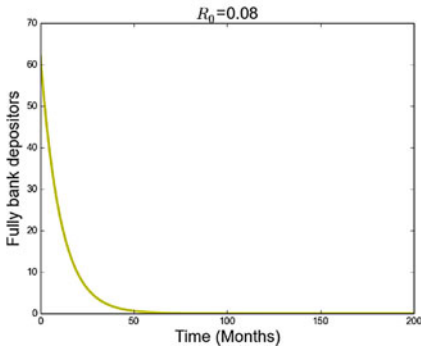
(b) A plot of mildly affected population against time



(c) A plot of fully affected population against time



(d) A plot of partial bank depositors against time.



(e) A plot of fully bank depositors against time

**Fig. 2** Simulation results of individual population when  $\mathcal{R}_0 < 1$

From Fig. 4a, one observed that there is a little increase and decrease in the number of individuals remaining in the susceptible class when demonetization occurred. This explains the fact that once demonetization is declared, most of the susceptible

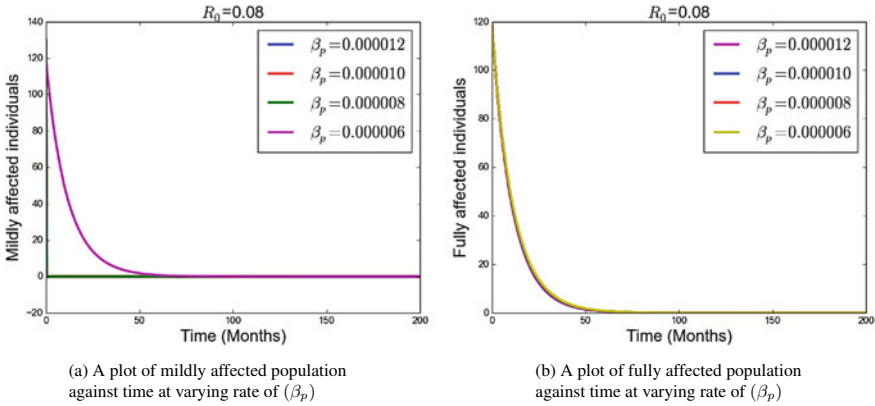


Fig. 3 Simulation results of mildly and fully affected individuals at varying transmission rate ( $\beta_p$ )

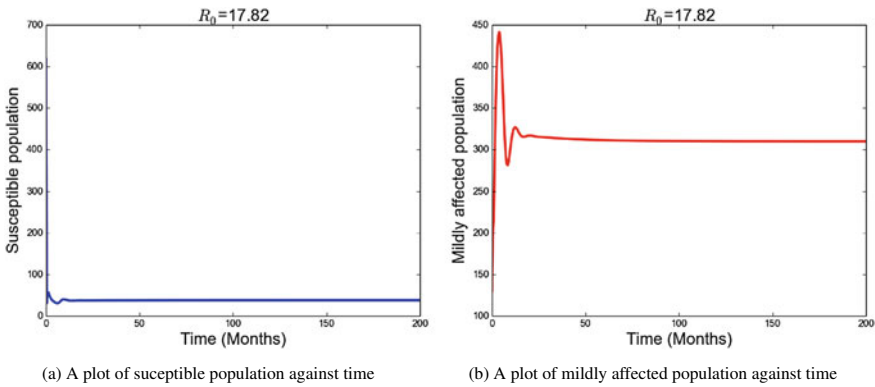


Fig. 4 Simulation results of susceptible and mildly affected population at ( $R_0 = 17.82$ )

individuals move into affected classes while the remaining few unaffected settle and exchange their old cash for new currency in form of digital payment. Figure 4b shows sharp increase and gradual decrease in the number of mildly affected population who later settle at a higher number within the population as time increases. This means that demonetization always force majority of the susceptible individuals to become partially affected and only few could return into the susceptible class within a short period. In Fig. 5a, there is a rapid increase but a gradual decrease in the number of fully affected individuals who settle within the population. This means that susceptible individuals increasingly move into potentially affected class and remain in within the population as time increases. In Fig. 5b, the number of partial bank depositors decreases at first and then increases to a constant level. The sudden decrease could be that affected individuals are receding from cash exchange policy on illegal, black and counterfeit currency in order to evade tax and prosecution. While the increase could be a decision to exchange illegal cash for new currency by affected individuals.

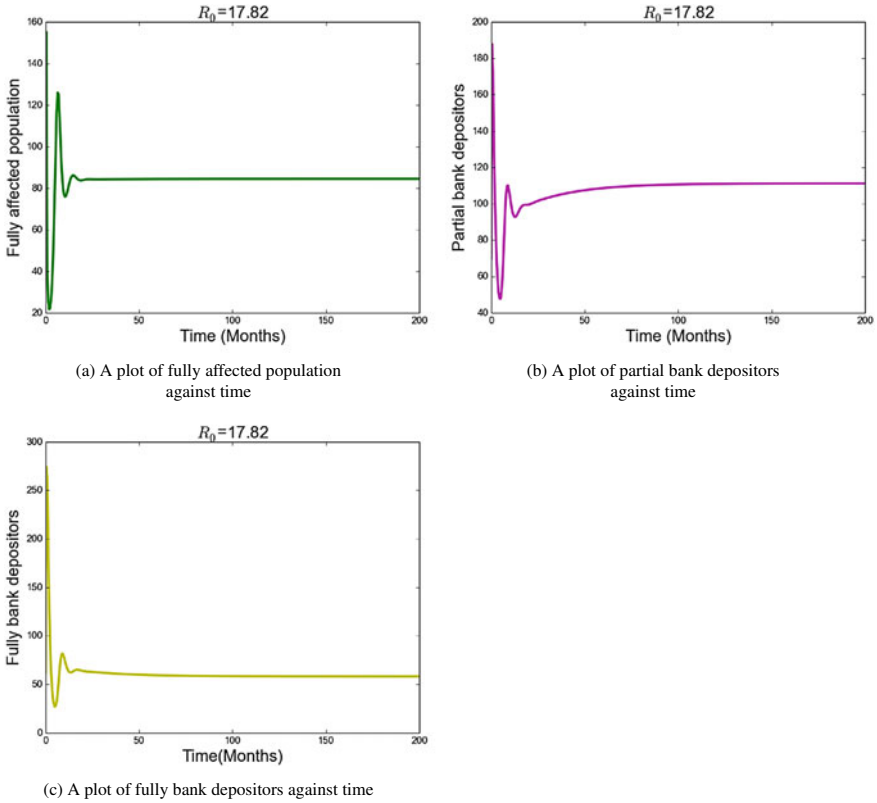


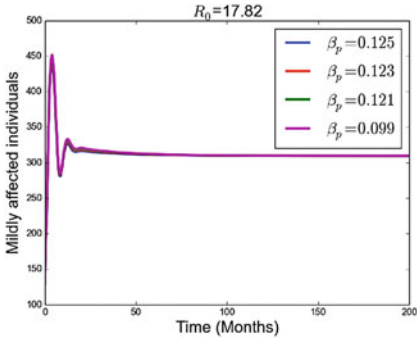
Fig. 5 Simulation results of individual population when  $\mathcal{R}_0 > 1$

In Fig. 5c, there is a rapid decrease of fully bank depositors who later settle at a lower level. The rapid decrease could be a result of few affected individuals successfully exchange old cash for new currency while other individuals' cash exchange was unsuccessful. Also, only the poor and hard income earners exchanged their old cash fully for new currency.

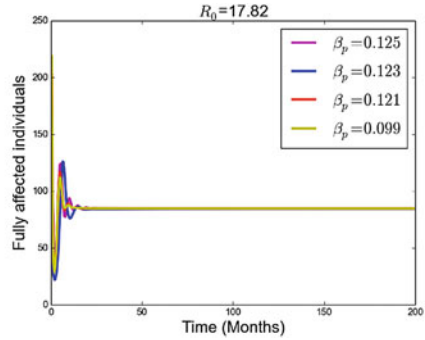
From Fig. 6a, it is seen that as the rate of transmission increases, the number of mildly affected individuals increases and decreases at equal pace and stabilizes after 10 months. The interpretation of this graph is that the transmission rate has little influence on the number of individuals who eventually become mildly affected. From Fig. 6b, one noticed that the number of fully affected individuals increases in accordance to transmission rate but maintains a stable state after 12 months. This explains the fact that the transmission rate has a limited effect on the number of mildly affected population.

From Fig. 7a, we noticed the significant effects of the basic reproduction number ( $\mathcal{R}_0$ ) on the total population. When  $\mathcal{R}_0$  is less than unity, the susceptible population



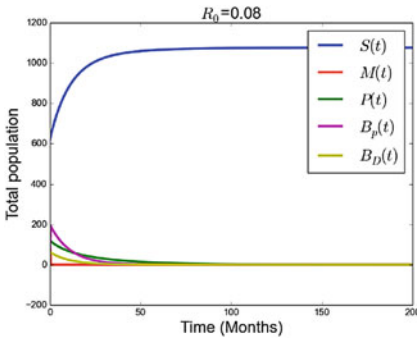


(a) A plot of mildly affected population against time at varying rate of  $\beta_p$

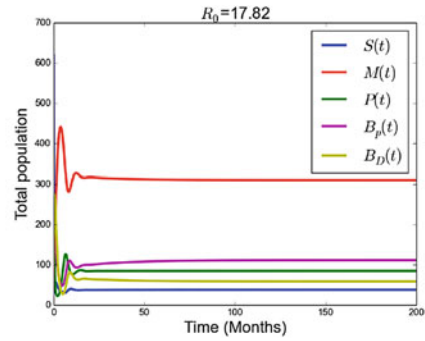


(b) A plot of fully affected population against time at varying rate of  $\beta_p$

**Fig. 6** Simulation results of mildly and fully affected individuals at varying transmission rate ( $\beta_p$ )



(a) A plot of total population against time



(b) A plot of total population against time

**Fig. 7** Simulation results of total population when  $\mathcal{R}_0 < 1$  and  $\mathcal{R}_0 > 1$

increase to equilibrium point  $\frac{\Lambda}{\mu}$  which is about 1200 while other demonetization-affected populations converge to zero over a period of time. This confirms the demonetization free equilibrium point which is stable when  $\mathcal{R}_0 < 1$ . From Fig. 7b, one can observe that when  $\mathcal{R}_0 > 1$ , majority of the susceptible population are mildly affected and few are potentially affected. Due to demonetization drive, few of these affected individuals deposited their old cash partially into the bank while the remaining fewer deposited their old cash completely into the bank in exchange of new currency. This confirms the demonetization existence equilibrium which is stable when  $\mathcal{R}_0 > 1$ .

## 6 Conclusion

In this work, we consider the effects of demonetization on a population over a given period of time. We formulated a mathematical model diagram which incorporates five different classes of sub-populations. The basic reproduction number  $\mathcal{R}_0$  was calculated using the next-generation matrix method. Moreover, we determined the demonetization free equilibrium (DFE) and demonetization existence equilibrium (DEE). The stability analysis of the demonetization free equilibrium (DFE) had been examined. Moreover, we carried out numerical simulations of the stable states when  $\mathcal{R}_0 < 1$  and  $\mathcal{R}_0 > 1$ . The simulation results of the model system (1) suggested that demonetization had a lifetime effect on individuals particularly those on the middle class suffering the most. Hence, for a successful demonetization to hold, the government needs proper planning and implementation. Therefore, we implore the government to enlighten individuals on the benefits of digital banking and online transaction.

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# An Inflationary Demand Scheme with Pareto Deterioration in Two Warehouses



Sunita and Ganesh Kumar

**Abstract** Inventory management is one of the biggest issues in any business federation. There are many instances when storage space is no longer adequate, and a new warehouse is needed. This article deals with a two storage inventory model (one of them is Own Warehouse (OW) and another is Rented Warehouse (RW)) with exponentially time-varying demand considering partial backlogging. The capacity of own warehouse is fixed ( $U$  units), to store more units than the limited range of OW, the supplier has to rent another warehouse (RW) at higher holding cost. We study two warehouse policies with linear holding cost and Pareto type decay in an inflationary environment. We have worked out a model for determining costs and they are much lower than previous estimates. Ultimately, sensitivity investigation has been executed to demonstrate the effects of diverse parameters of the inventory system. We have also calculated the economic order quantity  $Q$  with the help of MATLAB software.

**Keywords** Two storage inventory system · Pareto type decay rate · Inflation · Partial backlogging

## 1 Introduction

The two warehouse inventory model was first brought to the world by Hartley [10]. Afterward several researchers attempted to make the field more transparent. In this field, Pakkala and Achary [24], Sharma and Choudhary [34], and Gothi et al. [9] analyzed two storage inventory systems for depreciating products. Zhou and Yang [41] proposed a dual storage system for depreciating commodities without allowing any shortages with stock-dependent demand. Yang [39] flourished a dual storage inventory system for partially backlogged depreciating commodities with persistent demand under an inflationary surrounding. Saxena et al. [30] established a dual storage production inventory system for declining products with exponential demand under trade credit period policy in an inflationary environment. Khanna et al. [13]

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Sunita · G. Kumar (✉)

Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan 302004, India  
e-mail: [ganeshmandha1988@gmail.com](mailto:ganeshmandha1988@gmail.com)

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established an inventory system for fully backlogged declining imprecise standard artifacts with price-sensitive demand under trade credit period policy. Shaikh et al. [33] explored a dual storage system for declining products with stock-dependent demand and interval-value-based costs under an inflationary environment. Shah et al. [32] established a pricing inventory system for declining artifacts with dynamic demand without allowing any shortages. Aastha et al. [1] established a dual storage system for completely backlogged depreciating imperfect quality commodities with continuous demand. Agrawal et al. [3] proposed a dual storage system for partially backlogged declining products with ramp-type demand. Kumar and Chanda [15] established a dual storage system for depreciating products with demand taken as innovation criterion based. Rong et al. [29] proposed a dual storage system for a declining commodity with demand as selling price-dependent using fuzzy lead time. They were the first who introduced fuzzy lead time.

Singh and Rathore [35] explored a dual storage system for depreciating commodities with linearly stock reliant demand using preservation technology in inflationary environment. Agarwal et al. [2] addressed the repetitive resupply rate and time delays. Pradhan and Tripathy [27] explored a production inventory system for three parameter Weibull distributed depreciating artifacts with persistent demand and production under conditionally price discount. Panda et al. [26] proposed a solo vendor numerous retailer dual storage system for declining products having price and stock-sensitive demand in fuzzy circumstances. Palanivel and Uthayakumar [25] proposed a dual storage system for declining commodities with credit period reliant demand under trade credit period policy in inflationary surroundings. Öztürk [21] applied in industrial scenarios. Various models for the process of input performance have been developed by Xu et al. (2016) and Yang [40]. Sett et al. [31], Indrajitsingha et al. [11], Alawneh and Zhang [4], Bhunia et al. [5], Jaggi et al. [12], and Lee and Hsu [16] implemented dual storage system with time-varying requirement.

Liang and Zhou [17] established a dual storage system for declining artifacts with persistent demand under conditionally trade credit period policy. Ghiami and Beullens [8] established a dual storage system for declining commodities with stock-sensitive demand. They focused on consecutive resupply policy between OW and RW. Singh and Pattnayak [36] proposed a dual storage system for depreciating commodities with linearly time-reliant demand and admissible delay in payments. Khurana [14] presented a two storage inventory system for declining products with diverse type of deterioration in both warehouses in inflationary surrounding. Pakhira et al. [22] studied memory effect on an inventory system with time-variant demand. Pakhira et al. [23] evaluated memory effect on an inventory system having constant depreciation rate. Vandana et al. [37] explored a new method using duality relations in multi-objective fractional programming. Dubey et al. [7] established higher order duality methods for arbitrary cones in fractional programming. Dubey et al. [7] established some new duality relations using generalized assumptions. Masud et al. (2021) explored a dual storage system for partially backlogged declining commodities with price-sensitive demand under admissible credit period policy. Nath and Sen [20] developed a two storage inventory system for completely backlogged depreciating products with time variable and selling price-sensitive demand. Manna et al. [18]

established a dual storage system for partially backlogged declining products with time-sensitive demand using all unit discount policy. Rana et al. [28] investigated a two storage inventory system for partially backlogged depreciating commodities with freshness and price-sensitive demand in inflationary circumstances.

This paper demonstrates a model with two reservoirs and a demand that has an exponential decrease, Pareto type decay, shortages allowed, partly backlogged, and inflation. Formation of the paper: Sect. 2 furnishes notations and assumptions. The mathematical formulation with solution procedure is provided in Sects. 3 and 4. Section 5 illustrates a numerical study by allocating values to parameters. Section 6 impersonates a sensitivity investigation of this model by adjusting parameters. Consequences of this paper have been provided in Sect. 7 (Table 1).

## 2 Assumptions and Notations

The notations as shown in Table 2 and the following are the assumptions for developing this inventory model:

### 2.1 Assumptions

- The replenishment has been kept unlimited and immediate while keeping the lead time zero.
- Inventory planning horizon is finite.
- Demand exponentially depends on time, defined as  $D(t) = r e^{st}$ , where  $r$  and  $s$  are positive constant ( $r > s$ ).
- Decay of the products is Pareto type. Deterioration rate  $\theta(t) = \left(\frac{\theta_1 \theta_2}{1 + \theta_2 t}\right)$ , where  $\theta_1 > 0$  and  $0 < \theta_2 < 1$  (for OW) and deterioration rate  $\theta(t) = \left(\frac{\theta_3 \theta_4}{1 + \theta_4 t}\right)$ , where  $\theta_3 > 0$  and  $0 < \theta_4 < 1$  (for RW).
- Decay rates are different in both warehouses.
- OW has limited storage space (say  $U$ ) and RW has unlimited storage space.
- The holding cost (HC) of RW is more than the holding cost (HC) in OW.
- Inflation is considered.
- $T_1$  is inventory holding time in RW,  $(T_1 + T_2)$  is inventory holding time in OW, and shortages occur in OW at time  $T_2$ .
- Supplier has provided no return or replacement policy.
- Shortages are allowed and partially backlogged, shortage rate is defined as  $B(t) = e^{-\delta t}$ , where  $0 < \delta \ll 1$  is backlogging parameter.

**Table 1** List of relevant disquisition on two storage model for depreciating products

Authors	Demand	Holding cost	Deterioration rate	Shortage	Inflation
Aastha et al. [1]	Continuous	Constant	Uniform	Complete	No
Agarwal et al. [2]	Exponential	linear	Two parameter Weibull	Partial	Yes
Agrawal et al. [3]	Ramp	Constant	Constant	Partial	No
Alawneh and Zhang [4]	Stochastic	Constant	Constant	Allowed	No
Bhunia et al. [5]	Constant	Constant	Constant	Partial	No
Ghiami and Beullens [8]	Stock sensitive	Constant	Constant	Allowed	No
Gothi et al. [9]	Quadratic	Linear	Constant	Not allowed	Yes
Indrajitsingha et al. [11]	Price sensitive	Constant	Constant	Partial	No
Jaggi et al. [12]	Price sensitive	Constant	Constant	Complete	No
Khanna et al. [13]	Price sensitive	Constant	Constant	Complete	No
Khurana [14]	Time	Constant	Time/Two parameter Weibull	Not allowed	Yes
Kumar and Chanda [15]	Innovation	Constant	Constant	Not allowed	No
Lee and Hsu [16]	Time	Constant	Constant	Not allowed	No
Liang and Zhou [17]	Constant	Constant	Constant	Not allowed	No
Manna et al. [18]	Linear	Constant	Constant	Partial	No
Mashud et al. (2021)	Price sensitive	Constant	Constant	Partial	No
Nath and Sen [20]	Time and Selling Price sensitive	Constant	Constant	Complete	No
Öztürk [21]	Constant	Constant	Constant	Not allowed	No
Pakkala and Achary [24]	Constant	Constant	Constant	Allowed	No
Palanivel and Uthayakumar [25]	Exponential function of credit period	Constant	Constant	Partial	Yes
Panda et al. [26]	Price and Stock sensitive	Constant	Constant	Not allowed	No
Pradhan and Tripathy [27]	Constant	Constant	Three parameter Weibull	Not allowed	No

(continued)

**Table 1** (continued)

Authors	Demand	Holding cost	Deterioration rate	Shortage	Inflation
Rana et al. [28]	Freshness and Price sensitive	Constant	Constant	Partial	Yes
Rong et al. [29]	Price sensitive	Constant	Constant	Partially/fully	No
Saxena et al. [30]	Exponential function of time	Constant	Time/Weibull	Not allowed	Yes
Sett et al. [31]	Quadratic	Constant	Time sensitive	Not allowed	No
Shah et al. [32]	Linear	Constant	Constant	Not allowed	No
Shaikh et al. [33]	Stock sensitive	Constant	Constant	Partial	Yes
Sharma and Chaudhary [34]	Ramp	Constant	Time sensitive	Partial	Yes
Singh and Rathore [35]	Stock sensitive	Constant	Constant	Partial	Yes
Singh and Pattnayak [36]	Linear	Constant	Constant	Not allowed	No
Xu et al. (2016)	Constant	Constant	Constant	Not allowed	No
Yang [39]	Constant	Constant	Constant	Partial	Yes
Yang [40]	Constant	Constant	Three parameter Weibull	Partial	Yes
Zhou and Yang [41]	Stock sensitive	Constant	Constant	Not allowed	No
Present paper	Exponentially time sensitive	Constant	Pareto type	Partial	Yes

**Table 2** Notations

U	Maximal extent of OW
$I_r$	Maximal inventory level in RW
$T_1$	Inventory holding time in RW
$T_2$	Time to exhaust stock within a replenishment cycle, $0 < T_2 < T$
T	Total length of a replenishment cycle
A	Fixed ordering cost/order
v	Inflation parameter
p	Purchasing cost/item
$C_s$	Unit shortage cost of an item
$C_{oh}$	HC per item per unit time in OW
$C_{rh}$	HC per item per unit time in RW
$C_l$	Unit lost sale cost/item/unit time
TAC	Total average cost



### 3 Mathematical Formulation

Since OW has limited space, the supplier must store the first  $U$  units in OW and the remaining in RW. Here we know that the carrying cost is higher in RW. Hence, RW is used when space of OW is completely used. But RW inventory is used first because of higher rent. Till time  $T_1$  supply in OW declines due to decay alone, but in RW it downgrades due to the combined impact of decay and demand. OW exhausts store due to the mixed impact of degradation and demand between  $T_1$  and  $T_2$ . Shortages occur in OW between  $T_1$  and  $T_2$ . As shown in (Fig. 1).

Governing differential equations of OW are

$$\frac{dI_1(t)}{dt} + \frac{\theta_1\theta_2}{1 + \theta_2t}I_1(t) = 0, 0 \leq t \leq T_1 \tag{1}$$

$$\frac{dI_2(t)}{dt} + \left(\frac{\theta_1\theta_2}{1 + \theta_2t}\right)I_2(t) = -re^{st}, T_1 \leq t \leq T_2 \tag{2}$$

$$\frac{dI_3(t)}{dt} = -re^{st}e^{-\delta t} = -re^{(s-\delta)t}, T_2 \leq t \leq T. \tag{3}$$

Using  $I_1(0) = U$ ,  $I_2(T_2) = 0$ , and  $I_3(T_2) = 0$ , solutions are

$$I_1(t) = U(1 - \theta_1\theta_2t), 0 \leq t \leq T_1. \tag{4}$$

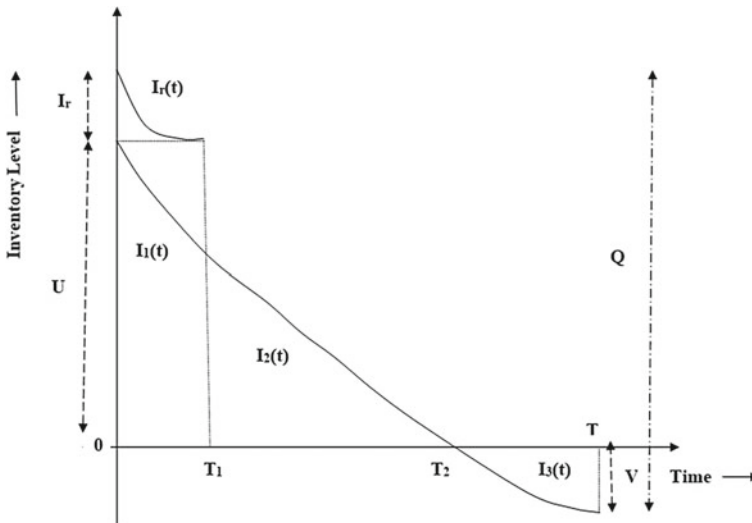


Fig. 1 Two storage inventory system

$$I_2(t) = r \left\{ (T_2 - t) + \frac{s}{2} (T_2 - t)^2 + \frac{\theta_1 \theta_2}{2} (T_2^2 - t^2) + \frac{s \theta_1 \theta_2}{2} (2T_2 - t)(T_2 - t)^2 \right\},$$

$$T_1 \leq t \leq T_2. \tag{5}$$

$$I_3(t) = r \left\{ T_2 - t + \frac{s - \delta}{2} (T_2^2 - t^2) \right\}, T_2 \leq t \leq T. \tag{6}$$

Using  $I_3(T) = -V$ ,

$$V = -r \left\{ (T_2 - T) + \frac{(s - \delta)}{2} (T_2^2 - T^2) \right\}. \tag{7}$$

Governing differential equation of RW is

$$\frac{dI_r(t)}{dt} + \left( \frac{\theta_3 \theta_4}{1 + \theta_4 t} \right) I_r(t) = -r e^{st}, 0 \leq t \leq T_1. \tag{8}$$

Using  $I_r(T_1) = 0$ , solution is

$$I_r(t) = r \left\{ (T_1 - t) + \frac{s}{2} (T_1 - t)^2 + \frac{\theta_3 \theta_4}{2} (T_1^2 - t^2) + \frac{s \theta_3 \theta_4}{2} (2T_1 - t)(T_1 - t)^2 \right\}, 0 \leq t \leq T_1. \tag{9}$$

Using  $I_r(0) = I_r$ , we get

$$I_r = r \left\{ T_1 + \frac{s}{2} T_1^2 + \frac{\theta_3 \theta_4}{2} T_1^2 + \frac{s \theta_3 \theta_4}{3} T_1^3 \right\}. \tag{10}$$

Total inventory  $Q = U + V + I_r$  is

$$Q = U - r \left\{ (T_2 - T) + \frac{(s - \delta)}{2} (T_2^2 - T^2) \right\} + r \left\{ T_1 + \frac{s}{2} T_1^2 + \frac{\theta_3 \theta_4}{2} T_1^2 + \frac{s \theta_3 \theta_4}{3} T_1^3 \right\}. \tag{11}$$

We have used the following costs in this model:

I. Ordering cost

$$OC = \frac{A}{T} \tag{12}$$

II. OW shortage cost

$$\begin{aligned}
 SC &= \frac{C_s}{T} \int_0^T [-I_3(t)]e^{-v(T_1+T_2+t)} dt \\
 &= -\frac{rC_s}{T} \left\{ \left( TT_2 - \frac{T^2}{2} - \frac{T_2^2}{2} - \frac{vT_2^2}{2} + \frac{vT^3}{2} + \frac{5vT_2^3}{6} - vT_2^2T - vT_1T_2T + \frac{vT_1T_2^2}{2} + \frac{vT_1T^2}{2} \right) \right. \\
 &\quad + \frac{(s-)}{2} \left( TT_2^2 - \frac{T^3}{3} - \frac{2T_2^3}{3} - vT_2^3T + \frac{vT^4}{4} + \frac{11vT_2^4}{12} \right. \\
 &\quad \left. \left. - vT_1T_2^2T + \frac{vT_1T^3}{3} - \frac{vT_2^2T^2}{2} + \frac{2vT_1T_2^3}{3} + \frac{vT_2T^3}{3} \right) \right\} \tag{13}
 \end{aligned}$$

III. OW holding cost

$$\begin{aligned}
 HC_o &= \frac{C_{oh}}{T} \left[ \int_0^{T_1} I_1(t)e^{-vt} dt + \int_{T_1}^{T_2} I_2(t)e^{-v(T_1+t)} dt \right] \\
 &= \frac{C_{oh}}{T} U \left[ \left\{ \left( 1 - \frac{v}{2} - \frac{\theta_1\theta_2}{2} \right) T_1^2 + \frac{v\theta_1\theta_2T_1^3}{3} \right\} + \right. \\
 &\quad r \left\{ \frac{1}{2} \left( (T_2 - T_1)^2 - vT_1T_2^2 + 3vT_1^2T_2 - \frac{5vT_1^3}{3} - \frac{vT_2^3}{3} \right) \right. \\
 &\quad + \frac{s}{6} \left( (T_2 - T_1)^3 + \frac{9vT_1^2T_2^2}{2} - vT_1T_2^3 - 5vT_1^3T_2 + \frac{7vT_1^4}{4} - \frac{vT_2^4}{4} \right) \\
 &\quad + \frac{\theta_1\theta_2}{2} \left( \frac{2T_2^3}{3} + \frac{T_1^3}{3} - T_1T_2^2 - \frac{2vT_1T_2^3}{3} + \frac{3vT_1^2T_2^2}{2} - \frac{7vT_1^4}{12} - \frac{vT_2^4}{4} \right) + \\
 &\quad \left. \frac{s\theta_1\theta_2}{6} \left( \frac{5T_2^4}{4} - \frac{T_1^4}{4} - 2T_1T_2^3 + T_1^3T_2 - \frac{5vT_1T_2^4}{4} \right. \right. \\
 &\quad \left. \left. + 3vT_1^2T_2^3 - \frac{7vT_1^4T_2}{4} + \frac{2vT_1^5}{5} - \frac{9vT_2^5}{20} \right) \right\} \tag{14}
 \end{aligned}$$

IV. OW lost sales cost

$$\begin{aligned}
 LSC &= \frac{C_l}{T} \int_0^T r e^{st} (1 - e^{-t}) e^{-v(T_1+T_2+t)} dt \\
 &= \frac{rC_l}{2T} (1 - vT_1 - vT_2)(T^2 - T_2^2). \tag{15}
 \end{aligned}$$

V. OW item cost

$$\begin{aligned}
 IT_o &= \frac{P}{T} \{ U - I_3(T) e^{-v(T_1+T_2+T)} \} \\
 &= \frac{P}{T} \left[ U - r(1 - v(T_1 + T_2 + T)) \left\{ T_2 - T + \frac{(s-)}{2} (T_2^2 - T^2) \right\} \right] \tag{16}
 \end{aligned}$$

VI. RW holding cost

$$\begin{aligned}
 HC_r &= \frac{C_{rh}}{T} \int_0^{T_1} I_r(t) e^{-vt} dt \\
 &= \frac{rC_{rh}}{T} \left\{ \left( \frac{T_1^2}{2} - \frac{vT_1^3}{6} \right) + \frac{s}{2} \left( \frac{T_1^3}{3} - \frac{vT_1^4}{12} \right) \right. \\
 &\quad \left. + \frac{\theta_3\theta_4}{2} \left( \frac{2T_1^3}{3} - \frac{vT_1^4}{4} \right) + \frac{s\theta_3\theta_4}{6} \left( \frac{5T_1^4}{4} - \frac{9vT_1^5}{20} \right) \right\}
 \end{aligned}
 \tag{17}$$

VII. RW item cost

$$IT_r = \frac{pI_r}{T} = \frac{pr}{T} \left\{ T_1 + \frac{s}{2}T_1^2 + \frac{\theta_3\theta_4}{2}T_1^2 + \frac{s\theta_3\theta_4}{3}T_1^3 \right\}
 \tag{18}$$

Total average cost is given by

$$TAC(T_1, T_2) = OC + HC_o + HC + LSC + IT_o + HC_r + IT_r
 \tag{19}$$

### 4 Solution Procedure of the Model

Necessary condition for minima of TAC per unit time is

$$\frac{\partial TAC(T_1, T_2)}{\partial T_1} = 0, \text{ and } \frac{\partial TAC(T_1, T_2)}{\partial T_2} = 0.
 \tag{20}$$

After solving above equations by MATLAB software, we acquired the optimal esteem of  $T_1$  and  $T_2$  (mentioned as  $T_1^*$  and  $T_2^*$ ) which satisfies the following sufficient conditions:

$$\begin{aligned}
 &\frac{\partial^2 TAC(T_1, T_2)}{\partial T_1^2} > 0, \quad \frac{\partial^2 TAC(T_1, T_2)}{\partial T_2^2} > 0, \\
 &\left( \frac{\partial^2 TAC(T_1, T_2)}{\partial T_1^2} \right) \left( \frac{\partial^2 TAC(T_1, T_2)}{\partial T_2^2} \right) - \left( \frac{\partial^2 TAC(T_1, T_2)}{\partial T_1 \partial T_2} \right)^2 > 0.
 \end{aligned}
 \tag{21}$$

From Eqs. (11) and (19), the optimal values of  $Q^*$  and  $TAC^*$  are obtained assuming suitable values for  $A, T, r, s, p, v, U, C_{oh}, C_{rh}, \delta, \theta_1, \theta_2, \theta_3, \theta_4, C_1,$  and  $C_s$  with appropriate units.

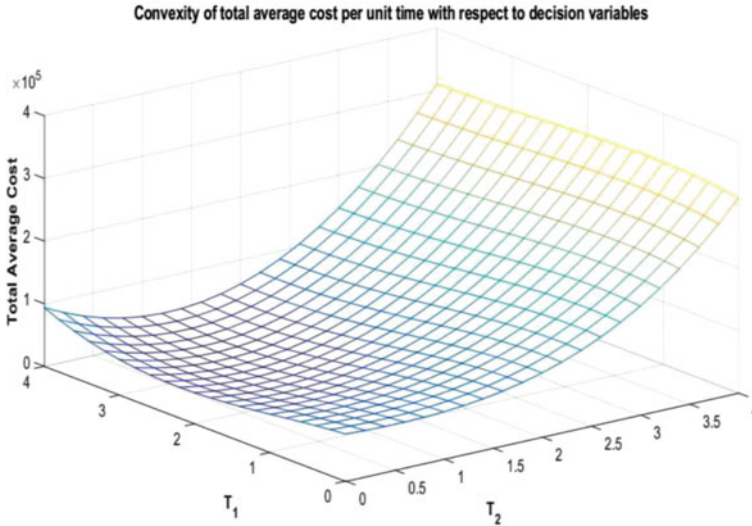


Fig. 2 Convexity of TAC per unit time in regard to the verdict variables

### 5 Numerical Example

Using data  $A = 1000, r = 100, s = 15, p = 20, v = 0.08, U = 170, C_1 = 12, C_s = 10, C_{oh} = 24, C_{rh} = 60, \delta = 0.05, \theta_1 = 0.008, \theta_2 = 0.007, \theta_3 = 0.004, \theta_4 = 0.005$  and  $T = 4$ . After solving Eqs. (20) and (21), we get  $T_1^* = 0.9484, T_2^* = 2.9869, Q^* = 6331.7$  and  $TAC^* = 33,277$  (Fig. 2).

### 6 Sensitivity Analysis

Sensitivity investigation has been performed by using the data given in the above example and changing the values of the parameters  $U, v, \delta, \theta_1, \theta_2, \theta_3,$  and  $\theta_4$  by  $-50\%, -25\%, 0, +25\%,$  and  $+50\%$ . The remaining parameters are kept at their original value (Figs. 3, 4, 5, 6, 7, 8, and 9).

Effects of different parameters on optimal values of this inventory system are as follows:

1. From Table 3, we can see that as values of parameter  $U$  range from 85 to 255 then  $T_1^*$  decreases from 0.9712 to 0.9264,  $T_2^*$  decreases from 3.0032 to 2.9711,  $TAC^*$  increases from 32,401 to 34,132, and  $Q^*$  increases from 6207.3 to 6455.7.
2. From Table 3, we can see that as values of parameter  $v$  range from 0.04 to 0.12 then  $T_1^*$  decreases from 1.0852 to 0.8687,  $T_2^*$  decreases from 3.4299 to 2.5957,  $TAC^*$  decreases from 43,630 to 19922, and  $Q^*$  increases from 4384.9 to 7886.7.

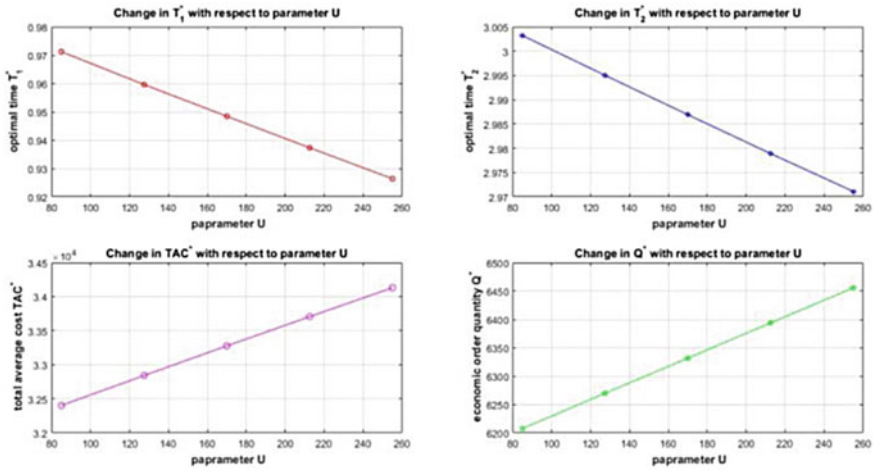


Fig. 3 Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter U

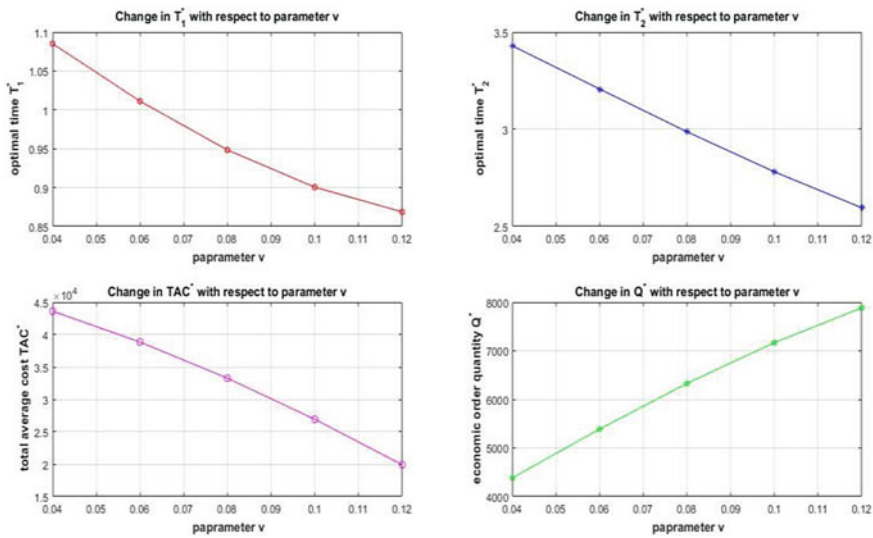
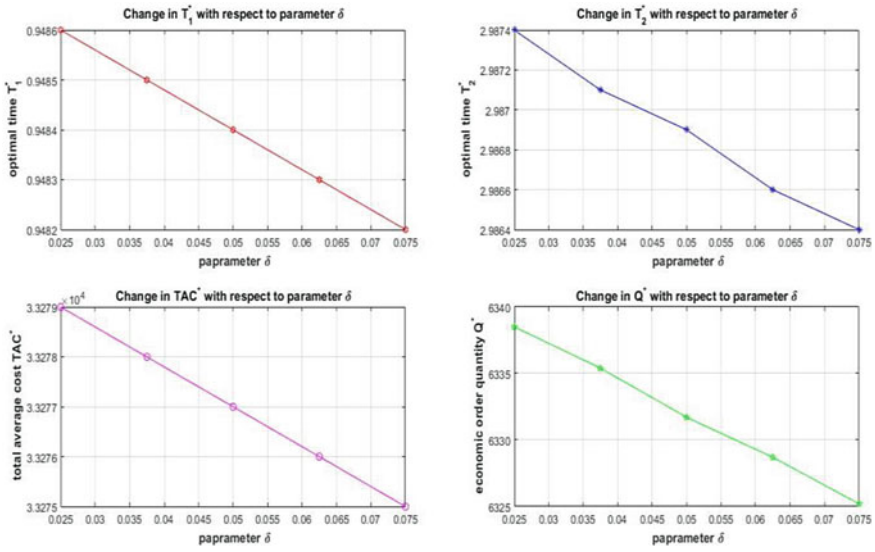
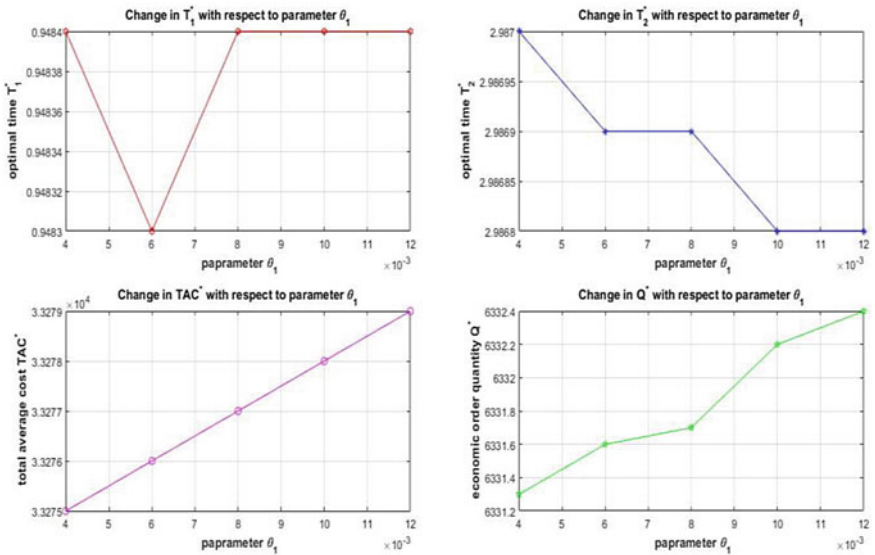


Fig. 4 Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter v

- From Table 3, we can see that as values of parameter  $\delta$  range from 0.0250 to 0.0750,  $T_1^*$  decreases from 0.9486 to 0.9482,  $T_2^*$  decreases from 2.9874 to 2.9864,  $TAC^*$  decreases from 33,279 to 33,275, and  $Q^*$  decreases from 6338.5 to 6325.7.



**Fig. 5** Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter  $\delta$



**Fig. 6** Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter  $\theta_1$

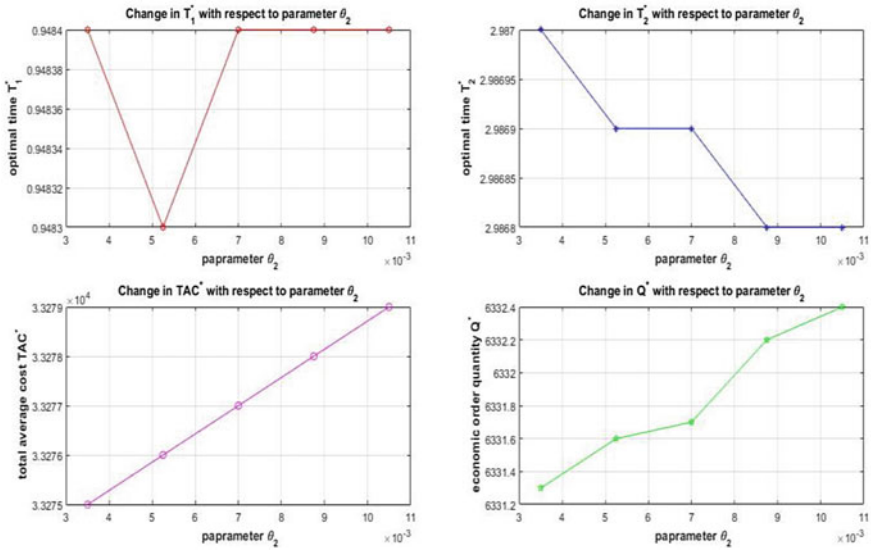


Fig. 7 Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter  $\theta_2$

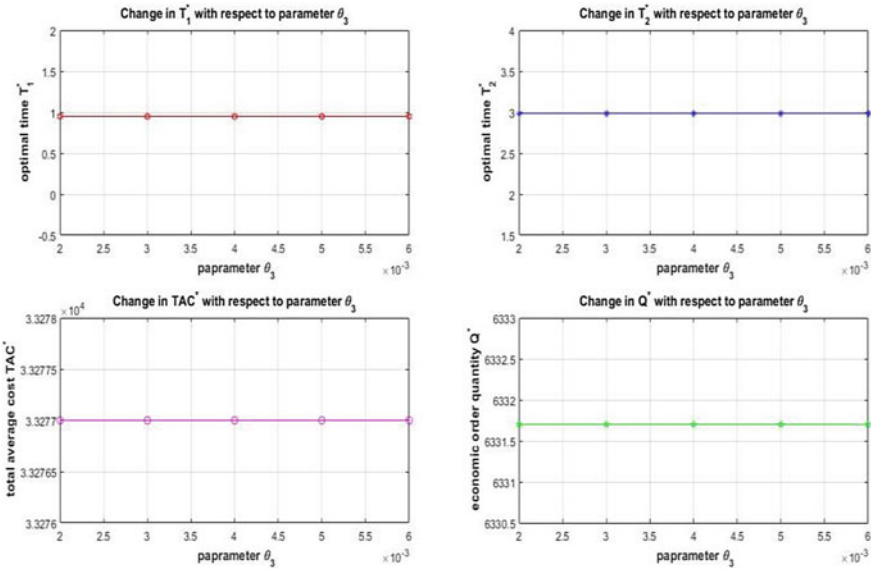
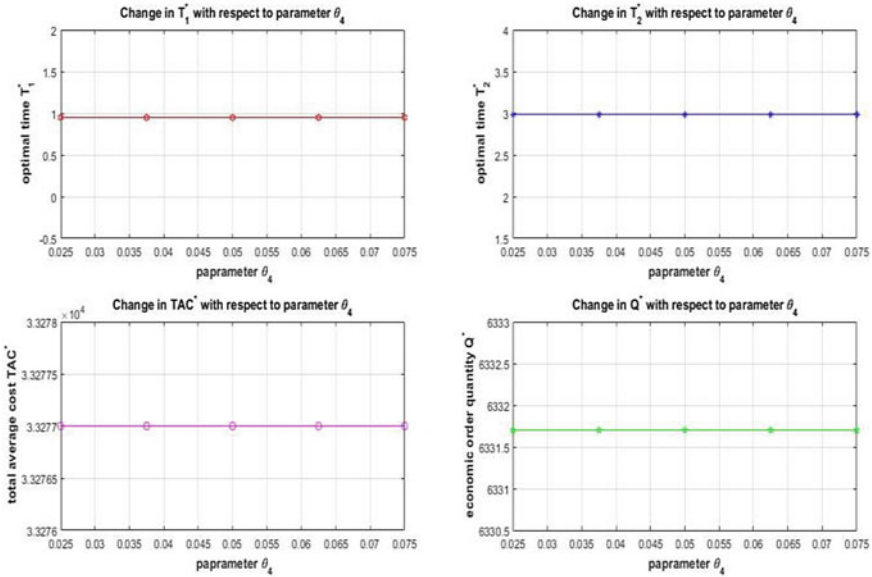


Fig. 8 Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter  $\theta_3$





**Fig. 9** Percentage change in optimum time, total average cost, and economic order quantity with respect to parameter  $\theta_4$

4. From Table 3, we can see that as values of parameter  $\theta_1$  range from 0.004 to 0.012,  $T_1^*$  decreases from 0.9484 to 0.9483 and after that it remains constant at 0.9484,  $T_2^*$  decreases from 2.9870 to 2.9868,  $TAC^*$  increases from 33,275 to 33,279, and  $Q^*$  increases from 6331.3 to 6332.4.
5. From Table 3, we can see that as values of parameter  $\theta_2$  range from 0.00350 to 0.01050,  $T_1^*$  decreases from 0.9484 to 0.9483 and after that it remains constant at 0.9484,  $T_2^*$  decreases from 2.9870 to 2.9868,  $TAC^*$  increases from 33,275 to 33,279, and  $Q^*$  increases from 6331.3 to 6332.4.
6. From Table 3, we can see that as values of parameter  $\theta_3$  range from 0.002 to 0.006,  $T_1^*$ ,  $T_2^*$ ,  $TAC^*$ , and  $Q^*$  remain constant at their optimal values.
7. From Table 3, we can see that as values of parameter  $\theta_4$  range from 0.00250 to 0.00750,  $T_1^*$ ,  $T_2^*$ ,  $TAC^*$ , and  $Q^*$  remain constant at their optimal values.

## 7 Conclusion

We have established the model using deterioration under-inflation when rented warehouses have higher holding costs than own warehouse. We have proposed an optimal policy to minimize the TAC and verified this inventory system by employing a numerical example through the medium of MATLAB, and obtained the optimal esteems of  $T_1$ ,  $T_2$ ,  $Q$ , and TAC. The convexity of TAC concerning the ruling variables has also been manifested graphically. Sensitivity investigation is accomplished by modifying

**Table 3** Sensitivity investigation for diverse inventory parameters

Parameter	Percentage change	Value of the parameter	Optimal values							
			T <sub>1</sub> *	T <sub>2</sub> *	TAC*	HD*	SC*	LSC*	Q*	
U	-50%	85	0.9712	3.0032	32,401	15,620	-2420.9	571.3412	6207.3	
	-25%	127.5	0.9596	2.9950	32,842	15,778	-2469.4	576.7214	6269.7	
	0%	170	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7	
	+25%	212.5	0.9373	2.9789	33,707	16,075	-2565.5	587.2217	6393.9	
	+50%	255	0.9264	2.9711	34,132	16,215	-2613.0	592.3364	6455.7	
v	-50%	0.04	1.0852	3.4299	43,630	25,453	-1465.1	416.4600	4384.9	
	-25%	0.06	1.0112	3.2072	38,867	20,228	-2140.4	512.1253	5388.5	
	0%	0.08	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7	
	+25%	0.10	0.9005	2.7809	26,928	12,566	-2426.0	626.7836	7169.4	
	+50%	0.12	0.8687	2.5957	19,922	10,028	-1806.6	649.3790	7886.7	
δ	-50%	0.0250	0.9486	2.9874	33,279	15,938	-2518.7	290.8391	6338.5	
	-25%	0.0375	0.9485	2.9871	33,278	15,933	-2518.3	436.3983	6335.4	
	0%	0.0500	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7	
	+25%	0.0625	0.9483	2.9866	33,276	15,926	-2517.1	727.7222	6328.7	
	+50%	0.0750	0.9482	2.9864	33,275	15,922	-2516.2	873.4626	6325.2	
θ <sub>1</sub>	-50%	0.004	0.9484	2.9870	33,275	15,930	-2516.9	581.9337	6331.3	
	-25%	0.006	0.9483	2.9869	33,276	15,930	-2517.3	581.9777	6331.6	
	0%	0.008	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7	
	+25%	0.010	0.9484	2.9868	33,278	15,930	-2518.0	582.0479	6332.2	
	+50%	0.012	0.9484	2.9868	33,279	15,930	-2518.1	582.0567	6332.4	

(continued)

**Table 3** (continued)

Parameter	Percentage change	Value of the parameter	Optimal values						
			T <sub>1</sub> *	T <sub>2</sub> *	TAC*	HD*	SC*	LSC*	Q*
$\theta_2$	-50%	0.00350	0.9484	2.9870	33,275	15,930	-2516.9	581.9337	6331.3
	-25%	0.00525	0.9483	2.9869	33,276	15,930	-2517.3	581.9777	6331.6
	0%	0.00700	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	+25%	0.00875	0.9484	2.9868	33,278	15,930	-2518.0	582.0479	6332.2
	+50%	0.01050	0.9484	2.9868	33,279	15,930	-2518.1	582.0567	6332.4
	-50%	0.002	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
$\theta_3$	-25%	0.004	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	0%	0.006	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	+25%	0.008	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	+50%	0.010	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	-50%	0.00250	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	-25%	0.00375	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
$\theta_4$	0%	0.00500	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	+25%	0.00625	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	+50%	0.00750	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7
	-50%	0.00350	0.9484	2.9870	33,275	15,930	-2516.9	581.9337	6331.3
	-25%	0.00525	0.9483	2.9869	33,276	15,930	-2517.3	581.9777	6331.6
	0%	0.00700	0.9484	2.9869	33,277	15,930	-2517.3	581.9803	6331.7

various parameters to see this parameter's effect on the system's optimal values. Someone can further extend the model by adding reliability, admissible delay in payments, advertisement, stock, and price-sensitive demand. Someone can also apply fuzzy mathematics to the model to get optimal solutions of real-world models under uncertainty.

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# Exact Solitary Wave Solutions of the (3+1)-Dimensional Generalised Kadomtsev–Petviashvili Benjamin–Bona–Mahony Equation



Biswajit Mallick and Prakash Kumar Sahu

**Abstract** In this paper, the authors studied the (3+1)-dimensional generalised Kadomtsev–Petviashvili Benjamin–Bona–Mahony (KP-BBM) equation. The exact solitary wave solutions of the nonlinear partial differential equation have been obtained by using the modified hyperbolic function expansion method. The required preliminaries for the method of modified hyperbolic expansion have been given. Two numerical examples have been illustrated and the exact solutions obtained are explained with the help of two-dimensional and three-dimensional graphs. The solutions have different physical structures depending on the involved parameters. This technique can also be employed to compute the new exact solution of higher order nonlinear partial differential equations.

**Keywords** KP equation · BBM equation · Nonlinear pdes · Hyperbolic function expansion method

## 1 Introduction

The nonlinear partial differential equations (NPDEs) arise in a wide variety of problems in mathematics and physics. In recent years, most of the scientific phenomena are designed via nonlinear partial differential equations. Apart from the traditional physical problems like fluid dynamics, plasma physics, quantum physics, solid physics, and meteorology, the nonlinear partial differential equations have also important applications in the development of marine dynamics [1], in biology and population genetics [2], in aerospace industry [3], in mathematical finance [4], and so on. Due to their enormous applications, many researchers have devoted their attention towards investigating the solutions of nonlinear partial differential equations using various methods. The exact solutions of nonlinear partial differential equations pro-

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B. Mallick (✉) · P. K. Sahu  
Department of Mathematics, School of Applied Sciences, KIIT Deemed to be University,  
Bhubaneswar 751024, Odisha, India  
e-mail: [biswajitmallick610@gmail.com](mailto:biswajitmallick610@gmail.com)

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vide a better understanding for the development, analysis, and verification of the mechanisms of nonlinear physical phenomena, as well as for the testing of the validity, reliability, and acceptability of the solutions which are obtained by approximate analytical and numerical methods. Some of the recent methods used for solving the nonlinear partial differential equations are optimal perturbation iteration technique [2], the Riccati–Bernoulli Sub-ODE approach [5], generalised exponential rational function method [6], the Tarig projected differential transform method [8], and much more.

In 1970, Boris Kadomtsev (1928–1998) together with Vladimir Petviashvili (1936–1993) [7] introduced a model in the form of a nonlinear partial differential equation in two spatial and one temporal coordinate to describe the nonlinear waves of small amplitude propagating in a dispersive medium, which is known as the Kadomtsev–Petviashvili equation (or simply the KP equation) and it came as a natural generalisation of the KdV equation [9] (derived by Korteweg and De Vries in 1895). The Kadomtsev–Petviashvili (KP) equation is written in the form

$$(v_t + 6vv_x + v_{xxx})_x + 3\sigma v_{yy} = 0. \quad (1)$$

Since the Kadomtsev–Petviashvili (KP) equation is one of the most universal models in nonlinear wave theory, it has been extensively studied in the mathematical community in the last fifty years. Some recent study includes the  $\exp(-\varphi(\eta))$ -expansion technique and the adaptive moving mesh method for obtaining the analytical and numerical solutions of the Kadomtsev–Petviashvili (KP) equation arising in plasma physics [10]; construction of multi-wave complex solutions [11]; and the study of the compatibility conditions by the Painlevé analysis and the WTC–Kruskal method [12], lump solutions by Hirota bilinear method [13], the novel explicit wave solutions by using the modified Khater method [14], multiple-order line rogue wave solutions [15], etc.

In 1972, Benjamin, Bona, and Mahony [16] derived a model to study the dynamical properties of long waves in nonlinear dispersive systems, known as the Benjamin–Bona–Mahony (BBM) equation or regularised long-wave (RLW) equation which was considered as an improvement of the KdV equation [9] of the form

$$v_t + v_x + vv_x - v_{xxt} = 0. \quad (2)$$

The Benjamin–Bona–Mahony (BBM) equation is used to describe different physical models that arise in the fields of mathematical physics, fluid dynamics, and in other scientific applications like in the optical illusions field [19]. Recent study on the BBM equation includes the modified Khater method and B-spline collection schemes [19], the iterative analysis [17], the geometrical demonstration for continuation of solutions [18], the solitary wave solutions [20], etc. Wazwaz [21] proposed BBM equation formulated in KP sense and named it as the KP-BBM equation

$$(v_t + v_x - a(v^2)_x - bv_{xxt})_x + rv_{yy} = 0, \quad (3)$$



a generalised form of KP-BBM equation

$$(v_t + v_x - a(v^n)_x - bv_{xxt})_x + rv_{yy} = 0, \quad n > 1, \quad (4)$$

and a variant of KP-BBM equation

$$(v_t + v_x - a(v^2-n)_x - bv_{xxt})_x + rv_{yy} = 0, \quad n > 1, \quad (5)$$

where  $a$ ,  $b$ , and  $r$  are real parameters and  $n$  is positive integer. This equation has been studied by many researchers with various methods, and Wazwaz constructed a variety of exact travelling wave solutions of compact and noncompact structures using the tanh method and the sine–cosine method [21]; Mekki et al. used finite difference method to obtain the approximate solutions [22]; Abdou used the extended mapping method to obtain exact periodic wave solutions [23]; Bifurcation method of dynamical systems has been used to obtain exact solitary wave solutions [24, 25]; Hoque et al. used the Hirota bilinear approach to obtain higher order rogue wave solutions [26].

In this work, the authors use the modified hyperbolic function expanding method to solve the (3+1)-dimensional generalised KP-BBM equation [27, 28],

$$v_{tx} + \mu_1 v_{xx} + \mu_2 (vv_x)_x - \mu_3 v_{xxtx} + \mu_4 v_{yy} + \mu_5 v_{zz} = 0, \quad (6)$$

which describes the fluid flow in the case of an offshore structure [29]. Here,  $v(x, y, z, t)$  is an analytic function of space coordinates,  $x, y, z$ , and time coordinate,  $t$ , and the coefficients  $\mu_i$ , ( $i = 1, 2, 3, 4, 5$ ) are all constants. Recently, many researchers worked on this (3+1)-dimensional KP-BBM equation as follows: in [27], Tariq et al. obtained the soliton solutions of (3 + 1)-dimensional KP-BBM equation with some other similar type of equations and their applications in water waves; in [28], Yin et al. derived the lump-wave and breather-wave solutions for a (3 + 1)-dimensional generalised KP-BBM equation for an offshore structure; in [30], Liu et al. derived multiple rogue wave solutions through symbolic calculation approach, etc. Different approaches for solving nonlinear PDEs motivate us to find solitary wave solutions of (3 + 1)-dimensional generalised KP-BBM equation in a very simplified form.

This article has been structured as follows. In the first section, the detailed introduction of the considered problem has been discussed which is followed by the preliminary section where the general solutions of an equation which are satisfied by the hyperbolic function have been defined. Section 3 has been devoted to the description of the modified hyperbolic function expanding method. The exact solitary wave solution of (3 + 1)-dimensional generalised KP-BBM equation, using the modified hyperbolic function expanding method, is presented in Sect. 4. Some numerical results and the graphical interpretation of the exact solutions to (3 + 1)-dimensional generalised KP-BBM equations with help of 3D and 2D figures are illustrated in Sect. 5. Finally, discussion and conclusions about the work are presented in Sect. 6, followed by the references.

## 2 Preliminaries

Given the hyperbolic function  $\psi(\xi)$  satisfying the equation

$$\psi'(\xi) = \gamma + \psi^2(\xi), \tag{7}$$

where  $\gamma$  is an arbitrary real number.

Depending on the value of  $\gamma$ , the general solutions of Eq. (7) are defined as follows:

1. if  $\gamma > 0$ ,

$$\psi_1(\xi) = \sqrt{\gamma} \tan(\sqrt{\gamma}(\xi + \rho)), \tag{8}$$

2. if  $\gamma = 0$ ,

$$\psi_2(\xi) = -\frac{1}{\xi + \rho}, \tag{9}$$

3. if  $\gamma < 0$ ,

$$\psi_3(\xi) = -\sqrt{-\gamma} \tan(\sqrt{-\gamma}(\xi + \rho)), \tag{10}$$

where  $\rho$  is any arbitrary real number.

## 3 Description of Modified Hyperbolic Function Expanding Method

In this part, the basic steps involved in the modified hyperbolic function expanding method have been described.

Consider the general nonlinear partial differential equation having independent spatial variables  $x_1, x_2, \dots, x_n$  and time variable  $t$  in closed form as

$$\mathbb{P}(v, v_t, v_{x_1}, \dots, v_{x_n}, v_{tt}, v_{tx_1}, \dots) = 0, \tag{11}$$

where  $v = v(x_1, x_2, \dots, x_n, t)$  is an unknown function and  $\mathbb{P}$  denotes a polynomial function of its arguments.

Assume that the exact solution of Eq. (11) is

$$v(x_1, x_2, \dots, x_n, t) = v(\kappa_1 x_1 + \kappa_2 x_2 + \dots + \kappa_n x_n + ct) = v(\xi), \tag{12}$$

where  $\xi = \kappa_1 x_1 + \kappa_2 x_2 + \dots + \kappa_n x_n + ct$ , and the coefficients  $\kappa_1, \kappa_2, \dots, \kappa_n, c$  are real constants to be determined with the restriction that the product  $\kappa_1 \kappa_2 \dots \kappa_n \neq 0$ . Substituting Eq. (12) into Eq. (11), it reduces to an ordinary differential equation of the form

$$\mathbb{P}(v, v', v'', v''' \dots) = 0, \tag{13}$$

where the derivatives are with respect to  $\xi$ .

Equation (13) is then integrated as long as all the terms contain derivatives and the integration constants are considered as zeros.

Assume that the solution  $v(\xi)$  of Eq. (13) can be expressed as a finite series of the form

$$v(\xi) = a_0 + \sum_{i=1}^M (a_i \psi^{-i}(\xi) + b_i \psi^i(\xi) + c_i \psi^i(\xi) \psi'(\xi) + d_i \psi^{-i}(\xi) \psi'(\xi)), \quad (14)$$

where  $\psi(\xi)$  satisfies Eq. (7) and  $a_0, a_i, b_i, c_i, d_i, 1 \leq i \leq M$  are constants to be determined later. The parameter  $M$  in Eq. (14) can be found by balancing the linear term of the highest order with the nonlinear term of highest degree of Eq. (13) using homogeneous balance method [31].

Inserting Eqs. (14) and (7) into the ordinary differential equation (13) and collecting the coefficients of  $\psi^i$ , then setting the coefficients equal to zero, a system of nonlinear algebraic equations involving the parameters  $a_0, a_i, b_i, c_i, d_i, \kappa_i, 1 \leq i \leq M$ , and  $c$  have been obtained. Solving the system, the values of the parameters are obtained. Substituting these parameters  $a_0, a_i, b_i, c_i, d_i, \kappa_i, 1 \leq i \leq M$ , and  $c$  into Eq. (14), the exact solitary wave solution of Eq. (11) has been obtained.

#### 4 Solutions of the (3+1)-Dimensional Generalised Kadomtsev–Petviashvili Benjamin–Bona–Mahony (KP-BBM) Equation

Consider Eq. (6). For simplicity, we assume the coefficients which are real constant as unity reduce Eq. (6) to the form

$$v_{tx} + v_{xx} + (vv_x)_x - v_{xxtx} + v_{yy} + v_{zz} = 0. \quad (15)$$

For Eq. (15), let us set

$$v(x, y, z, t) = v(\xi), \quad \xi = \kappa_1 x + \kappa_2 y + \kappa_3 z + ct, \quad (16)$$

where  $\kappa_1, \kappa_2, \kappa_3$ , and  $c$  are any real numbers to be determine, and  $\kappa_1 \cdot \kappa_2 \cdot \kappa_3 \neq 0$ . By substituting Eqs. (16) in (15), it reduces to an ordinary differential equation of the form

$$(c\kappa_1 + \kappa_1^2 + \kappa_2^2 + \kappa_3^2)v'' + \kappa_1^2(vv'' + v'^2) - \kappa_1^3cv^{(iv)} = 0. \quad (17)$$

Integrating Eq. (17) with respect to  $\xi$  from 0 to  $\xi$ , we get

$$(c\kappa_1 + \kappa_1^2 + \kappa_2^2 + \kappa_3^2)v' + \kappa_1^2vv' - \kappa_1^3cv''' = 0. \quad (18)$$

Integrating once more, it gives

$$(c\kappa_1 + \kappa_1^2 + \kappa_2^2 + \kappa_3^2)v + \frac{1}{2}\kappa_1^2v^2 - \kappa_1^3cv'' = 0. \tag{19}$$

By considering the balance coefficient method [31] for balancing the nonlinear term  $v^2$ , that has the exponent  $2M$ , with the highest order derivative  $v''$ , that has the exponent  $M + 2$ , in Eq. (19), we get  $M = 2$ .

Therefore,  $v(\xi)$  can be expressed as

$$\begin{aligned} v(\xi) &= a_0 + \sum_{i=1}^2 (a_i \psi^{-i}(\xi) + b_i \psi^i(\xi) + c_i \psi^i(\xi) \psi'(\xi) + d_i \psi^{-i}(\xi) \psi'(\xi)) \\ &= a_0 + a_1 \psi^{-1}(\xi) + a_2 \psi^{-2}(\xi) + b_1 \psi^1(\xi) + b_2 \psi^2(\xi) + c_1 \psi^1(\xi) \psi'(\xi) \\ &\quad + c_2 \psi^2(\xi) \psi'(\xi) + d_1 \psi^{-1}(\xi) \psi'(\xi) + d_2 \psi^{-2}(\xi) \psi'(\xi). \end{aligned} \tag{20}$$

Substituting Eqs. (7) and (20) into Eq. (19) and collecting all terms with the same powers of  $\psi(\xi)$  to obtain a system of algebraic equations for the coefficients  $a_0, a_1, a_2, b_1, b_2, c_1, c_2, d_1, d_2, \kappa_1, \kappa_2, \kappa_3$ , and  $c$ . Solving this system, the following set of values for the unknown parameters are obtained:

- Set-1:  $a_0 = -d_2 + 12c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = \gamma(-d_2 + 12c\kappa_1\gamma), b_1 = -d_1, b_2 = 0, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$
- Set-2:  $a_0 = -d_2 + 4c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = \gamma(-d_2 + 12c\kappa_1\gamma), b_1 = -d_1, b_2 = 0, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$
- Set-3:  $a_0 = -d_2 + 24c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = \gamma(-d_2 + 12c\kappa_1\gamma), b_1 = -d_1, b_2 = 12c\kappa_1, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 16c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$
- Set-4:  $a_0 = -d_2 + 12c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = -d_2\gamma, b_1 = -d_1, b_2 = 12c\kappa_1, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$
- Set-5:  $a_0 = -d_2 + 4c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = -d_2\gamma, b_1 = -d_1, b_2 = 12c\kappa_1, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$
- Set-6:  $a_0 = -d_2 + 8c\kappa_1\gamma, a_1 = -d_1\gamma, a_2 = \gamma(-d_2 + 12c\kappa_1\gamma), b_1 = -d_1, b_2 = 12c\kappa_1, c_1 = 0, c_2 = 0, d_1 = d_1, d_2 = d_2, \kappa_1 = \kappa_1, \kappa_2 = \kappa_2, \kappa_3 = \pm(-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 16c\kappa_1^3\gamma)^{1/2}, c = c, \gamma = \gamma.$

Now, these solution sets together with the solutions of Eq. (7) will be substituted in Eq. (20) and simplified to obtain the solutions for Eq. (15).

Consider the solution set-1. The solutions for Eq. (15) are obtained as follows.

For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{11}(\xi) = 12c\gamma \csc [(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \tag{21}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{12}(\xi) = -12c\gamma \operatorname{csch} [(\rho + \xi)\sqrt{-\gamma}]^2 \kappa_1, \tag{22}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

For  $\gamma = 0$ , there does not exist any trivial solution for Eq. (15).

Similarly, for solution set-2, the solutions for Eq. (15) are obtained as follows.

For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{21}(\xi) = 4c\gamma (2 + \cos [2(\rho + \xi)\sqrt{\gamma}]) \csc [(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \tag{23}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{22}(\xi) = -4c\gamma (2 + \cosh [2(\rho + \xi)\sqrt{-\gamma}]) \operatorname{csch} [(\rho + \xi)\sqrt{-\gamma}]^2 \kappa_1, \tag{24}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

For  $\gamma = 0$ , there does not exist any trivial solution for Eq. (15).

For solution set-3, the solutions for Eq. (15) are obtained as below.

For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{31}(\xi) = 48c\gamma \csc [2(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \tag{25}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 16c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{32}(\xi) = -48c\gamma \csc h [2(\rho + \xi)\sqrt{-\gamma}]^2 \kappa_1, \tag{26}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 16c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

For  $\gamma = 0$ , the solution for Eq. (15) is

$$v_{33}(\xi) = \frac{12c\kappa_1}{(\rho + \xi)^2}, \tag{27}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2,$  and  $\rho$  are any real numbers.

For solution set-4, the solutions for Eq. (15) are obtained as follows.

For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{41}(\xi) = 12c\gamma\kappa_1 \sec [(\rho + \xi)\sqrt{\gamma}]^2, \tag{28}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{42}(\xi) = 12c\gamma\kappa_1 \operatorname{sech} [(\rho + \xi)\sqrt{-\gamma}]^2, \tag{29}$$

where  $\xi = \kappa_1x + \kappa_2y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 - 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho,$  and  $\gamma$  are any real numbers.

Now consider the solution set-5. The solutions for Eq. (15) are obtained as follows. For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{51}(\xi) = -4c\gamma (-2 + \cos [2(\rho + \xi)\sqrt{\gamma}]) \sec [(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \quad (30)$$

where  $\xi = \kappa_1 x + \kappa_2 y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{52}(\xi) = -4c\gamma (-2 + \cosh [2(\rho + \xi)\sqrt{\gamma}]) \operatorname{sech} [(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \quad (31)$$

where  $\xi = \kappa_1 x + \kappa_2 y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 4c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

Lastly, for solution set-6, the solutions for Eq. (15) are obtained as follows. For  $\gamma > 0$ , the solution of Eq. (15) is

$$v_{61}(\xi) = 16c\gamma (2 + \cos [4(\rho + \xi)\sqrt{\gamma}]) \csc [2(\rho + \xi)\sqrt{\gamma}]^2 \kappa_1, \quad (32)$$

where  $\xi = \kappa_1 x + \kappa_2 y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 16c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

For  $\gamma < 0$ , the solution of Eq. (15) is

$$v_{62}(\xi) = -16c\gamma (2 + \cos [4(\rho + \xi)\sqrt{-\gamma}]) \csc [2(\rho + \xi)\sqrt{-\gamma}]^2 \kappa_1, \quad (33)$$

where  $\xi = \kappa_1 x + \kappa_2 y \pm (-c\kappa_1 - \kappa_1^2 - \kappa_2^2 + 16c\kappa_1^3\gamma)^{1/2}z + ct$  and  $c, \kappa_1, \kappa_2, \rho$ , and  $\gamma$  are any real numbers.

For the solution sets 4, 5, and 6, the solution for  $\gamma = 0$ , for Eq. (15), coincides with the solution set of 3 which is defined in Eq. (27).

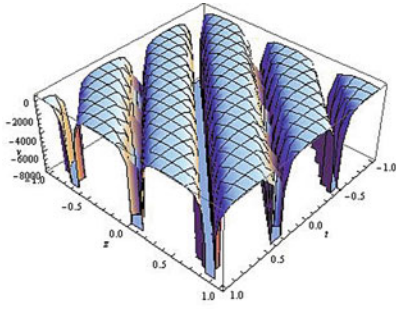
## 5 Numerical Results and Graphical Interpretation

In this part of the work, we will discuss some numerical examples for the (3+1)-dimensional generalised Kadomtsev–Petviashvili Benjamin–Bona–Mahony (KP-BBM) equation to validate our obtained result and interpret the exact solitary wave solutions in graphs.

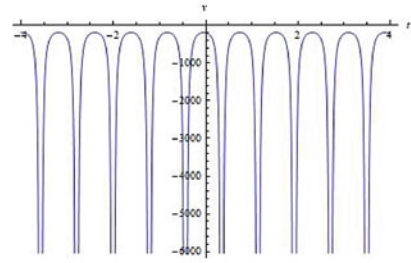
**Example 1** In this example, for the solutions of Eq. (15), by using Set-3, we assume the following parameters:

$$k_1 = -1 \text{ (1 for } \gamma < 0), k_2 = -1, c = 1, \rho = 0.$$

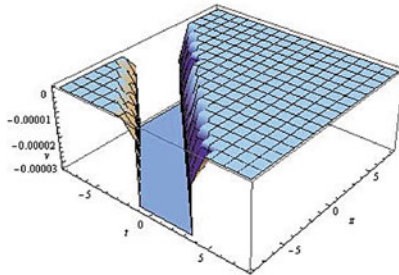
For any real number  $\gamma > 0$ , let  $\gamma = 4$ , the solution (25) becomes



(a) 3-D figure of solution  $v_{31}$



(b) 2-D figure of solution  $v_{31}$



(c) 3-D figure of solution  $v_{32}$

**Fig. 1** Behaviour of solutions for example 1

$$v_{31}(\xi) = -192 \csc(4\xi)^2, \tag{34}$$

where  $\xi = t - x - y + 3\sqrt{7}z$ . The three-dimensional  $x - t - v$  space and two-dimensional  $\xi - v$  plane are figures (a) and (b), respectively, in Fig. 1.

For any real number  $\gamma < 0$ , let  $\gamma = -4$ , the solution (26) becomes

$$v_{32}(\xi) = -192 \operatorname{csch}(4\xi)^2, \tag{35}$$

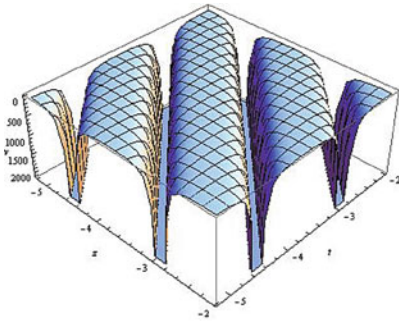
where  $\xi = x - y + \sqrt{61}z + t$ . The three-dimensional  $x - t - v$  space is shown in figure (c) of Fig. 1.

**Example 2** In this example, for the solutions of Eq. (15), by using Set-5, we assume the following parameters:

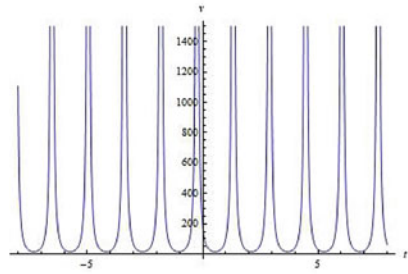
$$k_1 = 1 \text{ (-1 for } \gamma < 0), k_2 = -1, c = 1, \rho = 0.$$

For any real number  $\gamma > 0$ , let  $\gamma = 4$ , the solution (30) becomes

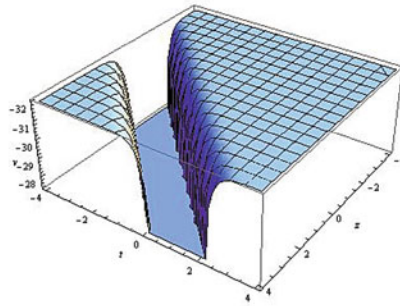
$$v_{51}(\xi) = -16[-2 + \cos(4\xi)] \csc(2\xi)^2, \tag{36}$$



(a) 3-D figure of solution  $v_{51}$



(b) 2-D figure of solution  $v_{51}$



(c) 3-D figure of solution  $v_{52}$

**Fig. 2** Behaviour of solutions for example 2

where  $\xi = x - y + \sqrt{13}z + t$ . The three-dimensional  $x - t - v$  space and two-dimensional  $\xi - v$  plane are figures (a) and (b), respectively, in Fig. 2.

For any real number  $\gamma < 0$ , let  $\gamma = -4$ , the solution (31) becomes

$$v_{52}(\xi) = -16 [-2 + \cosh(4\xi)] \operatorname{sech}(2\xi)^2, \tag{37}$$

where  $\xi = t - x - y + \sqrt{15}z$ . The three-dimensional  $x - t - v$  space is shown in figure (c) of Fig. 2.

## 6 Conclusion

In this work, the authors discussed about the nonlinear partial differential equation, (3+1)-dimensional generalised Kadomtsev–Petviashvili Benjamin–Bona–Mahony (KP-BBM) equation. The modified hyperbolic function expanding method has been used to obtain the exact solution of the (3+1)-dimensional generalised KP-BBM equation. This particular method can also be used to solve higher order partial dif-



ferential equations. Using this method, a system of nonlinear algebraic equations has been obtained and solved by using MATHEMATICA software. Two numerical examples are included with respective figures to demonstrate the validity, reliability, and efficiency of the discussed method.

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# Effect of Oblique Magnetic and Electric Fields on the Kelvin-Helmholtz Instability at the Interface Between Porous and Fluid Layers



Guruva Reddy Chandra Shekara 

**Abstract** The effect of inclined magnetic and electric field on the growth rate of the Kelvin-Helmholtz instability of a flow in the porous layer is presented. The base flow is assumed to be fully developed and the linear theories along with normal modes are used to understand the stability of the flow over the interface between the fluid saturated porous layer and the clear fluid layer to a large extent. The effect of inclined magnetic and electric fields on the growth rate of instability is studied in terms of non-dimensional parameters like the Darcy number, Electric and Magnetic parameters. It is observed that the inclined magnetic field has a stabilizing impact when the angle of orientation is  $90^\circ$  and whereas the horizontal magnetic field with a zero angle of inclination has a neutral impact on the stability of the system. For the large Darcy numbers, that is a porous medium with small porosity, the system will be stable whereas for smaller values of Darcy number, the system is marginally stable. The numerical and graphical results were presented and validated for a wide range of non-dimensional parameters.

**Keywords** Shear-instability · Inclined-magnetic-field · Hartmann-number · Electric-number · Growth-rate · Dispersion-relation

## 1 Introduction

The Kelvin-Helmholtz instability (KHI) will be set up at the interface between two fluid layers with different velocities flowing one over the other at the interface between these fluids. This instability occurs at the interface due to shear between fluid layers; hence, some authors refer to it as shear instability. This kind of instability will be crucial in understanding phenomena like the formation of clouds, waves and

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BMS College of Engineering.

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G. R. Chandra Shekara (✉)

Assistant Professor, Department of Mathematics, BMS College of Engineering, Bull Temple Road, Basavagudi, Bangalore 560019, India  
e-mail: [chandrashekarag.maths@bmsce.ac.in](mailto:chandrashekarag.maths@bmsce.ac.in)

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flow through sand beds. The industrial applications similar to the melting process, processing of polymers and the mixing of paints can be made well understood by analyzing this instability. Using analysis of this instability, it is possible to understand the onset of instability and transitions nature of fluid flow with dissimilar densities with different flow velocities. This type of instability was first studied by Helmholtz [3] by introducing a small wave-like disturbance over the interface of fluid layers flowing with dissimilar speeds. In the year 1871, [4] experimentally proved that if two layers flow with different velocities, they will be unstable even for small velocities.

The Kelvin-Helmholtz instability has been studied by authors like Shore [2] and Chandrasekhar [1] because of its significance in astrophysical, geophysical and industrial applications. Chandrasekhar [1] presented the impact of physical quantities like surface tension, variable density, rotation and magnetic field on the KH instability. Sharma and Srivastava [5] show that the effect of rotation and an inclined magnetic field will suppress the growth rate of KHI. The electro-hydrodynamic KHI influenced by an electric field with surface charges and separating two dielectric fluid layers has been discussed by El-Sayed [6]. Elhefnawy [7] presented a multiple scales method to study nonlinear KH instability with an influence of an electric field. KHI at the interface between two viscous, rotating and conducting fluids layers was investigated by Mehta and Bhatia [8]. Benjamin and Bridges [9] have shown the significance of KH instability and they have a good reappraisal of the classic KH instability in hydrodynamics. KHI of superposed fluids with heat and mass transfer was investigated by Allah [10] by considering an applied magnetic field. The effect of magnetic fields on the natural convection in vertical cylindrical annulus was studied by Sankar et al. [21]. Jayalakshamma et al. [22] presented the creeping flow past composite sphere by considering porous shell in the presence of magnetic field effect.

Further, it is noticed that the flow through the porous layer is very significant mainly among geophysical fluid dynamic researchers and petroleum engineers. Theories of Babchin et al. [11] and Chandra Shekara et al. [12] along with Beavers and Joseph [13] slip conditions are utilized with the main focus of using magnetic and electric fields along with porous layer to reduce the instability. El-Sayed [14] investigated the electro-hydrodynamic KH instability at the interface between two superposed Rivlin-Ericksen visco-elastic dielectric fluid-particle mixtures in the porous medium. Electro-rheological KH instability of a fluid sheet was studied by El-Dib and Matoog [15]. Chandra Shekara et al. [12] have shown that if electric and magnetic fields are applied together, the growth rate of Kelvin-Helmholtz instability can be reduced effectively compared to that of individual effects. Chandra Shekara and Rudraiah [16] have shown that the effect of nanostructure porous layer will reduce KH instability and they also proved that the couple stress effect will suppress KH instability. Krishna Chavaraddi et al. [18] studied the Kelvin-Helmholtz instability in a rectangular channel with a couple stress fluid layer bounded above by a porous layer and below by a rigid surface. The effect of magnetic field on the Kelvin-Helmholtz instability in a couple stress fluid layer bounded above by a porous layer and below by a rigid surface is studied by Krishna B. Chavaraddi et al. [19]. More recently,

Krishna B Chavaraddi et al. [20] analyzed the influence of boundary roughness on the Rayleigh-Taylor instability at the interface of the superposed couple stress fluids. To our knowledge, KHI at the interface between two fluids of very small conductivity in the presence of an oblique magnetic and electric field with an impact of porous layer thickness is not been given much attention. In the present work, the effects of electric and magnetic fields applied in the direction inclined at an angle with fluid flow through the porous channel are examined. It is noticed that the inclined field is having a stabilizing impact on the dispersion rate if the angle of inclination is orthogonal to the fluid flow through the porous medium. Further, if these fields are applied in the horizontal direction to the flow of the fluid in the porous medium, they will have a negligible impact on the dispersion relation of KHI compared to the vertical fields.

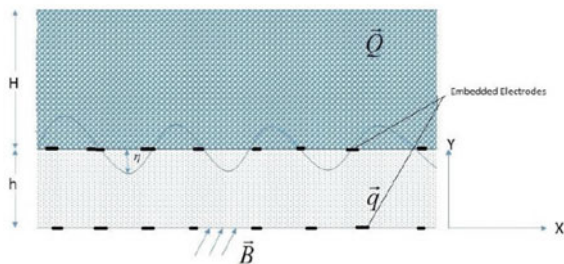
## 2 Mathematical Formulation

The flow configuration of the present work consists of region-1 with a fluid layer of composed small thickness  $h$  with incompressible viscous fluid with very small conductivity and this region is bounded below by an inflexible surface. The upper side of region-1 consists porous layer of large thickness  $H > h$  with small porosity and a viscous incompressible fluid saturated in it called region-2 as shown in Fig. 1. The inclined magnetic field is applied with an angle of inclination  $\theta$  with the lower surface of region-1. Further, an electric field is applied by embedding electrodes at the lower surface and over the interface of region-1 and region-2. The instability will be set up over interface due to shear formed by flows in region-1 and 2 and also the opposition of flow in the porous layer. The cartesian coordinate system  $(x, y)$  is used to study the instability considered in the present work with  $\eta(x, y)$  and is the disturbed interface between region-1 and 2 as shown in Fig. 1.

The governing equations of fluid flow in region-1 and 2 are derived by following Chandra Shekara and Rudraiah [12, 16] and they are conservation of mass, momentum, energy and charges as given below:

$$\nabla \cdot \mathbf{q} = 0 \tag{1}$$

**Fig. 1** Schematic representation of flow configuration



Region-1:

$$\rho_f \left[ \frac{\partial \mathbf{q}}{\partial t} + (\mathbf{q} \cdot \nabla) \mathbf{q} \right] = -\nabla p + \mu_f \nabla^2 \mathbf{q} + \rho_e \mathbf{E} + \mathbf{J} \times B \quad (2)$$

Region-2:

$$\nabla p = -\frac{\mu}{k} \mathbf{Q} + \rho_e \mathbf{E} + \mathbf{J} \times B \quad (3)$$

$$\left[ \frac{\partial T}{\partial t} + (\mathbf{q} \cdot \nabla) T \right] = \kappa \nabla^2 T \quad (4)$$

$$\frac{\partial \rho_e}{\partial t} + (\mathbf{q} \cdot \nabla) \rho_e + \nabla \cdot \mathbf{J} = 0 \quad (5)$$

In the above equations,  $\mathbf{q}$  and  $\mathbf{Q}$  are velocities of the fluid in region-1 and 2, respectively.  $\rho_b$  be the density,  $\mu_r$  the coefficient of viscosity,  $\mathbf{E}$  the electric field,  $P$  the pressure,  $k$  the permeability of a porous layer,  $\rho_e$  is the density of charge distribution and  $\mathbf{J} = \sigma \mathbf{E}$  is the conduction current density. The fluid considered in the present study is of very small conductivity and hence Eqs. (1) to (5) are supplemented with the Maxwell equations like the Gauss law and Faraday's law, respectively, given by

$$\nabla \cdot \mathbf{E} = \frac{\rho_e}{\varepsilon_0} \quad (6)$$

$$\nabla \times \mathbf{E} = 0 \quad (7)$$

$$\mathbf{E} = -\nabla \phi \quad (8)$$

Here in the above equations,  $\varepsilon_0$  be the dielectric constant and  $\phi$  be the electric potential. The fluid with conductivity  $\sigma \ll 1$  depends only on the conduction temperature,  $T_b$ , such that

$$\sigma_b = \sigma_0 [1 + \alpha_h (T_b - T_0)] \quad (9)$$

where  $T_0$  is the wall temperature, and at  $T = T_0$ ,  $\sigma_0 = \sigma_b$  and  $\alpha_h$  is the expansion coefficient of conductivity.

### 3 Problem Solution

#### 3.1 Basic State Solutions

The fluid considered in this study is highly viscous and electrically poorly conducting, so that diffusion terms dominate. Further, to understand flow, the fluid is set to be in

quiescent state initially with an applied uniform electric field  $\mathbf{E}_0$  and magnetic field  $\mathbf{H}_0$  inclined at an angle  $\theta$  with  $xy$ - plane. The fluid under the basic state is assumed to be at static state, that is

$$\mathbf{q} = (0, 0) \tag{10}$$

and

$$\mathbf{E} = \mathbf{E}_0 = (E_{0x}, E_{0y}) \tag{11}$$

$$\mathbf{H} = \mathbf{H}_0 = (H_{0x}, H_{0y}) \tag{12}$$

where  $H_{0x} = H_0 \cos \theta$  and  $H_{0y} = H_0 \sin \theta$ ,  $\theta$  being an angle of inclination of an electric field  $E_0$ ,  $\mathbf{Q} = (0, 0)$ ,  $\phi = \phi_b$ ,  $p = p_b$ ,  $\rho_e = \rho_{eb}$  and  $\sigma = \sigma_b$ . Under these basic states, Eqs. (2) to (7), respectively, become

$$0 = -\frac{\partial p_b}{\partial x} + \rho_{eb} E_{0x} \tag{13}$$

$$0 = -\frac{\partial p_b}{\partial y} + \rho_{eb} E_{0y} \tag{14}$$

$$\nabla \cdot (\sigma_b \mathbf{E}_0) = 0 \tag{15}$$

$$\nabla \mathbf{E}_0 = \frac{\rho_{eb}}{\epsilon_0} \tag{16}$$

and

$$\nabla \times \mathbf{E}_0 = 0 \tag{17}$$

$$\frac{d^2 T_b}{dy^2} = 0 \tag{18}$$

Solving Eq. (18) using boundary conditions  $T_b = T_0$  at  $y = 0$  and  $T = T_1$  at  $y = 1$  and using Eq. (9), we get

$$\sigma_b = \sigma_0 [1 + \alpha_h \Delta T y] \cong \sigma_0 e^{\alpha y} \tag{19}$$

where  $\alpha = \alpha_h \Delta T$  and  $\Delta T = T_1 - T_0$ . We assume that initially for poorly conducting fluids, convection term and time derivative of charge density are dominated by divergence of current density in Eq. (14), which leads to

$$\frac{\partial^2 \phi_b}{\partial x^2} + \frac{\partial^2 \phi_b}{\partial y^2} + \alpha \frac{\partial \phi_b}{\partial y} = 0 \tag{20}$$

On solving Eq. (20) using embedded conditions at the lower boundary and at the interface, respectively, given by  $\phi_b = \frac{Vx}{h}$  at  $y = 0$  and  $\phi_b = \frac{V(x-x_0)}{h}$  at  $y = 1$ , we

get

$$\phi_b = x - x_0 \left( \frac{1 - e^{-\alpha y}}{1 - e^{-\alpha}} \right) \quad (21)$$

$$E_{0x} = -\frac{\partial \phi}{\partial x} = -1 \quad (22)$$

$$E_{0y} = -\frac{\partial \phi}{\partial y} = \frac{x_0 \alpha e^{-\alpha y}}{1 - e^{-\alpha}} \quad (23)$$

$$\rho_{eb} = -\epsilon_0 \frac{\partial^2 \phi_b}{\partial y^2} = -\frac{x_0 \epsilon_0 \alpha^2 e^{-\alpha y}}{1 - e^{-\alpha}} \quad (24)$$

### 3.2 Perturbed Sate Equation

In this section, we super impose a very small perturbation on the basic state given in previous section as  $\mathbf{q} = (u, v)$ ,  $\mathbf{E} = \mathbf{E}_0 + \mathbf{E}'$ ,  $E_x = E_{0x} + E'_x$ ,  $E_y = E_{0y} + E'_y$ ,  $\mathbf{Q} = (Q_x, Q_y)$ ,  $\phi = \phi_b + \phi'$ ,  $p = p_b + p'$  and  $\rho_e = \rho_{eb} + \rho'_e$ . Using these perturbed quantities in Eqs. (1) to (5) applying linear theory and making dimensionless using scales  $h$  for length,  $\delta h$  for pressure,  $\mu \delta h^2$  for velocity,  $\mu \delta^{-2} h^{-3}$  for time,  $V h^{-1}$  for electric field,  $\epsilon V h^{-1}$  for charge density and  $V$  for electric potential and also using the basic state discussed in previous section, we get

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = 0 \quad (25)$$

$$\frac{\partial p}{\partial x} = \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} \right) + W_e (\rho_e E_{0x} + \rho_{eb} E_x) + M^2 u \cos \theta \quad (26)$$

$$\frac{\partial p}{\partial y} = \left( \frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} \right) + W_e (\rho_e E_{0y} + \rho_{eb} E_y) + M^2 v \sin \theta \quad (27)$$

$$\frac{\partial p}{\partial x} = -\frac{1}{D_a^2} Q_x + W_e (\rho_e E_{0x} + \rho_{eb} E_x) + M^2 Q_x \cos \theta \quad (28)$$

$$\frac{\partial p}{\partial y} = -\frac{1}{D_a^2} Q_y + W_e (\rho_e E_{0y} + \rho_{eb} E_y) + M^2 Q_y \sin \theta \quad (29)$$

$$\frac{\partial \rho_e}{\partial t} + v \frac{\partial \rho_{eb}}{\partial y} + \sigma_b \left( \frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} \right) = 0 \quad (30)$$



$$\frac{\partial E_x}{\partial x} + \frac{\partial E_y}{\partial y} = \Gamma \rho_e \quad (31)$$

$$\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} = 0 \quad (32)$$

where  $W_e$  is an electric number,  $M$  is magnetic number and  $D_a$  be a porous parameter and  $\Gamma = \epsilon^{-1}$ .

## 4 Dispersion Relation

The main objective of the present study is to find the dispersion relation of a small periodic perturbations at the interface. To derive this relation, all physical fields are expressed in waveform given by

$$[\mathbf{q}, \mathbf{Q}, \mathbf{E}, \rho_e](x, y, t) = (q(y), Q(y), E(y), \rho_e(y))e^{ilx+nt} \quad (33)$$

here  $l$  denotes the wavenumber and  $n$  is the growth rate. Using Eq. (33) in Eqs. (25) to (32) takes the form

$$Dv + ilu = 0 \quad (34)$$

$$ilp = (D^2 - l^2)u + W_e(\rho_e E_{0x} + \rho_{eb} E_x) + M^2 u \cos \theta \quad (35)$$

$$Dp = (D^2 - l^2)v + E_e(\rho_e E_{0y} + \rho_{eb} E_y) + M^2 v \sin \theta \quad (36)$$

$$ilp = -\frac{1}{D_a^2} Q_x + W_e(\rho_e E_{0x} + \rho_{eb} E_x) + M^2 Q_x \cos \theta \quad (37)$$

$$Dp = -\frac{1}{D_a^2} Q_y + W_e(\rho_e E_{0y} + \rho_{eb} E_y) + M^2 Q_y \sin \theta \quad (38)$$

$$n\rho_e + vD\rho_{eb} + \sigma_b(ilE_x + DE_y) = 0 \quad (39)$$

$$ilE_x + DE_y = \frac{\rho_e}{\epsilon_0} \quad (40)$$

$$ilE_y - DE_x = 0 \quad (41)$$

Eliminating pressure terms in Eqs. (35) and (36) and using Eq. (24) give

$$(D^2 - l^2)^2 v - ilW_e \cos \theta (D\rho_e E_{0x} + D\rho_{eb} E_x) = 0 \quad (42)$$

Similarly, eliminating pressure terms in Eqs. (37) and (38) and using Eq. (24), we get

$$(D^2 - l^2)Q_y - ilW_e D_a \cos \theta (D\rho_e E_{0x} + D\rho_{eb} E_x) = 0 \quad (43)$$

Further, using  $\mathbf{E} = -\nabla\phi$ , Eqs. (30) to (32) take the form

$$n\rho_e + vD\rho_{eb} - D_a(D^2 - l^2)\phi = 0 \quad (44)$$

These equations are solved using the boundary condition mentioned below:  
At lower rigid boundary:

$$v = Dv = 0 \quad \text{at} \quad y = 0 \quad (45)$$

$$v = Qy = v_0 \quad \text{at} \quad y = 1 \quad (46)$$

The BJ-slip condition:

$$\frac{\partial v}{\partial y} = -\alpha\sigma(v_0 - Q_y) \quad \text{at} \quad y = 1 \quad (47)$$

The interface elevation equation is given by

$$y = h + \eta(x, t) \quad (48)$$

where  $\eta$  represents the perturbed interface from the equilibrium state and  $\alpha$  and  $v_0$  are, respectively, slip parameter and the slip velocity at  $y = 1$ .

The interface conditions are dynamic surface and kinematic conditions are, respectively, given by

$$p = -\delta\eta - \gamma \frac{\partial^2 \eta}{\partial x^2} + \mu_f \frac{\partial v}{\partial y} \quad \text{at} \quad y = 1 \quad (49)$$

where  $\delta$  and  $\gamma$  are, respectively, stress gradient and surface tension.

$$v = \frac{\partial \eta}{\partial t} + u \frac{\partial \eta}{\partial x} \quad \text{at} \quad y = 1 \quad (50)$$

#### 4.1 Effect of Transverse Fields

The dispersion relation in case of applied magnetic field in the direction perpendicular to the flow with an applied electric field is derived in this section. In this case, angle of inclination  $\theta = \frac{\pi}{2}$ ; hence, Eqs. (42) and (43), respectively, become

$$(D^2 - l^2)^2 v = 0 \quad (51)$$

$$(D^2 - l^2)Q_y = 0 \tag{52}$$

The solution of Eqs. (51) and (52) satisfying the boundary conditions Eqs. (45) to (47) is of the form

$$v = (A_1 + A_2y) \cosh ly + (A_3 + A_4y) \sinh ly \tag{53}$$

and

$$Q_y = B_1 \cosh ly + B_2 \sinh ly \tag{54}$$

where

$$\begin{aligned} A_1 &= 0, \\ A_2 &= \frac{lV_0 \cosh l + lV_0 \sinh l - K\alpha\sigma_p \sinh l + V_0\alpha\sigma_p \sinh l}{l(\cosh^2 l - 2 \sinh^2 l)}, \\ A_3 &= -\frac{lV_0 \cosh l + lV_0 \sinh l - K\alpha\sigma_p \sinh l + V_0\alpha\sigma_p \sinh l}{l(\cosh^2 l - 2 \sinh^2 l)}, \\ A_4 &= -\frac{lV_0 \sinh l - \alpha\sigma_p(V_0 - K)(\sinh l - \cosh l)}{l(\cosh^2 l - 2 \sinh^2 l)}, \\ B_1 &= -\frac{V_0 \sinh 2l}{\cosh 2l \sinh l - \sinh 2l} \end{aligned}$$

and

$$B_2 = \frac{V_0 \cosh 2l}{\cosh 2l \sinh l - \sinh 2l}.$$

From Eq. (44), it can be solved for  $n$ , which is the growth rate at the interface and it is called the dispersion relation, as follows:

$$n = -\frac{vD\rho_{eb} + \sigma_b(D^2 - l^2)\phi}{\epsilon_0(D^2 - l^2)\phi} \tag{55}$$

The growth rate given in Eq. (55) refers to a linear case of KHI of a viscous incompressible fluid layer bounded below by an inflexible surface and above by a porous layer with very small conductivity. This dispersion relation coincides with the one derived by Brown [17].

### 4.2 Effect of Horizontal Fields

The dispersion relation derived under the influence of horizontal magnetic field which is parallel to the flow (i.e. angle  $\theta = 0$ ) with an applied electric field. This horizontal magnetic field (i.e. parallel to  $x$  axis) offers a rigidity to the flow and hence produces a tension. The impact of this tension will elongate the force lines and causes oscillations. In this case, Eqs. (42) and (43) become

$$(D^2 - l^2)^2v - ilw_1(D\rho_e E_{0x} + D\rho_{eb} E_x) = 0 \tag{56}$$

$$(D^2 - l^2)Q_y - ilw_1\sigma_p^2(D\rho_e E_{0x} + D\rho_{eb} E_x) = 0 \tag{57}$$

Solving Eqs. (56) and (57) using the boundary conditions Eqs. (45) to (47) gives

$$v = (A_1 + A_2y) \cosh ly + (A_3 + A_4y) \sinh ly + f(y) \tag{58}$$

and

$$Q_y = B_1 \cosh ly + B_2 \sinh ly + g(y) \tag{59}$$

where  $f(y) = \frac{ilw_1e^{-\alpha y}}{(\alpha^2 - l^2)^2}$  and  $g(y) = \frac{ilw_1e^{-\alpha y}}{(\alpha^2 - l^2)}$ . From Eq. (44) the frequency of the growth rate  $n$  is obtained as:

$$n = \frac{D\phi D\sigma_b - vD\rho_{eb} + \sigma_b(D^2 - l^2)\phi}{\epsilon(D^2 - l^2)\phi} \tag{60}$$

Equation (60) represents the dispersion relation with respect to the linear case of KHI of a fluid in the form of a thin film as shown in Fig. 1. The direct impact of an electric and magnetic field is clearly seen in Eq.(60), besides there is a roundabout effect from the adjustment of velocity and the Darcy velocity at the interface.

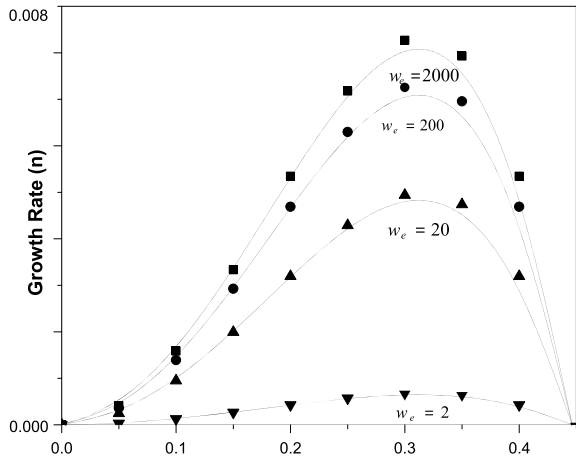
## 5 Results and Discussions

The growth rate of KHI of fluid in the presence of an electric and magnetic field with an angle of inclination  $\theta$  to the  $x$ -axis is derived in terms of dispersion relation Eq. (60). When both  $H_{0x}$  and  $H_{0y}$  are zero along with  $\mathbf{E} = 0$ , this dispersion relation coincides with the form derived by Brown [17] in the case of hydrodynamic as follows:

$$n = \frac{(\delta - \gamma\lambda^2)V\lambda^2}{\mu_f(2\lambda^2DV - D^2V)} \tag{61}$$

If  $H_{0y} = 0$ , the dispersion relation is obtained in the form of Eq. (61) for the case of a magnetic field parallel to flow. In this case, the velocities given in Eqs. (53) and (54) represent hyperbolic and trigonometric functions and exhibit oscillations depending on the strength of an electric field. The growth rate  $n$  is evaluated for different values of  $W_e$  and  $l$  and graphically the results are depicted in Fig. 2. It is noticed and found that the growth rate increases for short period and then becomes stable for all values of  $\lambda$  and  $W_e=2,20,200$  and  $2000$ . For  $\theta = 0^\circ$ , the horizontal magnetic field and high-strength electric fields, the stability criteria for the velocity difference between the layers are derived. This condition in case of long wave perturbations will be inversely proportional to the angular frequency cube and also surface tension, and the applied electric fields are found to have no effect on the stability. Whereas in the case of

**Fig. 2** Graph of dispersion relation  $n$  versus wavenumber  $l$  for different values of electric number  $W_e$  with angle of inclination  $\theta = 0^\circ$

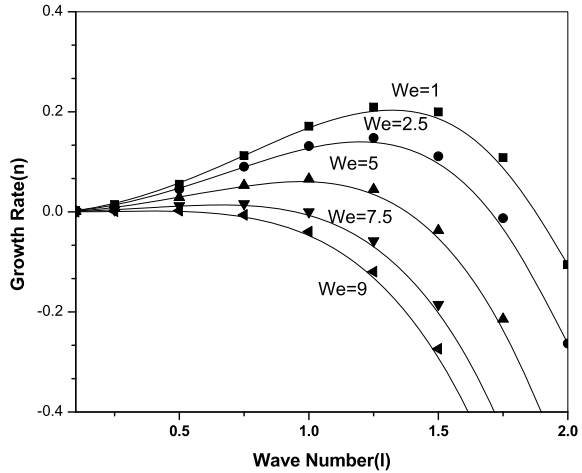


shorter wave disturbances, the surface tension with an applied electric and magnetic field shows a stabilizing effect.

If  $H_{0x} = 0$ , the dispersion relation obtained as in Eq. (60) for the transverse magnetic and applied electric field and corresponding flow velocities are given by Eqs. (58) and (59) and it is a function of hyperbolic similar to the hydrodynamic case. The dispersion relation  $n$  is found with respect to different values of  $W_e$  and  $l$ , and the results are presented graphically in Fig. 3. It is noticed that the interface becomes more stable for all values of  $\alpha$  and  $W_e > 1$  on contrary to the hydrodynamic case. For  $\theta = 90^\circ$ , the long wave disturbances are similar to those for horizontal magnetic and electric fields. For short wave disturbances, it is noticed that the magnetic and electric fields have a destabilizing impact and stabilizing impact by the surface tension. It is found also that the transverse fields will destabilize the interface when compared to the horizontal fields.

In general, it was observed that the inclined magnetic field will reduce the growth rate of instability of a thin layer with  $h < 1$  for fields with small strength. The increase in the magnetic field strength leads to a growth rate to take both negative and positive values if the layer is of small thickness. Physically, this is due to the fact that the high electric field and shear by the fluid saturated porous layer will amplify the disturbance such that there is an inflectional profile which is the interesting character of this instability. It is fascinating to note that electric force balances the viscous force ( $We = 1$ ) at the interface in the case of transverse magnetic field and electric field for all layer thickness but this is true for the aligned electric field only for  $h < 10$ . It is noticed that the peaks of growth rate  $n$  in Figs. 2 and 3 are due to the balance of shear and applied electric field. Further, it is also observed for the limiting case when the angle of inclination of both fields is perpendicular to flow direction, the growth rate coincides with Chandra Shekara et al. [15]. In the case of a transverse magnetic field as shown in Fig. 3, the increasing the values of the electric number  $We$ , the value of  $n$  decreases. Hence, it is noteworthy that the growth rate of KHI decreases with an

**Fig. 3** Graph of dispersion relation  $n$  versus wavenumber  $l$  for different values of electric number  $We$  with angle of inclination  $\theta = 90^\circ$



increase in electric field effect. Particularly, for  $We = 9$ , the growth rate decreases considerably and hence stabilizes the interface. On the contrary to this, in the case of the aligned magnetic field, the interface will be unstable even for larger values of electric number  $We$  which can be observed in Fig. 2.

### 6 Conclusions

The impact of inclined magnetic and electric fields on KH instability is studied and it is observed that the transverse or aligned fields tend to reduce the dispersion of instability in case of a thin layer under small strength fields. The high-strength electric field causes the dispersion relation to exhibit both negative and positive values for very small layer thickness. Practically, this observation is due to the high field strength and shear offered by the porous layer may amplify the dispersion. It is exciting to note that Electric force negates viscous force at the interface and makes it stable in the case of transverse electric field for all layer thicknesses. Further, it is noted that the inclined magnetic field has a stabilizing impact when the angle of inclination is  $90^\circ$  degrees whereas the aligned magnetic field with a zero angle of inclination has a neutral impact on the stability of the system. For the large Darcy numbers, that is a porous medium with small porosity, the system will be stable whereas for smaller values of the Darcy number, the system is marginally stable. Finally, from the present study, it is concluded that it is possible to suppress the instability at the interface by

using a suitable combination of inclined magnetic and electric fields and porous layer thickness. Also, the nonlinear stability analysis is required to understand how exactly an electric field reduced KH instability.

**Acknowledgements** The author Chandra Shekara G. would be grateful to BMS College of Engineering, Bengaluru-19, India, for their support to conduct this research work.

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# Heat Transfer for MHD Flow in an Inclined Channel with Heat Generation/Absorption



Pradip Kumar Gaur and Abhay Kumar Jha

**Abstract** The present paper deals with the motion of an incompressible viscous fluid in an inclined channel. A Uniform magnetic field is applied normal to the channel, taking heat absorption, heat generation and viscous dissipation into account. The non-dimensional partial differential equations are transferred to ordinary differential equations and the perturbation method is employed to solve basic differential equations. The velocity and temperature characteristics have been studied through graphs.

**Keywords** Porous medium · MHD · Heat transfer

## 1 Introduction

The magnetohydrodynamics flow with heat transfer in a porous medium is more significant in recent times for the reason that the magnetic field effects, boundary layer flows and with the help of electrically conducting fluids performance of various systems can be controlled, Geothermal energy extractions, nuclear reactors, plasma studies and MHD generators are such examples. The phenomena of heat transfer are the subject of wide research due to its application in the industry of chemical engineering such as the production of polymers and the processing of food. The study of flows through a porous medium is important amongst mathematicians and engineers due to its applications in biochemical, electrochemical and petroleum. Petroleum engineering and hydrology depends on the properties of porous media. The exact solution of Hartmann plane Couette flow has been extensively [1]. Engineering problems, Geophysical and Astrophysical etc. are the applications of MHD Nigam [2], Soundalgekar and Bhat [3], Raptis [4], Vajravelue [5], and Attia et al. [6]. Studied

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P. K. Gaur (✉)

Department of Mathematics, JECRC University, Jaipur, Rajasthan 303905, India

e-mail: [pradeep.gaur@jecrcu.edu.in](mailto:pradeep.gaur@jecrcu.edu.in)

A. K. Jha

Department of Mathematics, Motihari College of Engineering, Bihar 845401, India

MHD flows through a channel. The motion of an MHD fluid with heat transfer between two flat plates with a heat source and heat sink has been investigated by Bodosa and Borkakti [7]. Makinde and Mhone [8] investigated MHD flow through a channel by considering a porous medium. In the presence of a heat source, MHD flow with heat transfer was studied by Choudhary and Jha [9]. Attia [10] analyzed heat transfer and MHD steady flow between two plates which are parallel and taking variations in physical variable into account. MHD motion of a fluid through two parallel plates with heat transfer was studied by Mebine [11]. Adesanya [12] and Hassan [13] taking radiation heat generation/absorption into account. Rathore et al. [14] presented a study using homotopy perturbation sumudu transform method for viscous MHD flow on a sheet which is stretching. Gaur et al. [15] analyzed MHD flow of polar fluid bounded by horizontal parallel plates. A study of C6H9NAO7 fluid by using entropy generation through an accelerated heated plate was given by Ahmed et al. [16]. A study for transient MHD Brinkman nanoliquid by using Caputo–Fabrizio fractional derivatives was given by Ali et al. [17]. Hussain et al. [18] presented conservation laws and explicit solution of a spatially Burgers–Huxley two dimensional equation. Sheikh et al. [19] introduce new model of fractional Casson fluid with heat and mass transfer based on generalized Fick’s and Fourier’s laws together. A study for Jeffery–Hamel flow in non-parallel walls by using hybrid computational approach was given by Singh et al. [20]. For the partial differential equations arising in physical sciences an efficient computational scheme for systems of nonlinear time fractional has been given by Dubey et al. [21]. For constant heat source through porous medium with Mittag–Leffler, exponential and power Laws a new fractional exothermic reactions model was given by Kumar et al. [22]. Hanif et al. [23] provide an entropy analysis for motion of a magneto-hybrid nanofluid with heat transfer exaggeration in a vertical cone. Recently Khan et al. [24] provide comparative study by considering two AA7072 and AA7075 and hybridized nanomaterials alloys with activation energy through binary chemical reaction comprising nonlinear MHD radiative motion through a moving thin needle.

## 2 Mathematical Formulation

We consider, electrically conducting, incompressible, viscous, unsteady fluid flow through an inclined channel formed by two parallel plates standing in  $x$ – $y$  direction and making an angle  $\theta$  with the horizontal. The axis of  $x$  is considered along the centre of the channel and the axis of  $y$  perpendicular to it. In the direction of  $y$ -axis, a uniform magnetic field  $B_0$  is considered. The induced magnetic field compared with the applied magnetic field arising from induced current is negligible. The governing equations of the motion by assuming Boussinesq’s approximation are given below:

$$\frac{\partial u'}{\partial t'} = -\frac{1}{\rho} \frac{\partial \rho'}{\partial x'} + \nu \frac{\partial^2 u'}{\partial y^2} - \frac{Ge Pr B_0^2}{\rho} u' + g \sin\theta - \frac{\nu u}{K} \quad (1)$$

$$\frac{\partial T'}{\partial t'} = \frac{k}{\rho C_p} \frac{\partial^2 T}{\partial y'^2} + \frac{\mu}{\rho C_p} \left( \frac{\partial u}{\partial y} \right)^2 - \frac{C_s (T - T_0)}{\rho C_p} \quad (2)$$

And the corresponding boundary conditions are

$$u' = -h_0, \quad T' = T_0, \quad \text{at } y' = -h$$

$$u' = 0, \quad T' = T_1, \quad \text{at } y' = h \quad (3)$$

where  $T$  is temperature of the fluid,  $t$  is the time,  $u$  is the axial velocity,  $g$  is gravitational acceleration,  $C_p$  is the specific heat at constant temperature,  $k$  the thermal conductivity,  $K$  is permeability of the porous medium,  $\rho$  is the density,  $G_e$  conductivity of the fluid,  $B_0$  is the intensity of the magnetic field,  $P$  is the pressure,  $\nu$  is the kinematic viscosity,  $C_s$  is the volumetric heat generation and  $T_0$  is the wall temperature.

Non-dimensional parameters are:

$$x = \frac{x' u_0}{\nu}, \quad y = \frac{y' u_0}{\nu}, \quad t = \frac{t' u_0^2}{\rho}, \quad \rho = \frac{\rho w}{u_0^2}, \quad T = \frac{T' - T_0}{T_w - T_0},$$

$$\text{Re} = \frac{u_0 h}{\nu}, \quad Fr = \frac{h_0^2}{gh}, \quad S^2 = \frac{v^2}{Ku} \quad (4)$$

Now using Eq. (4) in Eqs. (1), (2) and (3), we get dimensionless equations

$$\frac{\partial u}{\partial t} = -\frac{\partial p}{\partial x} + \frac{\partial^2 u}{\partial y^2} (H_a^2 + S^2) u + \frac{\sin \theta}{F_r \text{Re}} \quad (5)$$

$$\frac{\partial T}{\partial t} = \frac{1}{\text{Pr}} \frac{\partial^2 T}{\partial y^2} + Ec \left( \frac{\partial u}{\partial y} \right)^2 - \alpha^2 T \quad (6)$$

where  $H_a^2 = \frac{\sigma B_0^2 \nu}{u_0^2}$  (Magnetic field parameter)

$$Q = \frac{C_s' \nu}{\rho C_p u_0} \quad (\text{Heat source/sink parameter})$$

$$Ec = \frac{u_0^2}{\mu \rho C_p (T_w - T_0)^2} \quad (\text{Eckert number})$$

$u = -1, \quad T = 0, \quad \text{at } y = -1$  The corresponding boundary conditions becomes

$$u = 0, \quad T = 1, \quad \text{at } y = 1 \quad (7)$$

For solving Eqs. (5) and (6) let

$$\frac{\partial P}{\partial x} = ht, \quad u = f(y)e^{-nt}, \quad T = g(y)e^{-nt}$$

Now from Eqs. (5), (6) and (7), we get

$$u = \frac{\sinh a (y - 1)}{\sinh 2a} + \frac{h_0 e^{-nt} + b}{a^2} \left[ 1 - \frac{\cosh ay}{\cosh a} \right] \tag{8}$$

where  $R^2 = H_a^2 + S^2$ ,  $a^2 = R^2 - n$ ,  $b = \frac{\sin \theta}{F_i \text{Re}}$ ,  $h = h_0 e^{-nt}$

$$T = e^{-nt} \left[ \begin{aligned} &(C_1 \cos Ay + C_2 \sin My) + K_1 \cosh 2a (y - 1)e^{2nt} + \\ &K_2 e^{2nt} + K_3 \sinh 2ay - K_4 - K_5 \sinh 2a(y - 1) - K_6 \end{aligned} \right] \tag{9}$$

$$A^2 = (n - Q) \text{Pr},$$

$$K_1 = \frac{a^2}{(2 \sinh^2 2a)(4a^2 + A^2)},$$

$$K_2 = \frac{a^2}{4A^2 \sinh^2 2a},$$

$$K_3 = \frac{(h_0 e^{-nt} + b)}{2a(4a^2 + A^2) \cosh^2 a},$$

$$K_4 = -\frac{(h_0 e^{-nt} + b)^2}{4A^2},$$

$$K_5 = \frac{(h_0 e^{-nt} + b)^2 e^{nt}}{2 \sinh 2a \sinh a},$$

$$K_6 = \frac{(h_0 e^{-nt} + b) \sinh a}{2 \sinh 2a \sinh a}$$

### 3 Results and Discussions

In the preceding section, numerical solution of the velocity profile and temperature profile are obtained and shown in graphs 1–5. In order to obtain physical insight into the problem and establish the influence of different parameters on velocity profile

and temperature profile, numerical calculation are performed and presented graphically. Figure 1 displays the dimensionless velocity distribution for different values of Reynolds number. It is found that the magnitude of velocity is enhanced with the raise of the  $Re$ . This is consistent with the fact that if Reynolds number is small, in the entire flow field, viscosity will be felt down and the viscous force will be predominant. Figure 2 shows the effect of permeability parameter ( $S$ ) on the velocity distribution. It is noted that thickness of the boundary layer increases as  $S$  increases. Figure 3 depict the effect of transverse magnetic field on velocity. We observe that, for the increasing value of magnetic field parameter  $Ha$  the velocity distribution decreases.

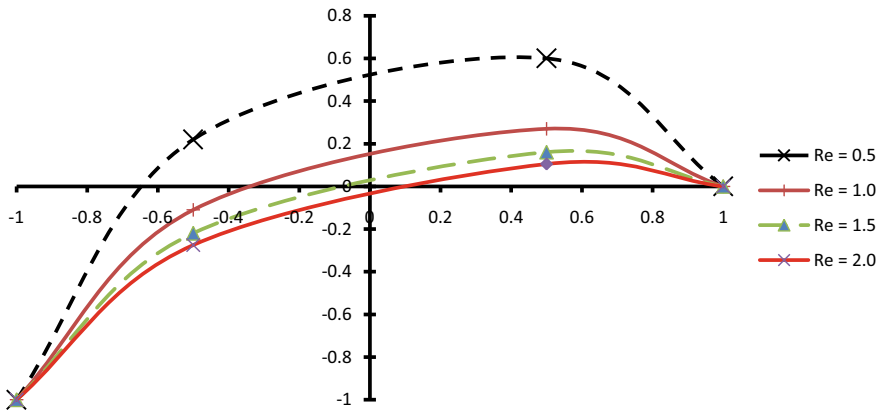


Fig. 1 Effect of  $Re$  on velocity

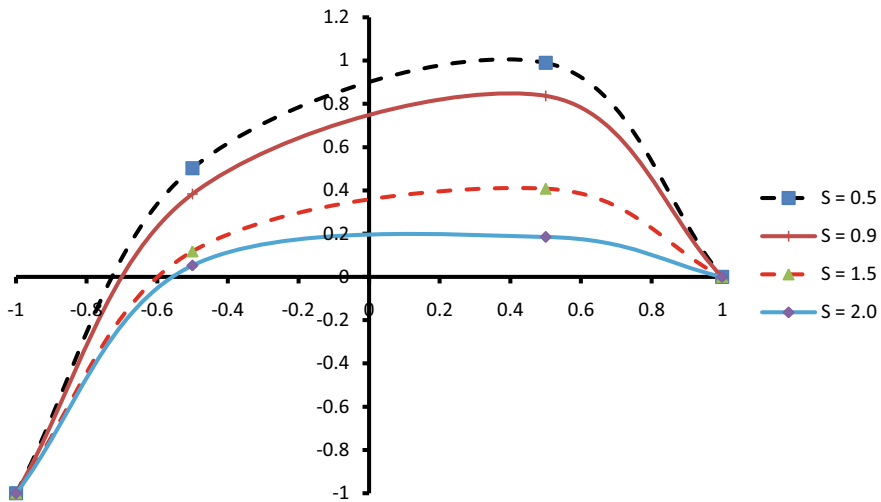


Fig. 2 Effect of  $S$  on velocity

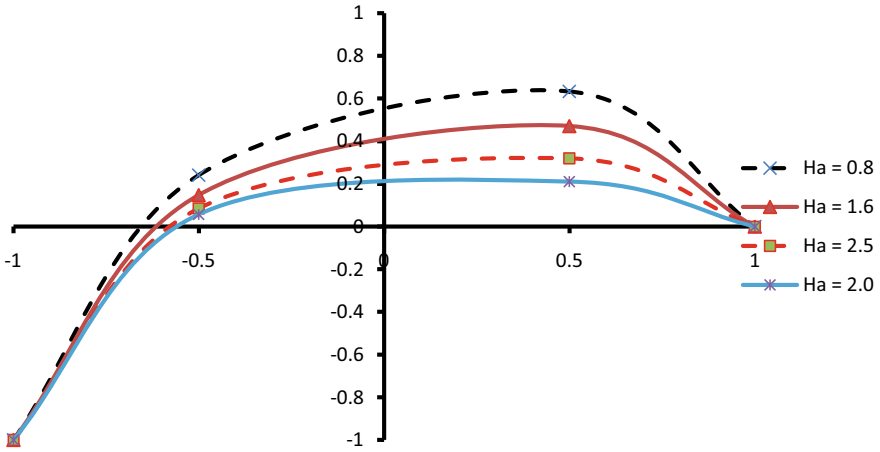


Fig. 3 Effect of Ha on velocity

That temperature plummets as the value of Prandtl number increases, (shown in Fig. 4) because the thickness of thermal boundary layer decreases as the value of Prandtl number increases. Figure 5 is for knowing the effects of heat absorption and heat generation parameter ( $Q$ ) on heat transfer. We observe that temperature increases for the increasing strength of heat source ( $Q > 0$ ) while reverse effect is noted for heat sink ( $Q < 0$ ). Thus width of the thermal boundary layer enlarges corresponding to heat generation parameter but thickness reduces for increasing heat absorption.

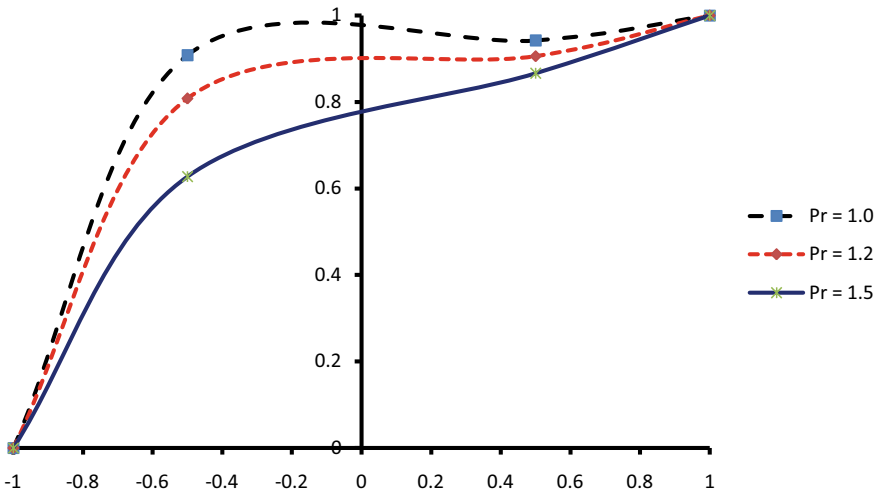


Fig. 4 Effect of Pr on temperature

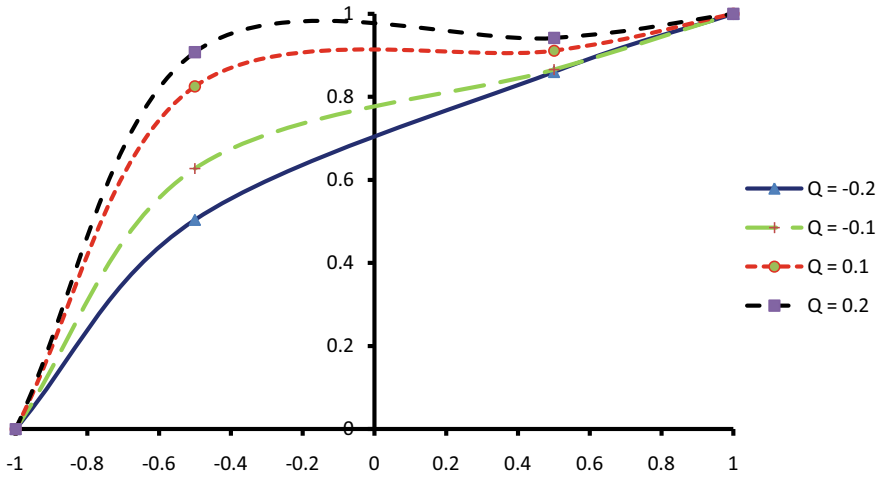


Fig. 5 Effect of  $Q$  on temperature

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# Volterra Equation with Constant Fractional Order and Variable Order Fractal Dimension



Abdon Atangana and Anum Shafiq

**Abstract** Very recently, Atangana and Anum suggested a class of differential and integral operators with a constant fractional order and variable order fractal dimension. The idea was the extension of the concept of fractal-fractional differential and integral operators that was also suggested and applied in 2016. In this chapter, we consider a general Volterra equation with the new differential and integral operators. We present the condition under which the uniqueness and existence of the exact solutions can be obtained for three cases including power law, exponential decay law and the generalized Mittag-Leffler function. For each case, we present a numerical solution and error analysis. We present some illustrative examples.

**Keywords** Fractal variable order · Fractional order · New Volterra equation · Numerical analysis

## 1 Introduction

Very recently, Atangana and Anum introduced a new class of differential and integral operators. These operators have two orders, a variable fractional order and variable fractal dimension [1]. The new operators are superior to the existing one as when the variable order and fractal dimension are constant, we recover the concept of fractal fractional differentiation and integration. When the variable order is reduced to zero and the variable fractal dimension is constant, we recover the so-called fractal

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A. Shafiq (✉)

School of Mathematics and Statistics, Nanjing University of Information Science and Technology, Nanjing 210044, China  
e-mail: [anumshafiq@ymail.com](mailto:anumshafiq@ymail.com)

A. Atangana

Faculty of Natural and Agricultural Science, Institute for Groundwater Studied, University of Free State, Bloemfontein 9300, South Africa

Department of Medical Research, China Medical University Hospital, China Medical University, Taichung, Taiwan

operators. However, if the fractal dimension is reduced to zero, then the operators provided the well-known variable fractional orders that are mainly used for modeling anomalous diffusion. If finally both are 1, we recover the classical differential and integral operators. These new operators have been applied recently in a few problems and amazing results were obtained [1]. The aim of these new differential and integral operators was to depict more complex problems faced by humankind in their daily activities. The theory and application of such are still in their genesis and more needs to be done. In this paper, we introduce a different approach that consists of combining a chaotic attractor and a fractal mapping. However before this, we provide very important fundamental theoretical results associated with these new operators. We present numerical approximation of both integral and differential operators [2–7].

## 2 Fundamental Properties

In this section, we present some important properties of the new operators. We start with the power law case. Let  $(\alpha, \lambda) \in (0, 1)$

$$\begin{aligned}
 {}_0^{FFP}D_t^{\alpha,\beta(t)} \left[ {}_0^{FFP}D_t^{\lambda,\beta(t)} f(t) \right] &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt^{\beta(t)}} \int_0^t (t-\tau)^{-\alpha} f(\tau) {}_0^{FFP}D_t^{\alpha,\beta(t)} d\tau, \\
 &= \frac{d}{dt} \frac{1}{\Gamma(1-\alpha)} \int_0^t (t-\tau)^{-\alpha} f(\tau) {}_0^{FFP}D_t^{\alpha,\beta(t)} d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \frac{1}{\Gamma(1-\alpha)} \int_0^t (t-\tau)^{-\alpha} \frac{1}{\Gamma(1-\lambda)} \frac{d}{d\tau} \int_0^\tau (\tau-s)^{-\lambda} f(s) ds \\
 &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \tag{1}
 \end{aligned}$$

$${}_0^{FFP}D_t^{\alpha+\lambda,\beta(t)} f(t) = \frac{1}{\Gamma(1-\alpha-\lambda)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha-\lambda} f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \tag{2}$$

$$\begin{aligned}
 {}_0^{FFP}D_t^{\lambda,\beta(t)} \left[ {}_0^{FFP}D_t^{\alpha,\beta(t)} f(t) \right] &= \frac{d}{dt} \frac{1}{\Gamma(1-\lambda)} \int_0^t (t-\tau)^{-\lambda} f(\tau) {}_0^{FFP}D_t^{\alpha,\beta(t)} d\tau, \\
 &= \frac{d}{dt} \frac{1}{\Gamma(1-\lambda)} \int_0^t (t-\tau)^{-\lambda} f(\tau) {}_0^{FFP}D_t^{\alpha,\beta(t)} d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \frac{1}{\Gamma(1-\lambda)} \int_0^t (t-\tau)^{-\lambda} \frac{1}{\Gamma(1-\alpha)} \frac{d}{d\tau} \int_0^\tau (\tau-s)^{-\alpha} f(s) ds \\
 &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}. \tag{3}
 \end{aligned}$$

This shows that

$${}_0^{FFP}D_t^{\alpha,\beta(t)} \left[ {}_0^{FFP}D_t^{\lambda,\beta(t)} f(t) \right] + {}_0^{FFP}D_t^{\lambda,\beta(t)} \left[ {}_0^{FFP}D_t^{\alpha,\beta(t)} f(t) \right] \neq {}_0^{FFP}D_t^{\alpha+\lambda,\beta(t)} f(t); \tag{4}$$

if  $\alpha = 0$ , then we have

$${}^{FFP}D_t^{0,\beta(t)} f(t) = f(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}; \tag{5}$$

if  $\beta(t) = 0$ , then we have

$${}^{FFP}D_t^{\alpha,0} f(t) = \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau = {}^R D_t^\alpha f(t). \tag{6}$$

If  $\alpha = \beta(t) = 0$ , we have

$${}^{FFP}D_t^{0,0} f(t) = f(t). \tag{7}$$

If  $\alpha = 1$  and  $\beta(t)$  a continuous function, we have the following if  $f(t)$  is differentiable:

$${}^{FFP}D_t^{1,\beta(t)} f(t) = f'(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} = \frac{d}{dt^{\beta(t)}} f(t). \tag{8}$$

**Proof**

$$\begin{aligned} \lim_{\alpha \rightarrow 1} {}^{FFP}D_t^{\alpha,\beta(t)} f(t) &= \lim_{\alpha \rightarrow 1} \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt^{\beta(t)}} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau, \\ &= \lim_{\alpha \rightarrow 1} \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\ &= \frac{d}{dt} f(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\ &= \frac{d}{dt^{\beta(t)}} f(t). \end{aligned} \tag{9}$$

Let  $\beta_1(t)$  and  $\beta_2(t)$  be two different positive and differentiable functions, then

$$\begin{aligned} {}^{FFP}D_t^{\alpha,\beta_1(t)+\beta_2(t)} f(t) &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt^{\beta_1(t)+\beta_2(t)}} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau, \\ &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau \frac{t^{-\beta_1(t)+\beta_2(t)}}{(\beta_1(t) + \beta_2(t))' \ln t + \frac{\beta_1(t)+\beta_2(t)}{t}}, \end{aligned} \tag{10}$$

$$\begin{aligned}
 {}_0^{FFP}D_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFP}D_t^{\alpha, \beta_2(t)} f(t) \right] &= \frac{d}{dt^{\beta_1(t)}} \int_0^t \frac{1}{\Gamma(1-\alpha)} (t-\tau)^{-\alpha} f(\tau) {}_0^{FFP}D_t^{\alpha, \beta_2(t)} d\tau, \\
 &= \frac{1}{\Gamma(1-\alpha)} \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} \frac{d}{d\tau} \int_0^\tau (\tau-s)^{-\alpha} f(s) ds \\
 &\quad \frac{\tau^{-\beta_2(\tau)}}{\beta_2'(\tau) \ln \tau + \frac{\beta_2(\tau)}{\tau}} d\tau \frac{t^{-\beta_1(t)}}{\beta_1'(t) \ln t + \frac{\beta_1(t)}{t}}, \tag{11}
 \end{aligned}$$

$$\begin{aligned}
 {}_0^{FFP}D_t^{\alpha, \beta_2(t)} \left[ {}_0^{FFP}D_t^{\alpha, \beta_1(t)} f(t) \right] &= \frac{d}{dt^{\beta_2(t)}} \int_0^t \frac{1}{\Gamma(1-\alpha)} (t-\tau)^{-\alpha} f(\tau) {}_0^{FFP}D_t^{\alpha, \beta_1(t)} d\tau, \\
 &= \frac{1}{\Gamma(1-\alpha)} \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} \frac{d}{d\tau} \int_0^\tau (\tau-s)^{-\alpha} f(s) ds \\
 &\quad \frac{\tau^{-\beta_1(\tau)}}{\beta_1'(\tau) \ln \tau + \frac{\beta_1(\tau)}{\tau}} d\tau \frac{t^{-\beta_2(t)}}{\beta_2'(t) \ln t + \frac{\beta_2(t)}{t}}. \tag{12}
 \end{aligned}$$

The above proof shows that the following relations are not satisfied:

$${}_0^{FFP}D_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFP}D_t^{\alpha, \beta_2(t)} f(t) \right] \neq {}_0^{FFP}D_t^{\alpha, \beta_1(t) + \beta_2(t)} f(t), \tag{13}$$

and

$${}_0^{FFP}D_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFP}D_t^{\alpha, \beta_2(t)} f(t) \right] \neq {}_0^{FFP}D_t^{\alpha, \beta_2(t)} \left[ {}_0^{FFP}D_t^{\alpha, \beta_1(t)} f(t) \right]. \tag{14}$$

We next verify if the product rule of the classical derivative can be obtained or not for this new differential operator. To do this, we let  $f$  and  $g$  be two differentiable functions, and  $\beta(t)$  be differentiable functions, then

$$\begin{aligned}
 {}_0^{FFP}D_t^{\alpha, \beta(t)} [f(t) g(t)] &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt^{\beta(t)}} \int_0^t (t-\tau)^{-\alpha} (f \cdot g)(\tau) d\tau, \\
 &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} (f \cdot g)(\tau) \\
 &\quad d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \tag{15}
 \end{aligned}$$

using the Leibniz rule for fractional differentiation, we have

$$\begin{aligned}
 {}_0^{FFP}D_t^{\alpha, \beta(t)} [f(t) g(t)] &= \sum_{k=0}^m \binom{\alpha}{k} f^k(t) {}_0^{FFP}D_t^{\alpha-k} g(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \sum_{k=0}^m \binom{\alpha}{k} f^k(t) {}_0^{FFP}D_t^{\alpha-k, \beta(t)} g(t). \tag{16}
 \end{aligned}$$

Therefore

$$\begin{aligned}
 {}_0^{FFP} D_t^{\alpha, \beta(t)} [f(t) \cdot g(t)] &= \sum_{k=0}^m \binom{\alpha}{k} f^k(t) {}_0^{FFP} D_t^{\alpha-k, \beta(t)} g(t), \\
 &= \sum_{k=0}^m \binom{\alpha}{k} g^k(t) {}_0^{FFP} D_t^{\alpha-k, \beta(t)} f(t). \tag{17}
 \end{aligned}$$

Let  $\alpha, \lambda \in (0, 1]$ , and also suppose  $\beta(t)$  be a differentiable function, then

$$\begin{aligned}
 {}_0^{FFP} J_t^{\alpha, \beta(t)} \left[ {}_0^{FFP} J_t^{\lambda, \beta(t)} f(t) \right] &= \frac{1}{\Gamma(\alpha)} \int_0^t (t-\tau)^{\alpha-1} f(\tau) {}_0^{FFP} J_\tau^{\lambda, \beta(\tau)} \\
 &\quad \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \frac{1}{\Gamma(\lambda)} \int_0^t (t-\tau)^{\alpha-1} \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) \\
 &\quad \int_0^\tau (\tau-s)^{\lambda-1} s^{\beta(s)} \left( \beta'(s) \ln s + \frac{\beta(s)}{s} \right) \\
 &\quad f(s) ds d\tau, \tag{18}
 \end{aligned}$$

while

$$\begin{aligned}
 {}_0^{FFP} J_t^{\lambda, \beta(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) \right] &= \frac{1}{\Gamma(\alpha)} \int_0^t (t-\tau)^{\lambda-1} f(\tau) {}_0^{FFP} J_\tau^{\alpha, \beta(\tau)} \\
 &\quad \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \frac{1}{\Gamma(\lambda)} \int_0^t (t-\tau)^{\lambda-1} \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) \\
 &\quad \int_0^\tau (\tau-s)^{\alpha-1} s^{\beta(s)} \left( \beta'(s) \ln s + \frac{\beta(s)}{s} \right) \\
 &\quad f(s) ds d\tau. \tag{19}
 \end{aligned}$$

But

$${}_0^{FFP} J_t^{\alpha+\lambda, \beta(t)} f(t) = \frac{1}{\Gamma(\alpha+\lambda)} \int_0^t (t-\tau)^{\alpha+\lambda-1} f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau. \tag{20}$$

This shows that

$${}_0^{FFP} J_t^{\alpha, \beta(t)} \left[ {}_0^{FFP} J_t^{\lambda, \beta(t)} f(t) \right] \neq {}_0^{FFP} J_t^{\lambda, \beta(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) \right] \neq {}_0^{FFP} J_t^{\alpha+\lambda, \beta(t)} f(t). \tag{21}$$

Let  $\beta_1(t)$  and  $\beta_2(t)$  be two differentiable functions, let  $\alpha \in (0, 1]$  and let  $f$  be continuous, then

$$\begin{aligned}
 {}_0^{FFP} J_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta_2(t)} f(t) \right] &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) {}_0^{FFP} J_\tau^{\alpha, \beta_2(\tau)} \\
 &\quad \tau^{\beta_1(\tau)} \left( \beta_1'(\tau) \ln \tau + \frac{\beta_1(\tau)}{\tau} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} \tau^{\beta_1(\tau)} \left( \beta_1'(\tau) \ln \tau + \frac{\beta_1(\tau)}{\tau} \right) \\
 &\quad \int_0^\tau (\tau - s)^{\alpha-1} s^{\beta_2(s)} \left( \beta_2'(s) \ln s + \frac{\beta_2(s)}{s} \right) f(s) ds d\tau \tag{22}
 \end{aligned}$$

while

$$\begin{aligned}
 {}_0^{FFP} J_t^{\alpha, \beta_2(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta_1(t)} f(t) \right] &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) {}_0^{FFP} J_\tau^{\alpha, \beta_1(\tau)} \\
 &\quad \tau^{\beta_2(\tau)} \left( \beta_2'(\tau) \ln \tau + \frac{\beta_2(\tau)}{\tau} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} \tau^{\beta_2(\tau)} \left( \beta_2'(\tau) \ln \tau + \frac{\beta_2(\tau)}{\tau} \right) \\
 &\quad \int_0^\tau (\tau - s)^{\alpha-1} s^{\beta_1(s)} \left( \beta_1'(s) \ln s + \frac{\beta_1(s)}{s} \right) \\
 &\quad f(s) ds d\tau. \tag{23}
 \end{aligned}$$

Also

$$\begin{aligned}
 {}_0^{FFP} J_t^{\alpha, \beta_1(t)+\beta_2(t)} f(t) &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \tau^{\beta_1(\tau)+\beta_2(\tau)} \\
 &\quad \left( (\beta_1'(\tau) + \beta_2'(\tau)) \ln \tau + \frac{\beta_1(\tau) + \beta_2(\tau)}{\tau} \right) d\tau. \tag{24}
 \end{aligned}$$

This shows that

$${}_0^{FFP} J_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta_2(t)} f(t) \right] \neq {}_0^{FFP} J_t^{\alpha, \beta_2(t)} \left[ {}_0^{FFP} J_t^{\alpha, \beta_1(t)} f(t) \right] \neq {}_0^{FFP} J_t^{\alpha, \beta_1(t)+\beta_2(t)} f(t). \tag{25}$$

If  $\alpha \rightarrow 1$ , we have the following:

$$\begin{aligned}
 \lim_{\alpha \rightarrow 1} {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) &= \lim_{\alpha \rightarrow 1} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \int_0^t f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \int_0^t f(\tau) \frac{d}{d\tau} \ln \tau^{\beta(\tau)} d\tau. \tag{26}
 \end{aligned}$$

If  $\alpha \rightarrow 0$ , we have the following:

$$\begin{aligned}
 \lim_{\alpha \rightarrow 0} {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) &= \lim_{\alpha \rightarrow 0} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \lim_{\alpha \rightarrow 0} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} F(\tau) d\tau, \\
 &= \int_0^t f(t - \tau) F(\tau) d\tau, \\
 &= F(t), \\
 &= f(t) t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right). \tag{27}
 \end{aligned}$$

If  $\beta(t) \rightarrow 1$ , then we have the following:

$$\begin{aligned}
 \lim_{\beta(t) \rightarrow 1} {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) &= \lim_{\beta(t) \rightarrow 1} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \lim_{\beta(t) \rightarrow 1} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \frac{d}{d\tau} \left( \ln \tau^{\beta(\tau)} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \left( \lim_{\beta(t) \rightarrow 1} \frac{d}{d\tau} \ln \tau^{\beta(\tau)} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) d\tau. \tag{28}
 \end{aligned}$$

If  $\beta(t) \rightarrow 0$ , then we have the following:

$$\begin{aligned}
 \lim_{\beta(t) \rightarrow 0} {}_0^{FFP} J_t^{\alpha, \beta(t)} f(t) &= \lim_{\beta(t) \rightarrow 0} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) d\tau, \\
 &= \lim_{\beta(t) \rightarrow 0} \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \frac{d}{d\tau} \left( \ln \tau^{\beta(\tau)} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) \left( \lim_{\beta(t) \rightarrow 0} \frac{d}{d\tau} \ln \tau^{\beta(\tau)} \right) d\tau, \\
 &= \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) 0 d\tau, \\
 &= 0. \tag{29}
 \end{aligned}$$

Therefore,  $\beta(t)$  must be greater than zero.

Here, we consider a function which is analytical around a given constant  $c$ .

Thus, if  $f(t)$  is analytical around the constant  $c$ , by definition, we have the following when using the new differential operator for power law case:

$$\begin{aligned}
 {}_0^{FFP} D_t^{\alpha, \beta(t)} f(t) &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-\tau)^{-\alpha} \sum_{k=0}^{\infty} a_k (\tau-c)^r d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{1}{\Gamma(1-\alpha)} \sum_{k=0}^{\infty} a_k \int_0^t (t-\tau)^{-\alpha} (\tau-c)^r d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \sum_{k=0}^{\infty} a_k {}_0^{RL} D_t^{\alpha} (\tau-c)^r \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}. \tag{30}
 \end{aligned}$$

However

$${}_0^{RL} D_t^{\alpha} (\tau-c)^j = \frac{\Gamma(j+1)}{\Gamma(j-\alpha+1)} (t-c)^{j-\alpha}. \tag{31}$$

Thus,

$${}_0^{FFP} D_t^{\alpha, \beta(t)} f(t) = \sum_{k=0}^{\infty} a_k \frac{\Gamma(j+1)}{\Gamma(j-\alpha+1)} (t-c)^{j-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}. \tag{32}$$

### 2.1 Properties for the Case of Exponential Decay

We now present the same proposition for the case of exponential decay law. Let  $(\alpha, \lambda) \in (0, 1]$ , and let  $\beta(t)$  be a positive differentiable function, thus

$$\begin{aligned}
 {}_0^{FFE} D_t^{\alpha+\lambda, \beta(t)} f(t) &= \frac{M(\alpha+\beta)}{1-(\alpha+\beta)} \frac{d}{dt^{\beta(t)}} \int_0^t \exp\left[-\frac{(\alpha+\beta)(t-\tau)}{1-(\alpha+\beta)}\right] f(\tau) d\tau \\
 &= \frac{M(\alpha+\beta)}{1-(\alpha+\beta)} \frac{d}{dt} \int_0^t \exp\left[-\frac{(\alpha+\beta)(t-\tau)}{1-(\alpha+\beta)}\right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \tag{33}
 \end{aligned}$$

while

$$\begin{aligned}
 {}_0^{FFE} D_t^{\alpha, \beta(t)} \left[ {}_0^{FFE} D_t^{\lambda, \beta(t)} f(t) \right] &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt^{\beta(t)}} \int_0^t f(\tau) \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] {}_0^{FFE} D_{\tau}^{\lambda, \beta(\tau)} d\tau, \\
 &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] {}_0^{FFE} D_{\tau}^{\lambda, \beta(\tau)} d\tau \\
 &\quad \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{M(\alpha)}{1-\alpha} \frac{M(\lambda)}{1-\lambda} \frac{d}{dt} \int_0^t \frac{d}{d\tau} \int_0^{\tau} f(s) \exp\left[-\frac{\lambda(\tau-s)}{1-\lambda}\right] ds \\
 &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \frac{d\tau}{t^{-\beta(t)}} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}. \tag{34}
 \end{aligned}$$



And finally

$$\begin{aligned}
 {}_0^{FFE} D_t^{\lambda, \beta(t)} \left[ {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) \right] &= \frac{M(\alpha) M(\lambda)}{1-\alpha} \frac{d}{dt} \int_0^t \frac{d}{d\tau} \int_0^\tau f(s) \exp \left[ -\frac{\alpha(\tau-s)}{1-\alpha} \right] ds \\
 &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}.
 \end{aligned} \tag{35}$$

This shows that

$${}_0^{FFE} D_t^{\alpha, \beta(t)} \left[ {}_0^{FFE} D_t^{\lambda, \beta(t)} f(t) \right] \neq {}_0^{FFE} D_t^{\lambda, \beta(t)} \left[ {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) \right] \neq {}_0^{FFE} D_t^{\alpha+\lambda, \beta(t)} f(t). \tag{36}$$

Also, we take  $\alpha \in (0, 1]$  and  $\beta_1(t), \beta_2(t)$ , two positively smooth functions.

$$\begin{aligned}
 {}_0^{FFE} D_t^{\lambda, \beta_1(t)+\beta_2(t)} f(t) &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) \exp \left[ -\frac{\alpha(t-\tau)}{1-\alpha} \right] d\tau \\
 &\quad \frac{t^{-\beta_1(t)+\beta_2(t)}}{(\beta'_1(t) + \beta'_2(t)) \ln t + \frac{\beta_1(t)+\beta_2(t)}{t}},
 \end{aligned} \tag{37}$$

while

$$\begin{aligned}
 {}_0^{FFE} D_t^{\alpha, \beta_1(t)} \left[ {}_0^{FFE} D_t^{\alpha, \beta_2(t)} f(t) \right] &= \left( \frac{M(\alpha)}{1-\alpha} \right)^2 \frac{d}{dt} \int_0^t \frac{d}{d\tau} \int_0^\tau f(s) \exp \left[ -\frac{\alpha(\tau-s)}{1-\alpha} \right] ds \\
 &\quad \frac{\tau^{-\beta_2(\tau)}}{\beta'_2(\tau) \ln \tau + \frac{\beta_2(\tau)}{\tau}} d\tau \frac{t^{-\beta_1(t)}}{\beta'_1(t) \ln t + \frac{\beta_1(t)}{t}}.
 \end{aligned} \tag{38}$$

Finally

$$\begin{aligned}
 {}_0^{FFE} D_t^{\alpha, \beta_2(t)} \left[ {}_0^{FFE} D_t^{\alpha, \beta_1(t)} f(t) \right] &= \left( \frac{M(\alpha)}{1-\alpha} \right)^2 \frac{d}{dt} \int_0^t \frac{d}{d\tau} \int_0^\tau f(s) \exp \left[ -\frac{\alpha(\tau-s)}{1-\alpha} \right] ds \\
 &\quad \frac{\tau^{-\beta_1(\tau)}}{\beta'_1(\tau) \ln \tau + \frac{\beta_1(\tau)}{\tau}} d\tau \frac{t^{-\beta_2(t)}}{\beta'_2(t) \ln t + \frac{\beta_2(t)}{t}}.
 \end{aligned} \tag{39}$$

Case 1 : If  $\alpha \rightarrow 0$ , we have the following:

$$\begin{aligned}
 \lim_{\alpha \rightarrow 0} {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) &= \lim_{\alpha \rightarrow 0} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp \left[ -\frac{\alpha(t-\tau)}{1-\alpha} \right] f(\tau) d\tau, \\
 &= \lim_{\alpha \rightarrow 0} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp \left[ -\frac{\alpha(t-\tau)}{1-\alpha} \right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \int_0^t \lim_{\alpha \rightarrow 0} \frac{M(\alpha)}{1-\alpha} \exp \left[ -\frac{\alpha(t-\tau)}{1-\alpha} \right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \int_0^t f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= f(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}.
 \end{aligned} \tag{40}$$

Case 2 : If  $\alpha \rightarrow 1$ , we have the following:

$$\begin{aligned}
 \lim_{\alpha \rightarrow 1} {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) &= \lim_{\alpha \rightarrow 1} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau, \\
 &= \lim_{\alpha \rightarrow 1} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \int_0^t \lim_{\alpha \rightarrow 1} \frac{M(\alpha)}{1-\alpha} \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \int_0^t \delta(t-\tau) f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= f'(t) \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}.
 \end{aligned} \tag{41}$$

Case 3 : If  $\beta(t) \rightarrow 1$ , we have the following:

$$\begin{aligned}
 \lim_{\beta(t) \rightarrow 1} {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) &= \lim_{\beta(t) \rightarrow 1} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau, \\
 &= \lim_{\beta(t) \rightarrow 1} \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{d}{dt} \int_0^t \frac{M(\alpha)}{1-\alpha} \exp\left[-\frac{\alpha(t-\tau)}{1-\alpha}\right] f(\tau) d\tau \lim_{\beta(t) \rightarrow 1} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= {}_0^{CFR} D_0^\alpha f(t).
 \end{aligned} \tag{42}$$

### 3 Existence and Uniqueness

In this section, we present the well-posedness of the newly defined differential and integral operators. Other important properties from the classical differentiation and integration will also be presented. We start our investigation by presenting the case where the function under consideration is analytical around a given constant  $c$ .

$$\begin{aligned}
 {}_0^{FFP} D_t^{\alpha, \beta(t)} f(t) &= \frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) E_\alpha\left[-\frac{\alpha}{1-\alpha}(t-\tau)^\alpha\right] d\tau, \\
 &= \frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) E_\alpha\left[-\frac{\alpha}{1-\alpha}(t-\tau)^\alpha\right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \sum_{j=0}^\infty a_j (\tau-c)^j E_\alpha\left[-\frac{\alpha}{1-\alpha}(t-\tau)^\alpha\right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\
 &= \frac{AB(\alpha)}{1-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} \frac{d}{dt} \int_0^t \sum_{j=0}^\infty a_j (\tau-c)^j \sum_{k=0}^\infty \left(-\frac{\alpha}{1-\alpha}\right)^k \frac{(t-\tau)^{\alpha k}}{\Gamma(\alpha k + 1)} d\tau, \\
 &= \frac{AB(\alpha)}{1-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} \frac{d}{dt} \sum_{j=0}^\infty a_j \sum_{k=0}^\infty \frac{\left(-\frac{\alpha}{1-\alpha}\right)^k}{\Gamma(\alpha k + 1)} \int_0^t (\tau-c)^j (t-\tau)^{\alpha k} d\tau.
 \end{aligned}$$

Thus, under the condition  $\text{Re}(c) < 0$ , then

$${}^0_{FFP}D_t^{\alpha,\beta(t)} f(t) = \frac{AB(\alpha)}{1-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} \frac{d}{dt} \sum_{j=0}^{\infty} a_j \sum_{k=0}^{\infty} \frac{\left(-\frac{\alpha}{1-\alpha}\right)^k}{\Gamma(\alpha k + 1)} \frac{(-c)^j t^{1+\alpha k}}{1+\alpha k}. \tag{43}$$

Finally for the case of exponential kernel, we have

$$\begin{aligned} {}^0_{FFE}D_t^{\alpha,\beta(t)} f(t) &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) \exp\left[-\frac{\alpha}{1-\alpha}(t-\tau)\right] d\tau, \\ &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t f(\tau) \exp\left[-\frac{\alpha}{1-\alpha}(t-\tau)\right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\ &= \frac{M(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t \sum_{j=0}^{\infty} a_j (\tau-c)^j \sum_{k=0}^{\infty} \left(-\frac{\alpha}{1-\alpha}\right)^k \frac{1}{k!} (t-\tau)^k d\tau \\ &\quad \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}}, \\ &= \frac{M(\alpha)}{1-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} \frac{d}{dt} \sum_{j=0}^{\infty} a_j \sum_{k=0}^{\infty} \left(-\frac{\alpha}{1-\alpha}\right)^k \frac{1}{k!} \\ &\quad \int_0^t (\tau-c)^j (t-\tau)^k d\tau. \end{aligned} \tag{44}$$

Also when  $\text{Re}(c) < 0$ , then

$${}^0_{FFE}D_t^{\alpha,\beta(t)} f(t) = \frac{M(\alpha)}{1-\alpha} \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} \frac{d}{dt} \sum_{j=0}^{\infty} a_j \sum_{k=0}^{\infty} \frac{\left(-\frac{\alpha}{1-\alpha}\right)^k}{k!} \frac{(-c)^j t^{1+k}}{1+k} \text{Hypergeometric } {}_2F_1\left[1, -j, 2+k, \frac{t}{2}\right]. \tag{45}$$

On the other hand, if we consider the invariant to be inside the integral, we start with the case of power law

$$\begin{aligned} {}^0_{FFP}D_t^{\alpha,\beta(t)} f(t) &= \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{d}{d\tau^{\beta(\tau)}} f(\tau) (t-\tau)^\alpha d\tau, \\ &= \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{d}{d\tau} f(\tau) (t-\tau)^\alpha \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau; \end{aligned} \tag{46}$$

if the function is differentiable, then we test the condition of existence of such differential operator.

**Theorem 1** Let  $f$  be differentiable such that  $\beta(t)$  is differentiable and

$$\sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| < M, \tag{47}$$

then

$$\left| {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) \right| < \frac{M(\alpha)}{\alpha} \left\| \frac{df}{dt} \right\|_{\infty} M. \tag{48}$$

**Proof** Let  $f$  be differentiable, then

$$\begin{aligned} \left| {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) \right| &= \left| \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau^{\beta(\tau)}} f(\tau) \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau \right|, \\ &= \left| \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} f(\tau) \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \right|, \\ &\leq \frac{M(\alpha)}{1-\alpha} \int_0^t \left| \frac{d}{d\tau} f(\tau) \right| \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} \int_0^t \sup_{\tau \in [0,t]} \left| \frac{d}{d\tau} f(\tau) \right| \sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \\ &\quad \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} \left\| \frac{d}{d\tau} f \right\|_{\infty} M \int_0^t \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} \left\| \frac{d}{d\tau} f \right\|_{\infty} M \frac{1-\alpha}{\alpha} \left[ 1 - \exp \left[ -\frac{\alpha}{1-\alpha} t \right] \right], \\ &< \frac{M(\alpha)}{\alpha} \left\| \frac{d}{d\tau} f \right\|_{\infty} M. \end{aligned} \tag{49}$$

This completes the proof.

**Theorem 2** Let  $f$  and  $g$  be differentiable such that

$$\left\| \frac{d}{dt} f - \frac{d}{dt} g \right\|_{\infty} < K \|f - g\|_{\infty}, \tag{50}$$

where  $K$  is the Lipschitz constant, then if in addition  $\beta(t)$  is differentiable with

$$\sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| < M, \tag{51}$$

then

$$\left| {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) - {}_0^{FFE} D_t^{\alpha, \beta(t)} g(t) \right| = \frac{M(\alpha)}{1-\alpha} \left| \int_0^t \frac{d}{d\tau} [f(\tau) - g(\tau)] \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \right|. \quad (52)$$

**Proof**

$${}_0^{FFE} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) = \frac{M(\alpha)}{1-\alpha} \int_0^t \left[ \frac{d}{d\tau} f(\tau) g(\tau) + \frac{d}{d\tau} g(\tau) f(\tau) \right] \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \quad (53)$$

$$\begin{aligned} {}_0^{FFE} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) &= \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} f(\tau) g(\tau) \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau \\ &\quad + \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} g(\tau) f(\tau) \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &\leq \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} f(\tau) |g(\tau)| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau \\ &\quad + \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} g(\tau) |f(\tau)| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} f(\tau) \sup_{\tau \in [0, t]} |g(\tau)| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau \\ &\quad + \frac{M(\alpha)}{1-\alpha} \int_0^t \frac{d}{d\tau} g(\tau) \sup_{\tau \in [0, t]} |f(\tau)| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \|g\|_\infty {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) + \|f\|_\infty {}_0^{FFE} D_t^{\alpha, \beta(t)} g(t), \\ &< a_1 {}_0^{FFE} D_t^{\alpha, \beta(t)} f(t) + a_2 {}_0^{FFE} D_t^{\alpha, \beta(t)} g(t), \end{aligned} \quad (54)$$

$$\begin{aligned} {}_0^{FFE} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) &\leq \frac{M(\alpha)}{1-\alpha} \int_0^t \left| \frac{d}{d\tau} f(\tau) g(\tau) \right| \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \\ &\quad \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} \int_0^t \sup_{\tau \in [0, t]} \left| \frac{d}{d\tau} f(\tau) g(\tau) \right| \sup_{\tau \in [0, t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \\ &\quad \exp \left[ -\frac{\alpha}{1-\alpha} (t-\tau) \right] d\tau, \\ &< \frac{M(\alpha)}{1-\alpha} M \left\| \frac{d}{dt} f(t) - \frac{d}{dt} g(t) \right\|_\infty \frac{1-\alpha}{\alpha} \left[ 1 - \exp \left[ -\frac{\alpha}{1-\alpha} t \right] \right], \\ &< \frac{M(\alpha)}{1-\alpha} MK \|f - g\|_\infty \left( 1 - \exp \left[ -\frac{\alpha}{1-\alpha} t \right] \right), \\ &< \frac{M(\alpha)}{1-\alpha} MK \|g - f\|_\infty, \\ &< L \|g - f\|_\infty. \end{aligned} \quad (55)$$

Under the same conditions, we verify that  $\|g\|_\infty, \|f\|_\infty < a_1, a_2$

$${}^{\text{FFE}}_0 D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) < a_1 {}^{\text{FFE}}_0 D_t^{\alpha, \beta(t)} f(t) + a_2 {}^{\text{FFE}}_0 D_t^{\alpha, \beta(t)} g(t). \tag{56}$$

Now

$$\begin{aligned} \left| {}^{\text{FFP}}_0 D_t^{\alpha, \beta(t)} f(t) \right| &= \frac{1}{\Gamma(1-\alpha)} \left| \int_0^t \frac{d}{d\tau^{\beta(\tau)}} f(\tau) (t-\tau)^{-\alpha} d\tau \right|, \\ &= \frac{1}{\Gamma(1-\alpha)} \left| \int_0^t \frac{d}{d\tau} f(\tau) (t-\tau)^{-\alpha} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \right|, \\ &\leq \frac{1}{\Gamma(1-\alpha)} \int_0^t \left| \frac{d}{d\tau} f(\tau) \right| \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| (t-\tau)^{-\alpha} d\tau, \\ &< \frac{1}{\Gamma(1-\alpha)} \int_0^t \sup_{\tau \in [0,t]} \left| \frac{d}{d\tau} f(\tau) \right| \sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \\ &\quad (t-\tau)^{-\alpha} d\tau, \\ &< \left\| \frac{df}{dt} \right\|_\infty M \frac{1}{\Gamma(1-\alpha)} \int_0^t (t-\tau)^{-\alpha} d\tau, \\ &< \left\| \frac{df}{dt} \right\|_\infty M \frac{t^{1-\alpha}}{\Gamma(2-\alpha)}, \\ &< \left\| \frac{df}{dt} \right\|_\infty M \frac{T_0^{1-\alpha}}{\Gamma(2-\alpha)}. \end{aligned} \tag{57}$$

Let  $f, g$  be two differentiable functions such that  ${}^{\text{FFP}}_0 D_t^{\alpha, \beta(t)} f(t)$  and  ${}^{\text{FFP}}_0 D_t^{\alpha, \beta(t)} g(t)$  exist. Then if in addition

$$\left\| \frac{d}{dt} f - \frac{d}{dt} g \right\|_\infty < K \|f - g\|_\infty, \tag{58}$$

then

$$\begin{aligned} \left| {}^{\text{FFP}}_0 D_t^{\alpha, \beta(t)} f(t) - {}^{\text{FFP}}_0 D_t^{\alpha, \beta(t)} g(t) \right| &= \frac{1}{\Gamma(1-\alpha)} \left| \int_0^t \frac{d}{d\tau^{\beta(\tau)}} (f-g) (t-\tau)^{-\alpha} d\tau \right|, \\ &= \frac{1}{\Gamma(1-\alpha)} \left| \int_0^t \frac{d}{d\tau} (f-g) (t-\tau)^{-\alpha} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \right|, \\ &\leq \frac{1}{\Gamma(1-\alpha)} \int_0^t \left| \frac{d}{d\tau} (f-g) \right| \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| (t-\tau)^{-\alpha} d\tau, \\ &< \frac{1}{\Gamma(1-\alpha)} \int_0^t \sup_{\tau \in [0,t]} \left| \frac{d}{d\tau} (f-g) \right| \sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| \\ &\quad (t-\tau)^{-\alpha} d\tau, \\ &< \frac{1}{\Gamma(1-\alpha)} \left\| \frac{d}{dt} (f-g) \right\|_\infty M t^{1-\alpha}. \end{aligned} \tag{59}$$

Since  $\left\| \frac{d}{dt} (f - g) \right\|_\infty < K \|f - g\|_\infty$ , then

$$\begin{aligned} \left| {}_0^{FFP} D_t^{\alpha, \beta(t)} f(t) - {}_0^{FFP} D_t^{\alpha, \beta(t)} g(t) \right| &< \frac{T_0^{1-\alpha}}{\Gamma(2-\alpha)} K \|f - g\|_\infty M, \\ &< L \|f - g\|_\infty \end{aligned}$$

where  $L = \frac{T_0^{1-\alpha}}{\Gamma(2-\alpha)} K M$ .

**Theorem 3** Also, if  $g$  and  $f$  are differentiable and bounded then

$${}_0^{FFP} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) < \|f\|_\infty {}_0^{FFP} D_t^{\alpha, \beta(t)} g(t) + \|g\|_\infty {}_0^{FFP} D_t^{\alpha, \beta(t)} f(t).$$

**Proof**

$$\begin{aligned} {}_0^{FFP} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) &= \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{d}{d\tau^{\beta(\tau)}} (f \cdot g)(t-\tau)^{-\alpha} d\tau, \\ &= \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{d}{d\tau} (f \cdot g)(t-\tau)^{-\alpha} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau, \\ &= \frac{1}{\Gamma(1-\alpha)} \int_0^t \left( \frac{df}{d\tau} g + \frac{dg}{d\tau} f \right) (t-\tau)^{-\alpha} \\ &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau, \\ &\leq \frac{1}{\Gamma(1-\alpha)} \int_0^t \left( \frac{df}{d\tau} |g| + \frac{dg}{d\tau} |f| \right) (t-\tau)^{-\alpha} \\ &< \frac{1}{\Gamma(1-\alpha)} \int_0^t \left( \frac{df}{d\tau} \sup_{\tau \in [0,t]} |g| + \frac{dg}{d\tau} \sup_{\tau \in [0,t]} |f| \right) (t-\tau)^{-\alpha} \\ &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau, \\ &< \|g\|_\infty {}_0^{FFP} D_t^{\alpha, \beta(t)} f(t) + \|f\|_\infty {}_0^{FFP} D_t^{\alpha, \beta(t)} g(t), \end{aligned}$$

which completes the proof.

**Theorem 4** Additionally if

$$\sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| < M, \tag{60}$$

in the above theorem, then

$${}_0^{FFP} D_t^{\alpha, \beta(t)} (f(t) \cdot g(t)) < M \|g\|_\infty {}_0^C D_t^\alpha f(t) + M \|f\|_\infty {}_0^C D_t^\alpha g(t). \tag{61}$$

**Proof** We use a similar problem as before, then

$$\begin{aligned} {}_0^{FFP}D_t^{\alpha,\beta(t)}(f(t) \cdot g(t)) &< \|g\|_\infty {}_0^{FFP}D_t^{\alpha,\beta(t)}f(t) + \|f\|_\infty {}_0^{FFP}D_t^{\alpha,\beta(t)}g(t), \\ &= \|f\|_\infty \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{dg}{d\tau} (t-\tau)^{-\alpha} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \\ &\quad + \|g\|_\infty \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{df}{d\tau} (t-\tau)^{-\alpha} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau. \end{aligned} \tag{62}$$

Thus

$$\begin{aligned} {}_0^{FFP}D_t^{\alpha,\beta(t)}(f(t) \cdot g(t)) &< \|f\|_\infty \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{dg}{d\tau} (t-\tau)^{-\alpha} \sup_{\tau \in [0,t]} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \\ &\quad + \|g\|_\infty \frac{1}{\Gamma(1-\alpha)} \int_0^t \frac{df}{d\tau} (t-\tau)^{-\alpha} \sup_{\tau \in [0,t]} \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau, \\ &< \|f\|_\infty M {}_0^C D_t^\alpha g(t) + \|g\|_\infty M {}_0^C D_t^\alpha f(t), \end{aligned} \tag{63}$$

which completes the proof.

We now consider the case with the Mittag-Liffler function, if  $f'$  is bounded then

$$\begin{aligned} {}_0^{FFM}D_t^{\alpha,\beta(t)}f(t) &= \frac{AB(\alpha)}{1-\alpha} \left| \int_0^t \frac{df(\tau)}{d\tau} E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau \right|, \\ &\leq \frac{AB(\alpha)}{1-\alpha} \int_0^t \left| \frac{df(\tau)}{d\tau} \right| E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| d\tau, \\ &< \frac{AB(\alpha)}{1-\alpha} \int_0^t \sup_{\tau \in [0,t]} \left| \frac{df(\tau)}{d\tau} \right| E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] \\ &\quad \sup_{\tau \in [0,t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| d\tau, \\ &< \frac{AB(\alpha)}{1-\alpha} \left\| \frac{df}{d\tau} \right\|_\infty M \int_0^t E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] d\tau, \end{aligned} \tag{64}$$

$$\begin{aligned} {}_0^{FFM}D_t^{\alpha,\beta(t)}f(t) &< \frac{AB(\alpha)}{1-\alpha} \left\| \frac{df}{d\tau} \right\|_\infty M \int_0^t \sum_{j=0}^\infty \frac{\left(-\frac{\alpha}{1-\alpha}\right)^j (t-\tau)^{\alpha j}}{\Gamma(\alpha j + 1)} d\tau, \\ &< \frac{AB(\alpha)}{1-\alpha} \left\| \frac{df}{d\tau} \right\|_\infty M \sum_{j=0}^\infty \frac{\left(-\frac{\alpha}{1-\alpha}\right)^j}{\Gamma(\alpha j + 1)} \int_0^t (t-\tau)^{\alpha j} d\tau, \\ &< \frac{AB(\alpha)}{1-\alpha} \left\| \frac{df}{d\tau} \right\|_\infty M \sum_{j=0}^\infty \left(-\frac{\alpha}{1-\alpha}\right)^j \frac{t^{\alpha j + 1}}{\Gamma(\alpha j + 2)}. \end{aligned}$$



Thus

$$\left| {}_0^{FFM} D_t^{\alpha, \beta(t)} f(t) \right| < \frac{AB(\alpha)}{1-\alpha} \left\| \frac{df}{d\tau} \right\|_{\infty} M t E_{\alpha} \left[ -\frac{\alpha}{1-\alpha} t^{\alpha} \right]. \tag{65}$$

**Theorem 5** Also, for all  $f$  differentiable then the following relation can be obtained:

$${}_0^{FFM} D_t^{\alpha, \beta(t)} f(t) \leq a_1 {}_0^{ABC} D_t^{\alpha} f(t). \tag{66}$$

**Proof**

$$\begin{aligned} {}_0^{FFM} D_t^{\alpha, \beta(t)} f(t) &= \frac{AB(\alpha)}{1-\alpha} \int_0^t \frac{df(\tau)}{d\tau} E_{\alpha} \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^{\alpha} \right] \\ &\quad \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} d\tau, \\ &\leq \frac{AB(\alpha)}{1-\alpha} \int_0^t \frac{df(\tau)}{d\tau} E_{\alpha} \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^{\alpha} \right] \\ &\quad \sup_{\tau \in [0, t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right| d\tau, \\ &\leq \frac{AB(\alpha)}{1-\alpha} \int_0^t \frac{df(\tau)}{d\tau} E_{\alpha} \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^{\alpha} \right] d\tau \\ &\quad \sup_{\tau \in [0, t]} \left| \frac{\tau^{-\beta(\tau)}}{\beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau}} \right|, \end{aligned} \tag{67}$$

$${}_0^{FFM} D_t^{\alpha, \beta(t)} f(t) \leq M {}_0^{ABC} D_t^{\alpha} f(t). \tag{68}$$

We now consider the general Cauchy problem

$${}_0^{FFP} D_t^{\alpha, \beta(t)} y(t) = f(t, y(t)); \tag{69}$$

we know that

$$\begin{aligned} \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t y(\tau) (t-\tau)^{\alpha} d\tau &= f(t, y(t)), \\ \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t y(\tau) (t-\tau)^{\alpha} d\tau &= t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) \\ &\quad f(t, y(t)). \end{aligned} \tag{70}$$

The above can be converted to

$$y(t) = \frac{1}{\Gamma(\alpha)} \int_0^t \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) f(\tau, y(\tau)) (t-\tau)^{\alpha-1} d\tau. \tag{71}$$

Thus at  $t_n = n \Delta t$ , we have

$$y(t_n) = \Delta t^\alpha \left[ \gamma_n F(t_0, y(t, 1)) + \sum_{j=0}^n \Phi_{nj} F(t_j, y(t_j)) \right]. \tag{72}$$

Alternatively, the equation can be discretized as, at  $t_{n+1} = (n + 1) \Delta t$ ,

$$y(t_{n+1}) = \frac{1}{\Gamma(\alpha)} \int_0^{t_{n+1}} (t_{n+1} - \tau)^{\alpha-1} \tau^{\beta(\tau)} \left( \beta'(\tau) \ln \tau + \frac{\beta(\tau)}{\tau} \right) f(\tau, y(\tau)) d\tau. \tag{73}$$

Let  $F(t, y(t)) = t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) f(t, y(t))$ . Thus,

$$\begin{aligned} y(t_{n+1}) &= \frac{1}{\Gamma(\alpha)} \int_0^{t_{n+1}} (t_{n+1} - \tau)^{\alpha-1} F(\tau, y(\tau)) d\tau, \\ &= \frac{1}{\Gamma(\alpha)} \sum_{j=0}^n \int_{t_j}^{t_{j+1}} (t_{n+1} - \tau)^{\alpha-1} F(\tau, y(\tau)) d\tau. \end{aligned} \tag{74}$$

Thus, following the routine presented by Atangana and Toufik, we obtain

$$F(\tau, y(\tau)) = \frac{\tau - t_{j-1}}{\Delta t} F(t_j, y(t_j)) - \frac{\tau - t_j}{\Delta t} F(t_{j-1}, y(t_{j-1})). \tag{75}$$

Finally

$$\begin{aligned} y(t_{n+1}) &= \sum_{j=0}^n \left[ \frac{\Delta t^\alpha}{\Gamma(\alpha+2)} F(t_j, y(t_j)) \{ (n+1-j)^\alpha (n-j+i+\alpha) - (n-j)^\alpha (n-j+i+i\alpha) \} \right. \\ &\quad \left. - \frac{\Delta t^\alpha}{\Gamma(\alpha+2)} F(t_{j-1}, y(t_{j-1})) \{ (n+1-j)^{\alpha+1} - (n-j)^\alpha (n-j+1+\alpha) \} \right]. \end{aligned} \tag{76}$$

Replacing  $F(t, y(t)) = t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) f(t, y(t))$ , we get

$$\begin{aligned} y(t_{n+1}) &= \sum_{j=0}^n \left[ \frac{\Delta t^\alpha}{\Gamma(\alpha+2)} \left\{ t_j^{\beta(t_j)} \left( \frac{\beta(t_{j+1}) - \beta(t_j)}{\Delta t} \ln t_j + \frac{\beta(t_j)}{t_j} \right) f(t_j, y(t_j)) \right\} \right. \\ &\quad \{ (n+1-j)^\alpha (n-j+i+\alpha) - (n-j)^\alpha (n-j+i+i\alpha) \} \\ &\quad \left. - \frac{\Delta t^\alpha}{\Gamma(\alpha+2)} \left\{ t_{j-1}^{\beta(t_{j-1})} \left( \frac{\beta(t_j) - \beta(t_{j-1})}{\Delta t} \ln t_{j-1} + \frac{\beta(t_{j-1})}{t_{j-1}} \right) f(t_{j-1}, y(t_{j-1})) \right\} \right. \\ &\quad \left. \{ (n+1-j)^{\alpha+1} - (n-j)^\alpha (n-j+1+\alpha) \} \right]. \end{aligned} \tag{77}$$

### 4 Numerical Schemes

In this section, we present a new procedure suggested by Atangana and Toufiq to capture chaos unit self-similarities or fractal features; this year, Atangana and Toufiq described a new complex set called triniton, a complex set of complex number with two imaginaries numbers.

$$z = a + ib + jc. \tag{78}$$

From the above, a new mapping was into similar to the Julia set and the Mandelbrot set. They considered the following iteration:

$$Z_{n+1} = z_n^2 + c, \tag{79}$$

then obtained

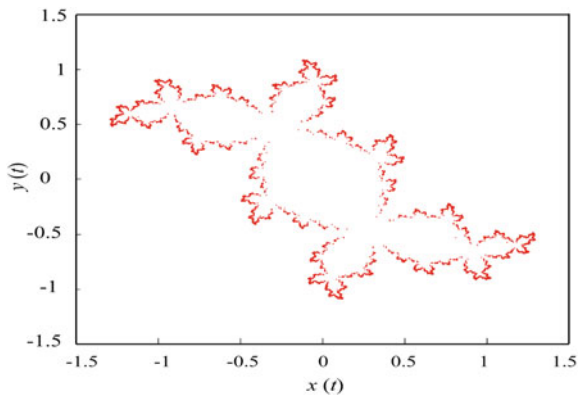
$$\begin{aligned} x_{n+1} &= x_n^2 - y_n^2 + z_n^2 + c_x, \\ y_{n+1} &= 2x_n y_n + c_y, \\ z_{n+1} &= 2x_n z_n + c_z. \end{aligned} \tag{80}$$

Then the next step was to use a chaotic attractor, for example, the Lorenz attractor. Then this discretized version of the chaotic model was compared with the fractal mapping. However in this section, we present first some fractal presentations in Figs. 1, 2, 3 and 4.

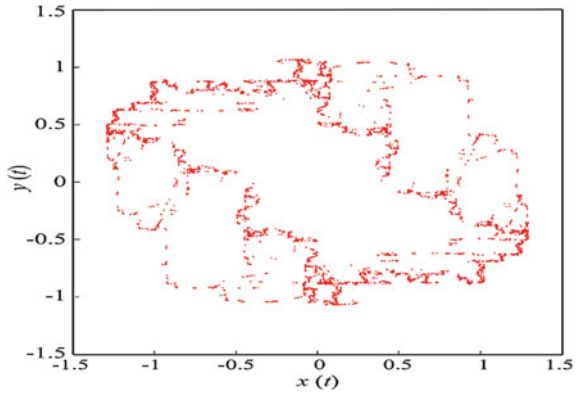
The next step is to consider a general system of equation depicting a chaotic model, for instance,

$$\begin{cases} {}^{DBC}_0 D_t^{\alpha, \beta(t)} x(t) = f(x, y, z, t) \\ {}^{DBC}_0 D_t^{\alpha, \beta(t)} y(t) = g(x, y, z, t) \\ {}^{DBC}_0 D_t^{\alpha, \beta(t)} z(t) = h(x, y, z, t) \end{cases} . \tag{81}$$

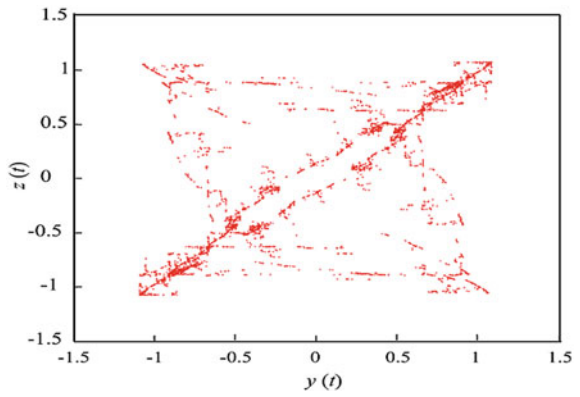
**Fig. 1** Fractal within th triniton set



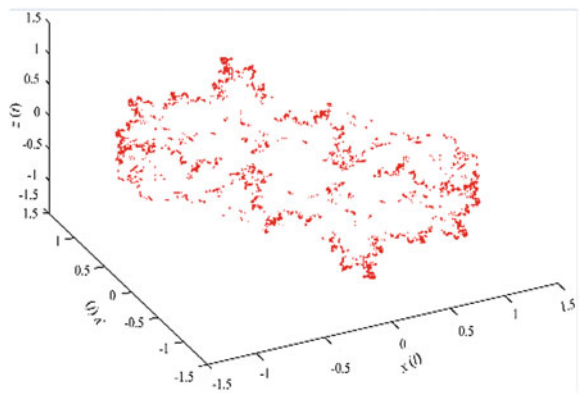
**Fig. 2** Fractal within th trinition set



**Fig. 3** Fractal within th trinition set



**Fig. 4** Fractal within th trinition set



Using the fact that the integral is differentiable, the left-hand side of the above equations can be reformulated as

$$\frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t x(\tau) E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} = f(x, y, z, t), \tag{82}$$

$$\frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t y(\tau) E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} = g(x, y, z, t), \tag{83}$$

$$\frac{AB(\alpha)}{1-\alpha} \frac{d}{dt} \int_0^t z(\tau) E_\alpha \left[ -\frac{\alpha}{1-\alpha} (t-\tau)^\alpha \right] d\tau \frac{t^{-\beta(t)}}{\beta'(t) \ln t + \frac{\beta(t)}{t}} = h(x, y, z, t). \tag{84}$$

Now choosing

$$F(x, y, z, t) = t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) f(x, y, z, t), \tag{85}$$

$$G(x, y, z, t) = t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) g(x, y, z, t), \tag{86}$$

$$H(x, y, z, t) = t^{\beta(t)} \left( \beta'(t) \ln t + \frac{\beta(t)}{t} \right) h(x, y, z, t); \tag{87}$$

after that, applying the AB integral on both sides we obtain

$$x(t) = \frac{1-\alpha}{AB(\alpha)} F(x, y, z, t) + \frac{\alpha}{\Gamma(\alpha) AB(\alpha)} \int_0^t (t-\tau)^{\alpha-1} F(x, y, z, t) d\tau, \tag{88}$$

$$y(t) = \frac{1-\alpha}{AB(\alpha)} G(x, y, z, t) + \frac{\alpha}{\Gamma(\alpha) AB(\alpha)} \int_0^t (t-\tau)^{\alpha-1} G(x, y, z, t) d\tau, \tag{89}$$

$$z(t) = \frac{1-\alpha}{AB(\alpha)} H(x, y, z, t) + \frac{\alpha}{\Gamma(\alpha) AB(\alpha)} \int_0^t (t-\tau)^{\alpha-1} H(x, y, z, t) d\tau. \tag{90}$$

Thus, following the routine suggested by Atangana and Toufic, we have at the point  $t = t_{n+1}$

$$\begin{aligned} x(t_{n+1}) = x^{n+1} = & \frac{1-\alpha}{AB(\alpha)} F(x^n, y^n, z^n, t_n) + \frac{\alpha}{\Gamma(\alpha+2) AB(\alpha)} (\Delta t)^\alpha \sum_{j=0}^n \left[ F(x^j, y^j, z^j, t_j) \right. \\ & \left. \{ (n-j+1)^\alpha (n-j+i+\alpha) - (n-j)^\alpha (n-j+2+2\alpha) \} \right. \\ & \left. - F(x^{j-1}, y^{j-1}, z^{j-1}, t_{j-1}) \{ (n-j+1)^{\alpha+1} - (n-j)^\alpha (n-j+1+\alpha) \} \right], \tag{91} \end{aligned}$$

$$\begin{aligned}
 y(t_{n+1}) = y^{n+1} = & \frac{1-\alpha}{AB(\alpha)} G(x^n, y^n, z^n, t_n) + \frac{\alpha(\Delta t)^\alpha}{\Gamma(\alpha+2)AB(\alpha)} \sum_{j=0}^n \left[ G(x^j, y^j, z^j, t_j) \right. \\
 & \left. \{(n-j+1)^\alpha(n-j+i+\alpha) - (n-j)^\alpha(n-j+2+2\alpha)\} \right. \\
 & \left. - G(x^{j-1}, y^{j-1}, z^{j-1}, t_{j-1}) \{(n-j+1)^{\alpha+1} - (n-j)^\alpha(n-j+1+\alpha)\} \right], \quad (92)
 \end{aligned}$$

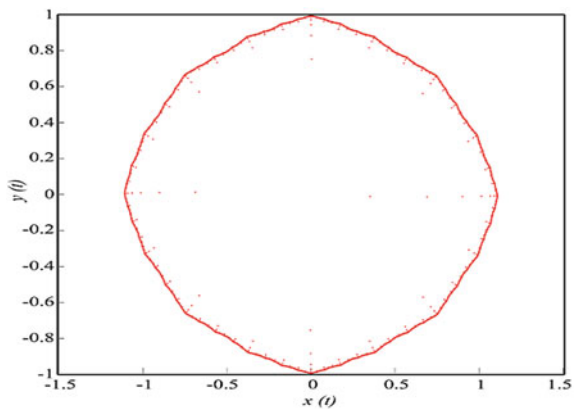
$$\begin{aligned}
 z(t_{n+1}) = z^{n+1} = & \frac{1-\alpha}{AB(\alpha)} H(x^n, y^n, z^n, t_n) + \frac{\alpha(\Delta t)^\alpha}{\Gamma(\alpha+2)AB(\alpha)} \sum_{j=0}^n \left[ H(x^j, y^j, z^j, t_j) \right. \\
 & \left. \{(n-j+1)^\alpha(n-j+i+\alpha) - (n-j)^\alpha(n-j+2+2\alpha)\} \right. \\
 & \left. - H(x^{j-1}, y^{j-1}, z^{j-1}, t_{j-1}) \{(n-j+1)^{\alpha+1} - (n-j)^\alpha(n-j+1+\alpha)\} \right]. \quad (93)
 \end{aligned}$$

Now to combine the chaotic behavior with fractal map, the above recursive formulas are implemented up to the range  $N$  such that we have  $x^N, y^N, z^N$ . These points are now used to start the fractal mapping

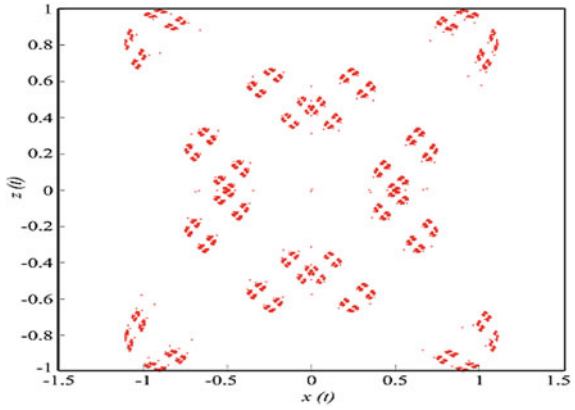
$$\begin{aligned}
 x_{n+1} &= x_n^2 - y_n^2 + z_n^2 + c_x, \\
 y_{n+1} &= 2x_n y_n + c_y, \\
 z_{n+1} &= 2x_n z_n + c_z. \quad (94)
 \end{aligned}$$

We present an illustration in the following example where Atangana-Toufiq mapping combines with chaotic models (Figs. 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23 and 24).

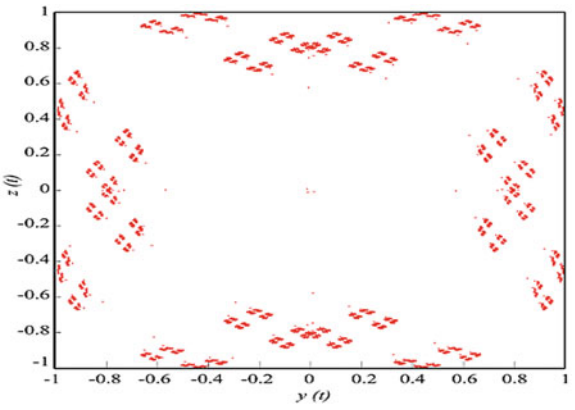
**Fig. 5** Numerical simulation with combination of chaos and fractal in the trinition



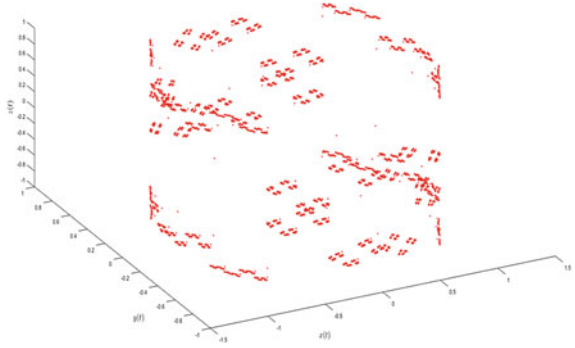
**Fig. 6** Numerical simulation with combination of chaos and fractal in the trinition



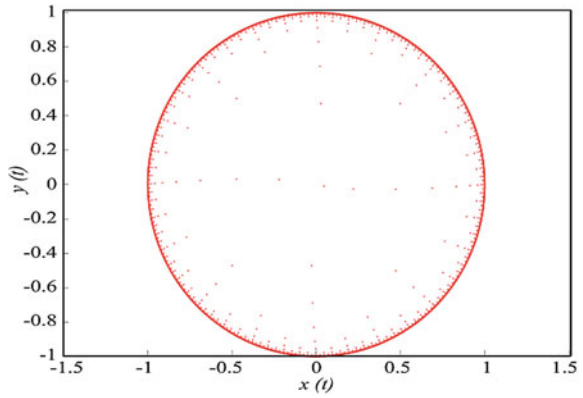
**Fig. 7** Numerical simulation with combination of chaos and fractal in the trinition



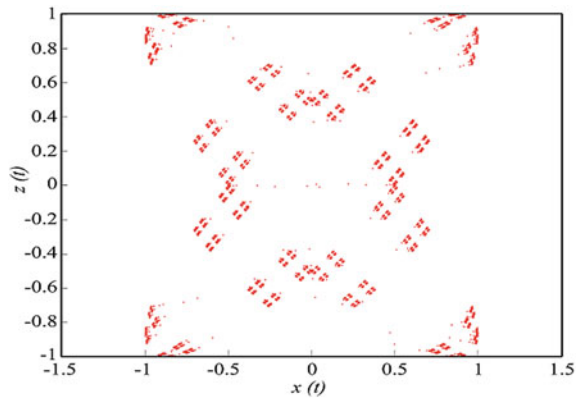
**Fig. 8** Numerical simulation with combination of chaos and fractal in the trinition



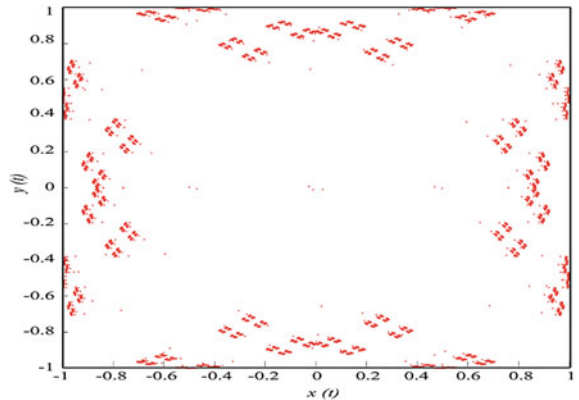
**Fig. 9** Numerical simulation with combination of chaos and fractal in the trinition



**Fig. 10** Numerical simulation with combination of chaos and fractal in the trinition

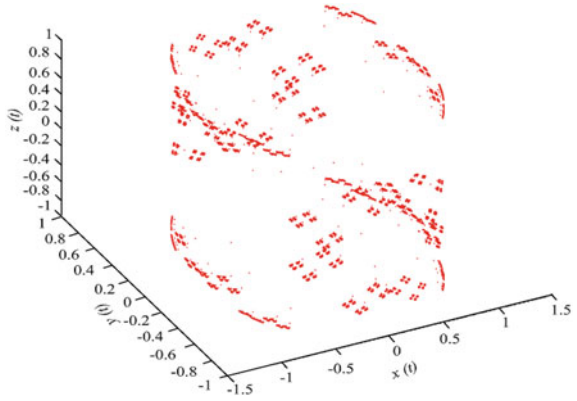


**Fig. 11** Numerical simulation with combination of chaos and fractal in the trinition

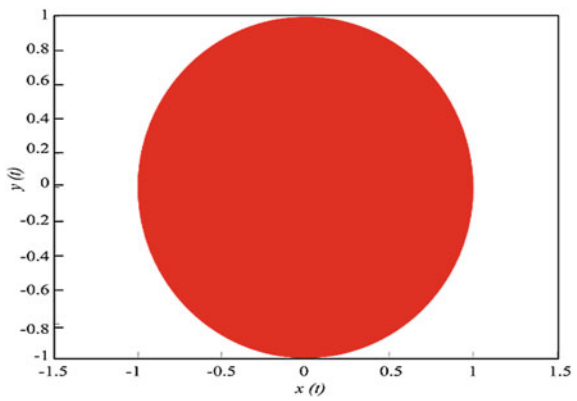




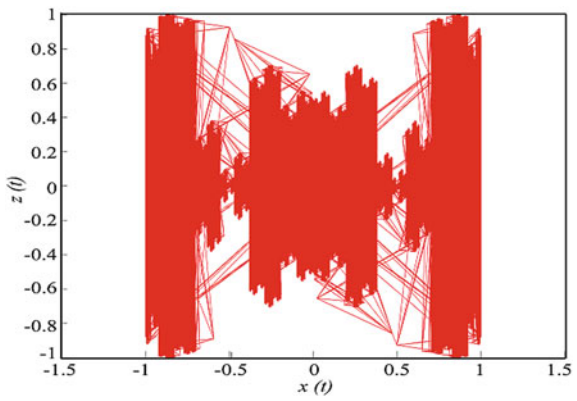
**Fig. 12** Numerical simulation with combination of chaos and fractal in the trinition



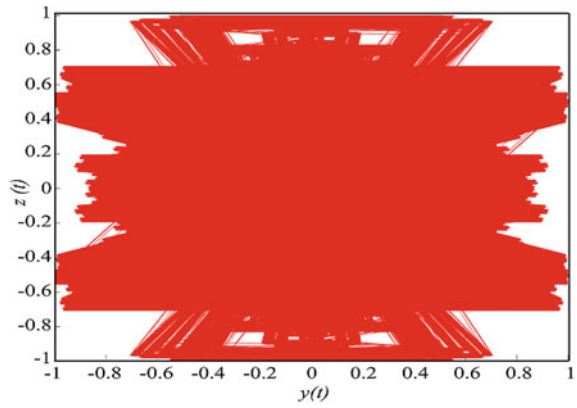
**Fig. 13** Numerical simulation with combination of chaos and fractal in the trinition



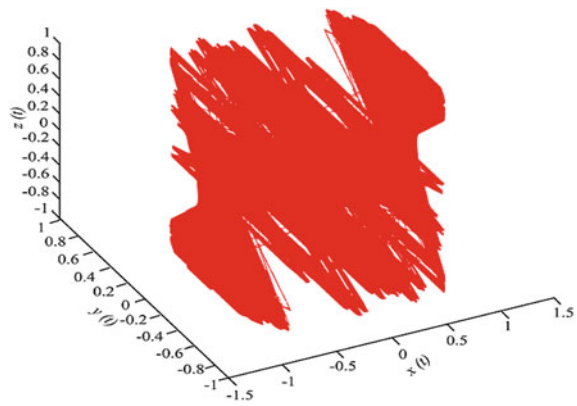
**Fig. 14** Numerical simulation with combination of chaos and fractal in the trinition



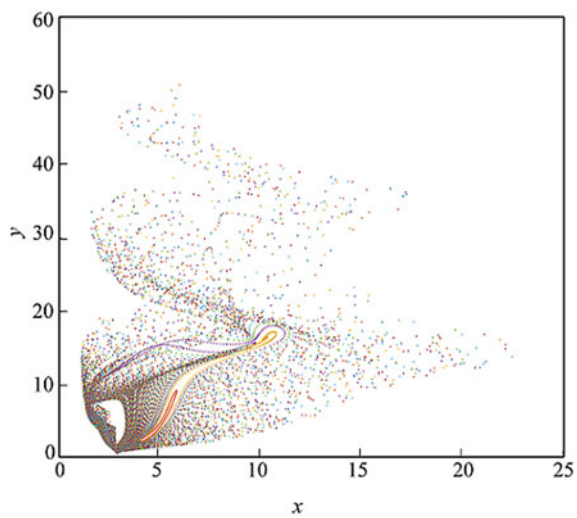
**Fig. 15** Numerical simulation with combination of chaos and fractal in the trinition



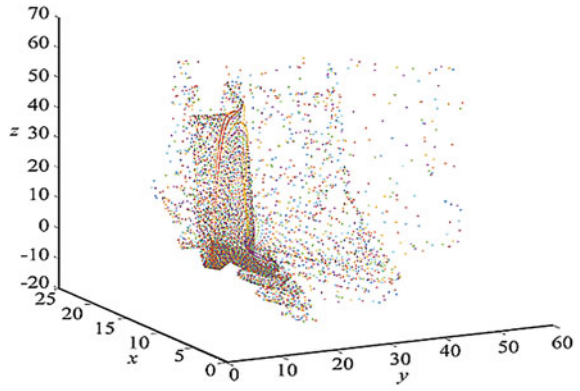
**Fig. 16** Numerical simulation with combination of chaos and fractal in the trinition



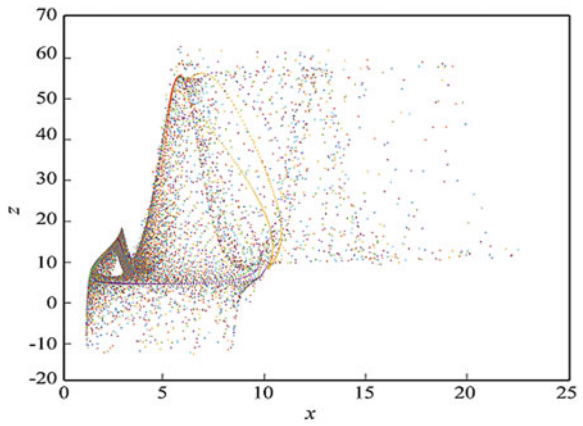
**Fig. 17** Numerical simulation with combination of chaos and fractal in the trinition



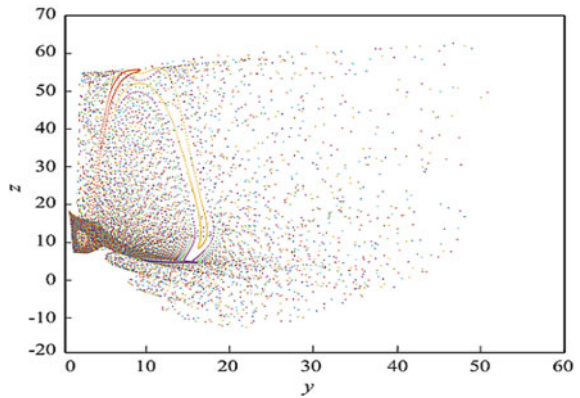
**Fig. 18** Numerical simulation with combination of chaos and fractal in the trinition



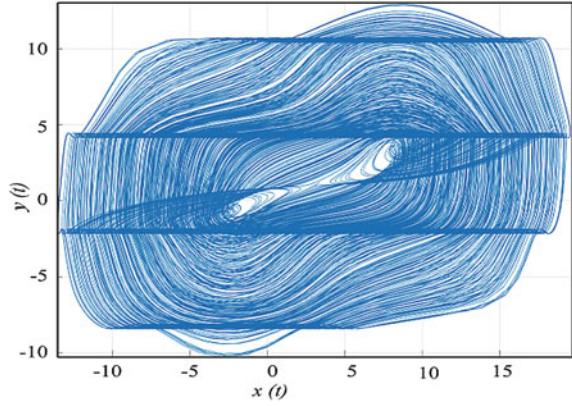
**Fig. 19** Numerical simulation with combination of chaos and fractal in the trinition



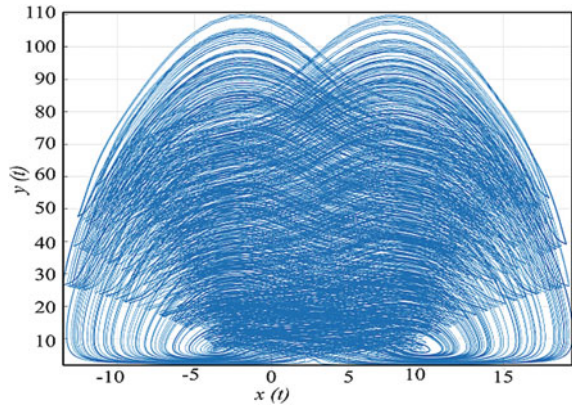
**Fig. 20** Numerical simulation with combination of chaos and fractal in the trinition



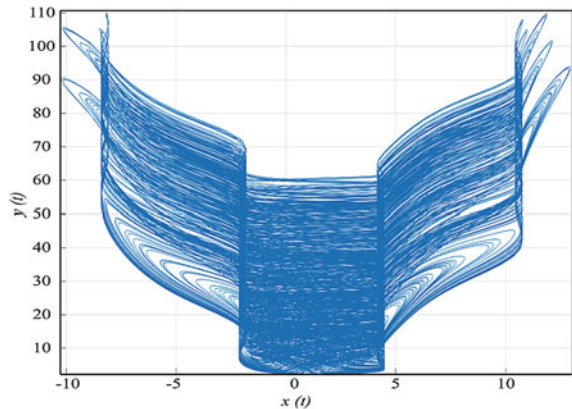
**Fig. 21** Numerical simulation with combination of chaos and fractal in the trinition



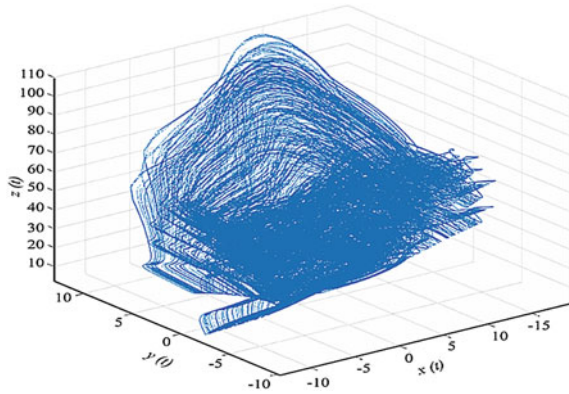
**Fig. 22** Numerical simulation with combination of chaos and fractal in the trinition



**Fig. 23** Numerical simulation with combination of chaos and fractal in the trinition



**Fig. 24** Numerical simulation with combination of chaos and fractal in the trinition



## 5 Concluding Remarks

Fractal-Fractional differential operators with constant fractional order and variable fractal dimension have been introduced very recently and have been applied to a few problems. The theory around the new calculus has not been developed. However, they were found to be better candidates to model real-world problems ranging from anomalous diffusion to irregular spread of sickness. We have provided a comprehensive set of new theoretical results within the framework of this new calculus. We have suggested a numerical scheme that could be used to provide an approximate solution to ordinary, partial and integral equations associated with these operators. We hybridized the Julia set from the Trinition which also was introduced newly and some chaotic attractors to construct a new class of attractors. Some numerical simulations are presented.

**Conflict of Interest:** The authors have declared no conflict of interest.

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# On the Parabolic Instability Region for Kuo Problem



S. Lavanya, V. Ganesh, and G. Venkata Ramana Reddy

**Abstract** We consider Kuo problem which deals with incompressible, inviscid, parallel zonal flows. For this Kuo problem, we derived a parabolic instability region without any restriction which intersects with Howard semi-circle under some conditions. Furthermore, we derived a new upper bound for the growth rate of an unstable mode.

**Keywords** Zonal flows · Shear flows · Incompressible fluids

## 1 Introduction

The study of Kuo problem is an important part of geophysical fluid dynamics. Kuo problem governs stability of incompressible, inviscid parallel zonal flows on a  $\beta$ -plane. This problem is the standard homogeneous stability problem that takes into account the derivative of Coriolis force ( $\beta$ ). When  $\beta = 0$ , Kuo problem reduce to standard Rayleigh problem. For this problem Kuo [1] derived extension of Rayleigh inflexion point theorem namely  $(U'' - \beta)$  must vanishes somewhere in the flow domain. Pedlosky [2] generalized Howard's semi-circle which includes Cariolis force. Padmini and Subbiah [3] derived two parabolic instability regions which depends on restrictions. Thenmozhy and Vijayan [4] extended their work and derived the parabolic instability region which depends on a minimum velocity greater than zero. Rana et al. [5] derived an estimate for growth rate. Kanwar and Sinha [6] derived

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S. Lavanya (✉) · G. Venkata Ramana Reddy

Department of Mathematics, Koneru Lakshmaiah Education Foundation, Vaddeswaram 522502, India

e-mail: [lavanya.sharmas@gmail.com](mailto:lavanya.sharmas@gmail.com)

G. Venkata Ramana Reddy

e-mail: [gvr1976@kluniversity.in](mailto:gvr1976@kluniversity.in)

V. Ganesh

Department of Engineering, University of Technology and Applied Sciences, Muscat, Sultanate of Oman

a upper bound on the growth rate for baroclinic zonal flows. Shandil [7] obtained a sufficient condition for stability. Hickernell [8] derived growth rate of an unstable mode.

In this paper, we derived a parabolic instability region which does not depend on any condition like [3, 4]. Also, we derived the growth rate of an unstable mode.

## 2 Kuo Problem

Kuo eigen value problem is a second order differential equation given by [cf. [1]]

$$\phi'' - \left[ \frac{U'' - \beta}{U - c} + k^2 \right] \phi = 0, \tag{1}$$

with boundary conditions

$$\phi(z_1) = 0 = \phi(z_2), \tag{2}$$

where  $\phi$  is the complex eigen function,  $U(z)$  is the basic velocity profile,  $k > 0$  is the wave number and  $c = c_r + ic_i$  is the complex phase velocity,  $\beta$  is the derivative of Coriolis force in the latitudinal direction.

Introducing the transformation  $\phi = (U - c)^{\frac{1}{2}} \varphi$ , in (1) and (2), we have

$$\left[ (U - c) \varphi' \right]' - k^2 (U - c) \varphi - \left( \frac{U''}{2} - \beta \right) \varphi - \frac{(U')^2}{4(U - c)} \varphi = 0, \tag{3}$$

with boundary conditions

$$\varphi(z_1) = 0 = \varphi(z_2). \tag{4}$$

## 3 Parabolic Instability Region

**Theorem 3.1** *If  $c_i > 0$  then the following relations are true*

$$\int_{z_1}^{z_2} (U - c_r) \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \left( \frac{U''}{2} - \beta \right) |\varphi|^2 dz + \int_{z_1}^{z_2} \frac{(U')^2}{4} \frac{(U - c_r)}{|U - c|^2} |\varphi|^2 dz = 0;$$

and



$$-\int_{z_1}^{z_2} \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \frac{(U')^2}{|U - c|^2} |\varphi|^2 dz = 0.$$

**Proof** Multiplying (3) by complex conjugate of  $\varphi$  (i.e.)  $\varphi^*$ , integrating and applying (4),

we get

$$\int_{z_1}^{z_2} (U - c) \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \left( \frac{U''}{2} - \beta \right) |\varphi|^2 dz + \int_{z_1}^{z_2} \frac{(U')^2}{(U - c)} |\varphi|^2 dz = 0.$$

Equating real part, we get

$$\int_{z_1}^{z_2} (U - c_r) \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \left( \frac{U''}{2} - \beta \right) |\varphi|^2 dz + \int_{z_1}^{z_2} \frac{(U')^2 (U - c_r)}{|U - c|^2} |\varphi|^2 dz = 0. \tag{5}$$

Equating imaginary part ( $c_i > 0$ ), we get

$$-\int_{z_1}^{z_2} \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \frac{(U')^2}{|U - c|^2} |\varphi|^2 dz = 0. \tag{6}$$

This completes the proof of the theorem.

**Theorem 3.2** *If  $(c, \varphi)$  is a solution of (3) and (4) then  $c_i^2 \leq \lambda \left[ c_r - \frac{U_{\min}}{4} + \frac{U_{\max}}{4} \right]$ , where*

$$\lambda = \frac{\left[ \frac{(U')^2}{2} \right]_{\max}}{\left| \left( \frac{3U_{\min} + U_{\max}}{2} \right) \left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] + \left( \frac{U''}{2} - \beta \right)_{\min} \right|}.$$

**Proof** Multiplying (6) by  $(c_r + U_s)$  and subtracting from (5), we get

$$\int_{z_1}^{z_2} (U + U_s) \left[ |\varphi'|^2 + k^2 |\varphi|^2 \right] dz + \int_{z_1}^{z_2} \left( \frac{U''}{2} - \beta \right) |\varphi|^2 dz + \int_{z_1}^{z_2} \frac{(U')^2 (U - 2c_r - U_s)}{|U - c|^2} |\varphi|^2 dz = 0.$$

Using Rayleigh-Ritz inequality, we have

$$\int_{z_1}^{z_2} \frac{(U + U_s) \left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] + \left( \frac{U''}{2} - \beta \right)}{|U - c|^2} [U - c]^2 + \frac{(U')^2}{4} [U - 2c_r - U_s] |\varphi|^2 dz \leq 0.$$

Since  $|U - c|^2 \geq c_i^2$ , we have

$$\int_{z_1}^{z_2} \frac{(U + U_s) \left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] + \left( \frac{U''}{2} - \beta \right) c_i^2 + \frac{(U')^2}{4} [U - 2c_r - U_s]}{|U - c|^2} |\varphi|^2 dz \leq 0.$$

Arbitrary number  $U_s$  is given by  $U_s = \frac{U_{\min} + U_{\max}}{2}$ , then we have

$$c_i^2 \leq \left[ \frac{\frac{(U')^2}{4}}{\left| (U + U_s) \left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] + \left( \frac{U''}{2} - \beta \right) \right|} \right]_{\max} \left[ 2c_r - \frac{U_{\min}}{2} + \frac{U_{\max}}{2} \right];$$

i.e.,

$$c_i^2 \leq \lambda \left[ c_r - \frac{U_{\min}}{4} + \frac{U_{\max}}{4} \right], \tag{7}$$

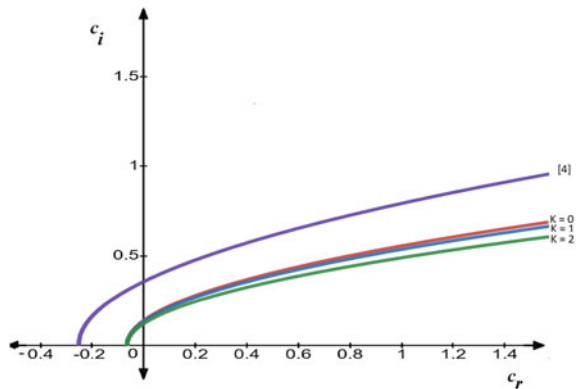
$$\text{where } \lambda = \frac{\left[ \frac{(U')^2}{2} \right]_{\max}}{\left| \left( \frac{3U_{\min} + U_{\max}}{2} \right) \left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] + \left( \frac{U''}{2} - \beta \right)_{\min} \right|}.$$

This completes the proof of the theorem.

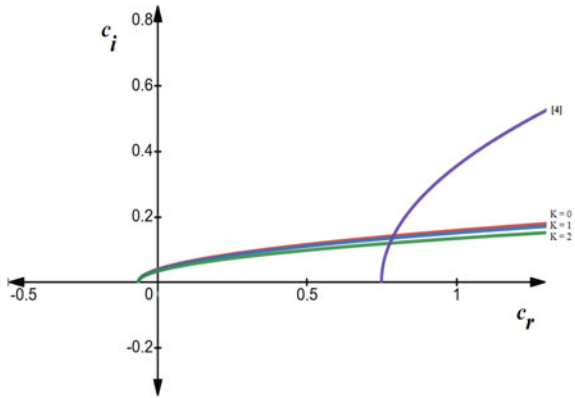
Note:

Our result is valid for  $(U'' - \beta)_{\min} = 0$ . Our instability region is valid for basic velocity changes its sign also. When  $\beta = 0$ , this reduces a new parabolic instability region for standard Rayleigh problem (Figs. 1 and 2).

**Fig. 1**  $c_r$  versus  $c_i$   
(Parabolic instability region)



**Fig. 2**  $c_r$  versus  $c_i$   
(Parabolic instability region)



Below example will illustrate the reduction of parabolic instability region.

1. If  $U = (z - \frac{1}{2})^2, 0 \leq z \leq 1, \beta = \text{constant}$
2. If  $U = 1 + (z - \frac{1}{2})^2, 0 \leq z \leq 1, \beta = \text{constant}$

From the above two examples, it shows that as the wave number increases, the instability region is reduced.

**Theorem 3.3** If  $\lambda < \lambda_c$ , where  $\lambda_c = \frac{(U_{\min} + 3U_{\max}) - \sqrt{14U_{\min}U_{\max} + 5U_{\max}^2 - 3U_{\min}^2}}{2}$  then the parabola

$$c_i^2 \leq \lambda [c_r - \frac{U_{\min}}{4} + \frac{U_{\max}}{4}] \text{ intersect Howard Semi Circle [cf. [9]]}$$

**Proof** Howard Semi circle [cf. [9]] is given by

$$\left[ c_r - \frac{U_{\min} + U_{\max}}{2} \right]^2 + c_i^2 \leq \left[ \frac{U_{\max} - U_{\min}}{2} \right]^2. \tag{8}$$

Substituting (7) in (8), we get

$$c_r^2 + (\lambda - U_{\min} - U_{\max}) c_r + \left( U_{\min}U_{\max} - \frac{\lambda U_{\min}}{4} + \frac{\lambda U_{\max}}{4} \right) \leq 0.$$

The discriminant part of the above equation is given by

$$\lambda^2 + (-U_{\min} - 3U_{\max}) \lambda + (U_{\max} - U_{\min})^2 \geq 0.$$

Solving for  $\lambda$ , we get

$$\lambda = \frac{(U_{\min} + 3U_{\max}) \pm \sqrt{14U_{\min}U_{\max} + 5U_{\max}^2 - 3U_{\min}^2}}{2}.$$

In the above equation, the value with positive sign leads to  $c_r < 0$  and hence.

If  $\lambda < \lambda_c$ , where  $\lambda_c = \frac{(U_{\min} + 3U_{\max}) - \sqrt{14U_{\min}U_{\max} + 5U_{\max}^2 - 3U_{\min}^2}}{2}$  then the parabola given in (7) intersect Howard semi-circle given in (8).

### 4 Growth Rate

**Theorem 4.1** *The upper bound for the growth rate is given by  $k^2 c_i^2 \leq \frac{|U'' - \beta|_{\max} |U_{\max} - U_{\min}|}{\left[ \frac{\pi^2}{k^2(z_2 - z_1)^2} + 1 \right]}$ .*

**Proof** Multiply (1) by  $\phi^*$ , integrating over  $[z_1, z_2]$  and applying (2), we get.

$$\int_{z_1}^{z_2} |\phi'|^2 dz + k^2 \int_{z_1}^{z_2} |\phi|^2 dz + \int_{z_1}^{z_2} \frac{U'' - \beta}{(U - c)} |\phi|^2 dz = 0.$$

Equating the real parts, we get

$$\int_{z_1}^{z_2} |\phi'|^2 dz + k^2 \int_{z_1}^{z_2} |\phi|^2 dz + \int_{z_1}^{z_2} \frac{(U'' - \beta)(U - c_r)}{|U - c|^2} |\phi|^2 dz = 0. \tag{9}$$

We know that  $|U - c_r| \leq |U_{\max} - U_{\min}|$ , and  $\frac{1}{|U - c|^2} \leq \frac{1}{c_i^2}$ , using Rayleigh-Ritz inequality, we have

$$\left[ \frac{\pi^2}{(z_2 - z_1)^2} + k^2 \right] \int_{z_1}^{z_2} |\phi|^2 dz \leq \frac{|U'' - \beta|_{\max} |U_{\max} - U_{\min}|}{c_i^2} \int_{z_1}^{z_2} |\phi|^2 dz;$$

i.e.,

$$k^2 c_i^2 \leq \frac{|U'' - \beta|_{\max} |U_{\max} - U_{\min}|}{\left[ \frac{\pi^2}{k^2(z_2 - z_1)^2} + 1 \right]}.$$

This implies that  $c_i \rightarrow 0$  as  $k \rightarrow \infty$ .

### 5 Concluding Remarks

In this paper, we derived a new parabolic instability region for the Kuo problem which deals with inviscid, incompressible, zonal shear flows on a  $\beta$ -plane. Our parabolic instability region does not depend on any conditions as derived in [3] and [4]. This parabolic instability region intersects with standard Howard’s semi-circle for a class of flows under condition. This is illustrated with an example. Also, we derived an upper bound for the growth rate of an unstable mode.

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# Font Design Through RQT Bézier Curve Using Shape Parameters



Mridula Dube and Nishi Gupta

**Abstract** To effectively draw the free form curves, we studied RQT (rational quintic trigonometric) Bézier curve using two shape parameters. These new curves are more adjustable because of the existence of shape parameters and geometric characteristics. To confirm whether the proposed curve fulfilled the convex hull property or not, we used limitations on shape and weight, and declared end-pointed curvatures. Local adjustments to the curve shape can be made by modifying its weights and shape parameter values. This curve is utilized for smooth curve compositions by generating piecewise rational trigonometric curves that are adjacent to parametric and geometric Hermite continuity criteria. Then, as an example in font design, piecewise curves are utilized to draw the structure of Devanagari alphabets.

**Keywords** Devanagari alphabets · Parametric and geometric continuity · RQT-Bézier curve · Shape parameters

## 1 Introduction

Designers, as well as engineers, are always fascinated by curves and surfaces. Their requirements include some superior designing strategies to define the shapes and contours of their designs and products. To fulfill their requirements, computer-aided geometric design (CAGD) methodologies were developed. In this regard, the book [4] has applications relating to the building and manipulation of CAGD free form curves and surfaces.

The two important design approaches which were first discovered in the 1950s are B-spline and Bézier curves. The Bernstein basis, which is part of curves and surfaces, drives Bézier curves and surfaces. A groundbreaking notion of curves and

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M. Dube (✉) · N. Gupta  
Department of Mathematics and Computer Science, R. D. University, Jabalpur, MP, India  
e-mail: [mdube1963@gmail.com](mailto:mdube1963@gmail.com)

N. Gupta  
e-mail: [mah.nishi@gmail.com](mailto:mah.nishi@gmail.com)

surfaces for the interactive design was proposed by a French designer, P. E. Bézier for Renault in the automobile industry. He proposed that these curves are very Intuitive, and the author [14] accomplished this by including some control points along with Bernstein's basis in his design. In his interactive design mechanism, the theory of control points and their location is the most crucial. Since then, they have been used to construct interactive curves and surfaces [12]. Polynomial parametric curves, such as B-splines and Bézier curves are both unable to represent some curves like circles and ellipses. To handle this condition, the generalization problem of B-spline curves was created, which are non-uniform rational B-spline (NURBS) curves. These curves provide more flexibility and precision when dealing with both analytic and free form shapes, but some of the problems remain the same, such as computing and regulating the weights for the curve [11, 13]. Then, instead of using B-splines, in many aspects, trigonometric splines were utilized to construct spline curves and surfaces that are much superior to B-splines. As a result, various innovative methods based on trigonometric polynomials and trigonometric and algebraic polynomials have been proposed [8, 18]. Without using rational forms, trigonometric polynomials have the advantage to represent basic trigonometric and transcendental curves.

The concept of trigonometric spline interpolation was first introduced in [6]. After that, the most difficult aspect of constructing a curve is determining how to regulate the curve's shape locally. In [1–3], the author proposes a solution based on different splines with shape parameters, allowing the user to customize the curve's shape. Since they do not require complex computations, cubic polynomials are more adjustable to construct the curve than lower degree polynomials.

In various industries, CAD/CAM programs and other graphic tools are using cubic Bézier curves to generate curves. The Bézier curve has attracted the attention of numerous designers due to its simplicity in rational and integral form. Trigonometric Bézier curves have been examined by several writers; see [5, 9, 10]. However, utilizing only one Bézier curve to define complex forms increases the degree of the curve, resulting in more intricate mathematical computations and longer processing times. To overcome this limitation of a single Bézier curve, designers link numerous curves of lesser degrees together utilizing smoothness qualities and varied continuity criteria at adjoining locations in such a way that the desired curve is a perfect match. Quartic splines with  $C^2$  continuity for non-uniform knot vectors that are  $C^2$  and  $G^3$  continuous under particular cases have been proved in [17]. In this paper, the shape parameter for  $G^1$  continuous quadratic trigonometric Bézier curve has been investigated [16]. In this study, we looked at the rational cubic trigonometric Bézier curve with two shape parameters [15]. The rational form has the benefit of being able to depict a large variety of curves and surfaces. Circles, ellipses, parabolas, and hyperbolas are examples of curves, while spheres, ellipsoids, cylinders, cones, paraboloids, hyperboloids, and hyperbolic paraboloids are examples of surfaces [4]. The sole distinction between Rational Bézier curves and their non-rational counterparts is that the coordinates that specify the curve are one dimension higher. Because of its concise and geometrical presentation, the rational form of Bézier curves is extensively employed in the CAD/CAGD field. This is referred to as a NURBS special

case. In the CAD/CAM industry, rational curves are commonly used to represent conic sections as well as circular arcs.

A newly designed RQT Bézier curve with shape parameters that achieve  $C^1$ ,  $C^2$ , and  $G^1$ ,  $G^2$  continuity is provided in this study. To follow the convex hull property, the endpoint curvatures of the curve have been utilized for finding the conditions on the weight and shape parameters. The suggested curve is utilized to generate the Devanagari alphabets and obtain the geometrical attributes of the standard quintic Bézier curve. By modifying values of shape, weight, and curvature at the endpoints, the curve shape can be changed locally. In Sect. 2 of this study, Quintic trigonometric blending functions with two shape parameters are proposed. The creation of a rational quintic trigonometric (RQT) Bézier curve specified by blending functions and its geometric features is covered in Sect. 2.2. In Sect. 2.3, we'll go through the geometric significance of shape characteristics. An endpoint curvature condition in Sect. 2.4 is used to find the geometry of the curve. Piecewise rational TB (trigonometric Bézier) curves with different continuity conditions are studied in Sect. 3 and their use in the creation of font designing for Devanagari alphabets is described in Sect. 3.4. Section 4 has the conclusion.

## 2 Methodology

### 2.1 Quintic Trigonometric Blending Functions

**Definition 1** QT Bézier basis functions with shape parameters  $\lambda_1$  and  $\lambda_2$ , where  $t \in [0, \pi/2]$  and  $\lambda_1, \lambda_2 \in [-1, 1]$  defined as follows:

$$\begin{aligned}
 g_0(t) &= (1 - \lambda_1 \sin t)^2(1 - \sin t)^3 \\
 g_1(t) &= \cos^2 t - (1 - \lambda_1 \sin t)^2(1 - \sin t)^3 \\
 g_2(t) &= \sin^2 t - (1 - \lambda_2 \cos t)^2(1 - \cos t)^3 \\
 g_3(t) &= (1 - \lambda_2 \cos t)^2(1 - \cos t)^3
 \end{aligned} \tag{1}$$

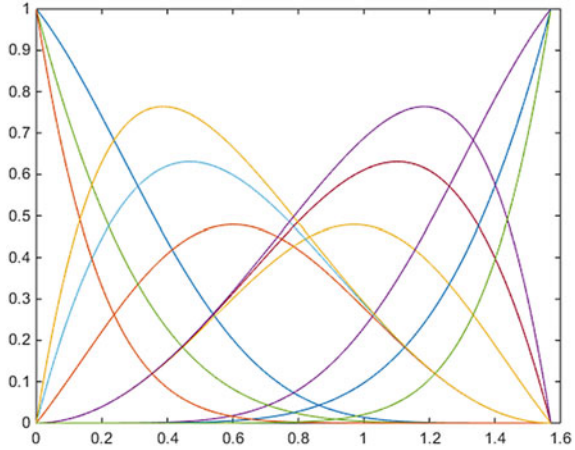
**Theorem 1** *The above definition (1) satisfies the following properties:*

- i. *Non-negativity:*  $g_i(t) \geq 0$  where  $i = 0, 1, 2, 3$
- ii. *Partition of unity:*  $\sum_{i=0}^3 g_i(t) = 1$
- iii. *Symmetry:*  $g_i(t; \lambda_1, \lambda_2) = g_{3-i}(\frac{\pi}{2} - t; \lambda_1, \lambda_2)$
- iv. *Monotonicity:* For certain parameter values of  $\lambda_1$  and  $\lambda_2$ ,  $g_3(t)$  is monotonically increasing and  $g_0(t)$  is monotonically decreasing.

**Proof**



**Fig. 1** Shows QT Bézier basis function for  $\lambda_1$  and  $\lambda_2 = -1, 0, 1$ , respectively



- i.  $for\ t \in \left[0, \frac{\pi}{2}\right],$   
 $(1 - \sin t) \geq 0, (1 - \cos t) \geq 0, \cos^2(t) \geq 0$  and  $\sin^2(t) \geq 0$
- ii. This implies that  $g_i(t) \geq 0, i = 0, 1, 2, 3.$

iii. It follows a trivial solution.

$$for\ i = 1, \quad g_1(t; \lambda_1, \lambda_2) = \cos^2 t - (1 - \lambda_1 \sin t)^2(1 - \sin t)^3$$

$$= \cos^2\left(\frac{\pi}{2} - t\right) - \left(1 - \lambda_1 \sin\left(\frac{\pi}{2} - t\right)\right)^2 \left(1 - \sin\left(\frac{\pi}{2} - t\right)\right)^3$$

iv.

$$= \sin^2 t - (1 - \lambda_2 \cos t)^2(1 - \cos t)^3$$

$$= g_2\left(\frac{\pi}{2} - t; \lambda_2, \lambda_3\right)$$

$$for\ t_1 \leq t_2, \text{ where } t_1, t_2 \in \left[0, \frac{\pi}{2}\right],$$

$$g_0(t_1) \geq g_0(t_2) \text{ and } g_3(t_1) \leq g_3(t_2)$$

which proves that  $g_0(t)$  decreases, whereas  $g_3(t)$  increases monotonically.

Properties (i)–(iv) show that the suggested quintic trigonometric function is analogous to the Bernstein polynomial.

In Fig. 1, quintic trigonometric blending functions are drawn for  $\lambda_1$  and  $\lambda_2 = -1, 0, 1$ , respectively, which clearly shows the above-mentioned properties.

## 2.2 RQT Bézier Curve

Using Eq. (1), which uses the quintic trigonometric blending function, now we create a rational quintic TB curve (RQT-Bézier curve) with  $\lambda_1$  and  $\lambda_2$ , where  $\lambda_1, \lambda_2$  are shape parameters.

**Definition 2** Consider the control points  $P_i$  ( $i = 0, 1, 2, 3$ ) in  $\mathbb{R}^2$  or  $\mathbb{R}^3$ , then RQT-Bézier curve with two shape parameters is defined as.

$$B(t) = \sum_{i=0}^3 g_i^*(t) P_i, \quad t \in [0, \frac{\pi}{2}], \quad \lambda_1, \lambda_2 \in [-1, 1] \tag{2}$$

where

$$g_i^*(t) = \frac{w_i g_i(t)}{\sum_{i=0}^3 w_i g_i(t)}, \quad i = 0, 1, 2, 3$$

It is assumed that  $w_0 = w_3 = 1$  and  $w_1, w_2 > 0$ .

**Properties of the RQT-Bézier curve**

Properties of the RQT-Bézier curve are as follows:

**i. Properties at the endpoint**

$$\begin{aligned} g(0) &= P_0, & g(\frac{\pi}{2}) &= P_3 \\ g'(0) &= (2\lambda_1 + 3)w_1(P_1 - P_0), & g'(\frac{\pi}{2}) &= (2\lambda_2 + 3)w_2(P_3 - P_2) \\ g''(0) &= 2[(3\lambda_1^2 + 6\lambda_1 + 5) - w_1(2\lambda_1 + 3)^2]w_1(P_1 - P_0) + 2w_2(P_2 - P_0) \\ g''(\frac{\pi}{2}) &= 2[-(3\lambda_2^2 + 6\lambda_2 + 5) + w_2(2\lambda_2 + 3)^2]w_2(P_3 - P_2) + 2w_1(P_1 - P_3) \end{aligned} \tag{3}$$

According to Eq. (3), the RQT-Bézier curve shows similar results to a normal rational quintic Bézier curve, in that, it begins at  $P_0$  and ends at  $P_3$ , and it is tangential to  $P_1P_0$  and  $P_2P_3$  at  $P_0$  and  $P_3$ , respectively.

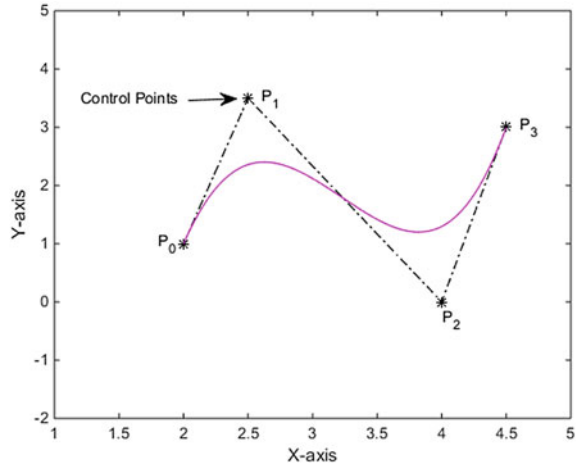
- i. **Symmetry:** The control points  $P_i$  and  $P_{3-i}$ , where  $i = 0, 1, 2, 3$  describe the QT Bézier curve in altered parameterization, that is  $g(t; \lambda_1, \lambda_2, P_i) = g(\frac{\pi}{2} - t; \lambda_1, \lambda_2, P_{3-i})$  for  $i = 0, 1, 2, 3$ .
- ii. **Geometric invariance:** RQT-Bézier curve's shape is independent of the coordinates' choice, therefore, the following two equations are compiled using Eq. (2), i.e.

$$\begin{aligned} g(t; \lambda_1, \lambda_2; P_0 + r, P_1 + r, P_2 + r, P_3 + r) &= g(t; \lambda_1, \lambda_2; P_0, P_1, P_2, P_3) + r, \\ g(t; \lambda_1, \lambda_2; P_0 * q, P_1 * q, P_2 * q, P_3 * q) &= g(t; \lambda_1, \lambda_2; P_0, P_1, P_2, P_3) * q, \end{aligned}$$

where  $t \in [0, 1]$ ,  $\lambda_1, \lambda_2 \in [-1, 1]$

Here  $r$  is an arbitrary vector in  $\mathfrak{R}^2$  or  $\mathfrak{R}^3$ , and  $q$  is an arbitrary  $k \times k$  matrix,  $k = 2$  or  $3$ .

**Fig. 2** Shows RQT- Bézier curve within the convex hull



- i. **Convex hull Property:** Taking the positive values of weights, the entire curve will satisfy the convex hull property [Fig. 2].
- i. **Affine invariance:** The RQT-Bézier curve has the property that applying an affine transformation to each control point results in the same transformation being applied to every point on the curve. For example, to rotate an RQT-Bézier curve, apply a rotation to the control points.
- ii. **Endpoint curvature:** The tangent vector for the curve given in Eq. (2) is denoted by  $g'(t)$ , which is not equal to zero, i.e.  $g'(t) \neq 0$ , and the curvature  $\kappa(t)$  is defined in [15]

$$k(t) = \frac{\|g'(t) \times g''(t)\|}{\|g'(t)\|^3} \tag{4}$$

where ‘ $\|\cdot\|$ ’ denotes the Euclidean norm and ‘ $\times$ ’ shows the cross product. Also, the radius of curvature  $r(t)$  is defined by

$$r(t) = \frac{1}{k(t)}$$

Otherwise, the signed curvature of a parametric curve  $B(t) = (x_1(t), y_1(t))$  is defined as

$$k(t) = \frac{x_1'(t)y_1''(t) - x_1''(t)y_1'(t)}{((x_1'(t))^2 + (y_1'(t))^2)^{3/2}} \tag{5}$$

Let  $k_0$  represent the curvature of the RQT-Bézier curve at  $t = 0$ ; then from Eq. (4)

$$k_0 = \frac{\|g'(0) \times g''(0)\|}{\|g'(0)\|^3} \tag{6}$$

From Eq. (3), taking the values of derivatives gives us

$$k_0 = \left( \frac{2w_2}{(2\lambda_1 + 3)^2 w_1^2} \right) \frac{\|\overrightarrow{p_1 p_2} \times \overrightarrow{p_0 p_1}\|}{\|\overrightarrow{p_0 p_1}\|^3} \tag{7}$$

Setting  $\|\overrightarrow{p_0 p_1}\| = a_0 \neq 0$  and  $\overrightarrow{p_1 p_2} \times \overrightarrow{p_0 p_1} = 2\Delta_1$  where  $\Delta_1$  is a triangle's signed area [7] measured by  $P_0, P_1$  and  $P_2$  control points of the RQT-Bézier curve gives

$$k_0 = \left( \frac{2w_2}{(2\lambda_1 + 3)^2 w_1^2} \right) \frac{2\Delta_1}{a_0^3} \tag{8}$$

If the distance from the line  $\overrightarrow{p_0 p_1}$  to the point  $P_2$  is denoted by  $m_0$ , then  $2\Delta_1 = a_0 m_0$ .

Therefore, at  $t = 0$ , the RQT-Bézier curve having curvature as

$$k_0 = \left( \frac{2w_2}{(2\lambda_1 + 3)^2 w_1^2} \right) \frac{m_0}{a_0^2} \tag{9}$$

Similarly, at  $t = \pi/2$ , the RQT-Bézier curve having curvature as

$$k_{\frac{\pi}{2}} = \left( \frac{2w_1}{(2\lambda_2 + 3)^2 w_2^2} \right) \frac{\|\overrightarrow{p_3 p_1} \times \overrightarrow{p_3 p_2}\|}{\|\overrightarrow{p_2 p_3}\|^3} \tag{10}$$

Taking  $\|\overrightarrow{p_2 p_3}\| = a_1 \neq 0$  and  $\overrightarrow{p_3 p_1} \times \overrightarrow{p_3 p_2} = 2\Delta_2$ , where  $\Delta_2$  is a triangle's signed area, measured by  $P_0, P_1$  and  $P_2$  control points of the RQT-Bézier curve gives

$$k_{\frac{\pi}{2}} = \left( \frac{2w_1}{(2\lambda_2 + 3)^2 w_2^2} \right) \frac{2\Delta_2}{a_1^3} \tag{11}$$

If the distance from the line  $\overrightarrow{p_2 p_3}$  to the point  $P_1$  is denoted by  $m_1$ , then  $2\Delta_2 = a_1 m_1$ . Then

$$k_{\frac{\pi}{2}} = \left( \frac{2w_1}{(2\lambda_2 + 3)^2 w_2^2} \right) \frac{m_1}{a_1^2} \tag{12}$$

### 2.3 Geometric Importance of Shape Parameters

As we all know that the shape parameters  $\lambda_1$  and  $\lambda_2$  are key qualities for dynamic shape design. The RQT-Bézier curve has a superior ability to depict the non-rational quintic TB curve (NRQT-Bézier curve) depicted in Fig. 3a–d due to these shape parameters.

In Fig. 4a, b, the shape of the RQT-Bézier curve is varying when shape parameters  $\lambda_1$  and  $\lambda_2$  increase or decrease in the specified range  $[-1, 1]$ . Another shape control factor in the rational curve is considered as weight, which performs as other shape parameters. When we increase weight, then from where it is joined, the curve is dragged to that control point. Figure 4c shows the curve shape by varying different values of its weights.

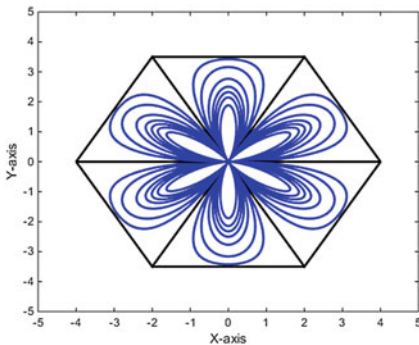


Figure3a. RQT-Bézier Curve

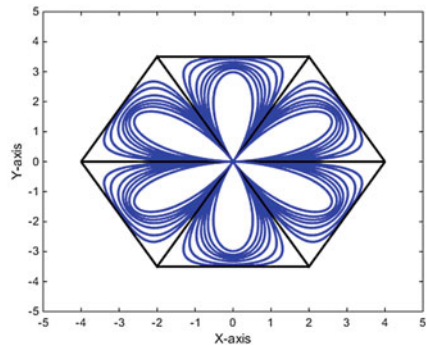


Figure3b. NRQT-Bézier Curve

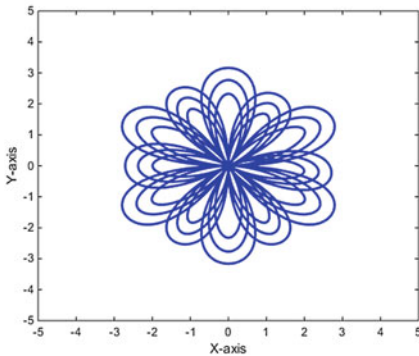


Figure3c. RQT-Bézier Curve

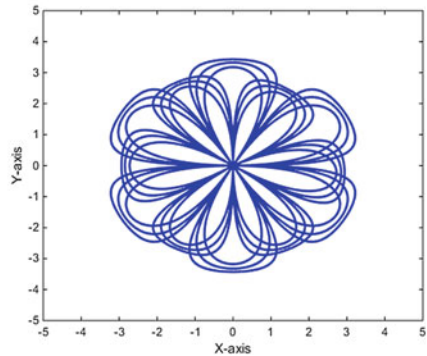
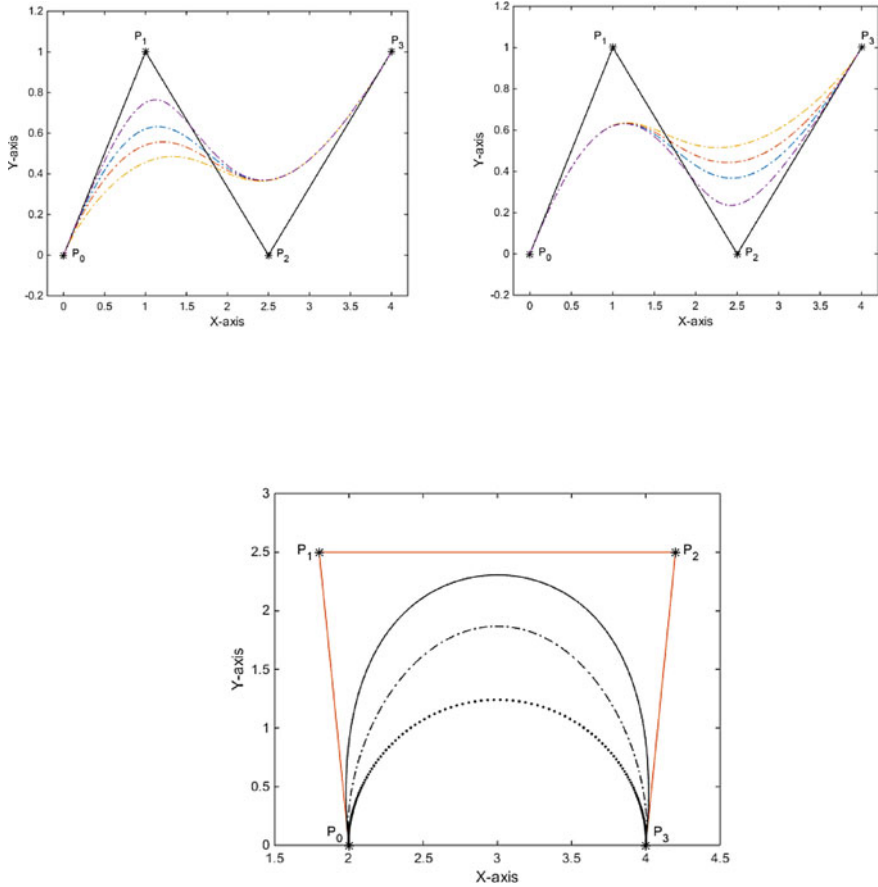


Figure3d. NRQT-Bézier Curve

**Fig. 3 a–d** Shows the comparison between the rational (left) and non-rational (right) QT Bézier curve using changed values of weight and shape parameters



**Fig. 4** **a** Shows RQT-Bézier curve for shape parameter  $\lambda_1 = -1, -0.5, 0, 1$ , respectively. **b** Shows RQT-Bézier curve for shape parameter  $\lambda_2 = -1, -0.5, 0, 1$ , respectively. **c** Shows RQT-Bézier curve for weight  $w_1 = w_2 = 0.1, 0.3, 1.2$ , respectively

### 2.4 Endpoint Curvatures Through Shape Control

The constraints on the weights and shape parameters that are obtained to limit the produced curve always in its control polygon were described in this section. By adjusting the values of weight, endpoint curvatures, and shape parameters locally, we can discover the appropriate curve shape.

**Theorem 2** *The control points for the curve of RQT-Bézier are considered  $P_i$  ( $i = 0, 1, 2, 3$ ). Let  $k_0$  and  $k_{\pi/2}$  represent the curvature at  $t = 0$  and  $t = \pi/2$ , and  $r_0$  and  $r_{\pi/2}$ , respectively, indicate the corresponding curving radii at these endpoints. For  $w_1, w_2 > 0$ , shape parameters  $\lambda_1$  and  $\lambda_2$ , the curve will always follow the convex hull property, such that*

$$\lambda_1 = -\frac{3}{2} + \frac{1}{w_1} \sqrt{\frac{w_2 \Delta_1 r_0}{a_0^3}} \tag{13a}$$

$$\lambda_2 = -\frac{3}{2} + \frac{1}{w_2} \sqrt{\frac{w_1 \Delta_2 r_{\pi/2}}{a_1^3}} \tag{13b}$$

provided that

$$r_0 \leq \frac{w_1^2 a_0^3}{w_2 \Delta_1} \text{ and } r_{\pi/2} \leq \frac{w_2^2 a_1^3}{w_1 \Delta_2} \tag{14}$$

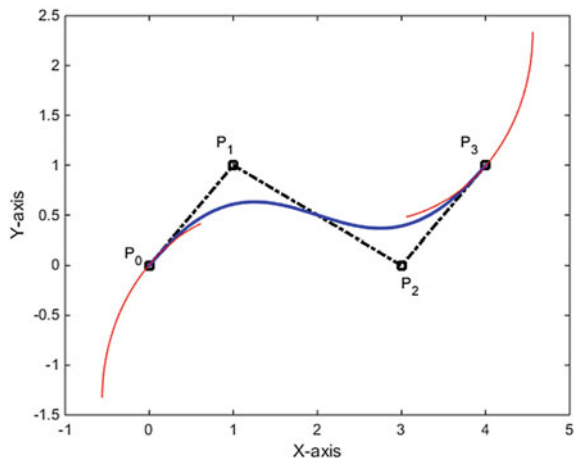
Here, using control points  $P_0, P_1, P_2,$  and  $P_3, P_2, P_1,$  the signed area of triangles  $\Delta_1$  and  $\Delta_2$  are found, respectively. Moreover,  $\Delta_1, r_0$  and  $\Delta_2, r_{\pi/2}$  must have identical signs.

**Proof** Using Eq. (8) and Eq. (11), this can be solved directly.

The following Theorem 2 results are validated in Fig. 5. The curves are plotted by using weights, endpoint curvatures and control points. Equations (13a) and Eq. (13b) are used to calculate the value of the shape parameter.

**Theorem 3** Assume that  $k_0$  and  $k_{\pi/2}$  are as same as described in Theorem 2. For the shape parameters  $\lambda_1$  and  $\lambda_2 \in [-1, 1]$  and specified values of  $k_0$  and  $k_{\pi/2}$ , the given curve always follows convex hull property, if the values of the weights  $w_1$  and  $w_2$  are given by

**Fig. 5** Shows the curve using weights and endpoint curvatures



$$\left. \begin{aligned} w_1 &= \left( \frac{8c_1c_2^2}{\lambda_{11}^2\lambda_{21}k_0^2k_{\pi/2}} \right)^{1/3} \\ w_2 &= \left( \frac{8c_1^2c_2}{\lambda_{11}\lambda_{21}^2k_0k_{\pi/2}^2} \right)^{1/3} \end{aligned} \right\} \tag{15}$$

where  $c_1 = \frac{2\Delta_1}{a_0^3}$ ,  $c_2 = \frac{2\Delta_2}{a_1^3}$ ,  $\lambda_{11} = (2\lambda_1 + 3)^2$  and  $\lambda_{21} = (2\lambda_2 + 3)^2$ .

**Proof** Using the stated values of  $\lambda_{11}$ ,  $\lambda_{21}$ ,  $c_1$  and  $c_2$ , the curvatures  $k_0$  and  $k_{\pi/2}$  are.

$$k_0 = \frac{2w_2c_1}{\lambda_{11}w_1^2} \tag{16}$$

$$k_{\pi/2} = \frac{2w_1c_2}{\lambda_{21}w_2^2} \tag{17}$$

The Eq. (16) and Eq. (17) produces the value of  $w_1$  and  $w_2$  as given in Eq. (15).

### 3 Results and Discussion

#### 3.1 Piecewise RQT-Bézier Curves

This part shows the design of a curve which is a piecewise rational quintic trigonometric Bézier curve, made by using two pieces of RQT-Bézier curves, with parametric and geometric continuities of varying order.

#### 3.2 Two RQT-Bézier Curves Using $C^1$ and $G^1$ Continuity

Let two RQT-Bézier curves,  $B_1(t)$  and  $B_2(t)$  with control points  $P_i^1$  and  $P_i^2$  ( $i = 0, 1, 2, 3$ ), respectively, are specified by

$$B_1(t) = \frac{g_0P_0^1 + g_1P_1^1w_1 + g_2P_2^1w_2 + g_3P_3^1}{g_0 + g_1w_1 + g_2w_2 + g_3} \tag{18}$$

$$B_2(t) = \frac{g_0P_0^2 + g_1P_1^2z_1 + g_2P_2^2z_2 + g_3P_3^2}{g_0 + g_1z_1 + g_2z_2 + g_3} \tag{19}$$



Here  $w_1, w_2,$  and  $z_1, z_2$  are weights for the curves Eq. (17) and Eq. (18), respectively, and  $g_i,$  where  $i = 0, 1, 2, 3$  are the basis functions indicated in Eq. (1). Moreover, for RQT-Bézier curves  $B_1(t)$  and  $B_2(t), \lambda_{11}, \lambda_{21}$  and  $\lambda_{12}, \lambda_{22}$  are considered as shape parameters, respectively.

With parametric continuity of order 1 (i.e.  $C^1$  continuity), the two curve pieces are connected if

$$\begin{cases} P_3^1 = P_0^2 \\ B_1'(\frac{\pi}{2}) = B_2'(0) \end{cases}$$

This implies

$$P_1^2 = P_3^1 + \frac{(2\lambda_{12} + 3) w_2}{(2\lambda_{21} + 3) z_1} (P_3^1 - P_2^1) \tag{20}$$

The curves which are specified in Eqs. (18) and (19) are geometric continuous of order 1 (i.e.  $G^1$  continuity) if

$$\begin{cases} P_3^1 = P_0^2 \\ B_1'(\frac{\pi}{2}) = \eta B_2'(0), \quad \eta > 0 \end{cases}$$

Using Eq. (4), the following equation is obtained as:

$$P_1^2 - P_0^2 = \eta \frac{(2\lambda_{21} + 3) w_2}{(2\lambda_{12} + 3) z_1} (P_3^1 - P_2^1) \tag{21}$$

Let  $\xi = \eta \frac{(2\lambda_{21} + 3) w_2}{(2\lambda_{12} + 3) z_1}$  then,

$$P_1^2 = (1 + \xi) P_3^1 - \xi P_2^1, \quad \xi > 0 \tag{22}$$

In Fig. 6a and b, respectively, curves created by two connected pieces of RQT-Bézier curves with  $C^1$  and  $G^1$  continuity conditions are illustrated. For  $G^1$  continuity, set  $\eta = 2.$

### 3.3 Two RQT-Bézier Curves Using $C^2$ and $G^2$ Continuity

It is assumed that  $\lambda_{11} = \lambda_{21} = \lambda_{12} = \lambda_{22} = 1$  for convenience.

A curve is parametric continuous of order 2 (i.e.  $C^2$  continuous) if it is  $C^1$  continuous, i.e. parametric continuous of order 1 and second-order derivative is the same at the junction, i.e.

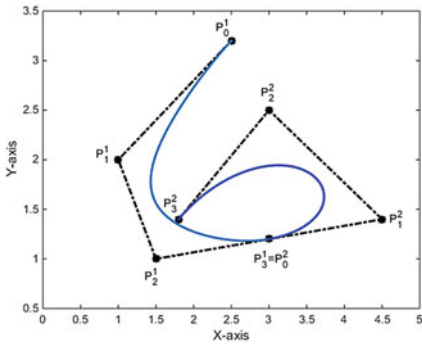


Figure 6a. Two connected pieces of RQT-Bézier curve using C<sup>1</sup> continuity

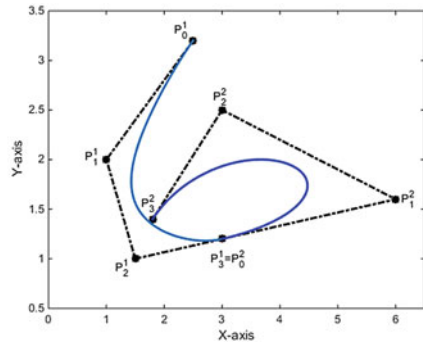


Figure 6b. Two connected pieces of RQT-Bézier curve using G<sup>1</sup> continuity

**Fig. 6** **a** Two connected pieces of RQT-Bézier curve using C<sup>1</sup> continuity. **b** Two connected pieces of RQT-Bézier curve using G<sup>1</sup> continuity. **c** Two connected pieces of the RQT-Bézier curve using C<sup>2</sup> continuity. **d** Two connected pieces of the RQT-Bézier curve using G<sup>2</sup> continuity

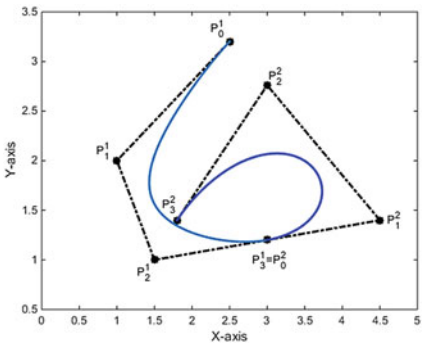


Figure 6c. Two connected pieces of the RQT-Bézier curve using C<sup>2</sup> continuity.

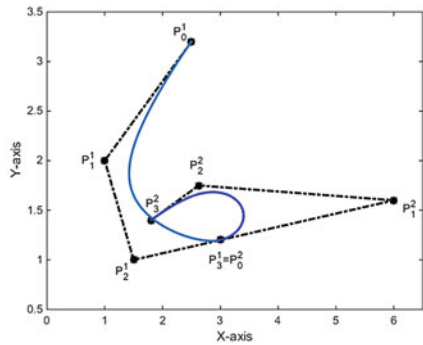


Figure 6d. Two connected pieces of the RQT-Bézier curve using G<sup>2</sup> continuity.

**Fig. 6** (continued)

$$B_1''\left(\frac{\pi}{2}\right) = B_2''(0)$$

This gives

$$P_2^2 = P_1^1 - 28P_2^1 + 28P_3^1 \tag{23}$$

Secondly, two curves are associated by G<sup>2</sup> continuity if they are G<sup>1</sup> continuous and at the junction their curvatures are equal.

Assume that the curvature is taken as  $k_{B1}$  and  $k_{B2}$ , respectively, for two curves defined in Eqs. (18) and (19). Then, G<sup>2</sup> continuity is attained if

$$k_{B_1}\left(\frac{\pi}{2}\right) = k_{B_2}(0)$$

Now, from Eqs. (9) and (12), using the values of endpoint curvature in the following equation gives

$$\frac{z_2}{(2\lambda_{12} + 3)^2 z_1} \frac{h_2}{\alpha_2^2} = \frac{w_1}{(2\lambda_{21} + 3)^2 w_2} \frac{h_1}{\alpha_1^2} \tag{24}$$

where  $\alpha_1 = \|P_3^1 - P_2^1\|$ ,  $\alpha_2 = \|P_1^2 - P_0^2\|$ . Also,  $h_1$  and  $h_2$  are the distance of  $P_1^1$  from the line  $\overrightarrow{P_2^1 P_3^1}$  and  $P_2^2$  from the line  $\overrightarrow{P_0^2 P_1^2}$ , respectively.

Using Eq. (21),  $\alpha_2 = \xi \alpha_1$ . Thus

$$h_2 = \xi^2 \frac{w_1}{z_2} h_1 \tag{25}$$

The curves generated by the combination of two pieces of the curve RQT-Bézier employing  $C^2$  and  $G^2$  continuity, respectively, are shown in Fig. 6c and d.

### 3.4 Font Design Using RQT-Bézier Curve

We saw that CAGD is particularly popular for the design strategies that use rational Bézier curves. Because of its features, many designers like to utilize the Bézier curve to depict different shapes and fonts.

This section presents the proposed RQT-Bézier curve to construct Devanagari (Hindi) letters, validating the practical execution of the curve. The RQT-Bézier curve, whose five parts are used to form the Devanagari alphabet (da), is shown in Fig. 7a,

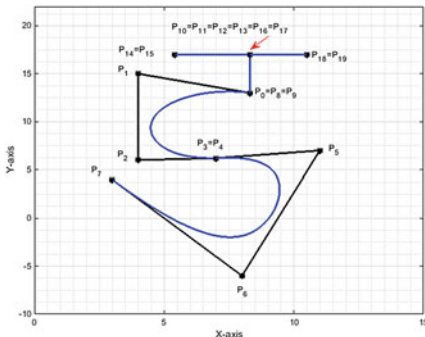


Figure 7a

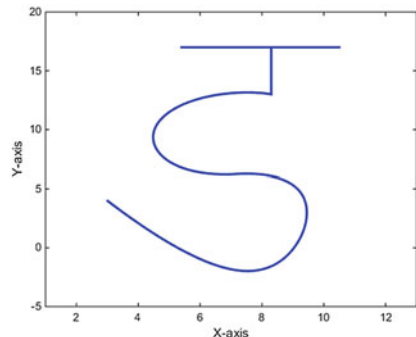


Figure 7b

**Fig. 7** a and b show the construction of the Devanagari alphabet (da) with control polygon and without control polygon

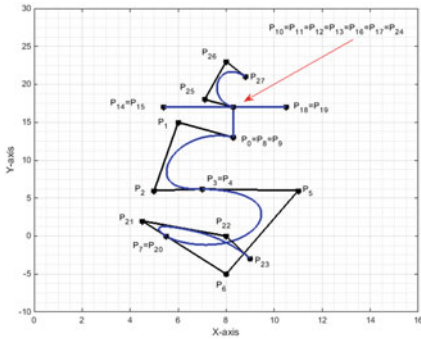


Figure 8a

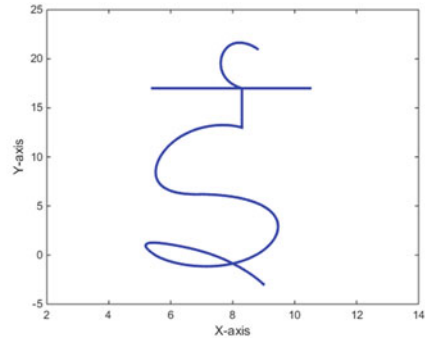


Figure 8b

**Fig. 8 a and b** show the construction of the Devanagari alphabet (i) with control polygon and without control polygon

**Table 1** Data for Fig. 7a, b

Curve segments	Control points	Shape parameters $\lambda_1$ and $\lambda_2$	Weights $w_1$ and $w_2$
P <sub>0</sub> -P <sub>3</sub>	(8.3, 13), (4, 15), (4, 6), (7, 6.2)	$\lambda_1 = -0.8, \lambda_2 = 0.1$	$w_1 = 1, w_2 = 1.5$
P <sub>4</sub> -P <sub>7</sub>	(7, 6.2), (11, 7), (8, -6), (3, 4)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
P <sub>8</sub> -P <sub>11</sub>	(8.3, 13), (8.3, 13), (8.3, 17), (8.3, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
P <sub>12</sub> -P <sub>15</sub>	(8.3, 17), (8.3, 17), (5.4, 17), (5.4, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
P <sub>16</sub> -P <sub>19</sub>	(8.3, 17), (8.3, 17), (10.5, 17), (10.5, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$

b. The shape and weight values influence the curve pieces in this figure. Likewise in Fig. 8a, b, another form is described of the Devanagari alphabet (i) built with seven pieces of RQT-Bézier curves (Tables 1 and 2).

### 4 Conclusions

The Above work describes a new way to construct the shape of the curve through the RQT-Bézier curve using shape parameters. By varying the values of weight along with shape parameters, the curve shape can be regulated in desired shape. Therefore, the curve recommended in this paper is more flexible than a typical rational quintic Bézier curve. Using parametric and geometric Hermite continuity conditions, the suggested curve is utilized to build piecewise rational trigonometric curves

**Table 2** Data for Fig. 8a, b

Curve segments	Control points	Shape parameters $\lambda_1$ and $\lambda_2$	Weights $w_1$ and $w_2$
$P_0$ - $P_3$	(8.3, 13), (6, 15), (5, 6), (7, 6.2)	$\lambda_1 = -0.8, \lambda_2 = 0.1$	$w_1 = 1, w_2 = 1.5$
$P_4$ - $P_7$	(7, 6.2), (11, 6), (8, -5), (5.5, 0)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
$P_8$ - $P_{11}$	(8.3, 13), (8.3, 13), (8.3, 17), (8.3, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
$P_{12}$ - $P_{15}$	(8.3, 17), (8.3, 17), (5.4, 17), (5.4, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
$P_{16}$ - $P_{19}$	(8.3, 17), (8.3, 17), (10.5, 17), (10.5, 17)	$\lambda_1 = -0.2, \lambda_2 = -0.5$	$w_1 = 1, w_2 = 1.5$
$P_{20}$ - $P_{23}$	(5.5, 0), (4.5, 2), (8, 0), (9, -3)	$\lambda_1 = -1, \lambda_2 = -1$	$w_1 = 1, w_2 = 1.5$
$P_{24}$ - $P_{27}$	(8.3, 17), (7.1, 18), (8, 23), (8.8, 21)	$\lambda_1 = -0.5, \lambda_2 = -1$	$w_1 = 1, w_2 = 1.5$

with smooth joints. An application in font design through piecewise rational quintic trigonometric curves is the justification of our curve.

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# Effect of Partial Slip on Peristaltic Transport of MHD-Carreau Fluid in a Flexible Channel with Non-uniform Heat Source and Sink



S. K. Asha and Joonabi Beleri

**Abstract** The current work is to deliberate the effect of the magnetic field, partial slip flow, and irregular heat generation and absorption of Carreau liquid in peristaltic movement through a flexible channel. The governing equations are converted to standard non-linear partial differential equations by using suitable non-dimensional parameters. Solutions to emerging equations are found by using the Multi-Step Differential Transformation Method (Ms-DTM). The role of influential factors on velocity, concentration and temperature are shown through graphs.

**Keywords** Peristaltic flow · Carreau fluid · Magnetic field · Partial slip flow · Non-uniform heat source and sink · Flexible channel · Ms-DTM

**2010 MSC** 76XX · 76A05 · 76V05 · 76D05

## Nomenclature

$\tilde{X}, \tilde{Y}$	Cartesian coordinates
$\tilde{U}, \tilde{V}$	Velocity components
$T_m$	Fluid mean temperature
$\tilde{T}$	Temperature of the fluid
$\tilde{C}$	Concentration of fluid
$B_0$	Applied magnetic field
$R$	Reynolds number
$P_r$	Prandtl number
$\tilde{P}$	Pressure of fluid
$M$	Hartman number
$E_c$	Eckert number,
$P_r$	Prandtl number,

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S. K. Asha (✉) · J. Beleri

Department of Studies and Research in Mathematics, Karnatak University, Dharwad 580003, India  
e-mail: [ashask@kud.ac.in](mailto:ashask@kud.ac.in)

$B_r$	Prandtl number,
$S_c$	Schmidt number,
$S_r$	Soret number,
$C_f$	Skin friction coefficient,
$N_u$	Nusselt number,
$S_h$	Sherwood number,
$\delta$	Wave number
$We$	Weissenberg number
$K$	The thermal conductivity
$\beta$	Heat source and sink parameter
$\zeta$	Specific heat at constant volume
$K_T$	Is the ratio of thermal diffusion
$D$	Is the mass diffusivity coefficient
$\tilde{S}_{\tilde{x}\tilde{x}}, \tilde{S}_{\tilde{x}\tilde{y}}, \tilde{S}_{\tilde{y}\tilde{y}}$	Extra stress tensor components

## 1 Introduction

The Carreau liquid is a generalised Newtonian liquid whose viscosity is proportional to its shear rate. Carreau fluids behave like Newtonian fluids at low shear rates. In some disciplines of biology and technology, non-Newtonian. Nowadays, Peristaltic transport is one of the most important pumping mechanisms. Peristaltic movement obstacles have significant consideration because of its wide area of pertinence. Peristaltic pumping mechanisms are embedded in plenty of biological tools including food intake through the oesophagus, chime flow in the gastrointestinal tract and urine movement from the kidney to the bladder via the ureter. In 1966 Latham [1] introduced the Peristalsis. The word “peristalsis” comes from the Greek word “Peristaltikos,” which means “clasping and compression”. This work was further continued by Shapiro et al. [2] and Jaffrin et al. [3]. Investigators examined the peristaltic flow of fluids is in this reference list [4, 5].

When the rate of strain is proportional to the tangential velocity component at the boundary, the phenomenon of wall slip occurs. The standard no slip requirement does not apply to non-Newtonian liquids such as foams, emulsions, suspensions, and polymer melts/solutions. Therefore, in such situations, there may be a partial slip between the fluid and the boundary. The Navier–Stokes equation using partial slip boundary constraints was possibly solved for the first time by Navier [6]. Peristalsis wall slip property is important in various biomedical applications, such as cleaning prosthetic heart valves and interior cavities. Nadeem and Akram [7] studied the partial slip effects on the peristaltic motion of the Newtonian liquid. Ali et al. [8] studied the effect of slip on the peristaltic flow. Further, Srinivas et al. [9], Akram et al. [10], Hayat et al. [11], Hina et al. [12] and Khan et al. [13] examined the effects of slip conditions.



Since peristaltic transport involves the transport of fluid by contraction and expansion, it includes kinetic energy, which results in the transfer of heat. Heat sources and sinks alter temperature distribution, which influences particle deposition rates in systems like semiconductors, nuclear reactors, and electronic chips. Rehman et al. [14] examined the impact of heat generation or absorption on the peristaltic transport of Jeffrey liquid in a symmetric channel. Sheriff et al. [15] examined peristaltic motion with heat source and sink parameters. The effect of irregular heat generation (or absorption) on convective micropolar liquid motion in an inclined flat plate was studied by Rahman et al. [16].

It has been thought that the heat source or sink is constant, location dependent, or temperature dependent. The radiative effects of heat transmission on various flows are used in high temperature processes and space technology. Internal heat source and sink are difficult to calculate precisely, but several basic mathematical models can approximate its average behaviour in most physical settings. Further, some recent studies as a source of energy transfer. Chemical reactions are used in a variety of industries, on heat generation or absorption studied by Benazir et al. [17], Mahanthesh et al. [18], Hayat et al. [19].

Magnetohydrodynamics (MHD) offers a wide range of industrial uses, including crystal formatting, liquid metal cooling and metal casting blankets for fusion reactors. Electromagnetic pumps, electromagnetic flowmeters and hydromagnetic generators are all examples of its use. Instead of being pushed by technical advancements, MHD technology improvement should be driven by a clinical necessity. This necessity helps present and a new planned MHD tools that have a wide and real influence on the medical field. Magnetic resonance imaging (MRI), MHD micropumps for medication administration, inductive heat therapy of tumours, indicators for the quantification of biologically active elements, MHD-derived biomedical sensors, MHD micromixers, magnetorelaxometry, and actuators are just a few of the biomedical applications for magnetised fluids. Inductive heating under alternating magnetic fields is also being used to evaluate magnetic fluids for cancer therapy. Further, Hayat et al. [20], Machireddy et al. [21] and Khan et al. [22] analysed MHD Carreau fluid, Goqo et al. [23], Gupta et al. [24–26], Reddy et al. [27] and Vaidya et al. [28, 29] examined the effect of MHD in a nanofluid.

In the present paper we have used semi-analytical method known as Differential Transformation Method (DTM). Zhou first proposed the Differential Transformation Method (DTM) in 1986 [30]. A semi-analytical solution in the form of a polynomial is obtained. The Multi-Step Differential Transformation Method is a reliable semi-analytical method (Ms-DTM), which is an effective improvement to the classical differential transformation method. Odibat et al. [31] suggested the implementation of Ms-DTM in 2010 by solving the system of ordinary differential equations. Further, the use of Ms-DTM to solve nonlinear differential equations is given in the references [32–36, 37]. They compare the obtained results with other existing methods.

In view of these appliances, the purpose of this paper is to make new suggestions on the impact of the magnetic field, partial slip flow and irregular heat generation and

absorption on the peristaltic flow of carreau liquid through a flexible channel. Non-linear governing equations are obtained by using Ms-DTM and physical parameter's velocity, concentration and temperature are debated by drawing the graphs.

## 2 Construction of Governing Equations

Consider a Carreau fluid peristaltic movement propagating a sinusoidal wave towards the channel's walls in a two-dimensional flexible channel in a cartesian coordinate system  $(\tilde{X}, \tilde{Y})$ . The physical model of the channel wall surface is shown in Fig. 1 and is given as

$$h(\tilde{X}, \tilde{t}) = a(\tilde{X}) + b \sin\left(\frac{2\pi}{\lambda}(\tilde{X} - c\tilde{t})\right), \tag{1}$$

here  $a(\tilde{X})$  denotes channels half width,  $b$  denotes wave amplitude,  $\lambda$  denotes length of wave,  $c$  denotes velocity propagation and  $\tilde{t}$  is time.

Let  $\tilde{U}$  and  $\tilde{V}$  are velocity components respectively, the velocity field  $V$  can be defined as

$$V = (\tilde{U}, \tilde{V}, 0), \tag{2}$$

For an incompressible Carreau fluid, the constitutive equations are [38]

$$\frac{(\eta - \eta_\infty)}{(\eta_0 - \eta_\infty)} = \left[ \left( 1 + (\Gamma \bar{\gamma})^2 \right)^{\frac{n-1}{2}} \right], \tag{3}$$

$$\bar{\tau} = -\eta_0 \left[ \left( 1 + \frac{(n-1)}{2} (\Gamma \bar{\gamma})^2 \right) \right] \bar{\gamma}, \tag{4}$$

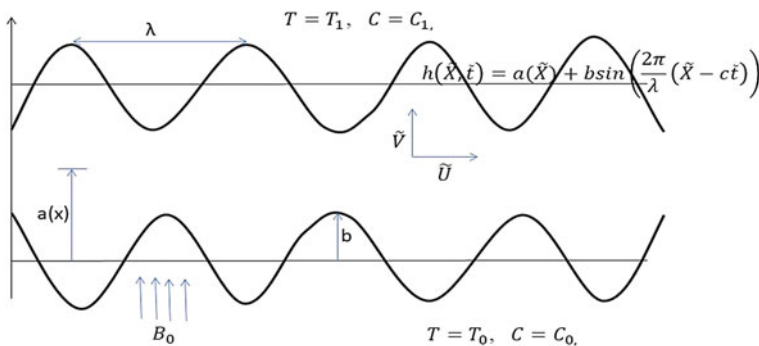


Fig. 1 Geometry of physical model

$\eta_\infty$  stands for infinite shear rate viscosity,  $\eta_0$  for zero shear rate viscosity,  $\eta$  for time constant,  $n$  for dimensionless power law index, and  $\bar{\gamma}$  is defined as.

$$\bar{\gamma} = \sqrt{\frac{1}{2} \sum_i \sum_j \bar{\gamma}_{ij} \bar{\gamma}_{ji}} = \sqrt{\frac{1}{2} \Pi}, \tag{5}$$

where  $\Pi$  is the second invariant of strain tensor.

The governing equations of Carreau liquid are given as [39]

$$\frac{\partial \tilde{U}}{\partial \tilde{X}} + \frac{\partial \tilde{U}}{\partial \tilde{Y}} = 0, \tag{6}$$

$$\rho \left( \frac{\partial \tilde{U}}{\partial \tilde{t}} + \tilde{U} \frac{\partial \tilde{U}}{\partial \tilde{X}} + \tilde{V} \frac{\partial \tilde{U}}{\partial \tilde{Y}} \right) = - \frac{\partial \tilde{p}}{\partial \tilde{X}} + \frac{\partial \tilde{S}_{\tilde{x}\tilde{x}}}{\partial \tilde{X}} + \frac{\partial \tilde{S}_{\tilde{x}\tilde{y}}}{\partial \tilde{Y}} - \sigma * B_0^2 \tilde{U}, \tag{7}$$

$$\rho \left( \frac{\partial \tilde{V}}{\partial \tilde{t}} + \tilde{U} \frac{\partial \tilde{V}}{\partial \tilde{X}} + \tilde{V} \frac{\partial \tilde{V}}{\partial \tilde{Y}} \right) = - \frac{\partial \tilde{p}}{\partial \tilde{Y}} + \frac{\partial \tilde{S}_{\tilde{x}\tilde{x}}}{\partial \tilde{X}} + \frac{\partial \tilde{S}_{\tilde{x}\tilde{y}}}{\partial \tilde{Y}}, \tag{8}$$

$$\begin{aligned} \tau \rho \left( \frac{\partial \tilde{T}}{\partial \tilde{t}} + \tilde{U} \frac{\partial \tilde{T}}{\partial \tilde{X}} + \tilde{V} \frac{\partial \tilde{T}}{\partial \tilde{Y}} \right) &= \kappa \left( \frac{\partial^2 \tilde{T}}{\partial \tilde{X}^2} + \frac{\partial^2 \tilde{T}}{\partial \tilde{Y}^2} \right) \\ &+ \tilde{S}_{\tilde{x}\tilde{x}} \frac{\partial \tilde{U}}{\partial \tilde{X}} + \tilde{S}_{\tilde{y}\tilde{y}} \frac{\partial \tilde{V}}{\partial \tilde{Y}} + \tilde{S}_{\tilde{x}\tilde{y}} \left( \frac{\partial \tilde{V}}{\partial \tilde{X}} + \frac{\partial \tilde{U}}{\partial \tilde{Y}} \right) + Q_0. \end{aligned} \tag{9}$$

$$\frac{\partial \tilde{C}}{\partial \tilde{t}} + \tilde{U} \frac{\partial \tilde{C}}{\partial \tilde{X}} + \tilde{V} \frac{\partial \tilde{C}}{\partial \tilde{Y}} = D \left( \frac{\partial^2 \tilde{C}}{\partial \tilde{X}^2} + \frac{\partial^2 \tilde{C}}{\partial \tilde{Y}^2} \right) + \frac{DK_T}{T_m} \left( \frac{\partial^2 \tilde{T}}{\partial \tilde{X}^2} + \frac{\partial^2 \tilde{T}}{\partial \tilde{Y}^2} \right), \tag{10}$$

The relationship between the laboratory frame and wave frame is defined by

$$\tilde{x} = \tilde{X} - c\tilde{t}, \quad \tilde{y} = \tilde{Y}, \quad \tilde{u}(\tilde{x}, \tilde{y}) = \tilde{U} - c, \quad \tilde{v}(\tilde{x}, \tilde{y}) = \tilde{V}, \tag{11}$$

where  $(\tilde{u}, \tilde{v})$  are velocity components,  $(\tilde{x}, \tilde{y})$  are coordinates in a wave frame.  $B_0$  denotes applied magnetic field,  $T_m$  represents fluid mean temperature,  $K_T$  is the ratio of thermal diffusion,  $D$  denotes the mass diffusivity coefficient and  $Q_0$  denotes the non-uniform heat source and sink parameter.

Introducing, non-dimensional quantities of the following

$$\left. \begin{aligned}
 \psi &= \frac{\tilde{\psi}}{ca}, \quad x = \frac{\tilde{x}}{\lambda}, \quad y = \frac{\tilde{y}}{a}, \quad t = \frac{c\tilde{t}}{\lambda}, \quad M = \sqrt{\frac{\sigma^*}{\eta_0}} B_0 a, \quad p = \frac{a^2 \tilde{p}}{c\lambda\eta_0}, \quad v = \frac{\tilde{v}}{c}, \quad u = \frac{\tilde{u}}{c}, \quad h = \frac{\tilde{h}}{a}, \quad \delta = \frac{a}{\lambda}, \\
 R &= \frac{\rho ca}{\eta_0}, \quad \alpha = \frac{\tilde{\alpha}}{a}, \quad \Omega = \frac{\tilde{C} - \tilde{C}_0}{\tilde{C}_1 - \tilde{C}_0}, \quad \theta = \frac{\tilde{T} - \tilde{T}_0}{\tilde{T}_1 - \tilde{T}_0}, \quad Pr = \frac{\rho\eta_0\zeta}{K}, \quad \dot{\Upsilon} = \frac{\tilde{\Upsilon}a}{c}, \quad We = \frac{\Gamma c}{a}, \quad m = \frac{\tilde{m}\lambda}{a}, \\
 S_r &= \frac{\rho DK_T(\tilde{T}_1 - \tilde{T}_0)}{\eta_0 T_m(\tilde{C}_1 - \tilde{C}_0)}, \quad S_c = \frac{\eta_0}{\rho D}, \quad S_{\tilde{x}\tilde{x}} = \frac{\lambda}{\eta_0 c} \tilde{\delta}_{\tilde{x}\tilde{x}}, \quad S_{\tilde{x}\tilde{y}} = \frac{a}{\eta_0 c} \tilde{\delta}_{\tilde{x}\tilde{y}}, \quad S_{\tilde{y}\tilde{y}} = \frac{a}{\eta_0 c} \tilde{\delta}_{\tilde{y}\tilde{y}}, \quad \beta = \frac{Q_0 a^2}{kT_0}, \\
 E_c &= \frac{c^2}{\zeta(\tilde{T}_1 - \tilde{T}_0)}, \quad h = \frac{\tilde{h}}{a} = 1 + \phi \sin 2\pi x, \quad \phi = \frac{b}{a}, \quad f^* = \frac{q}{ca}, \quad u = \frac{\partial\psi}{\partial y}, \quad v = -\frac{\partial\psi}{\partial x}, \quad Br = E_c Pr.
 \end{aligned} \right\} \tag{12}$$

where  $\theta$  and  $\Omega$  are the dimensionless temperature and concentration respectively.  $R$  denotes Reynolds number,  $\beta$  is Heat source and sink parameter,  $M$  represents Hartman number,  $E_c$  is Eckert number,  $Pr$  denotes Prandtl number,  $Br$  represents Brinkman number,  $S_c$  represents Schmidt number and  $S_r$  denotes Soret number.

The fundamental Eqs. (6)–(10) can be reduced by using the above non-dimensional variables.

$$\frac{\partial p}{\partial x} = \frac{\partial S_{xy}}{\partial y} - M^2 \frac{\partial \psi}{\partial y}, \tag{13}$$

$$\frac{\partial p}{\partial y} = 0, \tag{14}$$

$$\frac{\partial^2 \theta}{\partial y^2} + Br S_{xy} \frac{\partial^2 \psi}{\partial y^2} + \beta = 0, \tag{15}$$

$$\frac{1}{S_c} \frac{\partial^2 \Phi}{\partial y^2} + S_r \frac{\partial^2 \theta}{\partial y^2} = 0, \tag{16}$$

$$S_{xx} = 2 \left( 1 + \frac{(n-1)}{2} We^2 \dot{\gamma}^2 \right) \left( \frac{\partial^2 \psi}{\partial x \partial y} \right), \tag{17}$$

$$S_{xy} = S_{yx} = \left( 1 + \frac{(n-1)}{2} We^2 \dot{\gamma}^2 \right) \left( \frac{\partial^2 \psi}{\partial y^2} - \delta^2 \frac{\partial^2 \psi}{\partial x^2} \right), \tag{18}$$

$$S_{yy} = -2\delta \left( 1 + \frac{(n-1)}{2} We^2 \dot{\gamma}^2 \right) \frac{\partial^2 \psi}{\partial x \partial y}, \tag{19}$$

$$\dot{\gamma} = \left[ 2\delta^2 \left( \frac{\partial^2 \psi}{\partial x \partial y} \right)^2 + \left( \frac{\partial^2 \psi}{\partial y^2} - \delta^2 \frac{\partial^2 \psi}{\partial x^2} \right)^2 + 2\delta^2 \left( \frac{\partial^2 \psi}{\partial y \partial x} \right)^2 \right]^{\frac{1}{2}}, \tag{20}$$

Removing pressure from Eqs. (13) and (14), provides

$$\frac{\partial^2}{\partial y^2} \left[ \frac{\partial^2 \psi}{\partial y^2} + \left( \frac{n-1}{2} \right) We^2 \left( \frac{\partial^2 \psi}{\partial y^2} \right)^3 \right] - M^2 \frac{\partial^2 \psi}{\partial y^2} = 0. \tag{21}$$

The dimensionless boundary constraints in the problem’s wave frame as follows

$$\left. \begin{aligned} \psi = 0, \quad \frac{\partial^2 \psi}{\partial y^2} = 0, \quad \theta = 0, \quad \Omega = 0 \quad \text{at } y = 0, \\ \psi = f^*, \quad \frac{\partial \psi}{\partial y} + \alpha S_{xy} = -1, \quad \theta = 1, \quad \Omega = 1 \quad \text{at } y = h = 1 + \phi \sin 2\pi x. \end{aligned} \right\} \tag{22}$$

Here, we have considered  $f^*$  is the mean flow over a period

$$\Theta = f^* + 1, \quad f^* = \int_0^h \frac{\partial \psi}{\partial y} dy, \tag{23}$$

where  $\Theta = \frac{Q}{ca}$  and  $f^* = \frac{q}{ca}$ .

Using Ms-DTM with symbolic Mathematica tools, the Eqs. (19)–(21) with the boundary conditions (22) are solved.

The Skin friction coefficient (wall shear stress), Nusselt number (heat transfer rate) and Sherwood number (mass transfer rate) are presented in forms [27, 28]:

$$C_f = - \left. \frac{\partial u}{\partial y} \right]_{y=h}, \tag{24}$$

$$N_u = - \left. \frac{\partial \theta}{\partial y} \right]_{y=h}, \tag{25}$$

$$S_h = - \left. \frac{\partial \Omega}{\partial y} \right]_{y=h} \tag{26}$$

### 2.1 Multi-step Differential Transformation Method

Let us take a function  $u(z)$  that is analytical in a domain T and let  $z=z_0$  any point denoted in T. The function  $u(z)$  is then expressed by a power series with the centre placed at  $z_0$ . The differential transformation of the function  $u(z)$  is written as

$$U(k) = \frac{1}{K!} \left[ \frac{d^k u(z)}{dz^k} \right]_{z=z_0} \tag{27}$$

The original function  $u(z)$  and the transformed function  $U(k)$  are here. The conversion of the inverse is given as

$$u(z) = \sum_{k=0}^{\infty} (z - z_0)^k U(k). \tag{28}$$

From above Eqs. (27) and (28), we get

$$u(z) = \sum_{k=0}^{\infty} \frac{(z - z_0)^k}{K!} \left[ \frac{d^k u(z)}{dz^k} \right]_{z=z_0} \tag{29}$$

The technique does not symbolically test the derivatives, and the concept of DTM is obtained from Taylor series expansion.

The function  $u(z)$  is given by a finite series and Eq. (27) written in the form of

$$u(z) \cong \sum_{k=0}^{\infty} (z - z_0)^k U(k). \tag{30}$$

It gives that  $u(z) = \sum_{k=-m+1}^{\infty} (z - z_0)^k U(k)$  is neglected as it is small. Also, the convergence of the series coefficients decides the value of  $m$ .

### 2.2 Method of Solution

We can get the solution of the Eqs. (19–21) with the suitable boundary constraints (22) using the Multi-step DTM as follows:

$$\begin{aligned} & (k + 4)(k + 3)(k + 2)(k + 1)\Psi(k + 4) + \left(\frac{n-1}{2}\right) \\ & 6We^2 \sum_{l_2=0}^k \sum_{l_1=0}^{l_2} \Psi(l_1 + 2)\Psi(l_2 - l_1 + 3)\Psi(k - l_2 + 3) \\ & (l_1 + 1)(l_1 + 2)(l_2 - l_1 + 1)(l_2 - l_1 + 2) \\ & (l_2 - l_1 + 3)(k - l_2 + 1)(k - l_2 + 2)(k - l_2 + 3) + \end{aligned} \tag{31}$$

$$\begin{aligned} & \left(\frac{n-1}{2}\right) 3We^2 \sum_{l_2=0}^k \sum_{l_1=0}^{l_2} \Psi(l_1 + 4)\Psi(l_2 - l_1 + 2) \\ & \Psi(k - l_2 + 2)(l_1 + 4)(l_1 + 3)(l_1 + 2)(l_1 + 1) \\ & (l_2 - l_1 + 1)(l_2 - l_1 + 2)(k - l_2 + 1)(k - l_2 + 2) \\ & - M^2(k + 2)(k + 1)\Psi(k + 2) = 0 \\ & (k + 2)(k + 1)\Theta(k + 2) + B_r \sum_{l=0}^k (l + 1)(l + 2)\Psi(l + 2) \\ & (k - l + 1)(k - l + 2)\Psi(k - l + 2) + \left(\frac{n-1}{2}\right) We^2 \\ & \sum_{l_3=0}^k \sum_{l_2=0}^{l_3} \sum_{l_1=0}^{l_2} \Psi(l_1 + 2)\Psi(l_2 - l_1 + 2)\Psi(l_3 - l_2 + 2)\Psi(k - l_3 + 2) \\ & (l_1 + 1)(l_1 + 2)(l_2 - l_1 + 1)(l_2 - l_1 + 2)(l_3 - l_2 + 1)(l_3 - l_2 + 2) \\ & (k - l_3 + 1)(k - l_3 + 2) + \beta \delta(k - 0) = 0 \end{aligned} \tag{32}$$

$$\left(\frac{1}{S_c}\right)(k + 2)(k + 1)\Phi(k + 2) + S_r(k + 2)(k + 1)\Theta(k + 2) = 0 \quad (33)$$

where  $\Psi[k]$ ,  $\Theta[k]$  and  $\Phi[k]$  and are the differential transformation functions of  $\psi(y)$ ,  $\theta(y)$  and  $\Omega(y)$  respectively and given as

$$\psi(y) \cong \sum_{k=0}^m \Psi(k)y^k, \quad (34)$$

$$\theta(y) \cong \sum_{k=0}^m \Theta(k)y^k, \quad (35)$$

$$\Omega(y) \cong \sum_{k=0}^m \Phi(k)y^k, \quad (36)$$

The transformed form of boundary conditions areas follows

$$\begin{aligned} \Psi(0) = 0, \quad \Psi(1) = a, \quad \Psi(2) = 0, \quad \Psi(3) = \frac{b}{6}, \\ \Theta(0) = 0, \quad \Theta(1) = c, \quad \Phi(0) = 0, \quad \Phi(1) = d. \end{aligned} \quad (37)$$

where a, b, c, d are unknown coefficients that must be determined.

Putting Eq. (37) into Eqs. (21)–(33) and other values of  $\Psi[k]$ ,  $\Theta[k]$  and  $\Phi[k]$  can be determined by the recursive method. Hence, substitute all  $\Psi[k]$ ,  $\Theta[k]$  and  $\Phi[k]$  into Eqs. (34)–(36), We have a series of solutions as

$$\psi(y) = ay + \frac{b}{6}y^3 + \left(\frac{M^2b - 3we^2(n - 1)b^3}{125}\right)y^5 + \dots \quad (38)$$

$$\theta(y) = cy + \frac{\beta}{2}y^2 - \left(\frac{B_r b^2}{12}\right)y^4 + \dots \quad (39)$$

$$\Omega(y) = dy - \left(\frac{S_c S_r \beta}{2}\right)y^2 + \left(\frac{S_c S_r B_r b^2}{12}\right)y^3 + \dots \quad (40)$$

Differentiating Eq. (38) partially with respect to y we get the velocity equation as

$$U(y) = a + \frac{b}{2}y^2 + \left(\frac{M^2b - 3we^2(n - 1)b^3}{24}\right)y^4 + \dots \quad (41)$$

Using boundary conditions of Eq. (22) we can obtain the values of a, b, c, d.

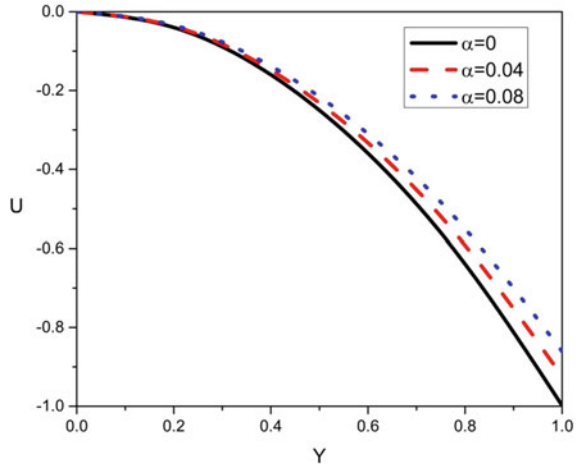
$$a \rightarrow 0, b \rightarrow -1.9231537254,$$

$$c \rightarrow 0.9813324, d \rightarrow 0.000307692.$$

### 3 Results and Discussion

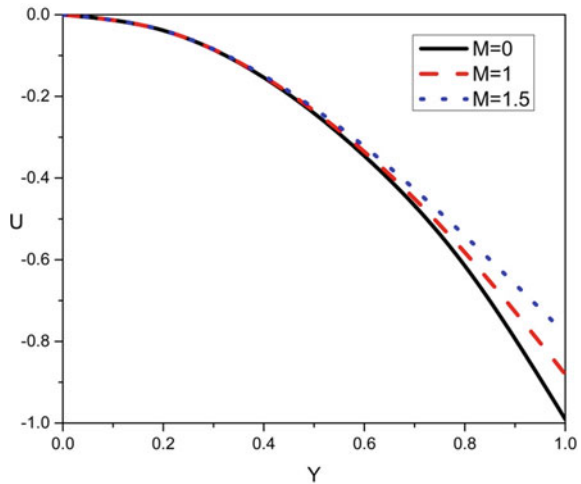
The system of non-linear coupled partial differential equations is obtained from the above description. Exact solutions are difficult to achieve when solving explicitly. The problem in hand is approximated semi-analytically using Multi-step-Differential Transformation Method with Mathematica software and graphical results are drawn in Origin software. Here we discuss the development of velocity, temperature and concentration profiles corresponding to the variation of velocity slip  $\alpha$ , Hartman number  $M$ , Weissenberg number  $We$ , Power law index  $n$ , heat source and sink parameter  $\beta$ , Brinkman number  $B_r$ , Skin friction coefficient  $C_f$ , Nusselt number  $N_u$ , Sherwood number  $S_h$ ,  $\Delta P$  Pressure gradient, Schmidt number  $S_c$  and Soret number  $S_r$ .

**Fig. 2** Velocity distribution for various values of velocity slip parameter  $\alpha$  with  $M = 0.1, n = 2, We = 0.1, \beta = 0.1, B_r = 0.1, S_c = S_r = 0.1$





**Fig. 3** Velocity profile for various values of Hartman number  $M$  with  $\alpha = 0.02$ ,  $n = 2$ ,  $We = 0.1$ ,  $\beta = 0.1$ ,  $B_r = 0.1$ ,  $S_c = S_r = 0.1$



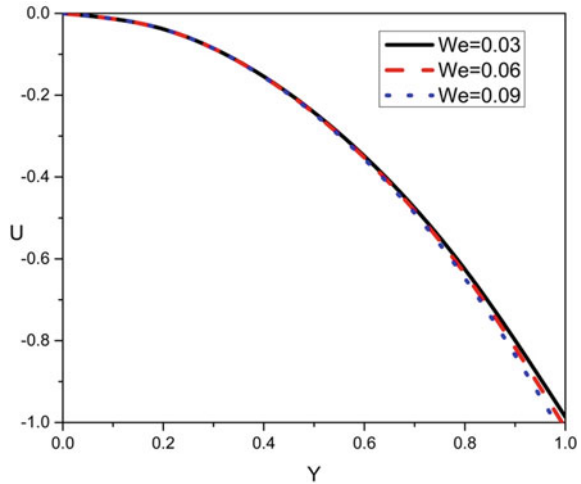
### 3.1 Velocity Profile

The effects of  $\alpha, M, We$  and  $n$  on velocity profile  $u(y)$  are presented through Figs. 2 and 5. It is seen from Fig. 2 that velocity in peristaltic pumping increases with enhancing the magnitudes of velocity slip  $\alpha$ . It is discovered that enhancing  $\alpha$ , decreases the velocity of the fluid in the channel’s central part while raising it near the channel’s walls. For larger levels of the velocity slip characteristic, the momentum barrier layer is smaller. Figure 3 shows that the velocity near the centre of the channel surface diminishes by enhancing the Hartman number  $M$ . An opposite outcome is observed near the surface. The magnetic parameter is the ratio of electromagnetic force to viscous force from a physical aspect, and large magnitudes of the magnetic characteristic indicate that the Lorentz force enhances, resulting in increased resistance to transport phenomena and a decrease in fluid velocity. As a result, the thickness of the momentum barrier layer drops as the magnetic factor increases. Figures 4 and 5 shows that velocity profile decreases with enhancing the values of Weissenberg number  $We$  and Power law index  $n$ .

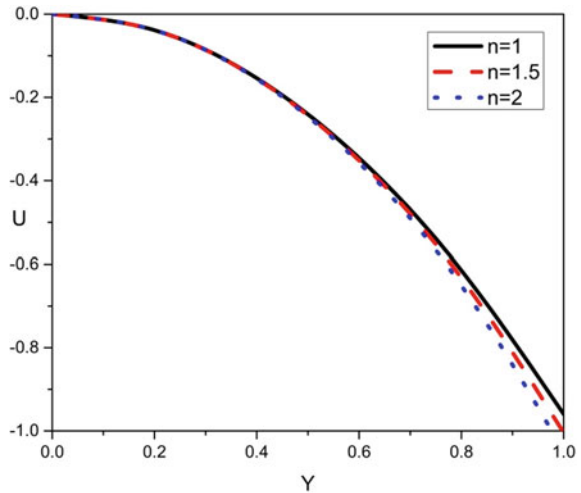
### 3.2 Temperature Profile

Figures 6 and 7 are prepared to examine the temperature via  $\beta$  and  $B_r$ . Figure 6 shows the increase in temperature by enhancing the values of the heat source and sink parameter  $\beta$ . Figure 7 demonstrates the nature of the temperature pattern for several values of the Brinkman number  $B_r$ . The heat function and irregular heat factors are inversely proportional. Heat producers are irregular heat parameters with positive values. As a result, the boundary layer discharges a significant quantity of

**Fig. 4** Velocity distribution for various values of Weissenberg number  $We$ .  
 $M = 0.1, n = 2, \alpha = 0.02, \beta = 0.1, B_r = 0.1, S_c = S_r = 0.1$



**Fig. 5** Velocity distribution for various values of power law index  $n$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, \beta = 0.1, B_r = 0.1, S_c = S_r = 0.1$

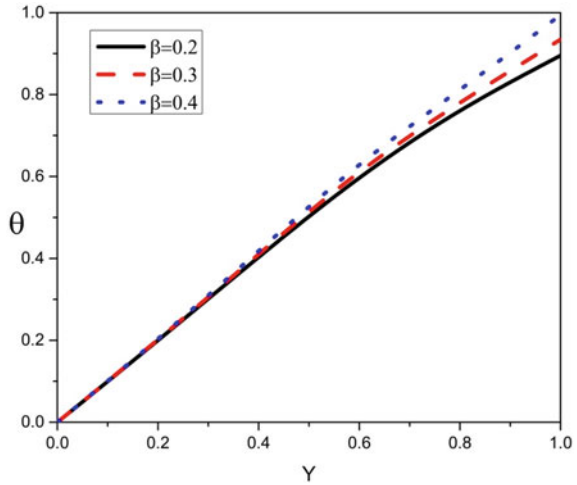


thermal energy into the flow, resulting in an increase in the fluid's temperature. As a result, temperature profiles are boosted by heat source/sink parameters that are temperature and space dependent.

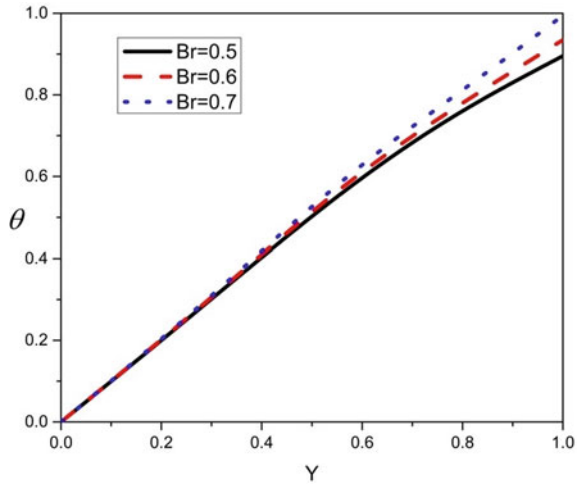
### 3.3 Concentration Profile

Figures 8, 9, 10 and 11 demonstrate that the concentration profile of the nanoparticle is clarified by the effects of  $\beta, B_r, S_c$  and  $S_r$ . It is observed from Fig. 8 that concentration

**Fig. 6** Temperature distribution for various values of non-uniform heat source and sink parameter  $\beta$ .  $M = 0.1$ ,  $We = 0.1$ ,  $\alpha = 0.02$ ,  $n = 2$ ,  $Br = 0.8$ ,  $S_c = S_r = 0.1$

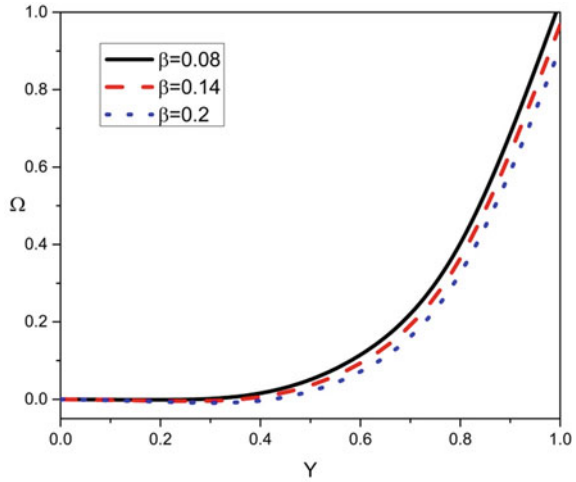


**Fig. 7** Temperature distribution for various values of Brinkman number  $Br$ .  $M = 0.1$ ,  $We = 0.1$ ,  $\alpha = 0.02$ ,  $n = 2$ ,  $\beta = 0.2$ ,  $S_c = S_r = 0.1$

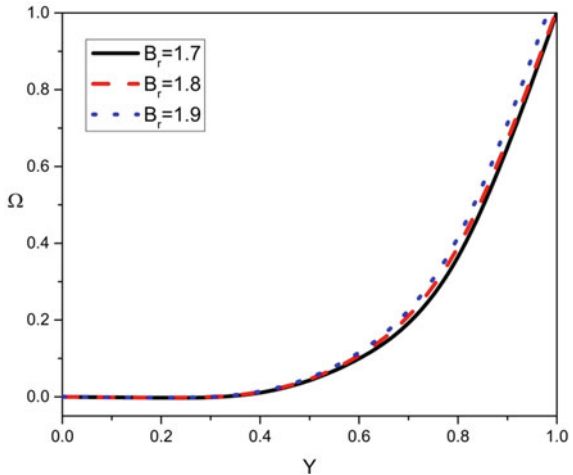


in peristaltic motion lessens by enhancing the values of heat source and sink parameter  $\beta$ . Figure 9 demonstrates the nature of the concentration profile for different values of the Brinkman number  $Br$ . Figure 10 shows that Schmidt number  $S_c$  have an increasing effect on concentration. Schmidt number  $S_c$  is a rate of viscous diffusion to molecular diffusion. Hence, a greater Schmidt number  $S_c$  enhances the rate of viscous diffusion. A substantial increase in concentration is seen by enhancing the Soret number  $S_r$  (see Fig. 11). The ratio of the thermodiffusion coefficient to the diffusion coefficient is known as the Soret number.

**Fig. 8** Concentration distribution for various values of non-uniform heat source and sink parameter  $\beta$ .  $M = 0.1$ ,  $We = 0.1$ ,  $\alpha = 0.02$ ,  $n = 2$ ,  $B_r = 1.8$ ,  $S_c = 2$ ,  $S_r = 1$



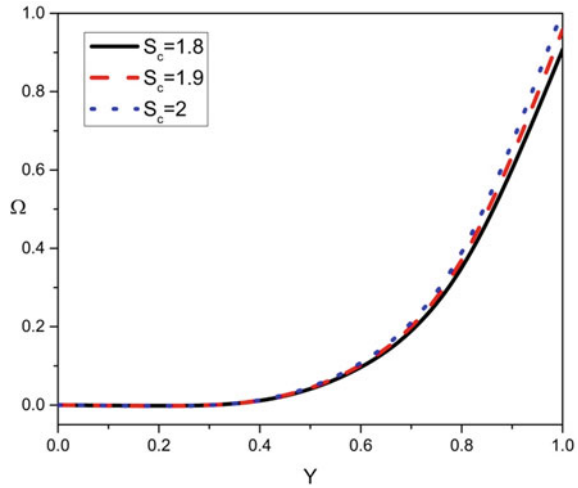
**Fig. 9** Concentration distribution for various values of Brinkman number  $B_r$ .  $M = 0.1$ ,  $We = 0.1$ ,  $\alpha = 0.02$ ,  $n = 2$ ,  $\beta = 0.1$ ,  $S_c = 2$ ,  $S_r = 1$



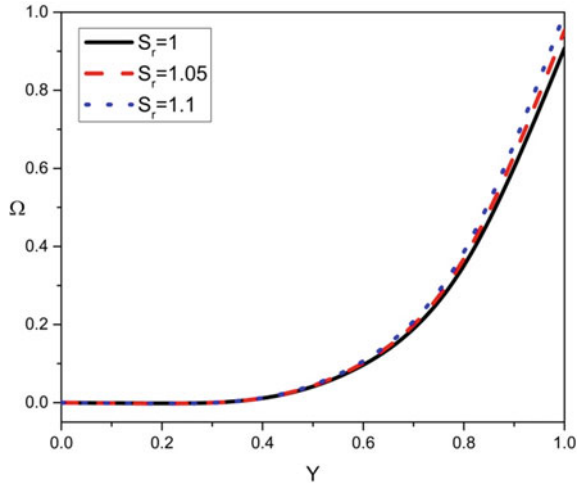
### 3.4 Impact of Physical Parameters

Shear stress, rate of heat transfer, and rate of mass transfer on the channel walls progress as a real fluid flows through it. As a result, the distribution of the (shear stress) skin friction coefficient, (mass transfer rate) Sherwood number, and the (heat transfer rate) Nusselt number are discussed in this subsection for a wide range of physical constant values. It is observed from Fig. 12 that skin friction coefficient rises by enhancing the values of velocity slip  $\alpha$ . Figure 13 demonstrates the nature of skin friction coefficient for different values of the Hartmann number  $M$ . Figure 14 shows the Nusselt number  $N_u$  diminishes as the heat source and sink parameter  $\beta$

**Fig. 10** Concentration distribution for various values of Schmidt number  $S_c$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, \beta = 0.1, B_r = 1.8, S_r = 1$

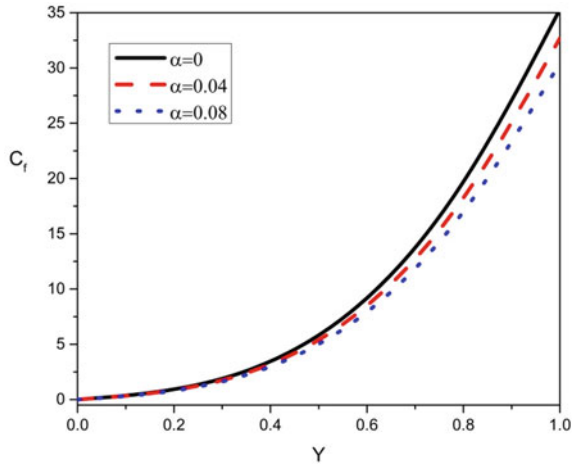


**Fig. 11** Concentration profile for various values of Soret number  $S_r$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, \beta = 0.1, B_r = 1.8, S_c = 1.8$

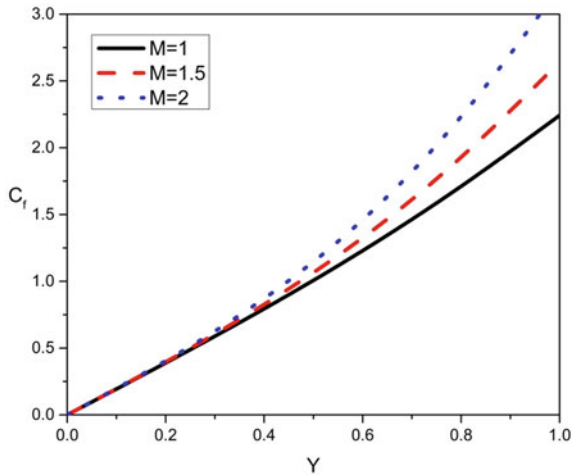


fall. A substantial increase in the Nusselt number is seen by enhancing the Brinkman number  $B_r$  (see Fig. 15). Figures 16 and 17 show that the Schmidt number  $S_c$  and Soret number  $S_r$  have a decreasing effect on the Sherwood number  $S_h$ . It is seen that the relation between pressure rise in contrast to the time averaged flow rate is linear and inversely proportional to each other. The variations of pressure rise versus mean flow for different values of velocity slip  $\alpha$  is illustrated in Fig. 18. The effect of the Hartmann number  $M$  on the streamline pattern is shown in Figs. 19 and 20. The volume of the trapped bolus decreases with increasing  $M$ . The effect of the velocity slip parameter on the streamline pattern is shown in Figs. 21 and 22. From this figure,

**Fig. 12** Skin friction coefficient for various values of velocity slip  $\alpha$ .  
 $M = 0.1, n = 2, We = 0.1, \beta = 0.1, Br_r = 0.1, S_c = S_r = 0.1$



**Fig. 13** Skin friction coefficient for various values of Hartman number  $M$ .  
 $\alpha = 0.02, n = 2, We = 0.1, \beta = 0.1, Br_r = 0.1, S_c = S_r = 0.1$

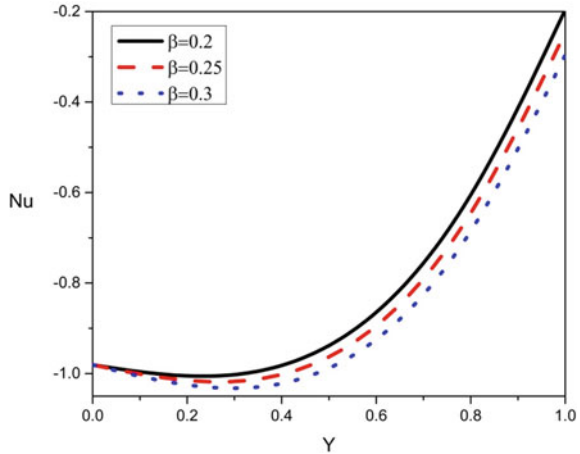


we observe that the size of the trapping bolus increases with the increasing velocity slip parameter  $\alpha$ .

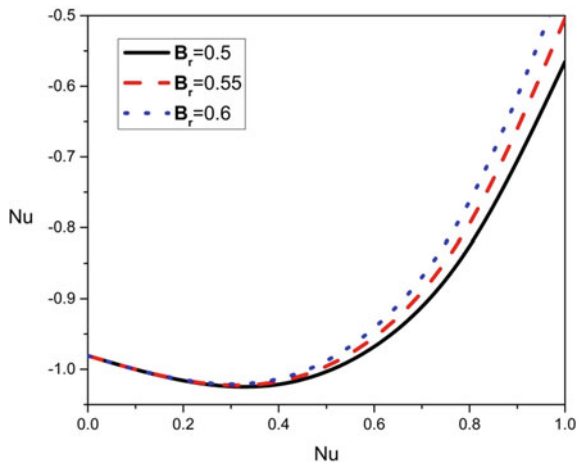
## 4 Conclusion

In this study, we investigated the effect of partial slip circumstances and MHD on peristaltic transport of a Carreau fluid in a flexible channel with a heat source or sink parameter under the assumptions of long wavelength and low Reynolds number. Graphs are used to examine the flow characteristics' behaviour.

**Fig. 14** Nusselt number for various values of non-uniform heat source and sink parameter  $\beta$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, B_r = 0.8, S_c = S_r = 0.1$



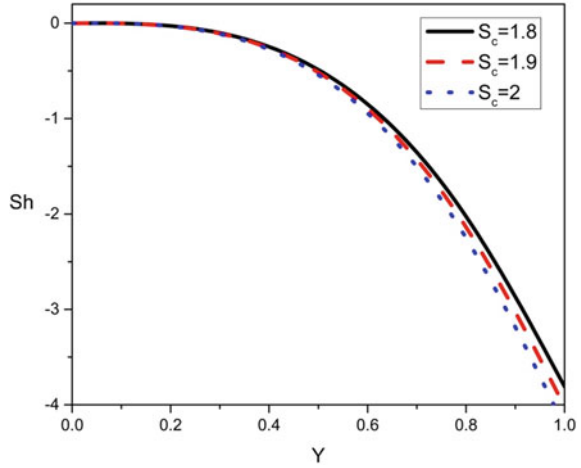
**Fig. 15** Nusselt number for various values of Brinkman number  $B_r$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, \beta = 0.2, S_c = S_r = 0.1$



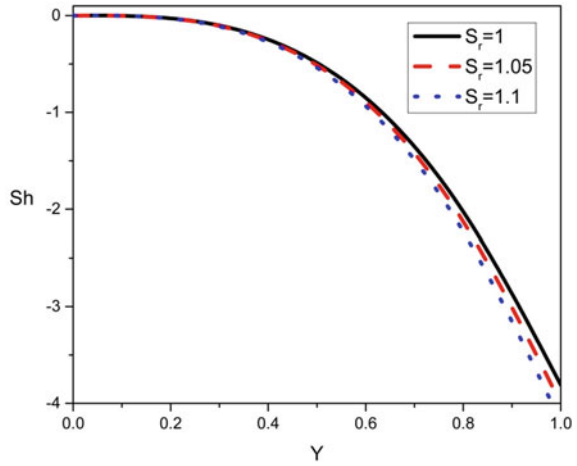
The following are the key findings of this study:

- The velocity appears to be increasing with enhancing the values of velocity slip  $\alpha$ . The velocity near the centre of the channel wall diminishes by enhancing  $M$ , while opposite outcomes are observed near the wall.
- It is observed that the temperature increases with increasing the magnitudes of heat generation or absorption sink parameter  $\beta$  and Brinkman number  $B_r$ .
- It is observed that concentration diminishes with enhancing the magnitudes of heat source and sink parameter  $\beta$ . A substantial increase in concentration is seen by enhancing the Brinkman number  $B_r$ , Soret number  $S_r$  and Schmidt number  $S_c$ .
- It is observed that skin friction coefficient rises by enhancing the values of velocity slip  $\alpha$ . The Nusselt number  $N_u$  diminishes as the heat source and sink parameter

**Fig. 16** Sherwood number for various values of Brinkman number  $S_c$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, \beta = 0.1, B_r = 1.8, S_r = 1$



**Fig. 17** Sherwood number for various values of Brinkman number  $S_r$ .  
 $M = 0.1, We = 0.1, \alpha = 0.02, n = 2, \beta = 0.1, B_r = 1.8, S_c = 1.8$

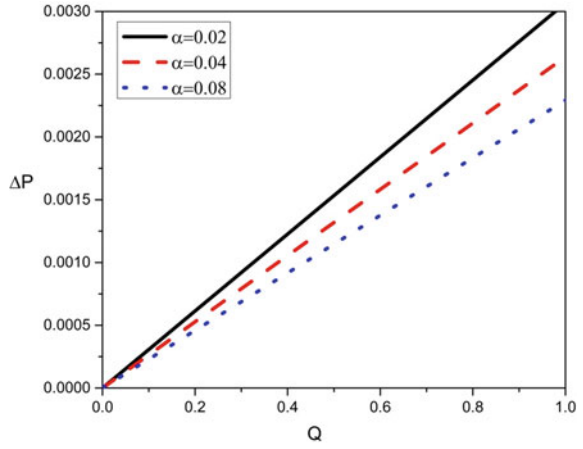


$\beta$  falls. A substantial increase in the Nusselt number is seen by enhancing the Brinkman number  $B_r$ . Schmidt number  $S_c$  and Soret number  $S_r$  have a decreasing effect on Sherwood number  $S_h$ .

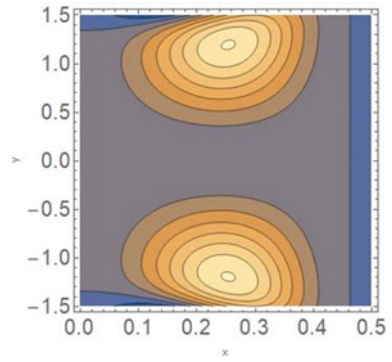
- The effect of the velocity slip parameter on the streamline pattern is that the size of the trapping bolus increases with the increasing velocity slip parameter  $\alpha$ . The effect of the Hartmann number M on the streamline pattern is that the volume of the trapped bolus decreases with increasing M.



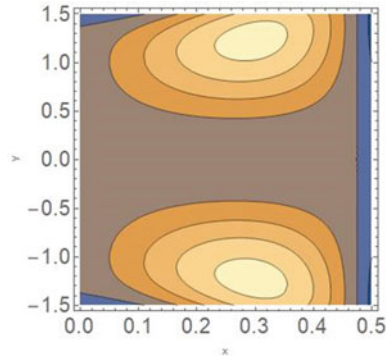
**Fig. 18** Variation of  $\Delta P$  for various values of velocity slip  $\alpha$ .  
 $M = 0.1, n = 2, We = 0.1$



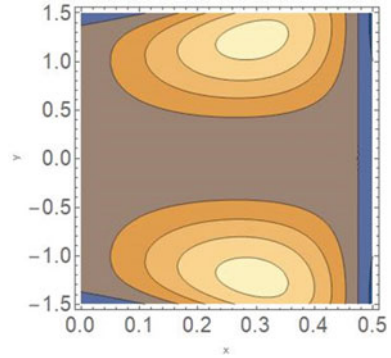
**Fig. 19** Effect of M on stream lines when  $M = 1.5$



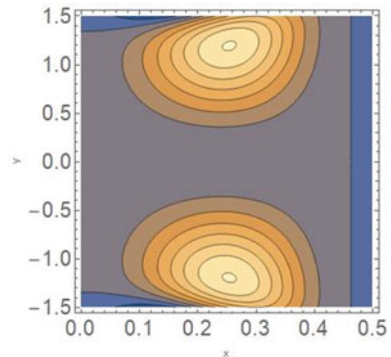
**Fig. 20** Effect of M on stream lines when  $M = 1.6$



**Fig. 21** Effect of velocity slip  $\alpha$  on stream lines when  $\alpha = 0.02$



**Fig. 22** Effect of velocity slip  $\alpha$  on stream lines when  $\alpha = 0.04$



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# Invariant Preserving Schemes for Multi-symplectic Integrator of Two Long Waves' Interactions



Ram Dayal Pankaj

**Abstract** The concept of discrete conservation of symplecticity to discretization for two long waves' interactions is presented. This property is endemic and we express that it also leads to exact discrete conservation of momentum and energy for the propagation of two long waves' interactions. This restricted property is stronger than the global property which that synthesis over all spatial framework points leads to a distinct symplectic scheme in the time route. Multi-symplectic integrators are earned for the propagation of two long waves' interactions and investigate the conservation properties of multi-symplectic integrators. Numerical simulations are also offered.

**Keywords** Multi-symplectic integrators · Two long waves' interactions · Numerical simulations

## 1 Introduction

Physical phenomena and processes occurring in nature usually have complicated nonlinear effects. Most of the physical phenomena are described by an appropriate set of nonlinear partial differential equations. A modal which describes the two long waves' interactions through different dispersion relations is called nonlinear-coupled Korteweg-de Vries (NCKDV) system [1] as follows:

$$\begin{aligned}\Psi_t - \alpha\Psi_{xxx} - [3\alpha\Psi^2 + \beta v^2]_x &= 0 \\ v_t + v_{xxx} + 3\Psi v_x &= 0\end{aligned}\tag{1}$$

where both  $\alpha$  and  $\beta$  are nonzero positive parameters and  $\Psi(x, t)$  and  $v(x, t)$  are the function of  $x$  and  $t$ . This system is used for wave-wave interactions in different media [2–4]. Currently, many analytic methods [5–15] and numerical methods [16–26] have been applied to constructing the exact solutions of nonlinear evolution equations

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R. D. Pankaj (✉)  
Department of Mathematics, J.N.V. University, Jodhpur, Rajasthan, India  
e-mail: [drrdpankaj@yahoo.com](mailto:drrdpankaj@yahoo.com)

(NLEEs). In this assignment, we will discretize the propagation of the CKDV system with a new six-point scheme, which is a correspondent finite difference scheme and explain the multi-symplectic structure. The benefit of this structure will be provided with evidence by numerical simulations.

## 2 Multi-symplectic Integrator of Two Long Waves' Interactions

We take  $E(x, t)$ ,  $\eta(x, t)$ ,  $\theta(x, t)$  and  $\phi(x, t)$  as the functions of  $x$  and  $t$ , such as  $\Psi = E(x, t) + i\eta(x, y)$ ,  $v = \theta(x, t) + i\phi(x, y)$  put in Eq. (1) and we get

$$E_t - \alpha E_{xxx} - 6\alpha\{EE_x + \eta\eta_x\} - 2\beta\{\theta\theta_x - \phi\phi_x\} = 0 \quad (2)$$

$$\eta_t - \alpha\eta_{xxx} - 6\alpha\{\eta E_x + \eta E_x\} - 2\beta\{\theta\phi_x + \phi\theta_x\} = 0 \quad (3)$$

$$\theta_t + \theta_{xxx} + 3\{E\theta_x - \eta\phi_x\} = 0 \quad (4)$$

$$\phi_t + \phi_{xxx} + 3\{\eta\theta_x + E\phi_x\} = 0 \quad (5)$$

Announcing the recognized momenta

$$E_x = p, \eta_x = r, \theta_x = \hbar, \phi_x = m, p_x = q, r_x = s, \hbar_x = I, m_x = k \quad (6)$$

The system may be transcribed as follows:

$$A\omega_t + B\omega_x = \nabla_\omega F(\omega) \quad (7)$$

where  $x$  and  $t$  are independent variables belonging to  $\mathbb{R}^2$ ,  $\omega$  is a state variable belonging to  $\mathbb{R}^d$  with  $d \geq 2$  and  $F: \mathbb{R}^d \rightarrow \mathbb{R}$  is a scalar-valued smooth function,  $\nabla_\omega$  as benchmark gradient in  $\mathbb{R}^d$  for  $F(\omega)$ ,  $\nabla_\omega F(\omega)$ ,  $\omega_x = \frac{\partial \omega}{\partial x}$  and  $\omega_t = \frac{\partial \omega}{\partial t}$ . In the sense of a multi-symplectic integrator for the model,  $A$  is a square matrix of  $12 \times 12$  order for  $t$  trend and  $B$  is the same as in  $x$  trend and  $F$  as Hamiltonian [18, 19, 21]. Eq. (7) is a multi-symplectic structure for model equation Eq. (1) with the state variables

$$\omega = (E, \eta, p, r, \theta, \phi, \hbar, m, q, s \cdot I, k)^T \in \mathbb{R}^{12}$$

Then we get the multi-symplectic structure for the model equation



$$B \approx \kappa = \begin{bmatrix} -\alpha & 0 & 0 & 0 \\ 0 & -\alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \text{ with eigen value one (1) and } -\alpha$$

Applying the midpoint finite difference method for discretizing multi-symplectic integrator of NCKDV systems (multi-symplectic structure for model equations) respectively, we shall be able to get

$$\frac{E_{l+1}^{n+1/2} - E_l^{n+1/2}}{\Delta x} = p_{l+1/2}^{n+1/2} = \hat{p} \tag{8}$$

$$\frac{\eta_{l+1}^{n+1/2} - \eta_l^{n+1/2}}{\Delta x} = r_{l+1/2}^{n+1/2} = \hat{r} \tag{9}$$

$$\frac{p_{l+1}^{n+1/2} - p_l^{n+1/2}}{\Delta x} = q_{l+1/2}^{n+1/2} = \hat{q} \tag{10}$$

$$\frac{r_{l+1}^{n+1/2} - r_l^{n+1/2}}{\Delta x} = s_{l+1/2}^{n+1/2} = \hat{s} \tag{11}$$

$$\frac{\theta_{l+1}^{n+1/2} - \theta_l^{n+1/2}}{\Delta x} = \hat{h}_{l+1/2}^{n+1/2} = \hat{h} \tag{12}$$

$$\frac{\phi_{l+1}^{n+1/2} - \phi_l^{n+1/2}}{\Delta x} = m_{l+1/2}^{n+1/2} = \hat{m} \tag{13}$$

$$\frac{\hat{h}_{l+1}^{n+1/2} - \hat{h}_l^{n+1/2}}{\Delta x} = I_{l+1/2}^{n+1/2} = \hat{I} \tag{14}$$

$$\frac{m_{l+1}^{n+1/2} - m_l^{n+1/2}}{\Delta x} = k_{l+1/2}^{n+1/2} = \hat{k} \tag{15}$$

$$\frac{E_{l+1/2}^{n+1} - E_{l+1/2}^n}{\Delta t} - \alpha \frac{q_{l+1}^{n+1/2} - q_l^{n+1/2}}{\Delta x} = \left[ 6\alpha (\hat{E} \hat{p} - \hat{\eta} \hat{r}) + 3\beta (\hat{\theta} \hat{h} - \hat{\phi} \hat{m}) \right] \tag{16}$$

$$\frac{\eta_{l+1/2}^{n+1} - \eta_{l+1/2}^n}{\Delta t} - \alpha \frac{s_{l+1}^{n+1/2} - s_l^{n+1/2}}{\Delta x} = \left[ 6\alpha (\hat{\eta} \hat{p} + \hat{E} \hat{r}) + 3\beta (\hat{\theta} \hat{m} + \hat{\phi} \hat{h}) \right] \tag{17}$$

$$\frac{\theta_{l+1/2}^{n+1} - \theta_{l+1/2}^n}{\Delta t} + \frac{I_{l+1}^{n+1/2} - I_l^{n+1/2}}{\Delta x} = 3(\hat{\eta} \hat{m} - \hat{E} \hat{h}) \tag{18}$$



$$\frac{\phi_{l+1/2}^{n+1} - \phi_{l+1/2}^n}{\Delta t} + \frac{k_{l+1}^{n+1/2} - k_l^{n+1/2}}{\Delta x} = -3(\hat{E}\hat{m} + \hat{\eta}\hat{h}) \tag{19}$$

where  $\hat{E} = E_{l+1/2}^{n+1/2}$ ,  $\hat{\eta} = \eta_{l+1/2}^{n+1/2}$ ,  $\hat{\theta} = \theta_{l+1/2}^{n+1/2}$ ,  $\hat{\phi} = \phi_{l+1/2}^{n+1/2}$ .

We eliminate  $p, r, \bar{h}, m, q, s, I$  and  $k$ . From the above equations, therefore, we derive from Eqs. (16), (17), (18) and (19), respectively

$$\begin{aligned} & \frac{E_{l+1/2}^{n+1} - E_{l+1/2}^n + E_{l+3/2}^{n+1} - E_{l+3/2}^n}{\Delta t} - \alpha \frac{(E_{l+2}^{n+1/2} - 3E_{l+1}^{n+1/2} + 3E_l^{n+1/2} - E_{l-1}^{n+1/2})}{(\Delta x)^3} \\ & - \frac{3\alpha}{(\Delta x)} \left( (E_{l+2}^{n+1/2} E_{l+2}^{n+1/2} - E_l^{n+1/2} E_l^{n+1/2}) - (\eta_{l+2}^{n+1/2} \eta_{l+2}^{n+1/2} - \eta_l^{n+1/2} \eta_l^{n+1/2}) \right) \\ & - \frac{\beta}{(\Delta x)} \left( (\theta_{l+2}^{n+1/2} \theta_{l+2}^{n+1/2} - \theta_l^{n+1/2} \theta_l^{n+1/2}) - (\phi_{l+2}^{n+1/2} \phi_{l+2}^{n+1/2} - \phi_l^{n+1/2} \phi_l^{n+1/2}) \right) = 0 \end{aligned} \tag{20}$$

$$\begin{aligned} & \frac{\eta_{l+1/2}^{n+1} - \eta_{l+1/2}^n + \eta_{l+3/2}^{n+1} - \eta_{l+3/2}^n}{\Delta t} - \alpha \frac{(\eta_{l+2}^{n+1/2} - 3\eta_{l+1}^{n+1/2} + 3\eta_l^{n+1/2} - \eta_{l-1}^{n+1/2})}{(\Delta x)^3} \\ & - \frac{6\alpha}{(\Delta x)} (E_{l+2}^{n+1/2} \eta_{l+2}^{n+1/2} - E_l^{n+1/2} \eta_l^{n+1/2}) - \frac{2\beta}{(\Delta x)} (\theta_{l+2}^{n+1/2} \phi_{l+2}^{n+1/2} - \theta_l^{n+1/2} \phi_l^{n+1/2}) = 0 \end{aligned} \tag{21}$$

$$\begin{aligned} & \frac{\theta_{l+1/2}^{n+1} - \theta_{l+1/2}^n + \theta_{l+3/2}^{n+1} - \theta_{l+3/2}^n}{\Delta t} + \frac{(\theta_{l+2}^{n+1/2} - 3\theta_{l+1}^{n+1/2} + 3\theta_l^{n+1/2} - \theta_{l-1}^{n+1/2})}{(\Delta x)^3} \\ & + \frac{3}{2(\Delta x)} \left\{ (E_{l+2}^{n+1/2} \theta_{l+2}^{n+1/2} - E_{l+2}^{n+1/2} \theta_{l+1}^{n+1/2} + E_{l+1}^{n+1/2} \theta_{l+2}^{n+1/2} - E_{l+1}^{n+1/2} \theta_l^{n+1/2} + E_l^{n+1/2} \theta_{l+2}^{n+1/2} - E_l^{n+1/2} \theta_{l+1}^{n+1/2} - E_{l-1}^{n+1/2} \theta_{l+2}^{n+1/2}) \right. \\ & \left. - (\eta_{l+2}^{n+1/2} \phi_{l+2}^{n+1/2} - \eta_{l+2}^{n+1/2} \phi_{l+1}^{n+1/2} + \eta_{l+1}^{n+1/2} \phi_{l+2}^{n+1/2} - \eta_{l+1}^{n+1/2} \phi_l^{n+1/2} + \eta_l^{n+1/2} \phi_{l+2}^{n+1/2} - \eta_l^{n+1/2} \phi_{l+1}^{n+1/2} - \eta_{l-1}^{n+1/2} \phi_{l+2}^{n+1/2}) \right\} = 0 \end{aligned} \tag{22}$$

$$\begin{aligned} & \frac{\phi_{l+1/2}^{n+1} - \phi_{l+1/2}^n + \phi_{l+3/2}^{n+1} - \phi_{l+3/2}^n}{\Delta t} + \frac{(\phi_{l+2}^{n+1/2} - 3\phi_{l+1}^{n+1/2} + 3\phi_l^{n+1/2} - \phi_{l-1}^{n+1/2})}{(\Delta x)^3} \\ & + \frac{3}{2(\Delta x)} \left\{ (E_{l+2}^{n+1/2} \phi_{l+2}^{n+1/2} - E_{l+2}^{n+1/2} \phi_{l+1}^{n+1/2} + E_{l+1}^{n+1/2} \phi_{l+2}^{n+1/2} - E_{l+1}^{n+1/2} \phi_l^{n+1/2} + E_l^{n+1/2} \phi_{l+2}^{n+1/2} - E_l^{n+1/2} \phi_{l+1}^{n+1/2} - E_{l-1}^{n+1/2} \phi_{l+2}^{n+1/2}) \right. \\ & \left. + (\eta_{l+2}^{n+1/2} \theta_{l+2}^{n+1/2} - \eta_{l+2}^{n+1/2} \theta_{l+1}^{n+1/2} + \eta_{l+1}^{n+1/2} \theta_{l+2}^{n+1/2} - \eta_{l+1}^{n+1/2} \theta_l^{n+1/2} + \eta_l^{n+1/2} \theta_{l+2}^{n+1/2} - \eta_l^{n+1/2} \theta_{l+1}^{n+1/2} - \eta_{l-1}^{n+1/2} \theta_{l+2}^{n+1/2}) \right\} = 0 \end{aligned} \tag{23}$$

The multi-symplectic integrators container be rewritten in this discretization shape

$$\begin{aligned} \phi_{n+1}^{m+1/2} &= \frac{(\phi_{n+1}^{m+1} + \phi_{n+1}^m)}{2}, \phi_{n+1}^{m+1} + \phi_n^{m+1} - 2\phi_{n+1/2}^{m+1} = 0 \\ \phi_{n+1}^m + \phi_n^m + \phi_{n+1}^{m+1} + \phi_n^{m+1} - 4\phi_{n+1/2}^{m+1/2} &= 0 \end{aligned}$$

where  $\phi_n^m$  is an estimate of  $\phi(m\Delta t, n\Delta x)$ .

Then we apply (20) + i (iota) (21) and (22) + i (iota) (23). We can get respectively

$$\begin{aligned} & \frac{(\Psi_{l-1}^{n+1} + 2\Psi_l^{n+1} + \Psi_{l+1}^{n+1}) - (\Psi_{l+1}^n + 2\Psi_l^n + \Psi_{l-1}^n)}{2\Delta t} \\ & - \alpha \frac{(\Psi_{l+2}^{n+1} - \Psi_{l+2}^n) - 2(\Psi_{l+1}^{n+1} - \Psi_{l+1}^n) + 2(\Psi_{l-1}^{n+1} - \Psi_{l-1}^n) + (\Psi_{l-2}^{n+1} - \Psi_{l-2}^n)}{2(\Delta x)^3} \end{aligned}$$

$$\begin{aligned}
& -\frac{3\alpha}{(\Delta x)}\left(\Psi_{l+2}^{n+1/2}\Psi_{l+2}^{n+1/2}-\Psi_l^{n+1/2}\Psi_l^{n+1/2}\right)-\frac{\beta}{(\Delta x)}\left(v_{l+2}^{n+1/2}v_{l+2}^{n+1/2}-v_l^{n+1/2}v_l^{n+1/2}\right)=0 \quad (24) \\
& \frac{\left(v_{l-1}^{n+1}+2v_l^{n+1}+v_{l+1}^{n+1}\right)-\left(v_{l+1}^n+2v_l^n+v_{l-1}^n\right)}{2\Delta t} \\
& +\frac{\left(v_{l+2}^{n+1}-v_{l+2}^n\right)-2\left(v_{l+1}^{n+1}-v_{l+1}^n\right)+2\left(v_{l-1}^{n+1}-v_{l-1}^n\right)+\left(v_{l-2}^{n+1}-v_{l-2}^n\right)}{2(\Delta x)^3} \\
& -\frac{3}{2(\Delta x)}\left(\Psi_{l+2}^{n+1/2}v_{l+2}^{n+1/2}-\Psi_{l+2}^{n+1/2}v_{l+1}^{n+1/2}+\Psi_{l+1}^{n+1/2}v_{l+2}^{n+1/2}-\Psi_{l+1}^{n+1/2}v_l^{n+1/2}+\Psi_l^{n+1/2}v_{l+1}^{n+1/2}-\Psi_l^{n+1/2}v_{l-1}^{n+1/2}\right)=0 \quad (25)
\end{aligned}$$

And we get

$$\begin{aligned}
& \frac{\left(\Psi_{l-1}^{n+1}+2\Psi_l^{n+1}+\Psi_{l+1}^{n+1}\right)-\left(\Psi_{l+1}^n+2\Psi_l^n+\Psi_{l-1}^n\right)}{2\Delta t} \\
& -\alpha\frac{\left(\Psi_{l+2}^{n+1}-\Psi_{l+2}^n\right)-2\left(\Psi_{l+1}^{n+1}-\Psi_{l+1}^n\right)+2\left(\Psi_{l-1}^{n+1}-\Psi_{l-1}^n\right)+\left(\Psi_{l-2}^{n+1}-\Psi_{l-2}^n\right)}{2(\Delta x)^3} \\
& -\frac{3\alpha}{(\Delta x)}\left\{\left(\Psi_{l+1}^{n+1/2}+\Psi_{l-1}^{n+1/2}\right)\left(\Psi_{l+1}^{n+1/2}-\Psi_{l-1}^{n+1/2}\right)\right\}-\frac{\beta}{(\Delta x)}\left\{\left(v_{l+1}^{n+1/2}+v_{l-1}^{n+1/2}\right)\left(v_{l+1}^{n+1/2}-v_{l-1}^{n+1/2}\right)\right\}=0 \quad (26) \\
& \frac{\left(v_{l-1}^{n+1}+2v_l^{n+1}+v_{l+1}^{n+1}\right)-\left(v_{l+1}^n+2v_l^n+v_{l-1}^n\right)}{2\Delta t} \\
& +\frac{\left(v_{l+2}^{n+1}-v_{l+2}^n\right)-2\left(v_{l+1}^{n+1}-v_{l+1}^n\right)+2\left(v_{l-1}^{n+1}-v_{l-1}^n\right)+\left(v_{l-2}^{n+1}-v_{l-2}^n\right)}{2(\Delta x)^3} \\
& -\frac{3}{2(\Delta x)}\left(\Psi_{l+1}^{n+1/2}v_{l+1}^{n+1/2}-\Psi_{l+1}^{n+1/2}v_l^{n+1/2}+\Psi_l^{n+1/2}v_{l+1}^{n+1/2}-\Psi_l^{n+1/2}v_{l-1}^{n+1/2}+\Psi_{l-1}^{n+1/2}v_l^{n+1/2}-\Psi_{l-1}^{n+1/2}v_{l-1}^{n+1/2}\right)=0 \quad (27)
\end{aligned}$$

So, in (26) and (27), we get a six-point difference scheme for  $\Psi$  and  $v$ , and this can be treated as a multi-symplectic integrator.

### 3 Conservation Property for Multi-symplectic Integrators

For conservation properties investigation and verify the average norm conservation property of two long waves' interactions, we rewrite Eq. (26) with the help of discretization

$$\begin{aligned}
& \frac{1}{2\Delta t}\left(\Psi_{l-1/2}^{n+1}-\Psi_{l-1/2}^n\right)+\left(\Psi_{l+1/2}^{n+1}-\Psi_{l+1/2}^n\right) \\
& -\frac{\alpha}{(\Delta x)^3}\left(\Psi_{l+2}^{n+1/2}-3\Psi_{l+1}^{n+1/2}+3\Psi_l^{n+1/2}-\Psi_{l-1}^{n+1/2}\right) \\
& -\frac{3\alpha}{(\Delta x)}\left\{\left(\Psi_{l+1}^{n+1/2}\right)^2-\left(\Psi_{l-1}^{n+1/2}\right)^2\right\}-\frac{\beta}{(\Delta x)}\left\{\left(v_{l+1}^{n+1/2}\right)^2-\left(v_{l-1}^{n+1/2}\right)^2\right\}=0 \quad (28)
\end{aligned}$$

Taking complex conjugate

$$\begin{aligned} & \frac{1}{2\Delta t} \left( \overline{\Psi_{l-1/2}^{n+1} - u_{l-1/2}^n} \right) + \left( \overline{\Psi_{l+1/2}^{n+1} - \Psi_{l+1/2}^n} \right) - \frac{\alpha}{(\Delta x)^3} \left( \overline{\Psi_{l+2}^{n+1/2} - 3\Psi_{l+1}^{n+1/2} + 3\Psi_l^{n+1/2} - \Psi_{l-1}^{n+1/2}} \right) \\ & - \frac{3\alpha}{(\Delta x)} \left\{ \overline{(\Psi_{l+1}^{n+1/2})^2 - (\Psi_{l-1}^{n+1/2})^2} \right\} - \frac{\beta}{(\Delta x)} \left\{ \overline{(v_{l+1}^{n+1/2})^2 - (v_{l-1}^{n+1/2})^2} \right\} = 0 \end{aligned} \quad (29)$$

For Eq. (28), take  $l = 1, 2, 3 \dots N$  and multiple  $\overline{\Psi_l^{n+1/2}}$  we can get

$$\begin{aligned} & \frac{1}{2\Delta t} \sum_{l=1}^N \left\{ \left( \overline{\Psi_{l-1/2}^{n+1} - \Psi_{l-1/2}^n} \right) + \left( \overline{\Psi_{l+1/2}^{n+1} - \Psi_{l+1/2}^n} \right) \right\} \overline{\Psi_l^{n+1/2}} \\ & - \frac{\alpha}{(\Delta x)^3} \sum_{l=1}^N \left( \overline{\Psi_{l+2}^{n+1/2} - 3\Psi_{l+1}^{n+1/2} + 3\Psi_l^{n+1/2} - \Psi_{l-1}^{n+1/2}} \right) \overline{\Psi_l^{n+1/2}} \\ & - \frac{3\alpha}{(\Delta x)} \sum_{l=1}^N \left\{ \overline{(\Psi_{l+1}^{n+1/2})^2 - (\Psi_{l-1}^{n+1/2})^2} \right\} \overline{\Psi_l^{n+1/2}} - \frac{\beta}{(\Delta x)} \sum_{l=1}^N \left\{ \overline{(v_{l+1}^{n+1/2})^2 - (v_{l-1}^{n+1/2})^2} \right\} \overline{\Psi_l^{n+1/2}} = 0 \end{aligned} \quad (30)$$

In Eq. (29), take  $l = 1, 2, 3, \dots N$  and multiply  $\Psi_l^{n+1/2}$  we can get

$$\begin{aligned} & \frac{1}{2\Delta t} \sum_{l=1}^N \left( \overline{\Psi_{l+1/2}^{n+1} - \Psi_{l+1/2}^n} \right) + \left( \overline{\Psi_{l-1/2}^{n+1} - \Psi_{l-1/2}^n} \right) \Psi_l^{n+1/2} - \frac{\alpha}{(\Delta x)^3} \sum_{l=1}^N \left( \overline{\Psi_{l+2}^{n+1/2} - 3\Psi_{l+1}^{n+1/2} + 3\Psi_l^{n+1/2} - \Psi_{l-1}^{n+1/2}} \right) \Psi_l^{n+1/2} \\ & - \frac{3\alpha}{(\Delta x)} \sum_{l=1}^N \left\{ \overline{(\Psi_{l+1}^{n+1/2})^2 - (\Psi_{l-1}^{n+1/2})^2} \right\} \Psi_l^{n+1/2} - \frac{\beta}{(\Delta x)} \sum_{l=1}^N \left\{ \overline{(v_{l+1}^{n+1/2})^2 - (v_{l-1}^{n+1/2})^2} \right\} \Psi_l^{n+1/2} = 0 \end{aligned} \quad (31)$$

In Eq. (30), we have

$$\sum_{l=1}^N \left( \overline{\Psi_{l-1/2}^{n+1} - \Psi_{l-1/2}^n} \right) \overline{\Psi_l^{n+1/2}} = \sum_{l=1}^N \left( \overline{\Psi_{l+1/2}^{n+1} - \Psi_{l+1/2}^n} \right) \overline{\Psi_l^{n+1/2}} + \left( \overline{\Psi_{1/2}^{n+1} - \Psi_{1/2}^n} \right) \overline{\Psi_1^{n+1/2}} - \left( \overline{\Psi_{N+1/2}^{n+1} - \Psi_{N+1/2}^n} \right) \overline{\Psi_{N+1}^{n+1/2}} \quad (32)$$

$$\begin{aligned} & \sum_{l=1}^N \left( \overline{\Psi_{l+2}^{n+1/2} - 3\Psi_{l+1}^{n+1/2} + 3\Psi_l^{n+1/2} - \Psi_{l-1}^{n+1/2}} \right) \overline{\Psi_l^{n+1/2}} \\ & = \sum_{l=1}^N \left( \overline{\Psi_{l+2}^{n+1/2} \Psi_l^{n+1/2}} - 3\overline{\Psi_{l+1}^{n+1/2} \Psi_l^{n+1/2}} - \overline{\Psi_l^{n+1/2} \Psi_{l+1}^{n+1/2}} \right) \\ & + \sum_{l=1}^N \left| \overline{\Psi_l^{n+1} + \Psi_{l+1}^n} \right|^2 - 3\overline{\Psi_0^{n+1/2} \Psi_l^{n+1/2}} + 3\overline{\Psi_{N+1}^{n+1/2} \Psi_{N+1}^{n+1/2}} \end{aligned} \quad (33)$$

$$\sum_{l=1}^N \left( \overline{\Psi_{l-1}^{n+1/2}} \right)^2 \overline{\Psi_l^{n+1/2}} = \sum_{l=1}^N \left( \overline{\Psi_{l+1}^{n+1/2}} \right)^2 \overline{\Psi_{l+1}^{n+1/2}} + \left( \overline{\Psi_0^{n+1/2}} \right)^2 \overline{\Psi_1^{n+1/2}} - \left( \overline{\Psi_N^{n+1/2}} \right)^2 \overline{\Psi_{N+1}^{n+1/2}} \quad (34)$$

$$\sum_{l=1}^N v_{l-1}^{n+1/2} \overline{\Psi_l^{n+1/2}} = \sum_{l=1}^N \left( v_l^{n+1/2} \overline{\Psi_{l+1}^{n+1/2}} \right) + v_0^{n+1/2} \overline{\Psi_1^{n+1/2}} - v_N^{n+1/2} \overline{\Psi_{N+1}^{n+1/2}} \quad (35)$$

Put these values from Eqs. (32) to (35) in Eq. (30). Similarly, we apply it for Eq. (31) and use the zero-boundary points situation for Eqs. (30) and (31), we can get the following conservation formula:

$$\sum_{l=1}^N \left( |\Psi_l^n + \Psi_{l+1}^n|^2 \right) = \sum_{l=1}^N \left( |\Psi_l^{n+1} + \Psi_{l+1}^{n+1}|^2 \right)$$

Similarly, with the average norm conservation property for Eq. (27), we can get the conservation formula

$$\sum_{l=1}^N \left( |v_l^n + v_{l+1}^n|^2 \right) = \sum_{l=1}^N \left( |v_l^{n+1} + v_{l+1}^{n+1}|^2 \right)$$

### 4 Numerical Simulations

For numerical results, we will focus on the screening of energy conservation properties for multi-symplectic integrators of the NCKDV system from Eq. (1)

$$\begin{aligned} \Psi_t - \alpha \Psi_{xxx} - [3\alpha \Psi^2 + \beta v^2]_x &= 0 \\ v_t + v_{xxx} + 3\Psi v_x &= 0 \end{aligned} \tag{36}$$

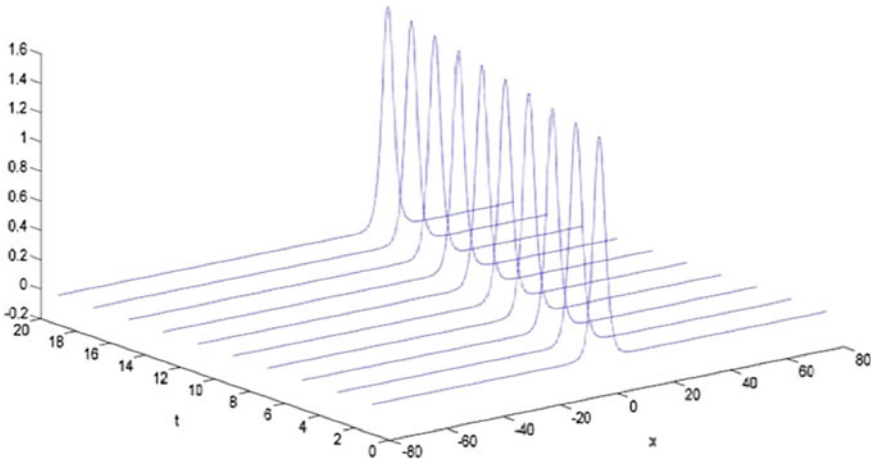
with the initial value

$$\begin{aligned} \Psi(x, 0) &= \left( \frac{\hbar_1(1+\beta k^2)}{3\alpha} - 4\beta k^2 sn^2 \left( \hbar_1 x + \frac{\tilde{\lambda}_0}{2} \right) \right) e^{i\theta x/4} \\ v(x, 0) &= 2k^2 \sqrt{\frac{-6\alpha}{\beta}} \hbar_2 sn^2 \left( \hbar_2 x + \frac{1}{2} \tilde{\lambda}_0 \right) e^{-i\theta x/4} \end{aligned}$$

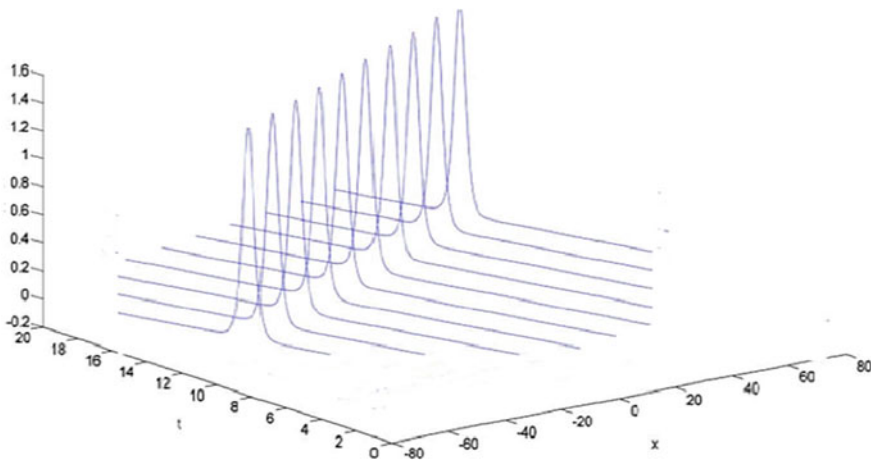
where  $k^2$  is the modulus of Jacobi elliptic function  $sn \left( \hbar_1 x + \frac{\tilde{\lambda}_0}{2}; k \right)$ .

### 5 Results and Discussion

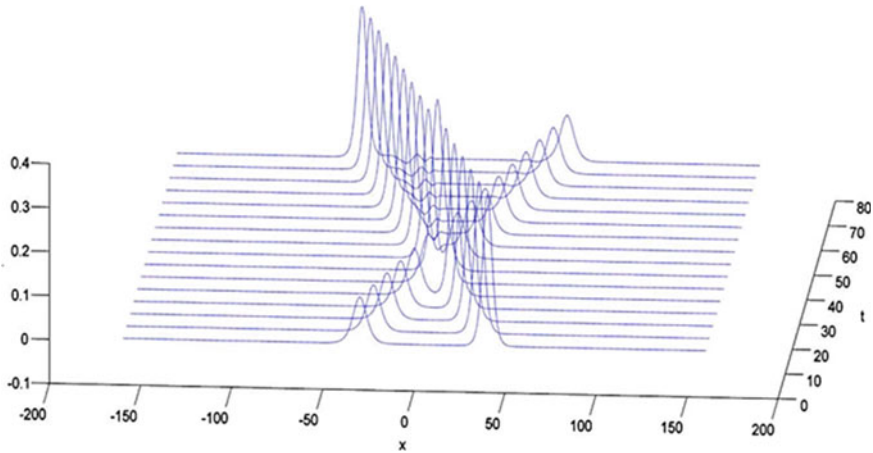
The multi-symplectic scheme is embellished to locate a solitary wave solution of two long waves' interactions for the NCKDV system. The solitary wave solutions Figs. 1 and 2 and their interaction in Fig. 3 of this system exist for eigenvalue less than zero, which are similar to [22]. We learn that this method well emulates the development of soliton and energy conservation properties for the system. This scheme has shown the way for a long-playing computing truthfulness and preserves conservation property.



**Fig. 1** Solitary wave motion of  $\Psi(x, t)$  with  $\Delta t = 0.1$  for  $0 \leq t \leq 20$ ,  $\Delta x = 0.2$  for  $-80 \leq x \leq 80$ ,  $\beta = 1$  and  $\alpha = 1/3$



**Fig. 2** Solitary wave motion of  $|v(x, t)|$  with  $\Delta t = 0.1$  for  $0 \leq t \leq 20$  and  $\Delta x = 0.2$  for  $-80 \leq x \leq 80$ ,  $\beta = 1$  and  $\alpha = 1/3$



**Fig. 3** Simulation results  $\{\Psi^2 + v^2\}$  of two solitary wave interactions with  $\tilde{\lambda}_0 = 25, \theta = \pi/2$ ,  $\tilde{h}_1 = \tilde{h}_2 = 1, \beta = 1, \alpha = 1/3, \Delta t = 0.1$  for  $0 \leq t \leq 80$  and  $\Delta x = 0.2$  for  $-200 \leq x \leq 200$

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# Study of Effect of Overlapping Stenosis on Flow Field Considering Non-Newtonian Reiner Rivlin Blood Flow in Artery



Nibedita Dash and Sarita Singh

**Abstract** Stenosis, the abnormal narrowing of blood vessels resist blood flow significantly altering the flow field. The change is observed in blood flow velocity, pressure distribution, wall shear stress and resistance impedance parameters. The present paper aims to find the impact of overlapping stenosis relative to symmetric stenosis for different shape parameters on parameters of flow field. Governing conservation equations are formulated by incorporating Reiner Rivlin stress and strain constitutive relations suitable for blood rheology. From the literature the suitability of generalized Reiner Rivlin constitutive relation is reported to explain shear thinning, shear thickening and dilatancy effect. The solution for flow field is obtained for steady axi-symmetric case for both overlapping stenosis and axially symmetric for different shape parameters. The flow fields for symmetric and overlapping stenosis are compared to study the effect of overlapping stenosis relative to symmetric stenosis.

**Keywords** Arterial stenosis · Cross viscosity · Overlapping stenosis · Perturbation · Reiner-Rivlin · Symmetric stenosis

## 1 Introduction

The narrowing of blood vessels due to the deposition of the cholesterol and other fatty substances leads to a medical condition called stenosis. The etiological studies on stenosis suggests that deposition of calcium, fats and cholesterol on the inner walls of the artery resists blood flow significantly changing the flow field [1–3]. The change is observed in blood flow velocity, pressure distribution, wall shear stress and resistance impedance parameters. Therefore, it is imperative to understand the impact of overlapping stenosis on parameters of flow field.

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N. Dash (✉) · S. Singh

Department of Mathematics, School of Physical Sciences, Doon University, Dehradun, India  
e-mail: [dashnibedita@yahoo.co.in](mailto:dashnibedita@yahoo.co.in)



Conventionally, Navier Stokes conservation equations are used as the boundary valued governing equations to develop mathematical model for flow field by incorporating stress and strain constitutive relations suitable for blood rheology. Shear stress–strain and viscosity of blood is greatly influenced by rate of shear, viscosity of plasma, blood composition, arterial diameter [1–3], aggregation, deformability and hardening of erythrocytes [4] and high shear rate induced dilatancy [5]. Conventional viscous inelastic models reported in literature suitably explains shear thinning viscosity, and yield stress, but fails to explain dilatancy effect. From the literature the suitability of generalized Reiner Rivlin constitutive relation [6] is observed to explain dilatancy effect. Since blood shows shear thinning and shear thickening properties along with dilatancy, it is appropriate to use Reiner Rivlin constitutive stress and strain relation in conservation equations to develop differential type model.

Therefore, in the present paper, conservation equations are formulated considering Reiner-Rivlin constitutive relation. The solution for flow field is obtained for steady axi-symmetric case for both axially symmetric and overlapping stenosis. The flow fields for symmetric and overlapping stenosis are compared to study the effect of overlapping stenosis relative to symmetric stenosis.

## 2 Formulation of Problem

A differential type Reiner Rivlin model has been developed in this paper by solving Navier–Stokes conservation equations for steady axis-symmetric case by considering following conditions.

1. If  $(r, \theta, z)$  be the cylindrical-polar coordinate system with  $r = 0$  is the axis of symmetry of artery, the cylindrical co-ordinate  $(r, z)$  is considered where,  $\frac{\partial}{\partial \theta} = 0$ .
2. Flow of blood in artery is considered as incompressible Reiner-Rivlin fluid, i.e.  $\left(\frac{\partial \rho}{\partial t}\right) = \left(\frac{\partial \rho}{\partial r}\right) = \left(\frac{\partial \rho}{\partial z}\right) = 0$ .
3. Steady flow of blood is considered. Thus,  $\frac{\partial}{\partial t} = 0$ . Viscosity is considered to be constant.
4. No slip boundary condition is considered ie.  $\frac{\partial w}{\partial r} = 0$  at  $r = 0$ ;  $w = 0$  at  $r = h_z$ .

Considering above conditions, the conservation equations are:

$$\frac{\partial \bar{u}}{\partial \bar{r}} + \frac{\bar{u}}{\bar{r}} + \frac{\partial \bar{w}}{\partial \bar{z}} = 0 \tag{1}$$

$$\rho \left( \bar{u} \frac{\partial \bar{u}}{\partial \bar{r}} + \bar{w} \frac{\partial \bar{u}}{\partial \bar{z}} \right) = -\frac{\partial \bar{p}}{\partial \bar{r}} + \frac{1}{\bar{r}} \frac{\partial}{\partial \bar{r}} (\bar{r} \bar{\tau}_{\bar{r}\bar{r}}) + \frac{\partial \bar{\tau}_{\bar{z}\bar{r}}}{\partial \bar{z}} \tag{2}$$

$$\rho \left( \bar{u} \frac{\partial \bar{w}}{\partial \bar{r}} + \bar{w} \frac{\partial \bar{w}}{\partial \bar{z}} \right) = -\frac{\partial \bar{p}}{\partial \bar{z}} + \frac{1}{\bar{r}} \frac{\partial}{\partial \bar{r}} (\bar{r} \bar{\tau}_{\bar{r}\bar{z}}) + \frac{\partial \bar{\tau}_{\bar{z}\bar{z}}}{\partial \bar{z}} \tag{3}$$

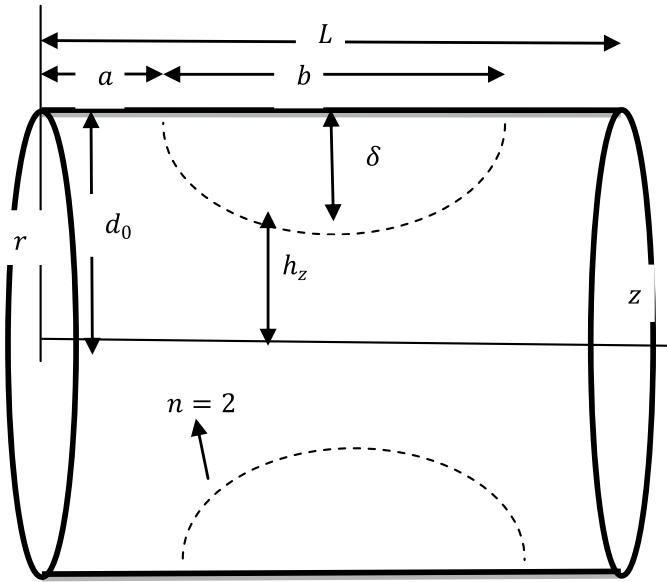


Fig. 1 Schematic diagram of stenotic artery with co-ordinate system

The schematic diagram of stenotic artery with co-ordinate system is shown below in Fig. 1.

The geometry of symmetric stenosis in non-dimensional form is described as [7]:

$$h_z = \begin{cases} d_z [1 - \omega \{ (z - s) - (z - s)^n \}], & s \leq z \leq 1 + s \\ d_z, & \text{otherwise} \end{cases} \quad (4)$$

where,

$$\omega = \frac{\delta(n)^{\frac{n}{n-1}}}{(n-1)d_0b^n}, \quad \varphi = \frac{a}{b}, \quad \varphi = \tan \tilde{\theta} \text{ and } d_z = 1 + \varphi z$$

For non-tapered artery,  $\tilde{\theta} = 0$ , divergent artery,  $\tilde{\theta} \geq 0$  and convergent artery,  $\tilde{\theta} \leq 0$ .

The geometry of overlapping stenosis in non-dimensional form is described as [8–11]:

$$h_z = \begin{cases} \left[ d_z - 1.5\omega_1 \left\{ \frac{11(z-s) - 47(z-s)^2 +}{72(z-s)^3 - 36(z-s)^4} \right\} \right], & s \leq z \leq 1 + s \\ d_z, & \text{otherwise} \end{cases} \quad (5)$$

where,  $\omega_1 = \frac{\delta}{d_0b^4}$ .

For isotropic Reiner and Rivlin fluid, the relation between stress tensor  $\tau_{ij}$  and rate of deformation tensor  $\epsilon_{ij}$  is given as [12, 13]:

$$\tau_{ij} = -p\delta_{ij} + \mu \epsilon_{ij} + \mu_c \epsilon_{ij} \tag{6}$$

where,

$$\epsilon_{ij} = \epsilon_{ik}\epsilon_{kj}, \quad \epsilon_{ij} = (\nabla u + \nabla u^T), \quad (\delta_{ij}) \text{ and}$$

Kronecker delta,  $\delta_{ij} = 0$ , when  $i \neq j$ ;  $\delta_{ij} = 1$ , for  $i = j$

$\mu$  and  $\mu_c$  in Eq. 6, are viscosity and cross viscosity respectively.

The stress tensors in cylindrical coordinates  $(r, z)$  are:

$$\tau_{rr} = -\bar{p} + 2\mu \frac{\partial \bar{u}}{\partial \bar{r}} + 4\mu_c \left( \frac{\partial \bar{u}}{\partial \bar{r}} \right)^2$$

$$\tau_{\theta\theta} = -\bar{p} + 2\mu \frac{\bar{u}}{\bar{r}} + 4\mu_c \left( \frac{\bar{u}}{\bar{r}} \right)^2$$

$$\tau_{zz} = -\bar{p} + 2\mu \frac{\partial \bar{w}}{\partial \bar{z}} + \mu_c \left[ 4 \left( \frac{\partial \bar{w}}{\partial \bar{z}} \right)^2 + \left( \frac{\partial \bar{u}}{\partial \bar{z}} + \frac{\partial \bar{w}}{\partial \bar{r}} \right)^2 \right]$$

$$\tau_{r\bar{z}} = \mu \left( \frac{\partial \bar{u}}{\partial \bar{z}} + \frac{\partial \bar{w}}{\partial \bar{r}} \right) + 2\mu_c \left[ \left( \frac{\partial \bar{u}}{\partial \bar{r}} \right) \left( \frac{\partial \bar{u}}{\partial \bar{z}} + \frac{\partial \bar{w}}{\partial \bar{r}} \right) + \left( \frac{\partial \bar{u}}{\partial \bar{z}} + \frac{\partial \bar{w}}{\partial \bar{r}} \right) \left( \frac{\partial \bar{w}}{\partial \bar{z}} \right) \right]$$

Let the following non-dimensional variables are introduced.

$$\bar{r} = rd_0; \quad \bar{z} = bz; \quad \bar{w} = u_0 w; \quad \bar{u} = \left( \frac{u_0 \delta}{b} \right) u; \quad \bar{p} = \left( \frac{u_0 b \mu}{d_0^2} \right) p; \quad \bar{h} = d_0 h;$$

$$Re = \frac{\rho b u_0}{\mu}; \quad \gamma = \frac{\mu_c u_0}{\mu b}; \quad \bar{\tau}_{r\bar{r}} = \left( \frac{b}{u_0 \mu} \right) \tau_{r\bar{r}}; \quad \bar{\tau}_{z\bar{r}} = \left( \frac{d_0}{u_0 \mu} \right) \tau_{z\bar{r}};$$

$$\bar{\tau}_{z\bar{z}} = \left( \frac{b}{u_0 \mu} \right) \tau_{z\bar{z}}; \quad \bar{\tau}_{\theta\theta} = \left( \frac{b}{u_0 \mu} \right) \tau_{\theta\theta}$$

Further, following conditions are also used to consider mild stenosis [9–11].

$$\frac{\delta}{d_0} \ll 1; \quad \frac{Re \delta n^{(\frac{1}{n-1})}}{b} \ll 1; \quad \frac{d_0 n^{(\frac{1}{n-1})}}{b} \sim 0$$

The non-dimensional form of Eqs. 1, 2 and 3 becomes:

$$\frac{\partial u}{\partial r} + \frac{u}{r} + \frac{\partial w}{\partial z} = 0 \tag{7}$$

$$\frac{\partial p}{\partial r} = 0 \tag{8}$$

$$\frac{\partial p}{\partial z} = \frac{1}{r} \frac{\partial}{\partial r} \left[ r \frac{\partial w}{\partial r} + 2\beta r \frac{\partial w}{\partial r} \frac{\partial w}{\partial z} \right] \tag{9}$$

Equation 9 is a nonlinear partial differential equation. Therefore, method Perturbation is employed to obtain the solution.

### 3 Solution of Reiner Rivlin Model by Perturbation Method

To get the Perturbation solution, let axial velocity ( $w$ ), Pressure ( $p$ ) and Flowrate ( $Q$ ) are expanded considering  $\beta$  as the Perturbation parameter. The expanded expressions are:

$$w = w_0 + \beta w_1 + \beta^2 w_2 + \dots$$

$$p = p_0 + \beta p_1 + \beta^2 p_2 + \dots$$

$$Q = Q_0 + \beta Q_1 + \beta^2 Q_2 + \dots$$

Using above expansions in Eq. (9), Eq. (9) becomes:

$$r \frac{1}{2} \left( \frac{\partial p_0}{\partial z} + \beta \frac{\partial p_1}{\partial z} + \beta^2 \frac{\partial p_2}{\partial z} + \dots \right) = \left( \frac{\partial w_0}{\partial r} + \beta \frac{\partial w_1}{\partial r} + \beta^2 \frac{\partial w_2}{\partial r} + \dots \right) + 2\beta \left( \frac{\partial w_0}{\partial r} + \beta \frac{\partial w_1}{\partial r} + \beta^2 \frac{\partial w_2}{\partial r} + \dots \right) \left( \frac{\partial w_0}{\partial z} + \beta \frac{\partial w_1}{\partial z} + \beta^2 \frac{\partial w_2}{\partial z} + \dots \right)$$

Ignoring higher order of  $\beta$ , above equation becomes:

$$r \frac{1}{2} \left( \frac{\partial p_0}{\partial z} + \beta \frac{\partial p_1}{\partial z} \right) = \left( \frac{\partial w_0}{\partial r} + \beta \frac{\partial w_1}{\partial r} \right) + 2\beta \left[ \left( \frac{\partial w_0}{\partial r} \frac{\partial w_0}{\partial z} + \beta^2 \frac{\partial w_1}{\partial r} \frac{\partial w_1}{\partial z} \right) \right] \tag{10}$$

The zeroth order system becomes:

$$r \frac{1}{2} \left( \frac{\partial p_0}{\partial z} \right) = \left( \frac{\partial w_0}{\partial r} \right) \tag{11}$$

Integrating above equation with respect to  $r$  and using boundary condition, it becomes:

$$w_0 = \frac{\partial p_0}{\partial z} \left[ \frac{r^2 - h_z^2}{4} \right] \tag{12}$$

The final expression of  $w$  becomes:

$$w = \left( \frac{\partial p}{\partial z} \right) \left[ \frac{r^2 - h_z^2}{4} \right] + \beta \left\{ \left( \frac{\partial p}{\partial z} \right)^2 \left( h_z \frac{\partial h_z}{\partial z} \right) \left( \frac{r^2}{4} - \frac{h_z^2}{4} \right) + \left( \frac{\partial p}{\partial z} \right) \left( \frac{\partial^2 p}{\partial z^2} \right) \left( \frac{r^2 h_z^2}{8} - \frac{r^4}{16} - \frac{h_z^4}{16} \right) \right\} \tag{13}$$

From volume flow rate, pressure gradient  $\left( \frac{\partial p}{\partial z} \right)$  is determined as:

$$\frac{\partial p}{\partial z} = 16 \left( \frac{Q}{2\pi} \right) \left( -\frac{1}{h_z^4} \right) - \beta \left[ \left( \frac{512}{3} \right) \left( \frac{Q}{2\pi} \right)^2 \left( \frac{-1}{h_z^7} \right) + 256 \left( \frac{Q}{2\pi} \right)^2 \left( \frac{1}{h_z^7} \right) \left( \frac{\partial h_z}{\partial z} \right) \right] \tag{14}$$

Above result is utilised to compute wall shear stress and resistance impedance. The wall shear stress,

$$\tau_w = \left( \frac{\partial p}{\partial z} \right) \left( \frac{r}{2} \right) \tag{15}$$

The Resistance impedance,

$$\gamma = \frac{\Delta p}{Q} \tag{16}$$

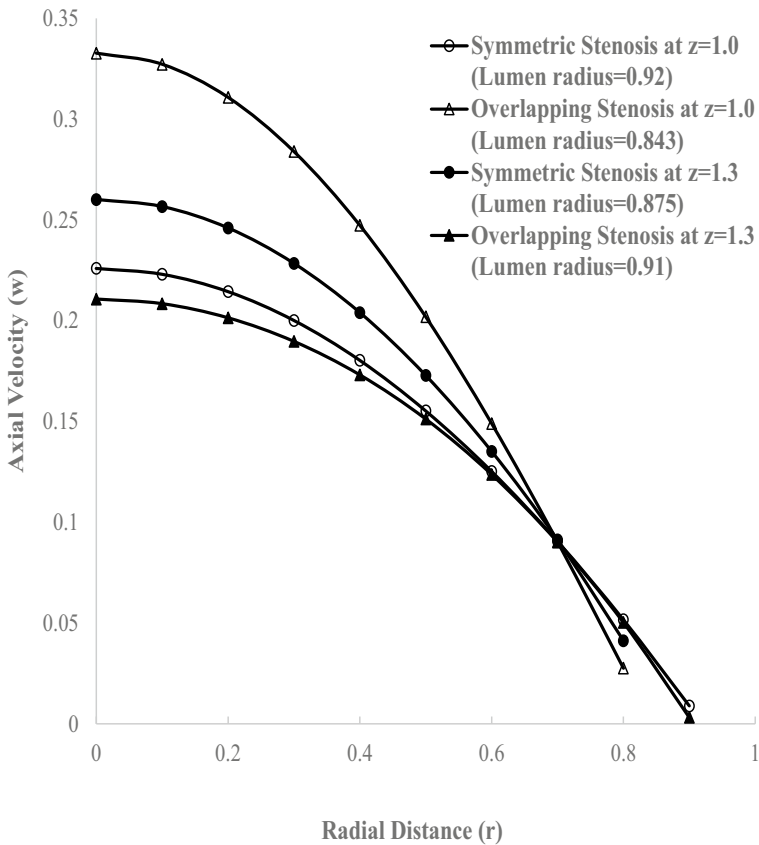
where  $\Delta p = \int_s^{s+1} \left( -\frac{\partial p}{\partial z} \right) dz$ .

Axial velocity, wall shear stress and resistance impedance are computed using Eqs. 13, 15 and 16 respectively. For symmetric stenosis Eq. 4 is substituted for  $h_z$  and similarly for overlapping stenosis Eq. 5 is considered. The results obtained for symmetric stenosis and overlapping stenosis are compared to understand the effect of overlapping stenosis relative to symmetric stenosis.

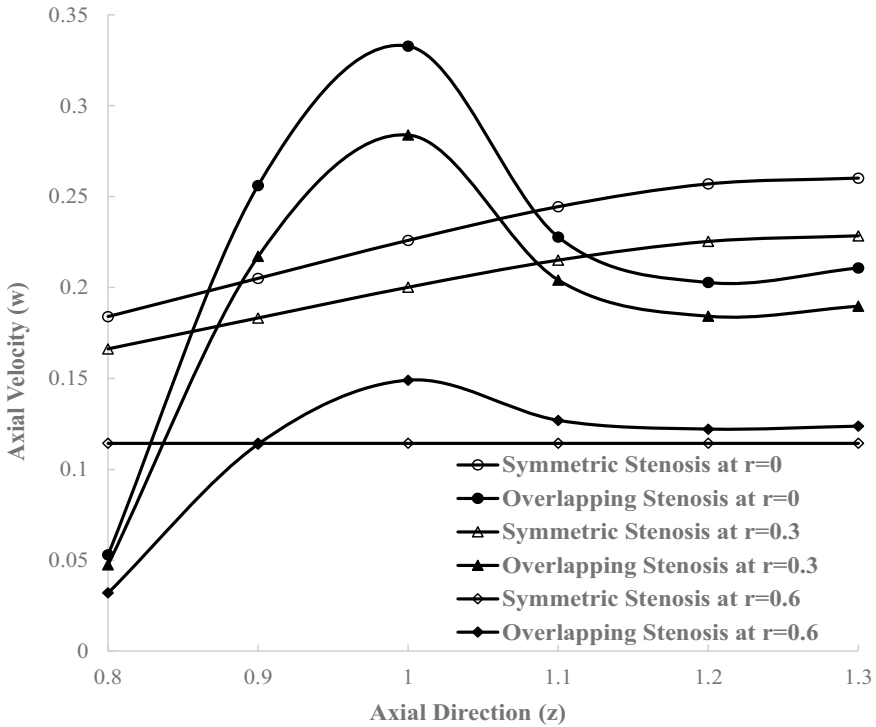
### 4 Numerical Results and Discussion

MATLAB codes are written in to compute axial velocity ( $w$ ), wall shear stress ( $\tau_w$ ) and resistance impedance ( $\gamma$ ) substituting values of ratio of cross viscosity

to viscosity ( $\beta$ ), flow rate ( $Q$ ) and stenotic wall radius ( $h_z$ ). Stenotic wall radius ( $h_z$ ) is computed using values of taper angle of artery ( $\theta$ ) and maximum height of stenosis ( $\delta$ ) for overlapping symmetric stenosis and additionally shape parameter ( $n$ ) for symmetric stenosis. Variation of axial velocity with respect to radial distance for symmetric and overlapping stenosis at different plane normal to longitudinal axis are plotted in Fig. 2. The results are plotted at 0.2 and 0.5 axial distance from the commencement of stenosis. At 0.2 axial distance, the lumen radius is smaller in case of overlapping stenosis whereas at 0.5 axial distance lumen radius in case of symmetric stenosis is smaller. It is observed that the magnitude of axial velocity developed at the central axis at 0.2 axial distance in case of overlapping stenosis is higher than that of symmetric stenosis and the fall towards wall is also relatively steeper. The reverse is observed at 0.5 axial distance. Further, it is observed that the axial velocity profile is dependent on lumen radius. Lower the lumen radius higher is the axial velocity. Since, lumen radius changes with respect to axial distance ( $z$ )



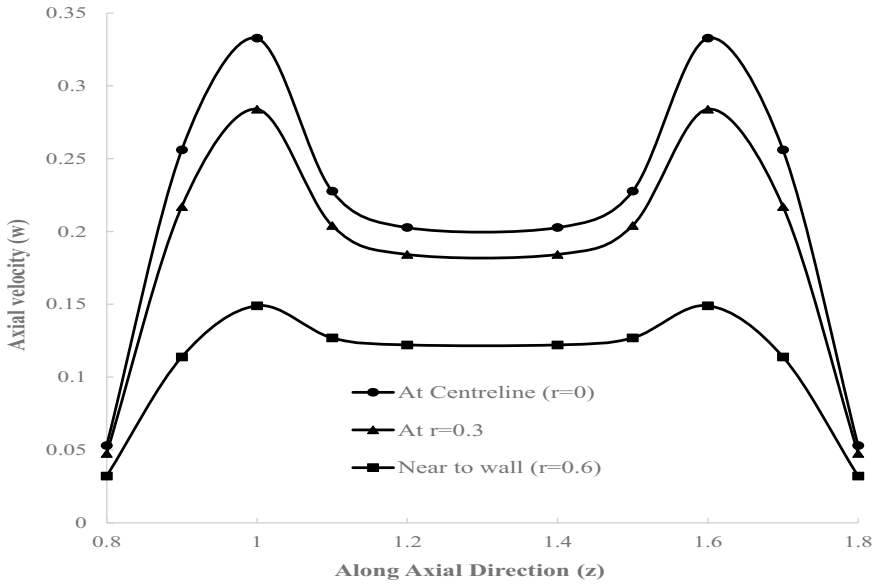
**Fig. 2** Variation of axial velocity with respect to radial distance at 0.2 and 0.5 axial distances from the commencement of stenosis.  $n = 2, \delta = 0.125, s = 0.8, r = 0, Q = 0.3$  and  $\beta = 0.3$



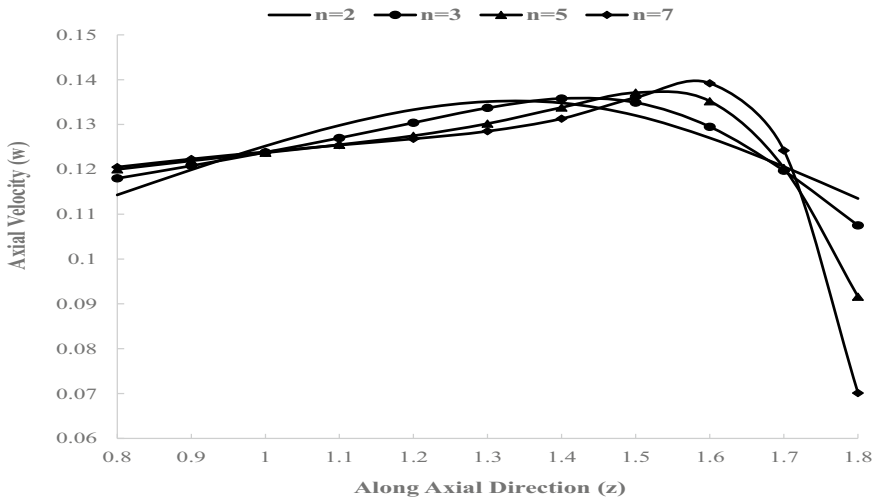
**Fig. 3** Axial velocity profile with respect to axial distance under stenotic region.  $n = 2$ ,  $\delta = 0.125$ ,  $s = 0.8$ ,  $Q = 0.3$  and  $\beta = 0.3$

as per Eqs. 4 and 5 for symmetric and overlapping stenosis respectively, in Fig. 3 axial velocity profile with respect to axial distance at different radii for symmetric and overlapping stenosis are plotted.

From Fig. 3, it is observed that nearing wall, the axial velocity profile is relatively flatter as compared to the axial velocity profile at center line. Further, throughout the length of stenosis, the magnitude of axial velocity at corresponding  $r$  for overlapping stenosis is higher than the axial velocity profile for symmetric stenosis corresponding radial positions. The axial velocity profile under the full length of stenotic region is shown in Fig. 4. The profile is the inverted profile of the geometry of overlapping stenosis. As it approaches wall the magnitude of axial velocity reduces and at wall it satisfies no slip boundary condition. Figure 5 shows the axial velocity profile for different shape parameter ( $n$ ) under the full length of stenotic region for symmetric stenosis. As shape parameter increases, the location of the maximum height of stenosis shifts towards right and the transverse symmetry is lost. From Fig. 5, it is observed that the magnitude of axial velocity reaches a maximum value at the axial location corresponding to the maximum height of stenosis. In case of symmetric stenosis, the axial velocity profile exhibits to be laterally inversion of stenosis profile.



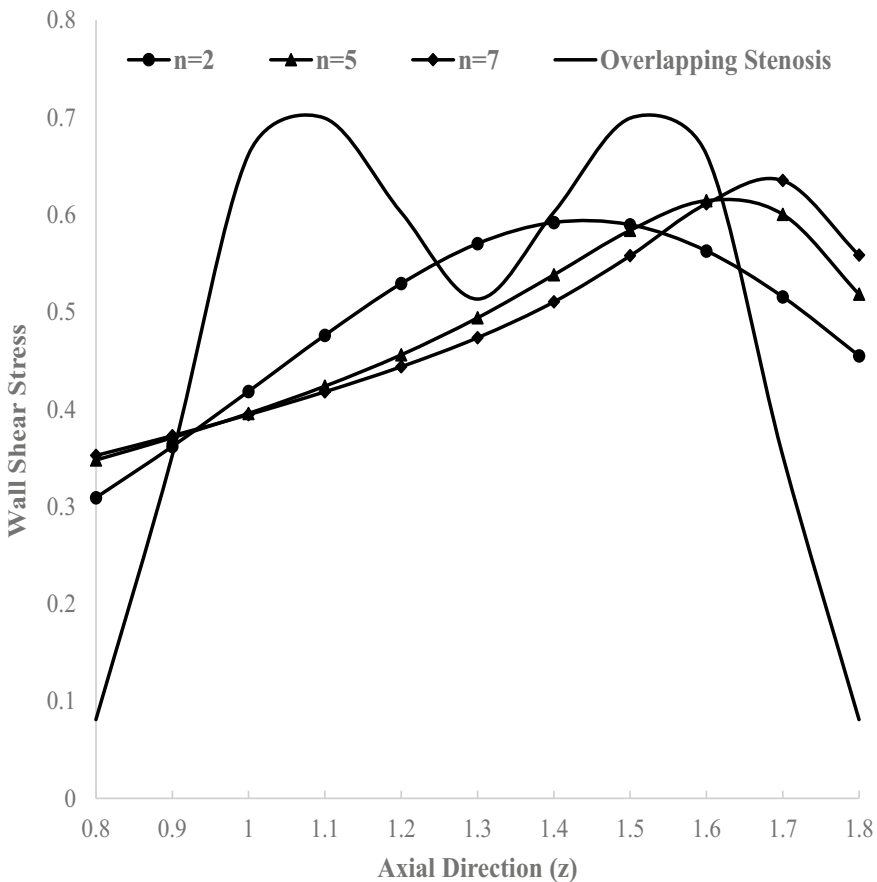
**Fig. 4** Axial velocity profile with respect to axial distance under overlapping stenotic region.  $\delta = 0.125$ ,  $s = 0.8$ ,  $Q = 0.3$  and  $\beta = 0.3$



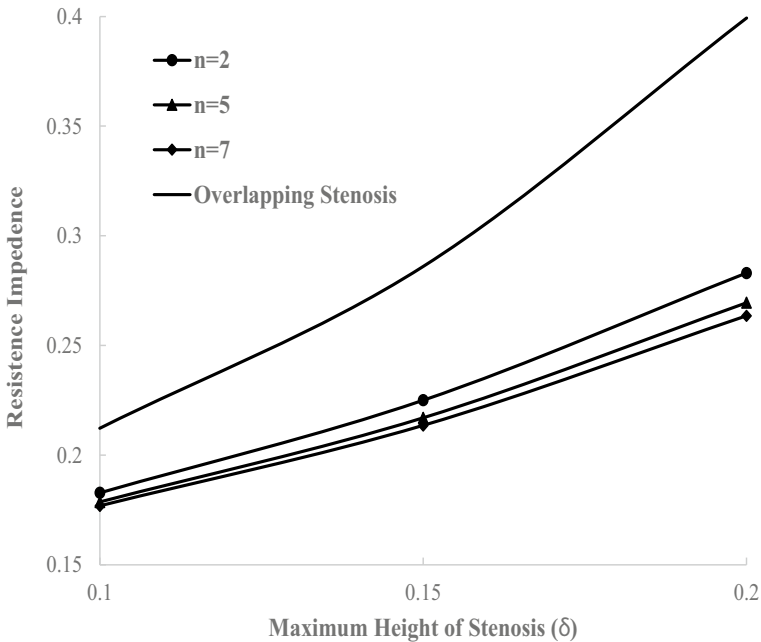
**Fig. 5** Axial velocity profile with respect to axial distance under symmetric stenotic region.  $\delta = 0.125$ ,  $s = 0.8$ ,  $Q = 0.3$  and  $\beta = 0.3$



Figure 6 shows the variation of wall shear stress with respect to axial distance under the length of stenosis. The profile of shear stress for different shape parameter in case of symmetric stenosis and overlapping stenosis are shown. It is observed that evolution of wall shear stress is relatively acute in case of overlapping stenosis. Similarly, as shape parameter increases higher wall shear stress is developed compared to the stenosis for  $n = 2$ . Figure 7 shows the variation of resistance impedance with respect to maximum height of stenosis ( $\delta$ ). It is observed that as the shape parameter increase the resistance impedance shows a decreasing trend. Mathematically, resistance impedance is the area under  $(\frac{\partial p}{\partial z})/Q$  curve and is affected by the location of the maximum height of stenosis. Further, as the maximum height of stenosis increases there is an increasing trend of resistance impedance.



**Fig. 6** Variation of wall shear stress with respect to axial distance under stenotic region.  $\delta = 0.125$ ,  $s = 0.8$ ,  $Q = 0.3$  and  $\beta = 0.3$



**Fig. 7** Variation of resistance impedance with respect to maximum height of stenosis ( $\delta$ ) for different shape parameters and for overlapping stenosis.  $s = 0$ ,  $Q = 0.3$  and  $\beta = 0.3$

Finally, the magnitude of resistance impedance in case of overlapping stenosis is significantly higher than the symmetric stenosis for all shape parameters and for all values of maximum height of stenosis. From the trend discussed above, it is concluded that higher axial velocity, higher wall shear stress and higher resistance impedance are developed in case of overlapping stenosis relative to symmetric stenosis for different shape parameters.

## 5 Conclusions

Mathematical models for axisymmetric blood flow through a symmetric mild stenosis and overlapping stenosis are worked out by semi-analytical Perturbation method. Blood flow is considered to obey Reiner-Rivlin fluid having an additional cross viscosity parameter. The Perturbation solution is obtained from z-momentum equation in non-dimensional form considering mild stenosis conditions. The expressions for the axial velocity, wall shear stress and resistance impedance distribution for the stenotic region are derived. The nature of variation of axial velocity, wall shear stress and resistance impedance are studied by plotting graphs of the results obtained for

overlapping stenosis and symmetric stenosis at different shape parameters. The main findings can be summarized as follows:

1. The axial velocity profile is dependent on lumen radius. Lower the lumen radius higher is the axial velocity.
2. In case of overlapping stenosis, the axial velocity profile along the length of stenosis is relatively higher than the symmetric stenosis for different shape parameters.
3. As shape parameters increases, higher axial velocity is obtained along the length of the stenosis.
4. Higher wall shear stress is developed in case of overlapping stenosis relative to symmetric stenosis for different shape parameters.
5. As shape parameters increases, higher wall shear stress is obtained along the length of the stenosis.
6. As shape parameters increases, lower values of resistance impedance with respect to maximum height of stenosis are is obtained.
7. The magnitude of resistance impedance in case of overlapping stenosis is significantly higher than the symmetric stenosis for all shape parameters and for all values of maximum height of stenosis.

From the above conclusions, it is possible to diagnose the overlapping stenosis condition and its acuteness by observing the deviations in flow field. From the results and trend line a significant deviations in flow field is observed not only for overlapping stenosis relative to symmetric stenosis but also for different shape parameters.

## Nomenclature

$u$ :	Non-dimensional radial component of velocity of flow
$\bar{u}$ :	Radial component of velocity of flow [ $\text{ms}^{-1}$ ]
$u_0$	Averaged velocity on cross section of artery [ $\text{ms}^{-1}$ ]
$w$	Non-dimensional axial component of velocity of flow
$\bar{w}$ :	Axial component of velocity of flow [ $\text{ms}^{-1}$ ]
$p$ :	Non-dimensional pressure
$\bar{p}$ :	Pressure [ $\text{Nm}^{-2}$ ]
$\beta$ :	Ratio of cross-viscosity to viscosity
$Re$ :	Reynold number
$a$ :	Length of artery before the commencement of stenosis [m]
$b$ :	Length of artery in stenotic region [m]
$L$ :	Total length of artery [m]
$\delta$ :	Maximum height of stenosis [m]
$d_z$ :	Radius of the tapered arterial segment [m]
$d_0$ :	Radius of non-tapered artery in non-stenotic region [m]
$\tilde{\theta}$ :	Tapered angle of artery, [rad]
$\rho$ :	Density of blood [ $\text{kg m}^{-3}$ ]

- t: Non-dimensional time  
 r: Non-dimensional radial distance  
 z: Non-dimensional axial distance  
 $\theta$ : Non-dimensional angular distance  
 $\mu$ : Coefficient of Newtonian viscosity [ $\text{kg m}^{-1} \text{s}^{-1}$ ]  
 $\mu_c$ : Coefficient of cross viscosity [ $\text{ms}^{-1}$ ]  
 $\tau_{ij}$ : Stress tensor in non-dimensional form  
 $\bar{\tau}_{ij}$ : Stress tensor [ $\text{Nm}^{-2}$ ]

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# Pathway Fractional Integral Formulae Involving Extended Bessel-Maitland Function in the Kernel



D. L. Suthar, Hafte Amsalu, M. Bohra, K. A. Selvakumaran, and S. D. Purohit

**Abstract** The aim of this paper is to present two composition formulae of pathway fractional integral (PFI) operators connected with altered extensions of the Bessel-Maitland function. We point out pertinent connections of certain particular cases of our main results with known results.

**Keywords** Extended Bessel-Maitland function · Pathway fractional integral operator · Gamma function · Beta function

**Mathematics Subject Classification** 33E12 · 05C38 · 26A33

## 1 Introduction and Preliminaries

The Bessel-Maitland function  $J_{\vartheta}^{\zeta}(\cdot)$  is a generalization of Bessel function introduced by Ed. Maitland Wright [8] through a series representation as

$$J_{\vartheta}^{\zeta}(z) = \sum_{m=0}^{\infty} \frac{(-z)^m}{\Gamma(\zeta m + \vartheta + 1) m!} \quad (z, \vartheta \in \mathbb{C}, \zeta > 0). \quad (1)$$

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D. L. Suthar

Department of Mathematics, Wollo University, P.O. Box: 1145, Dessie, Ethiopia

H. Amsalu

Department of Physics, Wollo University, P.O. Box: 1145, Dessie, Ethiopia

M. Bohra (✉)

Department of Mathematics, Government Mahila Engineering College, Ajmer 305001, India

e-mail: [maheshkbohra@gmail.com](mailto:maheshkbohra@gmail.com)

K. A. Selvakumaran

Department of Mathematics, R.M.K. College of Engineering and Technology, Puduvoyal 601206, Tamil Nadu, India

S. D. Purohit

Department of HEAS (Mathematics), Rajasthan Technical University, Kota 324010, India

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In fact, the application of Bessel-Maitland function is found in the diverse field of mathematical physics, engineering, biological and chemical sciences in the book of Watson [30].

Further, Pathak [17] defined generalization of the Bessel-Maitland function  $J_{\vartheta, q}^{\zeta, \delta}(\cdot)$  in the form as

$$J_{\vartheta, q}^{\zeta, \delta}(z) = \sum_{m=0}^{\infty} \frac{(\delta)_{qm} (-z)^m}{\Gamma(\zeta m + \vartheta + 1) m!}, \tag{2}$$

where  $z \in \mathbb{C} \setminus (-\infty, 0]$ ;  $\zeta, \vartheta, \delta \in \mathbb{C}, \Re(\zeta) \geq 0, \Re(\vartheta) \geq -1, \Re(\delta) \geq 0$  and  $q \in (0, 1) \cup \mathbb{N}$ , and  $(\delta)_{qm}$  is known as generalized Pochhammer symbol defined as

$$(\delta)_0 = 1, \quad (\delta)_{qm} = \frac{\Gamma(\delta + qm)}{\Gamma(\delta)}.$$

Since the Bessel-Maitland function was introduced in 1983, a variety of extensions and generalizations with diverse applications have been presented and investigated (see details [3, 7, 18, 22, 24, 25, 27–29]). By motivating these investigations and applications of Bessel-Maitland function, Suthar et al. [26] extended the generalized Bessel-Maitland function (2) in the following manner:

$$J_{\vartheta, q}^{\zeta, \delta; c}(z; p) = \sum_{m=0}^{\infty} \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-z)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\zeta m + \vartheta + 1) m!} \tag{3}$$

$$(p > 0, q \in \mathbb{N}, \Re(c) > \Re(\delta) > 0),$$

which is known as extended generalized Bessel-Maitland function and where  $\mathcal{B}_p(s, t)$  is the extended beta function (see [4]).

$$\mathcal{B}_p(s, t) = \int_0^1 z^{s-1} (1-z)^{t-1} e^{\frac{-p}{z(1-z)}} dz \quad (\Re(p) > 0, \Re(s) > 0, \Re(t) > 0). \tag{4}$$

For  $p = 0$ , (4) reduces to the well-known beta function (see, e.g., [23], Sect. 1.1)

$$\mathcal{B}(s, t) = \int_0^1 z^{s-1} (1-z)^{t-1} dz = \frac{\Gamma(s) \Gamma(t)}{\Gamma(s+t)} \tag{5}$$

$$(s, t \in \mathbb{C} \setminus \mathbb{Z}_0^-; (\min(\Re(s) > 0, \Re(t) > 0))).$$

**Remark 1.1**

- (i) In a particular case of Eq. (3), when  $p = 0$  it reduces to (2) and for  $p = q = 0$  reduces to (1).

(ii) When  $q = 1$ ,  $\vartheta = \vartheta - 1$  and  $z = -z$  in (3), the equation reduces to the extended Mittag-Leffler function defined by Özarslan and Yilmaz ([16], Eq. (4)).

Recently, an expending PFI operator introduced by Nair [12], which was earlier defined by Mathai [12] and Mathai and Haubold [10, 11], is defined as follows:

$$(\mathcal{P}_{0+}^{\varepsilon, \nu} f)(x) = x^\varepsilon \int_0^{\left[\frac{x}{\alpha(1-\nu)}\right]} \left(1 - \frac{\alpha(1-\nu)\xi}{x}\right)^{\frac{\varepsilon}{(1-\nu)}} f(\xi) d\xi, \tag{6}$$

where Lebesgue measurable function  $f \in L(a, b)$  for real or complex term valued function,  $\varepsilon \in \mathbb{C}$ ,  $\Re(\varepsilon) > 0$ ,  $\alpha > 0$  and  $\nu < 1$  ( $\nu$  is a pathway parameter).

**Remark 1.2** The pathway model for a real scalar  $\nu$  and scalar random variables is represented by the probability density function (p.d.f.) in the following manner:

$$f(x) = \frac{c}{|x|^{1-\nu}} [1 - \alpha(1-\nu)|x|^\rho]^{\frac{\varepsilon}{(1-\nu)}} \tag{7}$$

where  $x \in (-\infty, \infty)$ ;  $\varepsilon > 0$ ;  $\rho > 0$ ;  $[1 - \alpha(1-\nu)|x|^\rho]^{\frac{\varepsilon}{(1-\nu)}} > 0$ ;  $\nu > 0$  and where  $\nu$  and  $c$  denote the pathway parameter and normalizing constant, respectively.

Additionally, for  $\nu \in \mathbb{R}$ , the normalizing constants are expressed in the following way:

$$c = \begin{cases} \frac{1}{2} \frac{\rho[\alpha(1-\nu)]^{\frac{\nu}{\rho}} \Gamma\left(\frac{\nu}{\rho} + \frac{\varepsilon}{1-\nu} + 1\right)}{\Gamma\left(\frac{\nu}{\rho}\right) \Gamma\left(\frac{\varepsilon}{1-\nu} + 1\right)} & (\nu < 1), \\ \frac{1}{2} \frac{\rho[\alpha(1-\nu)]^{\frac{\nu}{\rho}} \Gamma\left(\frac{\varepsilon}{\nu-1}\right)}{\Gamma\left(\frac{\nu}{\rho}\right) \Gamma\left(\frac{\varepsilon}{\nu-1} - \frac{\nu}{\rho}\right)} & \left(\frac{1}{\nu-1} - \frac{\nu}{\rho} > 0, \nu > 1\right), \\ \frac{1}{2} \frac{[\alpha\varepsilon]^{\frac{\nu}{\rho}}}{\Gamma\left(\frac{\nu}{\rho}\right)}, & (\nu \rightarrow 1). \end{cases}$$

Here, it is noted that if  $\nu < 1$ , then finite range density with  $[1 - \alpha(1-\nu)|x|^\rho]^{\frac{\varepsilon}{(1-\nu)}} > 0$ , and (7) may be a part of the extended generalized type-1 beta family. Also, the triangular density, the uniform density, the extended type-1 beta density and various other probability density functions are precise special cases of the pathway density function defined in (7) for  $\nu < 1$ .

For example, if  $\nu > 1$  and by setting  $(1-\nu) = -(v-1)$  in (6) then yields

$$(\mathcal{P}_{0+}^{\varepsilon, \nu} f)(x) = x^\varepsilon \int_0^{\left[\frac{x}{-\alpha(v-1)}\right]} \left(1 + \frac{\alpha(v-1)\xi}{x}\right)^{\frac{\varepsilon}{-(v-1)}} f(\xi) d\xi \tag{8}$$

and

$$f(x) = \frac{c}{|x|^{1-\nu}} [1 + \alpha(v-1)|x|^\rho]^{\frac{\varepsilon}{-(v-1)}} \tag{9}$$

provided that  $x \in (-\infty, \infty)$ ;  $\rho > 0$ ;  $\varepsilon > 0$ ;  $\nu > 1$  characterizes the extended generalized type-2 beta model for real  $x$ . The specific cases of the density function (9)

include the type-2 beta density function, the  $p$  density function and the student  $t$  density function. For  $\nu \rightarrow 1$ , (6) diminishes to the Laplace integral transform.

In a similar way, if  $\nu = 0, \alpha = 1$  and  $\varepsilon$  takes the place of  $\varepsilon - 1$ , then (6) diminishes to the familiar Riemann-Liouville (R-L) fractional integral operator  $I_{0+}^\varepsilon f$  (e.g., [2]).

$$\left(\mathcal{P}_{0+}^{\varepsilon-1,0} f\right)(x) = x^{1-\varepsilon} \Gamma(\varepsilon) \left(I_{0+}^\varepsilon f\right)(x) \quad (\Re(\varepsilon) > 1). \tag{10}$$

The PFI operator (6) leads to numerous interesting illustrations such as fractional calculus associated with probability density functions and their significance in statistical theory. Nowadays, many researchers working on PFI formulae are associated with various special functions (see [1, 5, 6, 13–15, 20, 21]). Motivated by these researchers, we aim to extend the generalized Bessel-Maitland function, which is connected with the PFI operator (6) to present their integral formulae. Suitable connections of some particular cases are also pointed out.

## 2 Pathway Fractional Integral Operator of an Extended Generalized Bessel-Maitland Function

In this section, we establish the PFI formula involving the extended generalized Bessel-Maitland function, which is stated in Theorems 2.1 and 2.6.

**Theorem 2.1** *Suppose  $\varsigma, \delta, \vartheta, \varepsilon, c \in \mathbb{C}$  such that  $\Re(\delta) \geq 0, \Re(\varsigma) \geq 0, \Re(c) > \Re(\delta) > 0, \Re(\vartheta) \geq -1, \Re(\varepsilon) > 0, \Re\left(\frac{\varepsilon}{1-\nu}\right) > -1; p > 0, \nu < 1, q \in \mathbb{N}$  and  $\omega \in \mathbb{R}$ . Then the following formula holds true:*

$$\mathcal{P}_{0+}^{\varepsilon,\nu} \left[ \xi^\vartheta J_{\vartheta,q}^{\varsigma,\delta;c}(\omega \xi^\varsigma; p) \right](x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(\frac{\varepsilon}{1-\nu} + 1\right)}{(\alpha(1-\nu))^{\vartheta+1}} J_{\vartheta+\frac{\varepsilon}{1-\nu}+1,q}^{\varsigma,\delta;c} \left( \omega \left( \frac{x}{\alpha(1-\nu)} \right)^\varsigma; p \right). \tag{1}$$

**Proof** We indicate the RHS of Eq. (1) by  $\mathfrak{S}_1$ , and invoking Eqs. (3) and (6), we have

$$\begin{aligned} \mathfrak{S}_1 &= x^\varepsilon \int_0^{\left[\frac{x}{\alpha(1-\nu)}\right]} \left( 1 - \frac{\alpha(1-\nu)\xi}{x} \right)^{\frac{\varepsilon}{(1-\nu)}} \xi^\vartheta \\ &\times \sum_{m=0}^\infty \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega \xi^\varsigma)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\varsigma m + \vartheta + 1) m!} d\xi. \end{aligned} \tag{2}$$

Now for changing the order of integration and summation, we obtain

$$\mathfrak{S}_1 = x^\varepsilon \sum_{m=0}^\infty \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\varsigma m + \vartheta + 1) m!}$$



$$\times \int_0^{\left[\frac{x}{\alpha(1-v)}\right]} \left(1 - \frac{\alpha(1-v)\xi}{x}\right)^{\frac{\varepsilon}{(1-v)}} \xi^{\vartheta+\zeta m} d\xi.$$

Taking the substitution  $u = \frac{\alpha(1-v)\xi}{x}$ , we can change the limit of integration into the following:

$$\begin{aligned} \mathfrak{S}_1 &= x^\varepsilon \sum_{m=0}^\infty \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta)\Gamma(\zeta m + \vartheta + 1) m!} \left(\frac{x}{\alpha(1-v)}\right)^{\vartheta+\zeta m+1} \\ &\quad \times \int_0^1 (1-u)^{\frac{\varepsilon}{(1-v)}} u^{\vartheta+\zeta m} du. \end{aligned} \tag{3}$$

Using (5) in (3), this reduces to

$$\begin{aligned} \mathfrak{S}_1 &= x^\varepsilon \sum_{m=0}^\infty \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta)\Gamma(\zeta m + \vartheta + 1) m!} \left(\frac{x}{\alpha(1-v)}\right)^{\vartheta+\zeta m+1} \\ &\quad \times \mathcal{B}\left(\vartheta + \zeta m + 1, \frac{\varepsilon}{(1-v)} + 1\right) \\ &= \frac{x^{\varepsilon+\vartheta+1}\Gamma\left(\frac{\varepsilon}{(1-v)} + 1\right)}{(\alpha(1-v))^{\vartheta+1}} \sum_{m=0}^\infty \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm}}{\mathcal{B}(\delta, c - \delta)m!} \\ &\quad \times \frac{(-\omega)^m}{\Gamma\left(\vartheta + \zeta m + 1 + \frac{\varepsilon}{(1-v)} + 1\right)} \left(\frac{x}{\alpha(1-v)}\right)^{\zeta m} \\ &= \frac{x^{\varepsilon+\vartheta+1}\Gamma\left(\frac{\varepsilon}{(1-v)} + 1\right)}{(\alpha(1-v))^{\vartheta+1}} J_{\vartheta+\frac{\varepsilon}{1-v}+1,q}^{\zeta,\delta;c} \left(\omega \left(\frac{x}{\alpha(1-v)}\right)^\zeta; p\right), \end{aligned}$$

which completes the desired proof. □

**Corollary 2.2** *If we put  $p = 0$ , then (1) leads to the subsequent result of Bessel-Maitland function:*

$$\mathcal{P}_{0+}^{\varepsilon,v} \left[ \xi^\vartheta J_{\vartheta,q}^{\zeta,\delta;c} (\omega \xi^\zeta) \right] (x) = \frac{x^{\varepsilon+\vartheta+1}\Gamma\left(\frac{\varepsilon}{(1-v)} + 1\right)}{(\alpha(1-v))^{\vartheta+1}} J_{\vartheta+\frac{\varepsilon}{1-v}+1,q}^{\zeta,\delta} \left(\omega \left(\frac{x}{\alpha(1-v)}\right)^\zeta\right). \tag{4}$$

**Corollary 2.3** *If  $p = q = 0$ , (1) gives the subsequent result in terms of Bessel-Maitland function as*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta}^{\zeta} (\omega \xi^{\varsigma}) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma \left( \frac{\varepsilon}{1-\nu} + 1 \right)}{(\alpha(1-\nu))^{\vartheta+1}} J_{\vartheta+\frac{\varepsilon}{1-\nu}+1}^{\zeta} \left( \omega \left( \frac{x}{\alpha(1-\nu)} \right)^{\varsigma} \right). \tag{5}$$

**Corollary 2.4** *If we set  $\nu = 0, \alpha = 1$  and  $\varepsilon = \varepsilon - 1$  in (1), then the following formula holds true:*

$$\mathcal{P}_{0+}^{\varepsilon-1, 0} \left[ \xi^{\vartheta} J_{\vartheta, q}^{\zeta, \delta; c} (\omega \xi^{\varsigma}; p) \right] (x) = x^{\varepsilon+\vartheta} \Gamma(\varepsilon) J_{\vartheta+\varepsilon, q}^{\zeta, \delta; c} (\omega x^{\varsigma}; p). \tag{6}$$

**Corollary 2.5** *If  $\delta = 1, c = 2$  and  $q = 1$ , then (1) holds the following formula:*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta, 1}^{\zeta, 1; 2} (\omega \xi^{\varsigma}; p) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma \left( \frac{\varepsilon}{1-\nu} + 1 \right)}{(\alpha(1-\nu))^{\vartheta+1}} J_{\vartheta+\frac{\varepsilon}{1-\nu}+1, 1}^{\zeta, 1; 2} \left( \omega \left( \frac{x}{\alpha(1-\nu)} \right)^{\varsigma}; p \right). \tag{7}$$

**Theorem 2.6** *Let  $\vartheta, \varepsilon, \zeta, \delta, c \in \mathbb{C}$  such that  $\Re(\zeta) \geq 0, \Re(\delta) \geq 0, \Re(c) > \Re(\delta) > 0, \Re(\vartheta) \geq -1, \Re(\varepsilon) > 0, \Re \left( \frac{\varepsilon}{1-\nu} \right) > -1; p > 0, \nu > 1, q \in \mathbb{N}$  and  $\omega \in \mathbb{R}$ . Then the following formula holds true:*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta, q}^{\zeta, \delta; c} (\omega \xi^{\varsigma}; p) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma \left( 1 - \frac{\varepsilon}{\nu-1} \right)}{(-\alpha(\nu-1))^{\vartheta+1}} J_{\vartheta+1-\frac{\varepsilon}{\nu-1}, q}^{\zeta, \delta; c} \left( \omega \left( \frac{x}{-\alpha(\nu-1)} \right)^{\varsigma}; p \right). \tag{8}$$

**Proof** Denote the right-hand side of (8) by  $\mathfrak{S}_2$ . By using (3) and (6) and changing the order of integration and summation, which is confirmed under the provided conditions in Theorem 2.6, we obtain

$$\begin{aligned} \mathfrak{S}_2 &= x^{\varepsilon} \sum_{m=0}^{\infty} \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\zeta m + \vartheta + 1) m!} \\ &\quad \times \int_0^{\left[ \frac{x}{-\alpha(\nu-1)} \right]} \left( 1 + \frac{\alpha(\nu-1)\xi}{x} \right)^{-\frac{\varepsilon}{(\nu-1)}} \xi^{\vartheta+\zeta m} d\xi. \end{aligned} \tag{9}$$

By setting  $\nu = -\frac{\alpha(\nu-1)\xi}{x}$  and using (5), we obtain

$$\begin{aligned} \mathfrak{S}_2 &= x^{\varepsilon} \sum_{m=0}^{\infty} \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\zeta m + \vartheta + 1) m!} \left( \frac{x}{-\alpha(\nu-1)} \right)^{\vartheta+\zeta m+1} \\ &\quad \times \int_0^1 (1-\nu)^{1-\frac{\varepsilon}{(\nu-1)}-1} \nu^{\vartheta+\zeta m+1-1} d\nu, \\ &= x^{\varepsilon} \sum_{m=0}^{\infty} \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm} (-\omega)^m}{\mathcal{B}(\delta, c - \delta) \Gamma(\zeta m + \vartheta + 1) m!} \left( \frac{x}{-\alpha(\nu-1)} \right)^{\vartheta+\zeta m+1} \end{aligned}$$

$$\begin{aligned} & \times \frac{\Gamma(\vartheta + \varsigma m + 1) \Gamma\left(1 - \frac{\varepsilon}{(\nu-1)}\right)}{\Gamma\left(\vartheta + \varsigma m + 2 - \frac{\varepsilon}{(\nu-1)}\right)} \\ &= \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(1 - \frac{\varepsilon}{(\nu-1)}\right)}{(-\alpha(\nu-1))^{\vartheta+1}} \sum_{m=0}^{\infty} \frac{\mathcal{B}_p(\delta + qm, c - \delta) (c)_{qm}}{\mathbf{B}(\delta, c - \delta)m!} \\ & \quad \times \frac{1}{\Gamma\left(\vartheta + \varsigma m + 2 - \frac{\varepsilon}{(\nu-1)}\right)} \left(-\omega \left(\frac{x}{-\alpha(\nu-1)}\right)^{\varsigma}\right)^m \\ &= \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(1 - \frac{\varepsilon}{(\nu-1)}\right)}{(-\alpha(\nu-1))^{\vartheta+1}} J_{\vartheta+1-\frac{\varepsilon}{\nu-1}, q}^{\varsigma, \delta; c} \left(\omega \left(\frac{x}{-\alpha(\nu-1)}\right)^{\varsigma}; p\right), \end{aligned}$$

we arrive at the desired result (8).

**Corollary 2.7** *If we put  $p = 0$ , then (8) gives the result in terms of Bessel-Maitland function as follows:*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta, q}^{\varsigma, \delta; c} (\omega \xi^{\varsigma}) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(1 - \frac{\varepsilon}{\nu-1}\right)}{(-\alpha(\nu-1))^{\vartheta+1}} J_{\vartheta+1-\frac{\varepsilon}{\nu-1}, q}^{\varsigma, \delta} \left(\omega \left(\frac{x}{-\alpha(\nu-1)}\right)^{\varsigma}\right). \tag{10}$$

**Corollary 2.8** *If we set  $p = q = 0$ , then (8) provides the result as*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta}^{\varsigma} (\omega \xi^k) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(1 - \frac{\varepsilon}{\nu-1}\right)}{(-\alpha(\nu-1))^{\vartheta+1}} J_{\vartheta+1-\frac{\varepsilon}{\nu-1}}^{\varsigma} \left(\omega \left(\frac{x}{-\alpha(\nu-1)}\right)^{\varsigma}\right). \tag{11}$$

**Corollary 2.9**  $\alpha = 1, \nu = 2$  and  $\varepsilon = \varepsilon - 1$ , then (8) holds the following formula:

$$\mathcal{P}_{0+}^{\varepsilon-1, 2} \left[ \xi^{\vartheta} J_{\vartheta, q}^{\varsigma, \delta; c} (\omega \xi^{\varsigma}; p) \right] (x) = x^{\varepsilon+\vartheta} (-1)^{\vartheta+1} \Gamma(2 - \varepsilon) J_{\vartheta+2-\varepsilon, q}^{\varsigma, \delta; c} (\omega (-x)^{\varsigma}; p). \tag{12}$$

**Corollary 2.10** *If  $\delta = 1, c = 2$  and  $q = 1$ , then resulting formula of (8) holds true:*

$$\mathcal{P}_{0+}^{\varepsilon, \nu} \left[ \xi^{\vartheta} J_{\vartheta, 1}^{\xi, 1; 2} (\omega \xi^{\varsigma}; p) \right] (x) = \frac{x^{\varepsilon+\vartheta+1} \Gamma\left(1 - \frac{\varepsilon}{\nu-1}\right)}{(-\alpha(\nu-1))^{\vartheta+1}} J_{\vartheta+1-\frac{\varepsilon}{\nu-1}, 1}^{\varsigma, 1; 2} \left(\omega \left(\frac{x}{-\alpha(\nu-1)}\right)^{\varsigma}; p\right). \tag{13}$$

### 3 Concluding Remarks

We have established two pathway fractional integral formulae associated with the extended generalized Bessel-Maitland function. The results are the extended forms of the known results which are earlier proved by [12, 19].

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# Analytical Approximate Approach to the Helmholtz-Duffing Oscillator



B. Ghanbari

**Abstract** In this paper, a novel analytical approximation for the period and periodic solutions for the Helmholtz-Duffing oscillator is presented. The main idea of present work is to approximate the integration in exact analytical period of equation using a well-known quadrature rules. This approach gives us not only the accurate period of motion but also a truly periodic solution in a rational form as a function of the amplitude of oscillation. Comparison of the result obtained using this approach with the exact one and existing results reveals that the high accurate, simplicity, and efficiency of the proposed procedure for the whole range of initial amplitudes and the equation parameter in a variety of cases. The method can be easily extended to other strongly nonlinear oscillators.

**Keywords** Highly nonlinear oscillators · Analytical approximate solutions · Periodic solution · Numerical integration · Helmholtz-Duffing oscillator

## 1 Introduction

The topic of nonlinear oscillators has received lots of attention especially in the last decade. Though it is still very difficult to solve governing nonlinear problems either numerically or analytically, various analytic, and numerical techniques have been employed to search numerical solutions of nonlinear oscillators [1–13].

The intention of this paper is to develop a novel solving procedure for conservative Helmholtz-Duffing oscillator giving by [9–13]:

$$\ddot{u} + u + (1 - \sigma)u^2 + \sigma u^3 = 0, \quad (1)$$

with the following given information

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B. Ghanbari (✉)

Department of Basic Science, Kermanshah University of Technology, Kermanshah, Iran  
e-mail: [b.ghanbary@yahoo.com](mailto:b.ghanbary@yahoo.com)

$$x(0) = a, \quad \dot{x}(0) = 0. \quad (2)$$

where  $\sigma \in \mathcal{R}$ . For  $\sigma = 1$ , the Helmholtz-Duffing oscillator (1) is reduced to the classical Duffing oscillator. It also degenerates to the Helmholtz oscillator with a single-well potential by letting  $\sigma = 0$ .

Since Eq. (1) has no exact solution, researchers have been used many approximate techniques to obtain the numerical solutions. For instance, Leung and Guo [11] used the homotopy perturbation method to obtain the period of the Helmholtz-Duffing oscillator (1). Guo and Leung [12] examined the approximate period of the oscillator using the iterative homotopy harmonic balance method. In [13], the authors have adopted He's Energy Balance Method (HEBM) and He's Frequency Amplitude Formulation (HFAF) as the solution methods to approximate periodic solutions for the Helmholtz-Duffing oscillator. Recently, in [14] the exact and explicit homoclinic solution of the undamped Helmholtz-Duffing oscillator is derived by a presented hyperbolic function balance procedure.

In the paper, we give an explicit formula for the analytical period and approximate solutions of the Helmholtz-Duffing oscillator.

## 2 Conservative Helmholtz-Duffing Oscillator

Due to the fact that the behavior of an asymmetric nonlinear oscillator is different in positive and negative directions, the equation can be conveniently studied in two parts [9–13]:

$$\ddot{u} + u + (1 - \sigma)u^2 \operatorname{sgn}(u) + \sigma u^3 = 0, \quad \text{for } u \geq 0, \quad (3)$$

$$\ddot{u} + u - (1 - \sigma)u^2 \operatorname{sgn}(u) + \sigma u^3 = 0, \quad \text{for } u \leq 0, \quad (4)$$

in which

$$\operatorname{sgn}(x) = \begin{cases} 1 & x > 0 \\ 0 & x = 0 \\ -1 & x < 0 \end{cases}.$$

To proceed further, we multiply  $u'$  on both sides Eq. (1)

$$u''u' + uu' + (1 - \sigma)u^2u' + \sigma u^3u' = 0, \quad (5)$$

Now we integrate (5) as

$$\frac{1}{2}u'^2 + \frac{1}{2}u^2 + \frac{1}{3}(1 - \sigma)u^3 + \frac{1}{4}\sigma u^4 = C, \quad (6)$$

where  $C$  is an integration constant.

Imposing  $u' = 0$  at  $u = a$  and  $u = -b$ , it reads

$$\frac{1}{2}a^2 + \frac{1}{3}(1 - \sigma)a^3 + \frac{1}{4}\sigma a^4 = \frac{1}{2}b^2 - \frac{1}{3}(1 - \sigma)b^3 + \frac{1}{4}\sigma b^4. \quad (7)$$

Now, solving (7) for  $b$  yields

$$b = \frac{1}{9a}(3a\alpha + 4 - 4a) + \frac{1}{9a}\Delta^{1/3} - \frac{2}{9a}(9a^2\alpha^2 + 6a\alpha - 6a\alpha^2 + 43\alpha - 8 - 8\alpha^2)\Delta^{-1/3} \quad (8)$$

where

$$\begin{aligned} \Delta = & 270a^2\alpha^2(1 - a\alpha - \alpha) - 72a(1 + \alpha^2) - 516\alpha(1 - \alpha) + 64(1 - \alpha^3) \\ & + 54a[-12(1 + \alpha^2) + 16a(a + 1 + a\alpha^4 - \alpha^3) + 78a(1 + a^3\alpha - a^3\alpha^2) \\ & - 8a^3\alpha(1 - \alpha^3) - 172a^2\alpha(1 + \alpha^2) - 120a\alpha(1 - \alpha) \\ & + 9a^4\alpha^2(1 + 5a\alpha + 10\alpha + 3a^2\alpha^2 - 5a\alpha^2 + \alpha^2) + 447a^2\alpha^2]^{1/2}. \end{aligned}$$

Therefore, the two auxiliary equations with initial conditions become

$$u'' + u + (1 - \sigma)u^2 \operatorname{sgn}(u) + \sigma u^3 = 0, \quad \text{for } u \geq 0, \quad u(0) = a, u'(0) = 0, \quad (9)$$

$$u'' + u - (1 - \sigma)u^2 \operatorname{sgn}(u) + \sigma u^3 = 0, \quad \text{for } u \leq 0, \quad u(0) = b, u'(0) = 0. \quad (10)$$

### 3 Solution Method

Multiply  $u'$  on both sides of equations in (9) and (10), then integrating once using the initial conditions, the following relations is obtained

$$\frac{1}{2}u'^2 + \frac{1}{2}u^2 + \frac{1}{3}(1 - \sigma)u^3 + \frac{1}{4}\sigma u^4 = \frac{1}{2}a^2 + \frac{1}{3}(1 - \sigma)a^3 + \frac{1}{4}\sigma a^4, \quad (11)$$

$$\frac{1}{2}u'^2 + \frac{1}{2}u^2 - \frac{1}{3}(1 - \sigma)u^3 + \frac{1}{4}\sigma u^4 = \frac{1}{2}b^2 - \frac{1}{3}(1 - \sigma)b^3 + \frac{1}{4}\sigma b^4, \quad (12)$$

After some mathematical simplification in (11) and (12), we get

$$u' = \sqrt{a^2 - u^2 + \frac{2}{3}(1 - \sigma)(a^3 - u^3) + \frac{1}{2}\sigma(a^4 - u^4)}, \quad (13)$$

$$u' = \sqrt{b^2 - u^2 - \frac{2}{3}(1 - \sigma)(b^3 - u^3) + \frac{1}{2}\sigma(b^4 - u^4)}. \quad (14)$$



**Table 1** A comparison between the approximate natural frequencies for  $\sigma = 0$

$a$	$b$	$T_{HPM}$ [11] (Error%)	$T_{IHBM}$ [12] (Error%)	$T_{EBM(C)}$ [13] (Error%)	$T_{QBM}$ (Error%)	$T_{exact}$
0.1	0.1071797	6.311687 (0.0014)	6.311627 (0.000443)	6.311970 (0.00587806)	6.3116000 (0.0000158)	6.311599
0.2	0.2315342	6.411861 (0.007315)	6.411541 (0.002324)	6.4129012 (0.02353934)	6.4113931 (0.0000171)	6.411392
0.3	0.3803848	6.631084 (0.02605)	6.629894 (0.00810)	6.6322974 (0.04435422)	6.6293591 (0.0000316)	6.629357
0.4	0.5725083	7.131872 (0.1025)	7.126674 (0.029574)	7.1232178 (0.01893729)	7.1245696 (0.0000364)	7.124567
0.49	0.8728321	9.283958 (0.8249)	9.2084853 (0.005303)	8.9467250 (2.83744662)	9.2080165 (0.0002117)	9.207997

Thus for  $u \geq 0$ , the following relation is satisfied

$$\frac{du}{\sqrt{a^2 - u^2 + \frac{2}{3}(1 - \sigma)(a^3 - u^3) + \frac{1}{2}\sigma(a^4 - u^4)}} = dt. \tag{15}$$

Further, in negative directions we obtain

$$\frac{du}{\sqrt{b^2 - u^2 - \frac{2}{3}(1 - \sigma)(b^3 - u^3) + \frac{1}{2}\sigma(b^4 - u^4)}} = dt. \tag{16}$$

Integrating of (15) from  $t = 0$  to  $t = a$  yields

$$\int_0^a \frac{du}{\sqrt{a^2 - u^2 + \frac{2}{3}(1 - \sigma)(a^3 - u^3) + \frac{1}{2}\sigma(a^4 - u^4)}} = \int_0^{\frac{T_a}{4}} dt = \frac{T_a}{4}, \tag{17}$$

Similarly, integrating of (16) from  $t = 0$  to  $t = b$ , one gets

$$\int_0^b \frac{du}{\sqrt{b^2 - u^2 - \frac{2}{3}(1 - \sigma)(b^3 - u^3) + \frac{1}{2}\sigma(b^4 - u^4)}} = \int_0^{\frac{T_b}{4}} dt = \frac{T_b}{4}, \tag{18}$$

Thus, the periods of oscillatory solutions of (9) and (10) can be consequently obtained in the form of

$$T_a = \int_0^a \frac{4du}{\sqrt{a^2 - u^2 + \frac{2}{3}(1 - \sigma)(a^3 - u^3) + \frac{1}{2}\sigma(a^4 - u^4)}}. \tag{19}$$

**Table 2** A comparison between the approximate natural frequencies for  $\sigma = 0.5$

$a$	$b$	$T_{HPM}$ [11] (Error%)	$T_{HHBM}$ [12] (Error%)	$T_{QBM}$ (Error%)	$T_{exact}$
0.01	0.0100334	6.283133 (0.0000031018)	6.2831328 (0.00000318)	6.2831334 (0.0000636)	6.283133
0.02	0.0201342	6.282975 (0.00001592)	6.2829746 (0.0000095496)	6.2829749 (0.00001432)	6.282974
0.05	0.0508466	6.281856 (0.00007959)	6.2818528 (0.00002865)	6.2818519 (0.00001432)	6.281851
0.10	0.1034340	6.2777408 (0.000315)	6.2777277 (0.00001067)	6.2777222 (0.00001911)	6.277721
0.20	0.2140335	6.260044 (0.00118)	6.2599943 (0.0000388)	6.2599706 (0.00000958)	6.259970
0.40	0.4567433	6.174871 (0.0032066)	6.1747439 (0.001148)	6.1746744 (0.00002267)	6.174673
0.60	0.7224905	5.999168 (0.00120018)	5.9991487 (0.0000878)	5.9990972 (0.00002000)	5.999096
0.80	0.9981976	5.727689 (0.008449)	5.7280820 (0.001589)	5.7281740 (0.00001745)	5.728173
1.0	1.2709626	5.393792 (0.022798)	5.3947130 (0.0057275)	5.3950234 (0.00002594)	5.395022
2.0	2.4901645	3.8385182 (0.067735)	3.8400367 (0.0282 )	3.8411204 (0.00001041)	3.841120
5.0	5.6270290	1.8926895 (0.086968)	1.8932562 (0.057054)	1.8943360 (0.00005278)	1.894337
10	10.655726	1.0032703 (0.089795)	1.0035198 (0.06495)	1.0041722 (0.00001991)	1.004172
20	20.663811	0.51387692 (0.090578)	0.51399634 (0.06736)	0.51434260 (0.00003888)	0.5143428
50	50.666199	0.20808755 (0.0907687)	0.20813487 (0.068049)	0.20827654 (0.00002880)	0.2082766
100	100.66655	0.1044264 (0.090795)	0.1044501 (0.06812)	0.10452128 (0.00001913)	0.1045213

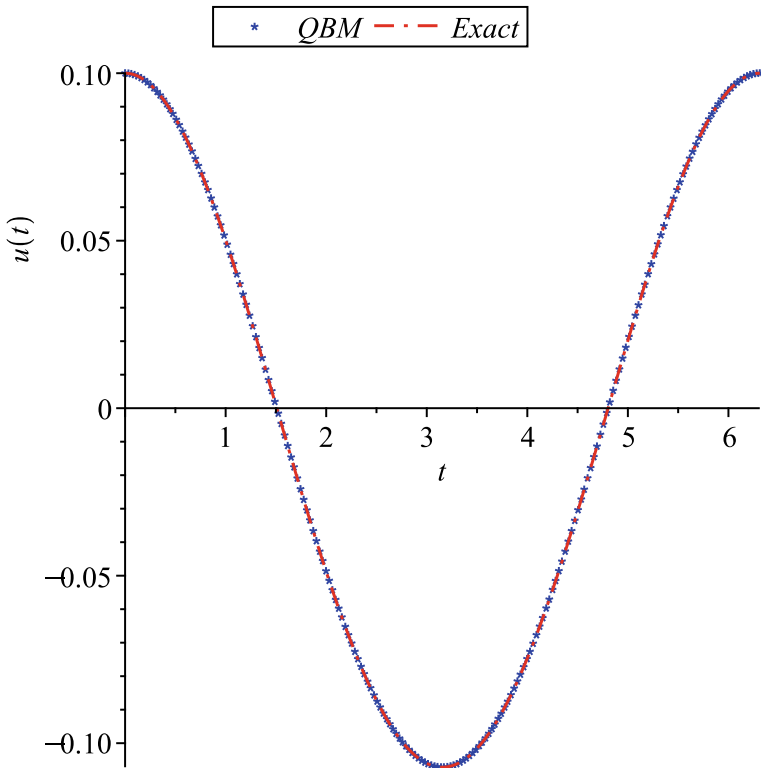
$$T_b = \int_0^b \frac{4du}{\sqrt{b^2 - u^2 - \frac{2}{3}(1 - \sigma)(b^3 - u^3) + \frac{1}{2}\sigma(b^4 - u^4)}}. \tag{20}$$

The relations (19) and (20) give us the exact period of vibration in the in elliptic integral form as:

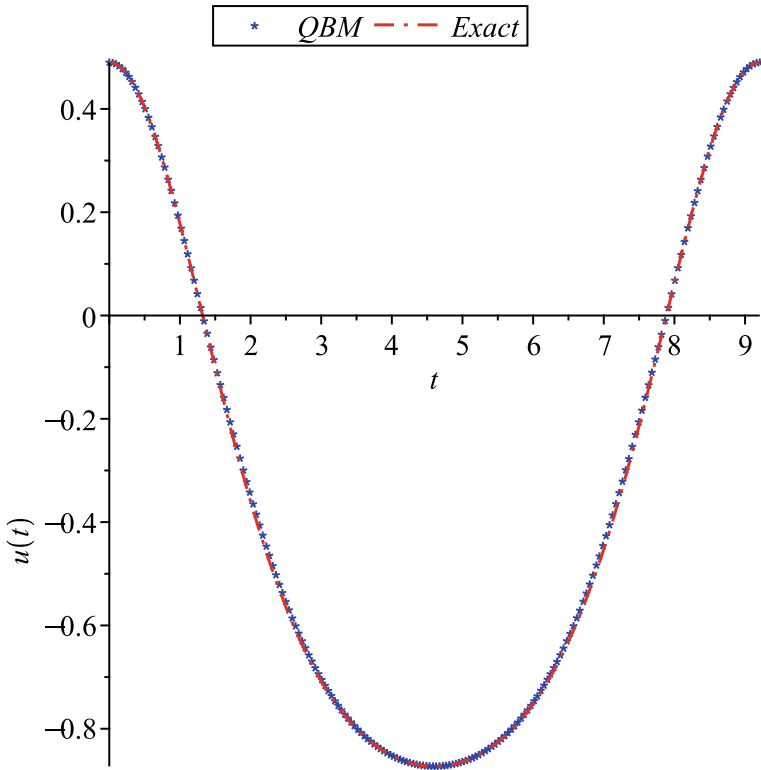
$$T_{ex} = \frac{T_a + T_b}{2} = \int_0^a \frac{2du}{\sqrt{a^2 - u^2 + \frac{2}{3}(1 - \sigma)(a^3 - u^3) + \frac{1}{2}\sigma(a^4 - u^4)}} + \int_0^b \frac{2du}{\sqrt{b^2 - u^2 - \frac{2}{3}(1 - \sigma)(b^3 - u^3) + \frac{1}{2}\sigma(b^4 - u^4)}}. \tag{21}$$

**Table 3** A comparison between the approximate natural frequencies for  $\sigma = 0.9$

$a$	$b$	$T_{HPM}$ [11] (Error%)	$T_{IHBM}$ [12] (Error%)	$T_{EBM(C)}$ [13] (Error%)	$T_{QBM}$ (Error%)	$T_{exact}$
0.01	0.0100067	6.2829757 (0.000000013)	6.2829757 (0.000000013)	6.2829756 (0.000015916)	6.2829762 (0.00007958)	6.2829757
0.1	0.1006651	6.2622098 (0.000011178)	6.2622093 (0.00000319)	6.2622070 (0.000035344)	6.2622098 (0.00001178)	6.2622091
0.5	0.5139226	5.8065145 (0.00005511)	5.8065164 (0.000022389)	5.8039852 (0.043614781)	5.8065182 (0.00008611)	5.8065177
1.0	1.0357708	4.8411819 (0.003038)	4.8412347 (0.001948)	4.8242738 (0.3522834329)	4.8413300 (0.000020655)	4.841329
5.0	5.0709716	1.5043714 (0.072025)	1.5046447 (0.05387)	1.4763900 (1.930691152)	1.5054552 (0.000033212)	1.5054557
10	10.073268	0.77207482 (0.08555)	0.7722400 (0.06417)	0.75645192 (2.107315061)	0.77273560 (0.000038823)	0.7727359
50	50.074041	0.15604183 (0.090579)	0.1560771 (0.06800)	0.15279481 (2.169559742)	0.15618326 (0.000025610)	0.1561833
100	100.07407	0.07806849 (0.090748)	0.07808616 (0.06813)	0.0764425 (2.17163172)	0.078139385 (0.000019196)	0.0781394



**Fig. 1** A comparison between exact and approximate solutions of Eq. (1) for  $a = 0.1$  and  $\sigma = 0$



**Fig. 2** A comparison between exact and approximate solutions of Eq. (1) for  $a = 0.49$  and  $\sigma = 0$

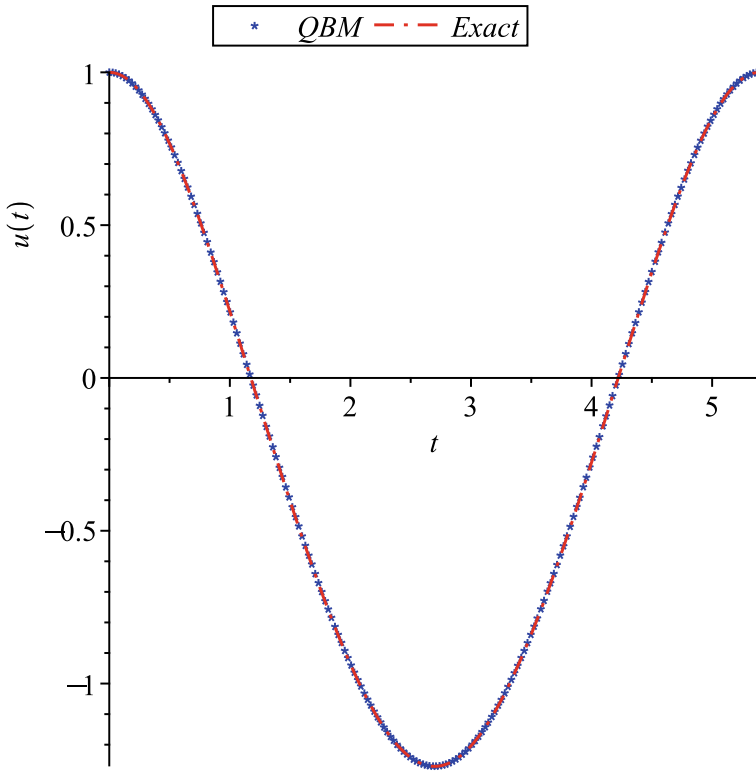
In framework of the proposed method, based on a numerical integration quadrature rule, we first present a closed-form formula for  $T_a$  and  $T_b$ , then  $B_a$  and  $B_b$  will be obtained afterward. One can easily check that both integrals in (19) and (20) have singularities at the upper bound of integrals, which can be easily removed as follows. By applying change of variable  $u = a - at^2$  and  $u = b - bt^2$  in the integrals (19) and (20) and after some manipulations, we respectively get

$$T_a = \frac{8}{k_{1a}} \int_0^1 f_a(t) dt. \tag{22}$$

$$T_b = \frac{8}{k_{1b}} \int_0^1 f_b(t) dt. \tag{23}$$

where

$$f_a(t) = (1 + k_{2a}t^2 + k_{3a}t^4 + k_{4a}t^6)^{-1/2}, \tag{24}$$



**Fig. 3** A comparison between exact and approximate solutions of Eq. (1) for  $a = 1$  and  $\sigma = 0.5$

$$f_b(t) = (1 + k_{2b}t^2 + k_{3b}t^4 + k_{4b}t^6)^{-1/2}, \tag{25}$$

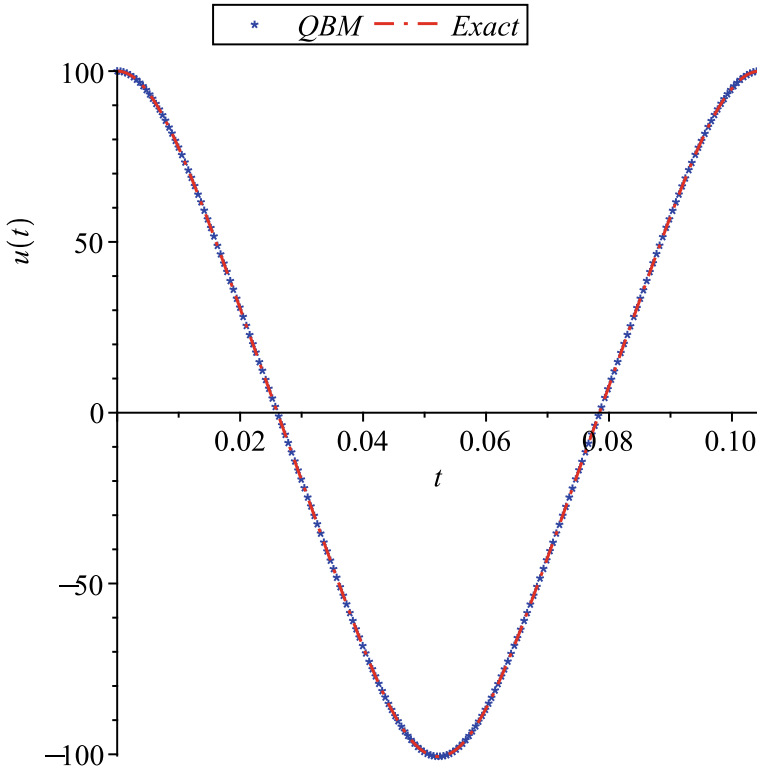
and

$$k_{1a} = (2\sigma a^2 + (2 - 2\sigma)a + 2)^{-1/2}, \quad k_{2a} = \frac{-3\sigma a^2 + 2(-1 + \sigma)a - 1}{2\sigma a^2 - 2(-1 + \sigma)a + 2},$$

$$k_{3a} = \frac{a(3\sigma a - \sigma + 1)}{3\sigma a^2 + (3 - 3\sigma)a + 3}, \quad k_{4a} = -\frac{\sigma a^2}{4\sigma a^2 - (4\sigma - 4)a + 4},$$

and also,

$$k_{1b} = (2\sigma b^2 + (2\sigma - 2)b + 2)^{-1/2}, \quad k_{2b} = \frac{-3\sigma b^2 - 2(\sigma - 1)b - 1}{2\sigma b^2 + 2(\sigma - 1)b + 2},$$



**Fig. 4** A comparison between exact and approximate solutions of Eq. (1) for  $a = 100$  and  $\sigma = 0.5$

$$k_{3b} = \frac{b(3\sigma b + \sigma - 1)}{3\sigma b^2 + (3\sigma - 3)b + 3}, \quad k_{4b} = -\frac{\sigma b^2}{4\sigma b^2 + (4\sigma - 4)b + 4}.$$

It is noted that to investigate Gauss quadrature formulas for approximating integrals the linear mapping  $t = \frac{b+a+x(b-a)}{2}$  is used to map integrals on finite intervals  $[a, b]$  over  $[-1, 1]$ , as follows:

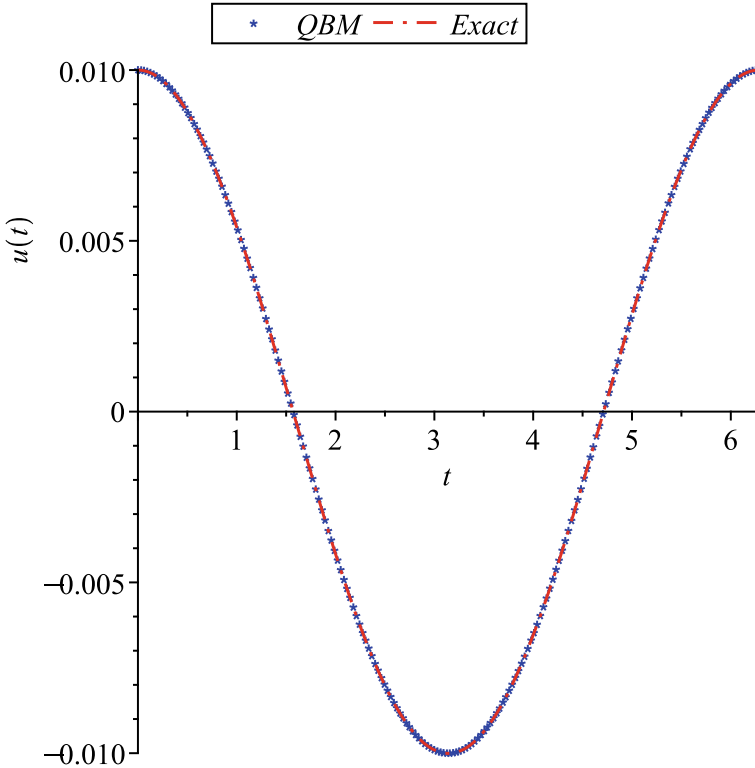
$$\int_a^b f(t)dt = \frac{b-a}{2} \int_{-1}^1 g(x) dx. \tag{26}$$

where

$$g(x) = f\left(\frac{b+a+x(b-a)}{2}\right). \tag{27}$$

Consequently, by using (26) and (27) Eqs. (22) and (23) may be viewed as

$$T_a = \frac{4}{k_{1a}} \int_{-1}^1 g_a(x)dx. \tag{28}$$



**Fig. 5** A comparison between exact and approximate solutions of Eq. (1) for  $a = 0.01$  and  $\sigma = 0.9$

$$T_b = \frac{4}{k_{1b}} \int_{-1}^1 g_b(x) dx. \tag{29}$$

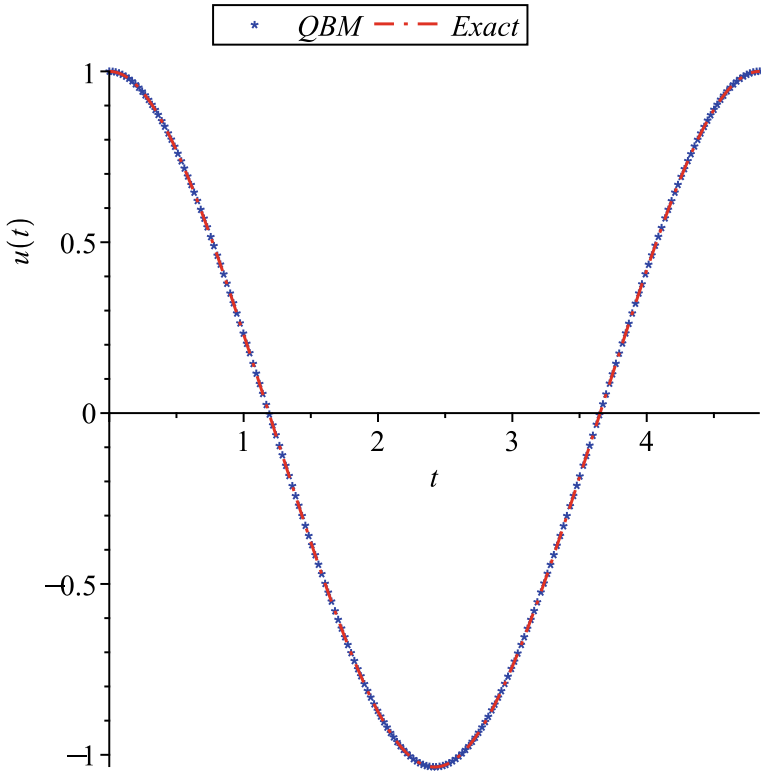
where  $g_a(x) = f_a\left(\frac{1+x}{2}\right)$  and  $g_b(x) = f_b\left(\frac{1+x}{2}\right)$ .

Now, to approximate the values of integrals (28) and (29), we can try the well-known Lobatto quadrature rules on  $[-1, 1]$  as:

$$\int_{-1}^1 g(x) dx \approx \frac{2}{n(n-1)} g(-1) + \frac{2}{n(n-1)} g(1) + \frac{2}{n(n-1)} \sum_{i=1}^{n-2} \frac{1}{[P_{n-1}(x_i)]^2} g(x_i). \tag{30}$$

where  $x_i$  is the  $(i - 1)$  st root of  $P'_{n-1}(x)$  and  $P_n(x)$  is Legendre polynomials of degree  $n$ . This rule yields an exact result for polynomials of degree  $2n - 3$  or less [16].

For  $n = 7$  in (30), we have:



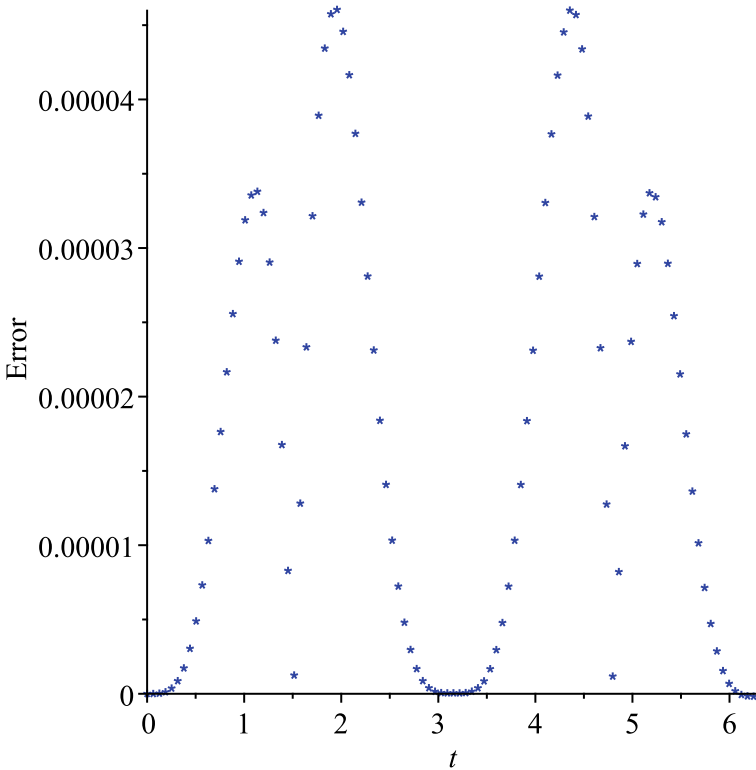
**Fig. 6** A comparison between exact and approximate solutions of Eq. (1) for  $a = 1$  and  $\sigma = 0.9$

$$\begin{aligned}
 \int_{-1}^1 g(x)dx \approx & 0.4876190476 g(0) + 0.0476190476 (g(1) + g(-1)) \\
 & + 0.4317453812 (g(0.4688487934) + g(-0.4688487934)) \quad (31) \\
 & + 0.2768260473 (g(0.8302238962) + g(-0.8302238962)).
 \end{aligned}$$

Using Eq. (31) in (28)–(29), we obtain the analytical approximations for  $T_a$  and  $T_b$ , as:

$$\begin{aligned}
 \hat{T}_a = \frac{1}{k_{1a}} [ & 1.950476190 g_a(0) + 0.1904761904 (g_a(1) + g_a(-1)) \\
 & + 1.726981525 (g_a(0.4688487934) + g_a(-0.4688487934)) \quad (32) \\
 & + 1.107304189 (g_a(0.8302238962) + g_a(-0.8302238962))].
 \end{aligned}$$





**Fig. 7** Difference between the exact and approximate solutions of Eq. (1) for  $a = 0.1$  and  $\sigma = 0$

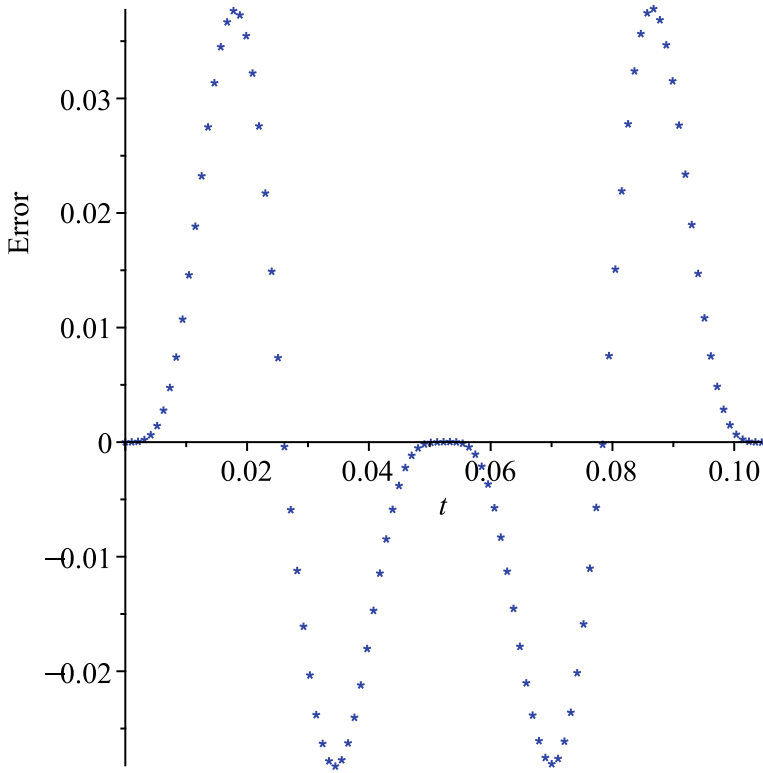
$$\begin{aligned} \hat{T}_b = & \frac{1}{k_{1b}} [1.950476190 g_b(0) + 0.1904761904 (g_b(1) + g_b(-1)) \\ & + 1.726981525 (g_b(0.4688487934) + g_b(-0.4688487934)) \\ & + 1.107304189 (g_b(0.8302238962) + g_b(-0.8302238962))]. \end{aligned} \tag{33}$$

Moreover, we utilize a generalized rational form of solution given by [8]:

$$u(t) = \begin{cases} \frac{a(1+B_a) \cos \omega_a t}{1+B_a \cos 2\omega_a t}, & 0 \leq t \leq \frac{\hat{T}_a}{4} \\ \frac{b(1+B_b) \cos \omega_b (t - \frac{\hat{T}_a}{4} + \frac{\hat{T}_b}{2})}{1+B_b \cos 2\omega_b (t - \frac{\hat{T}_a}{4} + \frac{\hat{T}_b}{2})}, & \frac{\hat{T}_a}{4} \leq t \leq \frac{\hat{T}_a}{4} + \frac{\hat{T}_b}{2} \\ \frac{a(1+B_a) \cos \omega_a (t + \frac{\hat{T}_a}{4} - \frac{\hat{T}_b}{2})}{1+B_a \cos 2\omega_a (t + \frac{\hat{T}_a}{4} - \frac{\hat{T}_b}{2})}, & \frac{\hat{T}_a}{4} + \frac{\hat{T}_b}{2} \leq t \leq T \end{cases} \tag{34}$$

where  $\omega_a = 2\pi/\hat{T}_a$ ,  $\omega_b = 2\pi/\hat{T}_b$  and  $B_a, B_b$  are functions of the constant parameters  $\sigma$  and the initial amplitudes  $a, b$ .

To determine the values of  $B_a$  and  $B_b$ , first, from Eqs. (1) we have



**Fig. 8** Difference between the exact and approximate solutions of Eq. (1) for  $a = 100$  and  $\sigma = 0.5$

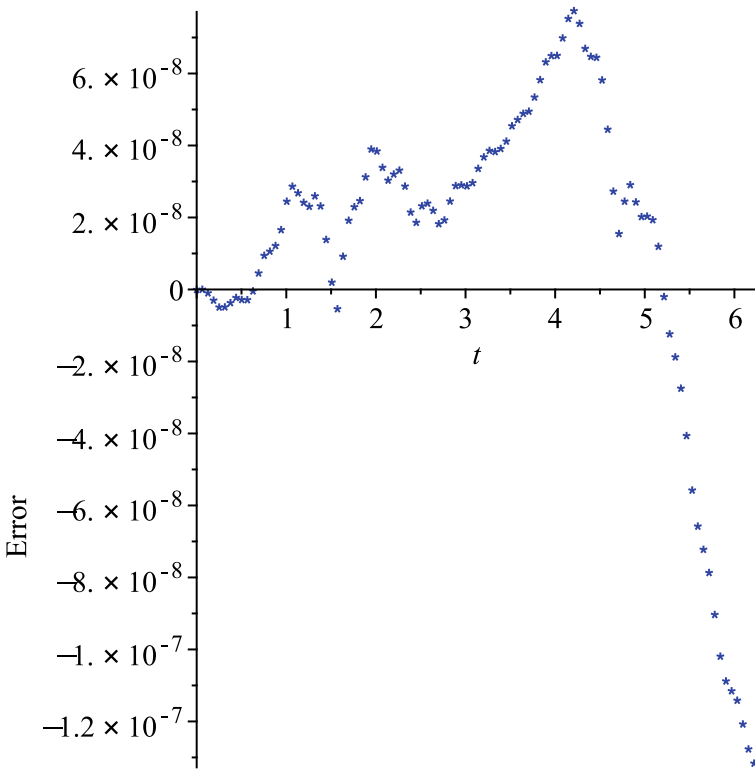
$$\ddot{x}(0) = -a - (1 - \sigma)a^2 - \sigma a^3. \tag{35}$$

$$\ddot{x}\left(\frac{T_a}{4} + \frac{T_b}{4}\right) = b - (1 - \sigma)b^2 + \sigma b^3. \tag{36}$$

On the other hand, after doing some algebra in (34) we get

$$\ddot{x}(0) = \frac{a\omega_a^2(-1 + 3B_a)}{1 + B_a} \tag{37}$$

$$\ddot{x}\left(\frac{T_a}{4} + \frac{T_b}{4}\right) = -\frac{b\omega_b^2(-1 + 3B_b)}{1 + B_b} \tag{38}$$



**Fig. 9** Difference between the exact and approximate solutions of Eq. (1) for  $a = 0.01$  and  $\sigma = 0.9$

Equating (35)–(37) and (36)–(38), we obtain the explicit formulae for  $B_a$  and  $B_b$ , as follows

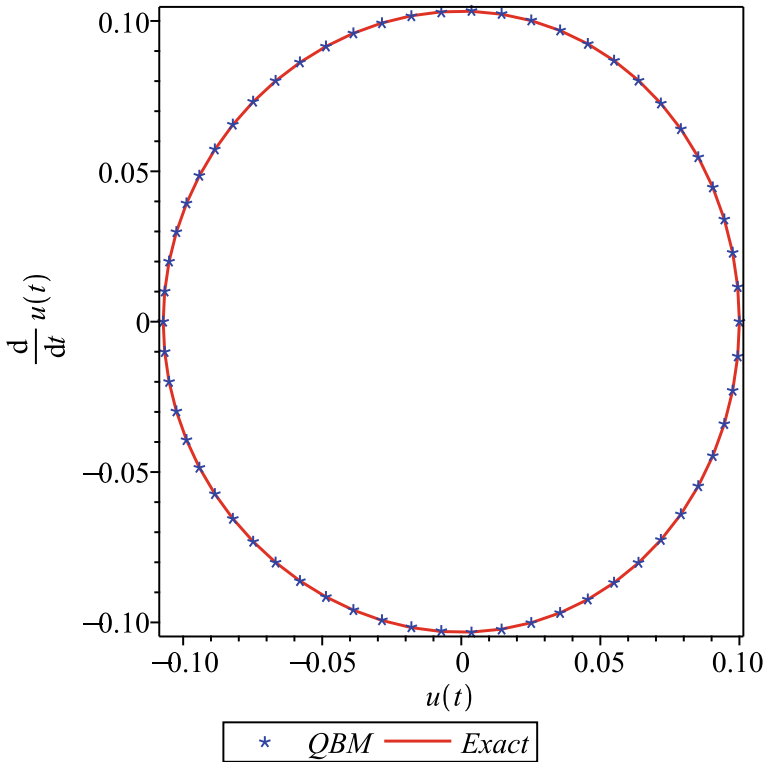
$$B_a = -\frac{a^2\sigma - a\sigma - \omega_a^2 + a + 1}{a^2\sigma - a\sigma + 3\omega_a^2 + a + 1}. \tag{39}$$

$$B_b = -\frac{\sigma b^2 + b\sigma - w_b^2 - b + 1}{\sigma b^2 + b\sigma + 3w_b^2 - b + 1}, \tag{40}$$

where  $\omega_a$  and  $\omega_b$  are obtained from Eqs. (32) and (33), respectively.

### 4 Results and Discussions

In order to verify the accuracy of the proposed method, numerical simulations are carried out in this section.



**Fig. 10** Phase plane trajectories of Eq. (1) for  $a = 0.1$  and  $\sigma = 0$

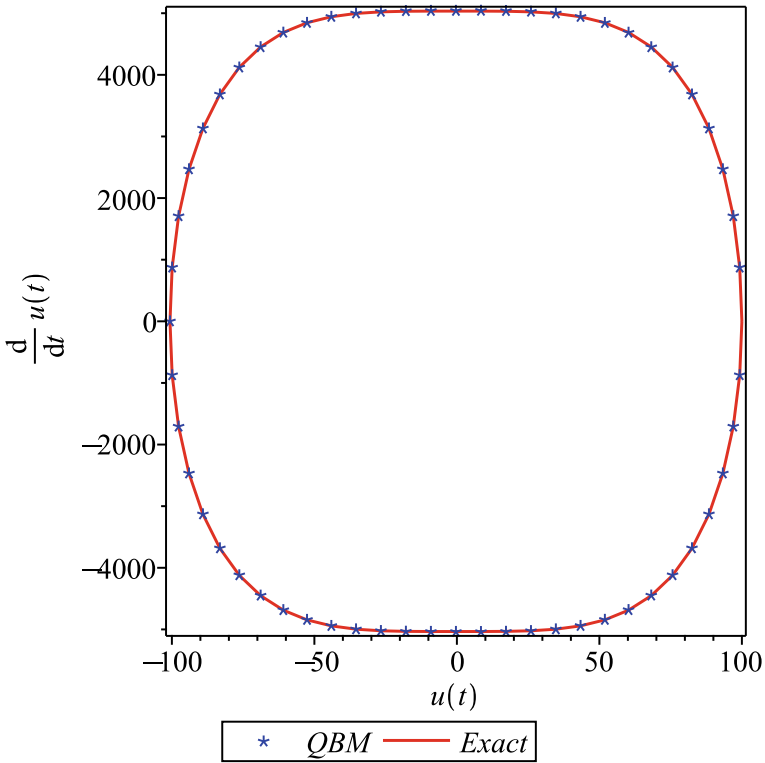
Comparisons between the exact periods (21) and corresponding approximate ones of the periods as well as their relative error of different methods are listed in Tables 1, 2 and 3, where the relative error of the analytical approximation,  $T_{Method}$ , is giving by:

$$Error(\%) = \frac{|T_{ex} - T_{Method}|}{T_{ex}} \times 100. \tag{41}$$

It can be observed that the proposed  $T_{QBM}$  has a smaller relative error and provide excellent approximations to the exact frequency regardless of the oscillation amplitude  $a$  and parameter  $\sigma$ .

As can be seen, the presented approximation for periods in this contribution  $T_{QBM}$  (QBM stands for the present quadrature-based method in this paper) is more efficient than the other compared methods for solving Eq. (1) for small as well as large values of oscillation amplitude  $a$  and parameter  $\sigma$  as compared with other existing approximations.

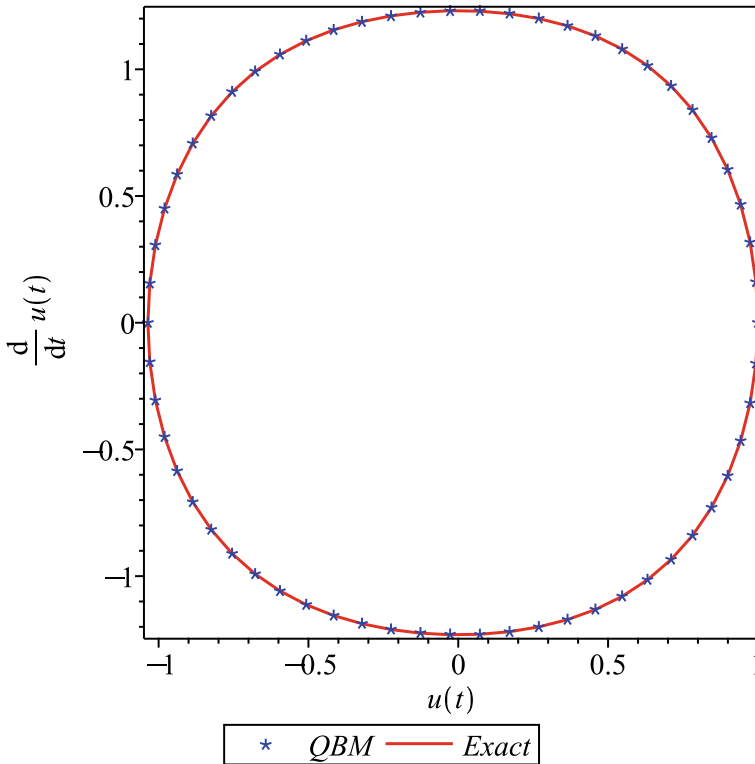
For graphical comparison, we have plotted the comparison of  $u(t)$  for different approximate periodic solutions with the exact solution in Figs. 1, 2, 3, 4, 5, 6, 7, 8,



**Fig. 11** Phase plane trajectories of Eq. (1) for  $a = 100$  and  $\sigma = 0.5$

and 9, respectively. It is obvious that the results obtained in this paper are in excellent agreement with exact solutions and the difference between exact and approximate solutions is negligible.

Furthermore, corresponding phase plane trajectories of the time responses of the compared approximate solutions for three different cases are depicted in Figs. 10, 11, and 12. It is readily apparent that the proposed method here is not only able to predict accurate approximations for frequency even for very large oscillation amplitude but also to maintain very good agreement with exact periodic solutions of a nonlinear oscillators as time goes on.



**Fig. 12** Phase plane trajectories of Eq. (1) for  $a = 1$  and  $\sigma = 0.9$

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# Haar Wavelet Series Method for Solving Simultaneous Proportional Delay Differential Equations



Basharat Hussain and Afroz Afroz

**Abstract** A new numerical approach is presented to obtain the approximate solution of simultaneous proportional delay differential equations (SPDDEs). The method utilizes delayed Haar wavelet series and collocation points to transform the SPDDEs into a system of algebraic matrix equations with unknown coefficient matrices. The values of these unknown row matrices can be obtained by using a suitable solver. Using these coefficients, the solution in terms of collocated Haar wavelet series is obtained. Also, a numerical experiment is conducted on linear/non-linear systems to examine the applicability, appropriateness, and efficacy of the method.

**Keywords** Simultaneous delay differential equations · Pantograph equations · Collocation points · Haar wavelets

**2020 Mathematics Subject Classification** 34Kxx · 65L03 · 65L05 · 65L60

## 1 Introduction

The proportional delay differential equation is a particular class of functional differential equations known as the pantograph equation. Pantograph is a device first used in 1851 for the construction of the electric locomotive. A mathematical model for the pantograph was first introduced in 1971 [22]. These equations have applications in the scientific, industrial, and engineering domains. For further details on theory and applications of pantograph equation, one may refer to [8–11, 15, 28, 30] and the references therein.

Many scientific models require high consistency and real-time accuracy, mathematical modeling of such phenomena involves systems of delay differential equa-

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B. Hussain (✉) · A. Afroz  
MANUU, Hyderabad 500032, India  
e-mail: [basharathussain\\_rs@manuu.edu.in](mailto:basharathussain_rs@manuu.edu.in)

A. Afroz  
e-mail: [afroz.ahmad@manuu.edu.in](mailto:afroz.ahmad@manuu.edu.in)



tions. In most cases, we are unable to find the exact solutions for the system of delay differential equations via analytical methods. Hence, a numerical method is required to tackle such problems.

Many mathematicians have contributed various efficient numerical methods concerning the solution of the proportional delay differential equation. For instance, in [18], authors used the rational approximation method for solving proportional delay differential equations, Ali et al. [4] considered spectral collocation points method for the numerical solution of proportional delay differential equations. Chen and Wang [6] investigated the solution of neutral proportional delay differential equations using variational iteration method(VIM) and [5] provides Legendre–Gauss collocation technique to obtain the solution of proportional delay differential equations. Some recent methods are as follows: Doha et al. [12] solved generalized pantograph equations using the spectral collocation method. Reutskiy [26] solved higher order functional–differential equation with proportional delay using semi-analytic collocation points method. Akyuz-Dascioglu and Sezer [3] solved higher order pantograph equations using Bernoulli collocation method. Cheng et al. [7] used the optimal residual method to solve Neutral proportional delay differential equations. Jafari et al. [19] presented a transferred Legendre pseudo-spectral method to tackle pantograph equations. Recently, Faheem et al.[13, 14] obtained numerical solutions of neutral delay differential equations using Legendre wavelet, Hermite wavelet, Chebyshev wavelet, Laguerre wavelet, Gegenbauer wavelet, and Bernoulli wavelet.

In this study, we apply the Haar wavelet series method to solve the following simultaneous proportional delay differential equations:

$$\begin{aligned}
 \chi_1'(t) &= t_1(t, \chi_1(t), \chi_2(t), \dots, \chi_n(t), \chi_1(q_1t), \chi_2(q_2t), \dots, \chi_n(q_\lambda t)) \\
 \chi_2'(t) &= t_2(t, \chi_1(t), \chi_2(t), \dots, \chi_n(t), \chi_1(q_1t), \chi_2(q_2t), \dots, \chi_n(q_\lambda t)) \\
 &\vdots \\
 \chi_n'(t) &= t_n(t, \chi_1(t), \chi_2(t), \dots, \chi_n(t), \chi_1(q_1t), \chi_2(q_2t), \dots, \chi_n(q_\lambda t)) \\
 \chi_\sigma(0) &= \chi_{\sigma 0}, \quad \sigma = 1, 2, \dots, n
 \end{aligned}
 \tag{1}$$

where  $t_\sigma$ 's are analytical functions, and  $q_\sigma$ 's  $\in (0, 1)$ ,  $\sigma = 1, 2, \dots, n$ .

These equations have been noticed widely in several models such as biological models, aerospace systems, control theory, disease spread model, tumor growth models, etc. Therefore, this study is an important contribution in the field of Applied mathematical modeling and Numerical analysis.

The article is organized in the following outline: Definition of Haar wavelet is given in Sect. 2. The method is described in Sect. 3. A step-wise algorithm is provided in Sect. 4. In Sect. 5 the method is applied to some test problems to check its efficiency and correctness. The last Section is devoted to conclusion.

## 2 Basic Definitions

### 2.1 Wavelet

In 1982, Jean Morlet, a French geophysical engineer, first introduced the concept of wavelets as a family of functions generated by shifting and stretching of a single function known as the “mother wavelet”:  $\psi(t)$ . When the stretch  $a$  and shift  $b$  varies continuously, we get the family of continuous wavelet as

$$\psi_{a,b}(t) = \frac{1}{\sqrt{a}} \psi \left( \frac{t-b}{a} \right), \quad a \neq 0, b \in \mathbb{R}. \quad (2)$$

If we restrict  $a$  and  $b$  to discrete values as  $a = a_0^{-j}$ ,  $b = kb_0 a^{-j}$ , where  $a_0 \geq 1$ ,  $b_0 \geq 0$  and  $j, k \in \mathbb{N}$ . We have the following family of discrete wavelets as

$$\psi_{j,k}(t) = \frac{1}{\sqrt{a_0^{-j}}} \psi(a_0^j t - kb_0),$$

where  $\{\psi_{j,k}(t)\}_{j,k \in \mathbb{N}}$  forms a wavelet basis for  $L^2(\mathbb{R}) - space$ . In particular, the choices  $a_0 = 2$  and  $b_0 = 1$  produced an orthonormal basis [2, 20, 21].

### 2.2 Haar Wavelet

To construct the Haar wavelet system  $\{h_i(t)\}_{i=1}^{\infty}$  on  $[\Gamma_1, \Gamma_2]$  two basic functions are required, namely:

(a) The Haar scaling function (father wavelet):

$$h_1(t) = \mathbb{I}_{[\Gamma_1, \Gamma_2)}(t); \quad (3)$$

(b) The mother wavelet:

$$h_2(t) = \mathbb{I}_{[\Gamma_1, (\Gamma_1 + \Gamma_2)/2)}(t) - \mathbb{I}_{[(\Gamma_1 + \Gamma_2)/2, \Gamma_2)}(t), \quad (4)$$

where  $\mathbb{I}_{[a,b]}(t)$  is characteristic/indicator function. Now for generating the Haar wavelet series, let  $j$  be dilation and  $k$  be translation parameter.

Then  $i$ th Haar Wavelet is defined as

$$h_i(t) = \begin{cases} 1 & \text{for } t \in [\vartheta_1(i), \vartheta_2(i)) \\ -1 & \text{for } t \in [\vartheta_2(i), \vartheta_3(i)) \\ 0 & \text{otherwise,} \end{cases} \quad (5)$$

where

$\vartheta_1(i) = \Gamma_1 + (\Gamma_2 - \Gamma_1)k/2^j, \vartheta_2(i) = \Gamma_1 + (\Gamma_2 - \Gamma_1)(k + 0.5)/2^j, \vartheta_3(i) = \Gamma_1 + (\Gamma_2 - \Gamma_1)(k + 1)/2^j$ . The index  $i = 2^j + k + 1, j = 0, 1, \dots, J$  where  $J$  is maximum level of wavelet and  $k = 0, 1, \dots, 2^j - 1$ .

(c) Define scaling function space and wavelet space as follows:

$$\begin{aligned} V_j &= span\{2^{j/2}\mathfrak{h}_1(2^j t - k), t \in [\Gamma_1, \Gamma_2]\}_{k=0}^{2^j-1}, \\ W_j &= span\{2^{j/2}\mathfrak{h}_2(2^j t - k), t \in [\Gamma_1, \Gamma_2]\}_{k=0}^{2^j-1}. \end{aligned} \tag{6}$$

Suppose  $0 \leq J_0 < J$ , then following relation holds:

$$V_J = V_{J_0} \otimes W_{J_0} \otimes W_{J_0+1} \cdots \otimes W_{J-1}. \tag{7}$$

The spaces  $V_j$  are such that  $V_0 \subset V_1 \subset V_2 \cdots \subset L^2([\Gamma_1, \Gamma_2])$  and  $\overline{\cup_{j=0}^\infty V_j} = L^2([\Gamma_1, \Gamma_2])$ . Hence,  $L^2([\Gamma_1, \Gamma_2]) = V_0 \otimes (\otimes_{j_0}^\infty W_j)$  holds. It allows us to approximate any  $f \in L^2([\Gamma_1, \Gamma_2])$  with following truncated Haar wavelet series:

$$f_{approx}(t) = \sum_{i=1}^{2^{J+1}} a_i \mathfrak{h}_i(t). \tag{8}$$

To apply the Haar wavelet, following integral is required:

$$\mathcal{P}_i(t) = \int_{\Gamma_1}^t \mathfrak{h}_i(t) dt.$$

The analytic integration of (5) yields

$$\mathcal{P}_i(t) = \begin{cases} t - \vartheta_1(i) & \text{for } t \in [\vartheta_1(i), \vartheta_2(i)) \\ \vartheta_3(i) - t & \text{for } t \in [\vartheta_2(i), \vartheta_3(i)) \\ 0 & \text{otherwise} \end{cases} \tag{9}$$

Haar wavelet is considered an efficient tool in numerical analysis, image processing, signal processing, and has numerous other applications in mathematics and engineering. For details, readers may refer to [1, 16, 17, 23–25, 27] and their further references.

### 3 Description of Method

In this section, We apply the Haar wavelet series method for solving simultaneous proportional delay differential equation (1).

Let

$$\begin{aligned}
 \chi_1'(t) &= \sum_{i=1}^{2^{J+1}} a_i \mathfrak{h}_i(t), \\
 \chi_2'(t) &= \sum_{i=1}^{2^{J+1}} b_i \mathfrak{h}_i(t), \\
 &\vdots \\
 \chi_n'(t) &= \sum_{i=1}^{2^{J+1}} c_i \mathfrak{h}_i(t),
 \end{aligned} \tag{10}$$

where  $a_i$ 's,  $b_i$ 's ...  $c_i$ 's are the Haar wavelet coefficients.

Now, by integrating equation (10), we have

$$\begin{aligned}
 \chi_1(t) &= \sum_{i=1}^{2^{J+1}} a_i \mathcal{P}_i(t) + \chi_1(0), \\
 \chi_2(t) &= \sum_{i=1}^{2^{J+1}} b_i \mathcal{P}_i(t) + \chi_2(0), \\
 &\vdots \\
 \chi_n(t) &= \sum_{i=1}^{2^{J+1}} c_i \mathcal{P}_i(t) + \chi_n(0).
 \end{aligned} \tag{11}$$

Also,

$$\begin{aligned}
 \chi_1(q_1 t) &= \sum_{i=1}^{2^{J+1}} a_i \mathcal{P}_i(q_1 t) + \chi_1(0), \\
 \chi_2(q_2 t) &= \sum_{i=1}^{2^{J+1}} b_i \mathcal{P}_i(q_2 t) + \chi_2(0), \\
 &\vdots \\
 \chi_n(q_n t) &= \sum_{i=1}^{2^{J+1}} c_i \mathcal{P}_i(q_n t) + \chi_n(0),
 \end{aligned} \tag{12}$$

where  $\chi_\sigma(0)$ 's are the given initial conditions and  $q_\sigma$ 's  $\in (0, 1)$ ,  $\sigma = 1, 2, \dots, n$ .

Now, upon plugging equations (10), (11), and (12) in the governing equation (1) along with the collocation points we get a system of an algebraic equations. After solving the system, we obtain the unknown Haar wavelet coefficients, and finally

using these coefficients in (11), approximate solution at collocation points can be obtained.

### 4 Algorithm

**Input:** Level of resolution  $J$ .

Step-1: Set collocation points  $t_l = \frac{(l-0.5)}{2^{J+1}}$ ,  $l = 1, 2, 3 \dots 2^{J+1}$ .

Step-2: Compute Haar wavelets  $h_i$  and integral of Haar wavelets  $\mathcal{P}_i$  from Eqs. (5) and (9), respectively.

Step-3: Assume  $\chi'_\sigma(t) = \sum_{i=1}^{2^{J+1}} (a_i)_\sigma h_i(t)$ , where  $\sigma = 1, 2, \dots n$  and  $i$  is wavelet index.

Step-4: Integration of Step-3 within the limits 0 to  $t$  yields,

$$\chi_\sigma(t) = \sum_{i=1}^{2^{J+1}} (a_i)_\sigma \mathcal{P}_i(t) + \chi_\sigma(0), \quad \sigma = 1, 2, \dots, n.$$

Step-5: Upon using the expressions for  $\chi'_\sigma(t)$ 's,  $\chi_\sigma(t)$ 's,  $\dots$ ,  $\chi_\sigma(q_\sigma t)$ 's along with collocation points in equation (1), we get an algebraic system in unknown vectors  $(a_i)_1, (a_i)_2, \dots, (a_i)_n$ .

Step-6: Solve the algebraic system for the unknown vectors  $(a_i)_1, (a_i)_2, \dots, (a_i)_n$ .

Step-5: Utilize the values of the vectors  $(a_i)_1, (a_i)_2, \dots, (a_i)_n$  in Step-4 to obtained the unknown functions

$$\chi_1(t), \chi_2(t), \dots, \chi_n(t).$$

**Output:** Approximate solution  $\chi_1(t_l), \chi_2(t_l), \dots, \chi_n(t_l)$  is obtained.

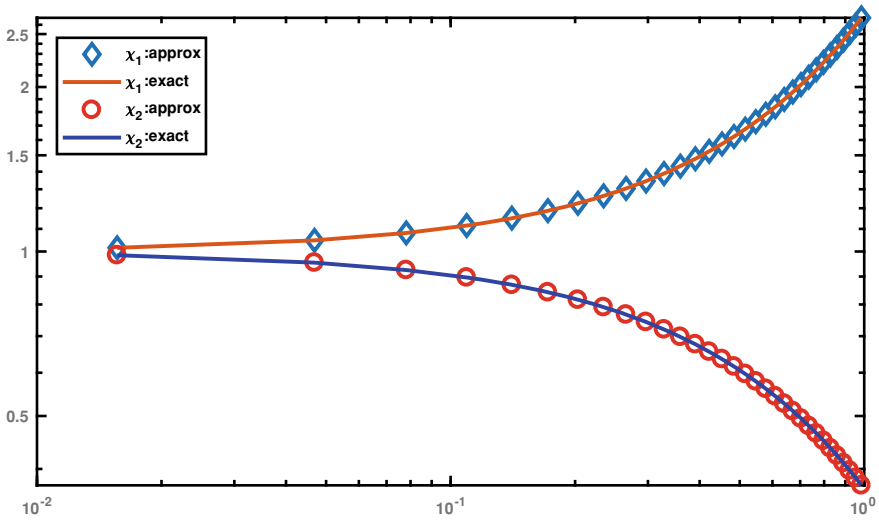
### 5 Implementation of Method on Test Problems

The efficiency and numerical validation of the method are demonstrated with the help of the following test problems.

**Case-1:** Consider the system

$$\begin{cases} \chi'_1(t) = \chi_1(t/2) + \chi_1(t) - \chi_2(t) + \exp(-t) - \exp(t/2) \\ \chi'_2(t) = -\chi_1(t/2) - \chi_1(t) - \chi_2(t) + \exp(t) + \exp(t/2) \\ \text{with } \chi_1(0) = \chi_2(0) = 1, \quad 0 \leq t \leq 1. \end{cases} \quad (13)$$

The system has exact solution  $\chi_1(t) = \exp(t)$ ,  $\chi_2(t) = \exp(-t)$ .



**Fig. 1** Exact and approximate solution of case-1

**Table 1** Maximum Absolute Error (MAE) at different levels of J

Case-1			Case-2	
J	MAE: $\chi_1(t)$	MAE: $\chi_2(t)$	MAE: $\chi_1(t)$	MAE: $\chi_2(t)$
2.0	$1.3000e - 02$	$5.4000e - 03$	$7.3000e - 03$	$7.3000e - 03$
3.0	$3.4187e - 03$	$1.4267e - 03$	$1.9007e - 03$	$1.9007e - 03$
4.0	$8.7870e - 04$	$3.6790e - 04$	$4.8190e - 04$	$4.8190e - 04$
5.0	$2.2290e - 04$	$9.3500e - 05$	$1.2170e - 04$	$1.2170e - 04$
6.0	$5.6150e - 05$	$2.3570e - 05$	$3.0580e - 05$	$3.0570e - 05$
7.0	$1.4090e - 05$	$5.9200e - 06$	$7.6620e - 06$	$7.6620e - 06$
8.0	$3.5290e - 06$	$1.4820e - 06$	$1.9180e - 06$	$1.9180e - 06$
9.0	$8.8310e - 07$	$3.7090e - 07$	$4.7980e - 07$	$4.7980e - 07$

We have solved the above system of differential equation using the Haar wavelet series method. The maximum absolute error (MAE) at a different level of resolution is given in Table 1. It can be observed from Table 1 that error decreases from  $O(10^{-2})$  to  $O(10^{-7})$ , which ensures the convergence of the method. Also, Fig. 1 shows that approximate and exact curves match closely.

**Case-2:** Consider the system

$$\begin{cases} \chi_1'(t) = \exp(t/2)\chi_2(t/2) + \chi_1(t) \\ \chi_2'(t) = \exp(t/2)\chi_1(t/2) + \chi_2(t) \\ \text{with } \chi_1(0) = \chi_2(0) = 1, \quad 0 \leq t \leq 1. \end{cases} \tag{14}$$

The system has exact solution  $\chi_1(t) = \exp(t)$ ,  $\chi_2(t) = \exp(t)$ .

Approximate solution of the above system obtained using the Haar wavelet series method are satisfactory. Computer simulation is carried out and numerical results are presented in Table 1. The exact and approximate solution of case 2 is plotted in Fig. 2 for  $J = 4$ . Also, the convergence of method can be observed in Fig. 3.

**Case-3:** Consider the following non-linear proportional delay system:

$$\begin{cases} \chi_1'(t) = 2\chi_2(t/2) + \chi_3(t) + t \cos(t/2) \\ \chi_2'(t) = -2\chi_3^2(t) + 1 - t \sin(t) \\ \chi_3'(t) = \chi_2(t) - \chi_1(t) - t \cos(t) \\ \text{with } \chi_1(0) = -1, \chi_2(0) = \chi_3(0) = 0, \quad 0 \leq t \leq 1. \end{cases} \tag{15}$$

Exact solution is  $\chi_1(t) = -\cos(t)$ ,  $\chi_2(t) = t \cos(t)$ ,  $\chi_3(t) = \sin(t)$

In this case, we have solved a non-linear system with three equations using the Haar wavelet series method. The exact and approximate solution for case-3 is plotted in Fig. 4. It is observed that both curves match closely. Absolute errors at different levels of resolution are depicted in Table 2 which shows that computed numerical solution is satisfactory. Also, it is evident from Fig. 5 that the accuracy of the solution is directly proportional to the values of  $J$ . Moreover, the error in each case was reduced from  $O(10^{-2})$  to  $O(10^{-7})$ .

## 6 Conclusion

In this article, we have applied the Haar wavelet series method, to solve the simultaneous proportional delay differential equations. These equations play a vital role in the study of several mathematical models such as the disease spread model, drug delivery model, population growth model, etc.; therefore, this research is a significant development in the field of applied mathematical modeling. The present numerical scheme used a well-known real-valued Haar function (compactly supported, symmetric, and orthogonal) and is fast, simple, and computationally efficient. This method is computer-oriented and does not involve manual solving and simplification of sophisticated expressions. In order to ensure the consistency, correctness, and efficacy of the method, we provide the approximate solution of the three illustrations at different counts of collocation points. Also, the MAEs for case 1 and case 2 using

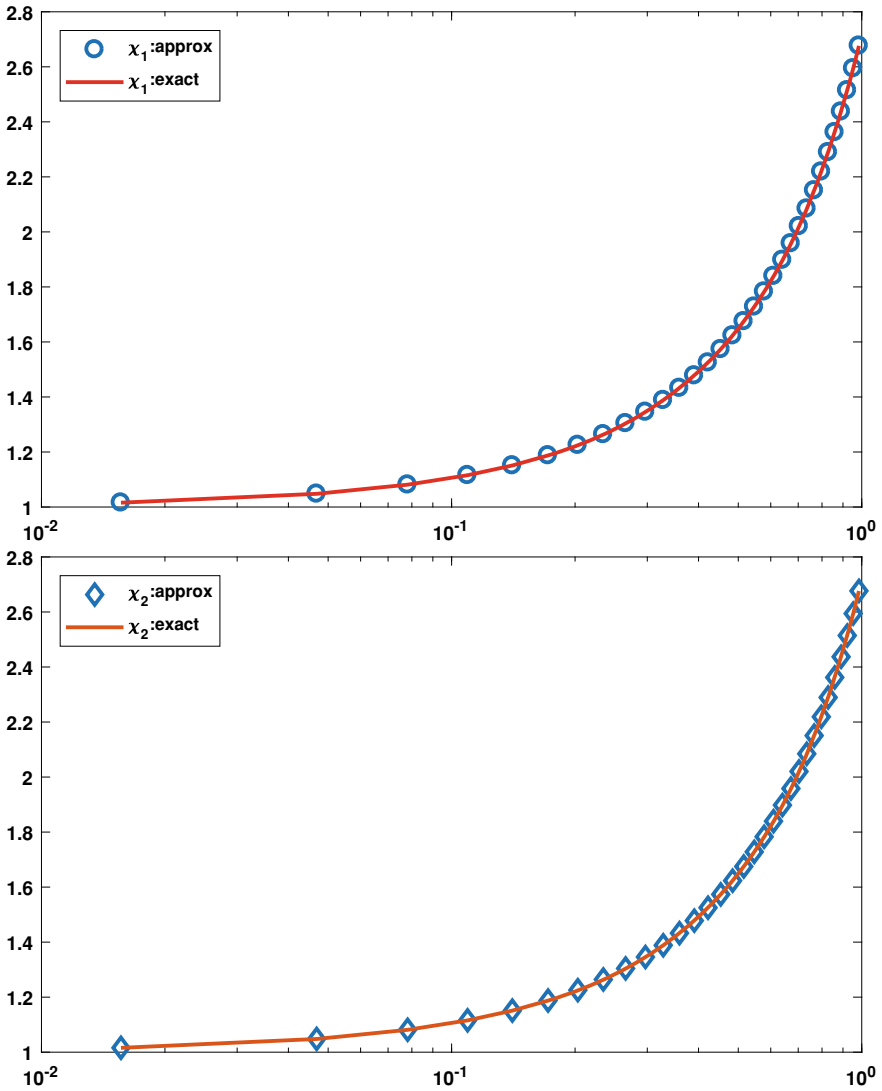


Fig. 2 Exact and approximate solution of case-2

the Laplace decomposition algorithm are  $O(10^{-3})$  at  $n = 6$  and  $O(10^{-2})$  at  $n = 3$ , respectively [29]. It can be observed from the aforementioned tables and figures that the performance of the Haar wavelet series method is good. Moreover, this method can be extended to solve several other types of linear and non-linear simultaneous delay differential equations.



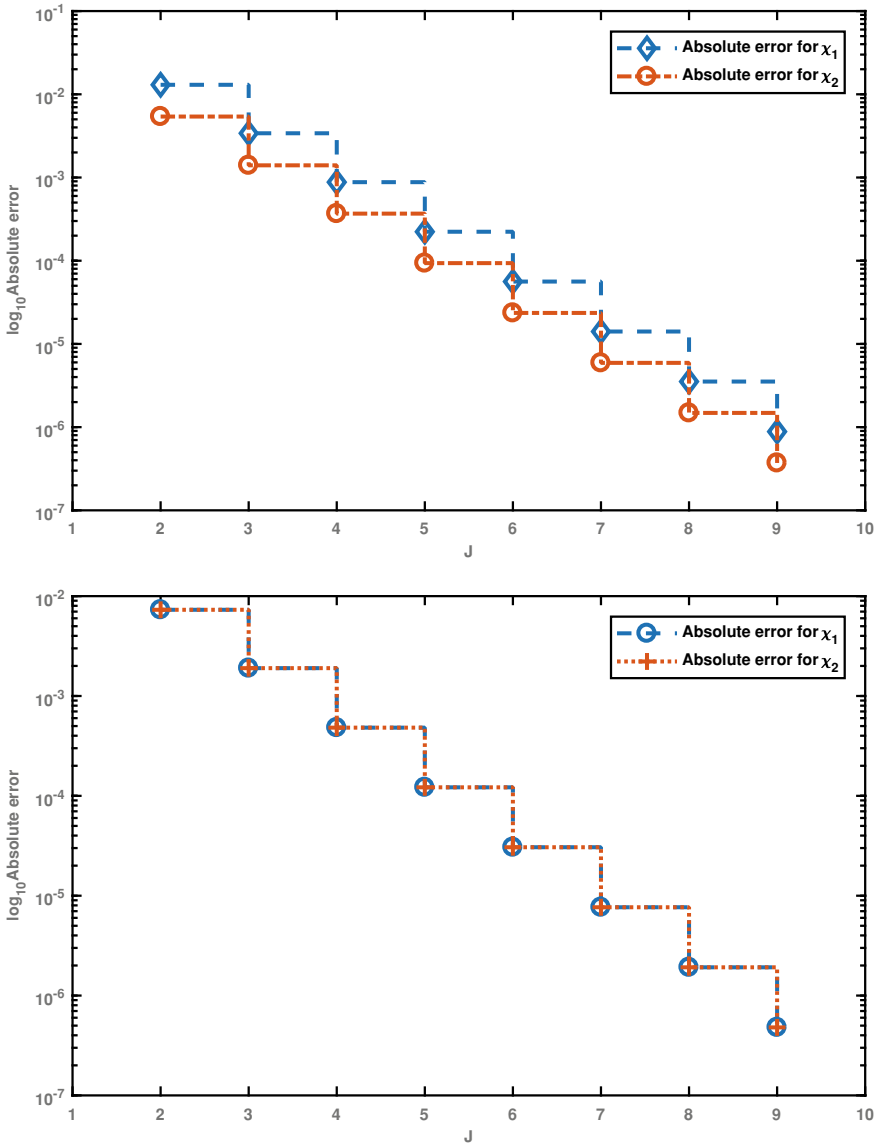


Fig. 3 Absolute error versus J

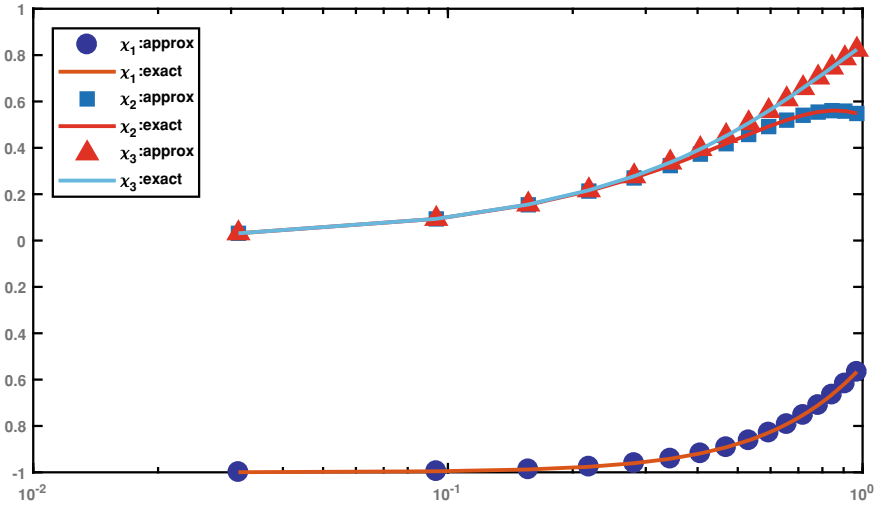


Fig. 4 Exact and approximate solution of case-3

Table 2 Maximum Absolute Error (MAE) at different levels of J for Case-3

$J$	MAE: $\chi_1(t)$	MAE: $\chi_2(t)$	MAE: $\chi_3(t)$
2.0	$1.9167e - 03$	$2.0504e - 03$	$3.1668e - 03$
3.0	$4.8600e - 04$	$5.1360e - 04$	$8.0760e - 04$
4.0	$1.2190e - 04$	$1.2850e - 04$	$2.0340e - 04$
5.0	$3.0510e - 05$	$3.2120e - 05$	$5.1000e - 05$
6.0	$7.6300e - 06$	$8.0300e - 06$	$1.2770e - 05$
7.0	$1.9070e - 06$	$2.0070e - 06$	$3.1930e - 06$
8.0	$4.7680e - 07$	$5.0190e - 07$	$7.9860e - 07$
9.0	$1.1920e - 07$	$1.2550e - 07$	$1.9970e - 07$

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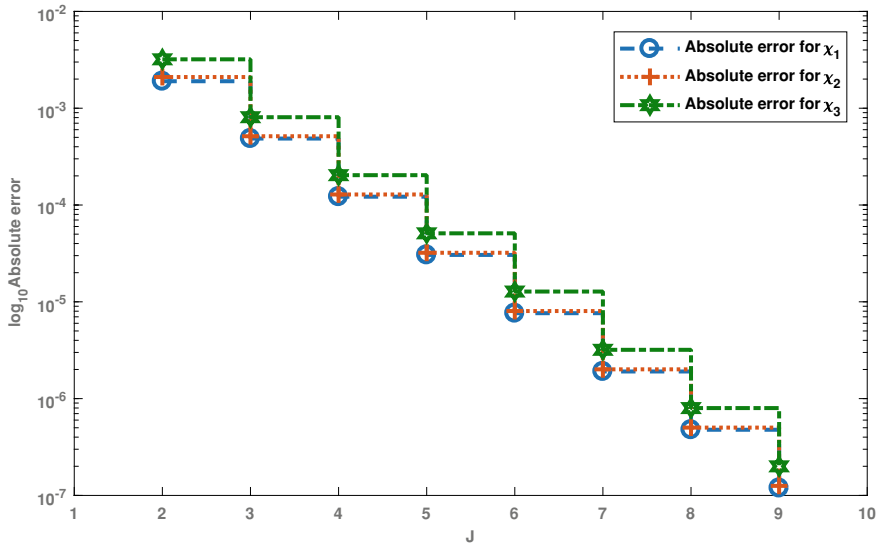


Fig. 5 Absolute error versus J (Case-3)

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# Fractional Reaction–Diffusion Model: An Efficient Computational Technique for Nonlinear Time-Fractional Schnakenberg Model



Naveen S. Malagi , D. G. Prakasha , P. Veerasha ,  
and B. C. Prasannakumara 

**Abstract** In this article, the  $q$ -homotopy analysis transform method ( $q$ -HATM) is committed to finding the solutions and analyzing the gathered results for the nonlinear fractional-order reaction–diffusion systems such as the fractional Schnakenberg model. These models are well known for the modelling of morphogen in developmental biology. The efficiency and reliability of the  $q$ -HATM, which is the proper mixture of Laplace transform and  $q$ -HAM, always keep it in a better position in comparison with many other analytical techniques. By choosing a precise value for the auxiliary parameter  $\hbar$ , one can modify the region of convergence of the series solution. In the current framework, the investigation of the Schnakenberg models is implemented with exciting results. The acquired results guarantee that the considered method is very satisfying and scrutinizes the complex nonlinear issues that arise in the arena of science and technology.

**Keywords** Schnakenberg model · Liouville–Caputo derivative · Laplace transform · Homotopy analysis method

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N. S. Malagi · D. G. Prakasha (✉) · B. C. Prasannakumara  
Department of Studies in Mathematics, Davangere University, Shivagangothri Davangere-577007,  
India

e-mail: [prakashadg@gmail.com](mailto:prakashadg@gmail.com)

N. S. Malagi

e-mail: [naveen2018m@gmail.com](mailto:naveen2018m@gmail.com)

B. C. Prasannakumara

e-mail: [dr.bcprasanna@gmail.com](mailto:dr.bcprasanna@gmail.com)

P. Veerasha

Center for Mathematical Needs, Department of Mathematics, CHRIST (Deemed to Be  
University), Bengaluru-560029, India

e-mail: [pundikala.veerasha@christuniversity.in](mailto:pundikala.veerasha@christuniversity.in)

## 1 Introduction

The fractional calculus (FC) is a well-known notion in multiple areas of science and technology, which is defined as the generalization of the classical calculus, where we study the integral and differential operators of fractional order, even can be lengthened to complex sets. In the past few decades, many mathematical minds have strengthened this concept and designed various fractional differential and integral operators [1, 2]. Many young mathematical minds have demonstrated that fractional operators may effectively describe complex memory and a wide range of things in a traditional mathematical fashion, such as classical differential calculus. However, we are still at the initial stage of implementing the concept of FC in various areas of research. Nowadays, FC is a very promising tool due to its larger applications in the dynamics of complex nonlinear phenomena.

*Leibnitz* appreciated the concept of fractional derivatives. Additionally, it was demonstrated that FC is far preferable to traditional calculus for negotiating the majority of complicated real-world situations. Fractional calculus has grown exponentially in applied research. A large number of researches have already revealed its capacity to solve a variety of issues, notably in science disciplines such as robotics [3], continuum mechanics [4], optics [5], biological population models [6], and many other [7–15]. Compared to the integer-order differential equations, fractional counterparts are unhooked to get the precise exact solutions for highly nonlinear problems. For this purpose, many numerical and analytical techniques are developed to solve this category of problems.

Distinct analytical techniques are hired to get adequate analytical solutions such as the variational iteration method (VIM) [16–18], Adomian decomposition method (ADM) [19], etc. A semi-analytical technique known as the homotopy analysis technique (HAM) is a very efficient strategy suggested and shown by Liao [20–22] to tackle linear and non-linear problems. El-Tavil and Hussain [23, 24] presented the  $q$ -homotopy analysis technique ( $q$ -HAM) as a new notion of HAM for handling nonlinear situations. Latterly, the combination of the semi-analytical schemes with the Laplace transform is hired to scrutinize the nonlinear equations such as Volterra integrodifferential equations [25], nonlinear fractional diffusion wave equation [26], nonlinear boundary value problem on the semi-infinite domain [27], two-dimensional Burger's equation [28], class of nonlinear differential equations [29], nonlinear fractional Zakharov-Kuznetsov equation [30], fractional Klein–Gordon–Schrödinger equations [31], and so on.

In the present paper, the  $q$ -homotopy analysis transform method is imposed to examine the fractional-order Schnakenberg model. In the present work, we investigate the reliability and effectiveness of the  $q$ -homotopy analysis transform method ( $q$ -HATM) [32] for solving the time-fractional Schnakenberg model. The strategy presented is a blend of the Laplace transform (LT) technique and the  $q$ -homotopy analysis method ( $q$ -HAM). The availability of the term  $\left(\frac{1}{n}\right)$  in the  $q$ -HATM solution ensures that it converges faster than the conventional HAM. The efficacy and positivity of the investigated algorithm are demonstrated by its capacity to provide

highly accurate precision, a large convergence zone, and a straightforward solution technique.

In developmental biology, the mathematical simulations of various systems have brought out diverse models, which lead to space–time patterning phenomena. In 1952, Turing introduced the possible association between the patterns that could have a quick formation in chemical reaction–diffusion systems and those that arise in the biological systems. The research work on the mathematical models concerning the pattern formation was encouraged by Turing’s analysis, but until 1990, the Turing-type patterns are not detected in the controlled laboratory experiments [33]. This theory is based on the concept that the two substances, one is having the “large-range inhibition” capacity and the other is “locally activated” in the homogeneously distributed space to yield novel gradients and shapes.

Reaction–diffusion systems are mathematical models, which describe the way of concentration distribution of one or more substances in a region that changes under two mechanisms: The transformation of the substances into each other under the local chemical reactions, and diffusion by the influence of which the substances dissipated over the surface in space. The following equations give the class of nonlinear reaction–diffusion system:

$$\begin{aligned} \frac{\partial u}{\partial t} &= d_1 \nabla^2 u + a_1 u + b_1 v + f(u, v) + g_1(x), \\ \frac{\partial v}{\partial t} &= d_2 \nabla^2 v + a_2 u + b_2 v - f(u, v) + g_2(x), \end{aligned}$$

where  $\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ ,  $d_1, d_2$  represents the diffusion coefficients and  $a_1, a_2, b_1, b_2$  are the constants, known as control parameters of the system.  $u$  and  $v$  are the two chemical concentrations under examination.  $g_1, g_2$  are the source terms. The nonlinear reaction term  $f(u, v)$  is responsible for the biochemical reaction. The modelling of physical problems that arises in the real world, such as earthquake, measurement of viscoelastic material properties and the fractional fluid dynamic traffic model, etc. are effectively carried out with help of fractional differential equations. We have many bio-mathematical models of fractional order [34–40]. Numerous publications have been published on the research of integer-order bio-mathematical models, however, in this study, we focused on non-integer-order bio-mathematical models.

Schnakenberg proposed the most investigated reaction–diffusion system in 1979, known as the Schnakenberg system [41] which is hired to investigate the morphogen distribution. That is, in *Acetabularia*, the calcium distribution in whorl hairs [42]. The precise distribution of each cell to its site is essential for the efficient functioning of embryos, tissues, and organs. The appropriate cell differentiation is ensured by morphogen concentration gradients. The assignment of the morphogens is to signal

the molecules spread over tissues and to deputize various cell fates at distinct concentrations [43, 44]. Over the past half century, the morphogens are extensively introduced for tissue patterning, but as per the recent research, and works allow us to understand the interaction of morphogens with patterns that come forth [45–54].

Consider the simplest fractional reaction–diffusion system, known as the fractional Schnakenberg model, which is as follows:

$$D_t^\alpha u = d_1 \nabla^2 u + k(a - u + u^2 v),$$

$$D_t^\alpha v = d_2 \nabla^2 v + k(b - u^2 v).$$

The activator and inhibitor concentrations are represented by  $u$  and  $v$ , respectively. The rate constants of biological processes are  $k$ ,  $a$ , and  $b$ . The diffusion coefficients are represented by  $d_1$  and  $d_2$ .

The following is how the rest of the work is organized: Prefaces to the fractional integral in the Reimann–Liouville sense, LT, and Liouville–Caputo fractional derivative are covered in Sect. 2. The basic notion of the considered methodology is explained in Sect. 3, and the solutions for the time-fractional Schnakenberg model are discussed in Sect. 4. Plots are used to explain the reaction and nature of the acquired fractional-order findings. The last part contains comments on the acquired outcomes.

## 2 Preliminaries

Here, we present some basic notions of fractional operators and Laplace transform:

**Definition 1** The fractional Riemann–Liouville integral of a function  $f(t) \in C_\mu (\mu \geq -1)$  is presented [1, 2] as

$$J^\alpha f(t) = \frac{1}{\Gamma(\alpha)} \int_0^t (t - \vartheta)^{\alpha-1} f(\vartheta) d\vartheta, \tag{1}$$

$$J^0 f(t) = f(t).$$

**Definition 2** The derivative with fractional order  $\alpha$  of  $f \in C_{-1}^n$  in the Liouville–Caputo sense [1, 2] is

$$D_t^\alpha f(t) = \begin{cases} \frac{d^n f(t)}{dt^n}, \alpha = n \in \mathbb{N}, \\ \frac{1}{\Gamma(n-\alpha)} \int_0^t (t - \vartheta)^{n-\alpha-1} f^{(n)}(\vartheta) d\vartheta, \alpha \in (n - 1, n), n \in \mathbb{N}. \end{cases} \tag{2}$$

**Definition 3** The Laplace transform (LT) of a derivative in Eq. (2) is presented as [2, 47]



$$L[D_t^\alpha f(t)] = s^\alpha F(s) - \sum_{r=0}^{n-1} s^{\alpha-r-1} f^{(r)}(0^+), \quad (n - 1 < \alpha \leq n), \tag{3}$$

where  $F(s)$  is represent the Laplace transform of  $f(t)$ .

### 3 The Solution Procedure for the $q$ -Homotopy Analysis Transform Method ( $q$ -HATM)

Consider the following non-linear fractional PDE involving linear ( $N$ ) and nonlinear ( $R$ ) operators to illustrate the basic principle of the considered method [32]:

$$D_t^\alpha \mathcal{U}(x, t) + N\mathcal{U}(x, t) + R\mathcal{U}(x, t) = f(x, t), \quad 0 < \alpha \leq 1, \tag{4}$$

where  $D_t^\alpha \mathcal{U}(x, t)$  is the Liouville–Caputo fractional derivative of  $\mathcal{U}(x, t), f(x, t)$ , which is the source term. Currently, hiring the LT on Eq. (4) leads to

$$s^\alpha L[\mathcal{U}(x, t)] - \sum_{k=0}^{n-1} s^{\alpha-k-1} \mathcal{U}^{(k)}(x, 0) + L[N\mathcal{U}(x, t)] + R[N\mathcal{U}(x, t)] = L[f(x, t)]. \tag{5}$$

By reducing Eq. (4), we get

$$L[\mathcal{U}(x, t)] - \frac{1}{s^\alpha} \sum_{k=0}^{n-1} s^{\alpha-k-1} \mathcal{U}^{(k)}(x, 0) + \frac{1}{s^\alpha} \{L[N\mathcal{U}(x, t)] + L[R\mathcal{U}(x, t)] - L[f(x, t)]\} = 0. \tag{6}$$

The non-linear operator  $N$  is defined as in accordance with the homotopy analysis approach as follows:

$$N[\varphi(x, t; q)] = L[\varphi(x, t; q)] - \frac{1}{s^\alpha} \sum_{k=0}^{n-1} s^{\alpha-k-1} \varphi^{(k)}(x, t; q)(0^+) + \frac{1}{s^\alpha} \{L[N\varphi(x, t; q)] + L[R\varphi(x, t; q)] - L[f(x, t)]\}, \tag{7}$$

where  $L$  is the Laplace transform and  $\varphi(x, t; q)$  is a real function of  $x, t$  and  $q$  (embedding parameter)  $\in [0, \frac{1}{\sqrt{}}](n \geq 1)$ .

The homotopy is defined as

$$(1 - nq)L[\varphi(x, t; q) - \mathcal{U}_0(x, t)] = \hbar q H(x, t)N[\varphi(x, t; q)], \tag{8}$$

where  $\mathcal{U}_0(x, t)$  is an initial guess of  $\mathcal{U}(x, t)$ ,  $\hbar \neq 0$ , which is an auxiliary parameter. For  $q = 0$  and  $q = 1/n$ , respectively, we have

$$\varphi(x, t; 0) = \mathcal{U}_0(x, t), \varphi\left(x, t; \frac{1}{n}\right) = \mathcal{U}(x, t). \tag{9}$$

As a result, by changing  $q$  from 0 to  $\frac{1}{n}$ , the solution  $\varphi(x, t; q)$  converges from  $\mathcal{U}_0(x, t)$  to  $\mathcal{U}(x, t)$ . The function  $\varphi(x, t; q)$  can then be enlarged with the utilization of the Taylor theorem across  $q$ .

$$\varphi(x, t; q) = \mathcal{U}_0(x, t) + \sum_{m=1}^{\infty} \mathcal{U}_m(x, t)q^m, \tag{10}$$

with

$$\mathcal{U}_m(x, t) = \frac{1}{m!} \frac{\partial^m \varphi(x, t; q)}{\partial q^m} \Big|_{q=0}. \tag{11}$$

The series (10) joins at  $q = \frac{1}{n}$ , resulting in the fundamental nonlinear equation, and it is one of the solutions of the type, by selecting the  $n$  and  $\hbar$  (auxiliary parameter) and the initial guess  $\mathcal{U}_0(x, t)$  and  $H(x, t)$  properly.

$$\mathcal{U}(x, t) = \mathcal{U}_0(x, t) + \sum_{m=1}^{\infty} \mathcal{U}_m(x, t) \left(\frac{1}{n}\right)^m. \tag{12}$$

Then divide by  $m!$  by differentiating Eq. (8)  $m$  times with respect to  $q$ . Finally, we derive the deformation equation of order  $m$  as follows for  $q = 0$ .

$$L[\mathcal{U}_m(x, t) - K_m \mathcal{U}_{m-1}(x, t)] = \hbar H(x, t) \mathfrak{R}_m \left( \vec{\mathcal{U}}_{m-1} \right), \tag{13}$$

and the vectors are presented as

$$\vec{\mathcal{U}}_m = \{\mathcal{U}_0(x, t), \mathcal{U}_1(x, t), \dots, \mathcal{U}_m(x, t)\}. \tag{14}$$

Equation (13) is the recursive equation that may be represented by the effect of the inverse Laplace transform equation

$$\mathcal{U}_m(x, t) = K_m \mathcal{U}_{m-1}(x, t) + \hbar L^{-1} \left[ H(x, t) \mathfrak{R}_m \left( \vec{\mathcal{U}}_{m-1} \right) \right], \tag{15}$$

where

$$\mathfrak{R}_m(\vec{\mathcal{U}}_{m-1}) = \frac{1}{(m-1)!} \frac{\partial^{m-1} N[\varphi(x, t; q)]}{\partial q^{m-1}} \Big|_{q=0}, \tag{16}$$

and

$$K_m = \begin{cases} 0, & m \leq 1, \\ n, & m > 1. \end{cases} \tag{17}$$

Finally, we find the component-wise  $q$ -HATM series solution using Eq. (15).

### 3.1 Solution for Non-linear Fractional Schnakenberg Model:

To check the projected scheme’s resolution and correctness, the fractional Schnakenberg model is admitted.

**Example 4** Consider the nonlinear fractional Schnakenberg model

$$\begin{aligned} D_t^\alpha u(x, y, t) &= d_1 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u + k(a - u + u^2 v), \\ D_t^\alpha v(x, y, t) &= d_2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v + k(b - u^2 v), \end{aligned} \tag{18}$$

with the starting solution

$$\begin{aligned} u(x, y, 0) &= a + b + 10^{-3} e^{-100((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2)}, \\ v(x, y, 0) &= \frac{b}{(a+b)^2}. \end{aligned} \tag{19}$$

By making use of LT on Eq. (18) and using Eq. (19), we get

$$\begin{aligned} L[u(x, y, t)] - \frac{1}{s} \left\{ a + b + 10^{-3} e^{-100((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2)} \right\} \\ - \frac{1}{s^\alpha} L \left\{ d_1 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u + k(a - u + u^2 v) \right\} = 0, \\ L[v(x, y, t)] - \frac{1}{s} \left\{ \frac{b}{(a+b)^2} \right\} - \frac{1}{s^\alpha} L \left\{ d_2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v + k(b - u^2 v) \right\} = 0. \end{aligned} \tag{20}$$

The non-linear operator  $N$  is defined as the use of a future methodology.

$$\begin{aligned}
 & N^1[\varphi_1(x, y, t; q), \varphi_2(x, y, t; q)] \\
 & = L[\varphi_1(x, y, t; q)] \\
 & \quad - \frac{1}{s} \left\{ a + b + 10^{-3} e^{-100((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2)} \right\} \\
 & \quad - \frac{1}{s^\alpha} L \left\{ d_1 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi_1(x, y, t; q) \right. \\
 & \quad \left. + k(a - \varphi_1(x, y, t; q)) \right. \\
 & \quad \left. + \varphi_1(x, y, t; q)^2 \varphi_1(x, y, t; q) \right\}, \\
 & N^2[\varphi_2(x, y, t; q), \varphi_2(x, y, t; q)] \\
 & = L[\varphi_2(x, y, t; q)] - \frac{1}{s} \left\{ \frac{b}{(a+b)^2} \right\} \\
 & \quad - \frac{1}{s^\alpha} L \left\{ d_2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi_2(x, y, t; q) \right. \\
 & \quad \left. + k(b - \varphi_2(x, y, t; q))^2 \varphi_2(x, y, t; q) \right\}.
 \end{aligned} \tag{21}$$

Following the aforementioned scheme, the  $m$ th-order deformation equation as

$$\begin{aligned}
 L[K_m u_{m-1}(x, y, t)] + \hbar \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[u_m(x, y, t)], \\
 L[K_m v_{m-1}(x, y, t)] + \hbar \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[v_m(x, y, t)],
 \end{aligned} \tag{22}$$

where

$$\begin{aligned}
 & \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] \\
 & = L[u(x, y, t)] \\
 & \quad - \left( 1 - \frac{K_m}{n} \right) \frac{1}{s} \left\{ a + b + 10^{-3} e^{-100((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2)} \right\} \\
 & \quad - \frac{1}{s^\alpha} L \left\{ d_1 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u_{m-i} + k(a - u_{m-i}) \right. \\
 & \quad \left. + \sum_{i=0}^{m-1} \sum_{j=0}^i u_i u_{i-j} v_{m-i-1} \right\},
 \end{aligned} \tag{23}$$

$$\begin{aligned} & \mathfrak{R}_{2,m} \left[ \vec{u}_{m-1}, \vec{v}_{m-1} \right] \\ &= L[v(x, y, t)] - \left( 1 - \frac{K_m}{n} \right) \frac{1}{s} \left\{ \frac{b}{(a+b)^2} \right\} \\ & - \frac{1}{s^\alpha} L \left\{ d_2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v_{m-i} + k(b \right. \\ & \left. - \sum_{i=0}^{m-1} \sum_{j=0}^i u_i u_{i-j} v_{m-i-1} \right\}. \end{aligned}$$

We derive Eq. (24) using the inverse Laplace transform on Eq. (22) as follows.

$$\begin{aligned} u_m(x, y, t) &= K_m u_{m-1}(x, y, t) + \hbar L^{-1} \left\{ \mathfrak{R}_{1,m} \left[ \vec{u}_{m-1}, \vec{v}_{m-1} \right] \right\}, \\ v_m(x, y, t) &= K_m v_{m-1}(x, y, t) + \hbar L^{-1} \left\{ \mathfrak{R}_{2,m} \left[ \vec{u}_{m-1}, \vec{v}_{m-1} \right] \right\}. \end{aligned} \tag{24}$$

Solving Eq. (24), we get

$$\begin{aligned} u_0(x, y, t) &= a + b + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2 + \left(y-\frac{1}{2}\right)^2\right)}}{1000}, \\ v_0(x, y, t) &= \frac{b}{(a+b)^2}, \\ u_1(x, y, t) &= -\frac{1}{\Gamma(\alpha + 1)} \hbar t^\alpha \\ & \left( k \left( \frac{b \left( a + b + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2 + \left(y-\frac{1}{2}\right)^2\right)}}{1000} \right)^2}{(a+b)^2} - b - \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2 + \left(y-\frac{1}{2}\right)^2\right)}}{1000} \right) \right. \\ & \left. + \frac{8}{45} d_1 e^{-100\left(\left(x-\frac{1}{3}\right)^2 + \left(y-\frac{1}{2}\right)^2\right)} (225x^2 - 150x + 225y^2 - 225y + 79) \right), \\ v_1(x, y, t) &= -\frac{k \hbar t^\alpha \left( b - \frac{b \left( a + b + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2 + \left(y-\frac{1}{2}\right)^2\right)}}{1000} \right)^2}{(a+b)^2} \right)}{\Gamma(\alpha + 1)}, \end{aligned}$$

$$\begin{aligned}
 u_2(x, y, t) = & -\frac{1}{\Gamma(\alpha + 1)} \hbar(n + \hbar) \\
 & \left( \left( \frac{b \left( a + \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} + b \right)^2}{(a + b)^2} - b - \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} \right) \right) \\
 & k + \frac{8}{45} e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} (225x^2 - 150x + 225y^2 - 225y + 79) d_1 t^\alpha \\
 & - \frac{1}{\Gamma(2\alpha + 1)} k \hbar^2 \left( \left( \frac{b \left( a + \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} + b \right)^2}{(a + b)^2} - b - \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} \right) \right) \\
 & k + \frac{8}{45} e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} (225x^2 - 150x + 225y^2 - 225y + 79) d_1 t^{2\alpha} \\
 & + \frac{1}{(a + b)^2 \Gamma(2\alpha + 1)} \left( a + \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} + b \right) b k \hbar^2 \\
 & \left( \left( \frac{b \left( a + \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} + b \right)^2}{(a + b)^2} - b - \frac{e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)}}{1000} \right) \right) \\
 & k + \frac{8}{45} e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} (225x^2 - 150x + 225y^2 - 225y + 79) d_1 t^{2\alpha} \\
 & + \frac{1}{\Gamma(2\alpha + 1)} \hbar^2 d_1 \left( -\frac{1}{9} 32000 e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} d_1 (1 - 3x)^2 - \right. \\
 & \left. \frac{1}{45} e^{-100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} k (1800x^2 - 1200x + 191) \right) \\
 & + \frac{1}{22500(a + b)^2} b e^{-200\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} k (3600x^2 - 2400x + \\
 & 1000a e^{100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} (1800x^2 - 1200x + 191) \\
 & + 1000b e^{100\left((x-\frac{1}{3})^2 + (y-\frac{1}{2})^2\right)} (1800x^2 - 1200x + 191) + 391) +
 \end{aligned}$$

$$\begin{aligned}
 & 80e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}d_1 + \frac{320}{81}e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)} \\
 & (1800x^2 - 1200x + 191)(225x^2 - 150x + 225y^2 - 225y + 79)d_1t^{2\alpha} + \\
 & \frac{1}{\Gamma(2\alpha + 1)}\hbar^2d_1(-8000e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}d_1(1 - 2y)^2 \\
 & - \frac{1}{5}e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}k(200y^2 - 200y + 49) + \\
 & \frac{1}{2500(a + b)^2}be^{-200\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}k(400y^2 - 400y + 1000ae^{100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)} \\
 & (200y^2 - 200y + 49) + 1000be^{100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}(200y^2 - 200y + 49) + 99) \\
 & + 80e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}d_1 + \frac{320}{9}e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}(200y^2 - 200y + 49) \\
 & (225x^2 - 150x + 225y^2 - 225y + 79)d_1t^{2\alpha} \\
 & \left(a + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}}{1000} + b\right)^2 \left(b - \frac{b\left(a + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}}{1000} + b\right)^2}{(a+b)^2}\right) k^2 \hbar^2 t^{2\alpha} \\
 & + \frac{\hspace{10em}}{\Gamma(2\alpha + 1)} \\
 & (bk t^{2\alpha} \hbar^2) \left(\frac{b\left(a + \frac{e^{-100\left(\left(x-\frac{1}{3}\right)^2+\left(y-\frac{1}{2}\right)^2\right)}}{1000} + b\right)^2}{(a + b)^2}\right) / ((a + b)^2 \Gamma(\alpha + 1) \Gamma(2\alpha + 1)),
 \end{aligned}$$

$$\begin{aligned}
 v_2(x, y, t) = & -\frac{1}{(a+b)^2\Gamma(\alpha+1)^2\Gamma(3\alpha+1)}bk\hbar^3\Gamma(2\alpha+1)t^{3\alpha} \\
 & \left( k \left( \frac{b \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right)^2}{(a+b)^2} - b - \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right) + \right. \\
 & \left. \frac{8}{45}d_1e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}(225x^2 - 150x + 225y^2 - 225y + 79) \right)^2 \\
 & + \frac{1}{(a+b)^2\Gamma(2\alpha+1)}bk\hbar^2t^{2\alpha} \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right) \\
 & \left( k \left( \frac{b \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right)^2}{(a+b)^2} - b - \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right) \right) \\
 & + \frac{8}{45}d_1e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}(225x^2 - 150x + 225y^2 - 225y + 79) \\
 & - \frac{1}{11250(a+b)^2\Gamma(2\alpha+1)}bd_2k\hbar^2t^{2\alpha}e^{-200\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)} \\
 & (4000ae^{100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}(225x^2 - 150x + 225y^2 - 225y + 79) + \\
 & 4000be^{100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}(225x^2 - 150x + 225y^2 - 225y + 79) \\
 & + 1800x^2 - 1200x + 1800y^2 - 1800y + 641) + \\
 & \frac{k^2\hbar^2t^{2\alpha} \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right)^2 \left( b - \frac{b \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right)^2}{(a+b)^2} \right)}{\Gamma(2\alpha+1)} \\
 & \frac{k\hbar(n+\hbar)t^\alpha \left( b - \frac{b \left( a + b + \frac{e^{-100\left((x-\frac{1}{3})^2+(y-\frac{1}{2})^2\right)}}{1000} \right)^2}{(a+b)^2} \right)}{\Gamma(\alpha+1)},
 \end{aligned}$$

Finally, after getting further iterative terms, the essential series solution of the Eq. (18) is presented by



$$\begin{aligned}
 u(x, y, t) &= u_0(x, y, t) + \sum_{m=1}^{\infty} u_m(x, y, t) \left(\frac{1}{n}\right)^m, \\
 v(x, y, t) &= v_0(x, y, t) + \sum_{m=1}^{\infty} v_m(x, y, t) \left(\frac{1}{n}\right)^m.
 \end{aligned}
 \tag{25}$$

By taking  $n = 1$ ,  $\alpha = 1$  and  $\hbar = -1$ , we acquire the approximated solution fractional Schnakenberg model as  $\sum_{m=1}^N u_m(x, y, t) \left(\frac{1}{n}\right)^m$ ,  $\sum_{m=1}^N v_m(x, y, t) \left(\frac{1}{n}\right)^m$ , for  $u(x, y, t)$  and  $v(x, y, t)$  respectively and tends to exact solution as  $N \rightarrow \infty$ .

**Example 5** Contemplate the fractional Schnakenberg model:

$$\begin{aligned}
 D_t^\alpha u(x, t) &= d_1 \frac{\partial^2 u}{\partial x^2} + k(a - u + u^2 v), \\
 D_t^\alpha v(x, t) &= d_2 \frac{\partial^2 v}{\partial x^2} + k(b - u^2 v),
 \end{aligned}
 \tag{26}$$

with initial conditions

$$\begin{aligned}
 u(x, 0) &= 0.8 + \frac{1}{10} \cos(x), \\
 v(x, 0) &= 1.03 + \frac{1}{10} \cos(x).
 \end{aligned}
 \tag{27}$$

We get Eq. (26) by assuming LT on mutually and considering the starting solutions stated in Eq. (27)

$$\begin{aligned}
 L[u(x, t)] - \frac{1}{s} \left\{ 0.8 + \frac{1}{10} \cos(x) \right\} - \frac{1}{s^\alpha} L \left\{ d_1 \frac{\partial^2 u}{\partial x^2} + k(a - u + u^2 v) \right\} &= 0, \\
 L[v(x, t)] - \frac{1}{s} \left\{ 1.03 + \frac{1}{10} \cos(x) \right\} - \frac{1}{s^\alpha} L \left\{ d_2 \frac{\partial^2 v}{\partial x^2} + k(b - u^2 v) \right\} &= 0.
 \end{aligned}
 \tag{28}$$

$N$  is defined by using the projected methodology as

$$\begin{aligned}
 &N^1[\varphi_1(x, t; q), \varphi_2(x, t; q)] \\
 &= L[\varphi_1(x, t; q)] - \frac{1}{s} \left\{ 0.8 + \frac{1}{10} \cos(x) \right\} \\
 &\quad - \frac{1}{s^\alpha} L \left\{ d_1 \frac{\partial^2 \varphi_1(x, t; q)}{\partial x^2} \right. \\
 &\quad \left. + k(a - \varphi_1(x, t; q) + \varphi_1(x, t; q)^2 \varphi_2(x, t; q)) \right\},
 \end{aligned}$$

$$\begin{aligned}
 & N^2[\varphi_1(x, t; q), \varphi_2(x, t; q)] \\
 &= L[\varphi_2(x, t; q)] - \frac{1}{s} \left\{ 1.03 + \frac{1}{10} \cos(x) \right\} \\
 & - \frac{1}{s^\alpha} L \left\{ d_2 \frac{\partial^2 \varphi_2(x, t; q)}{\partial x^2} \right. \\
 & \left. + k(b - \varphi_1(x, t; q))^2 \varphi_2(x, t; q) \right\}.
 \end{aligned} \tag{29}$$

With the aid of the projected technique, the  $m$ th-order deformation expression is written as follows:

$$\begin{aligned}
 L[K_m u_{m-1}(x, t)] + \hbar \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[u_m(x, t)], \\
 L[K_m v_{m-1}(x, t)] + \hbar \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[v_m(x, t)],
 \end{aligned} \tag{30}$$

where

$$\begin{aligned}
 \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[u(x, t)] - \\
 & \left( 1 - \frac{K_m}{n} \right) \frac{1}{s} \left\{ 0.8 + \frac{1}{10} \cos(x) \right\} - \\
 & \frac{1}{s^\alpha} L \left\{ d_1 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u_{m-i} \right. \\
 & \left. + k(a - u_{m-i} + \sum_{i=0}^{m-1} \sum_{j=0}^i u_i u_{i-j} v_{m-i-1}) \right\}, \\
 \mathfrak{R}_{2,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] &= L[v(x, t)] - \\
 & \left( 1 - \frac{K_m}{n} \right) \frac{1}{s} \left\{ 1.03 + \frac{1}{10} \cos(x) \right\} - \\
 & \frac{1}{s^\alpha} L \left\{ d_2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v_{m-i} \right. \\
 & \left. + k(b - \sum_{i=0}^{m-1} \sum_{j=0}^i u_i u_{i-j} v_{m-i-1}) \right\}.
 \end{aligned} \tag{31}$$

When we apply the inverse Laplace transform to Eq. (30), we get

$$\begin{aligned}
 u_m(x, t) &= K_m u_{m-1}(x, t) + \hbar L^{-1} \left\{ \mathfrak{R}_{1,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] \right\}, \\
 v_m(x, t) &= K_m v_{m-1}(x, t) + \hbar L^{-1} \left\{ \mathfrak{R}_{2,m} [u_{m-1}^{\rightarrow}, v_{m-1}^{\rightarrow}] \right\}.
 \end{aligned} \tag{32}$$

On solving Eq. (32), we obtain

$$u_0(x, t) = 0.1\cos(x) + 0.8,$$

$$v_0(x, t) = 0.1\cos(x) + 1.03,$$

$$u_1(x, t) = \frac{\hbar t^\alpha (-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008)}{\Gamma(\alpha + 1)},$$

$$v_1(x, t) = \frac{\hbar t^\alpha ((0.1\cos(x) + 1.03)(0.1\cos(x) + 0.8)^2 + 0.01\cos(x) - 0.66)}{\Gamma(\alpha + 1)},$$

$$\begin{aligned}
 u_2(x, t) &= \frac{\hbar^3 \Gamma(2\alpha + 1) t^{3\alpha} (0.1\cos(x) + 1.03)}{\Gamma(\alpha + 1)^2 \Gamma(3\alpha + 1)} \\
 &(-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008)^2 \\
 &+ \frac{1}{\Gamma(2\alpha + 1)} \hbar^2 t^{2\alpha} ((0.1\cos(x) + 0.8) \\
 &(0.1\cos(x) + 1.03)(-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008) \\
 &+ 1.(0.001\cos^3(x) + 0.0263\cos^2(x) + 0.1088\cos(x) - 0.0008) \\
 &+ 0.02(-1.0955\cos(x) - 0.526\cos(2x) - 0.0225\cos(3x))) + \\
 &\frac{\hbar^2 t^{2\alpha} ((0.1\cos(x) + 1.03)(0.1\cos(x) + 0.8)^2 + 0.01\cos(x) - 0.66)(0.1\cos(x) + 0.8)^2}{\Gamma(2\alpha + 1)} \\
 &- \frac{0.14\hbar t^\alpha}{\Gamma(\alpha + 1)} + \frac{1}{\Gamma(\alpha + 1)} \hbar(\hbar + 1)t^\alpha (-0.001\cos^3(x) - 0.0263\cos^2(x) - \\
 &0.1088\cos(x) + 0.0008), \\
 v_2(x, t) &= \\
 &\frac{\hbar^3 \Gamma(2\alpha + 1) t^{3\alpha} (0.1\cos(x) + 1.03)(-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008)^2}{\Gamma(\alpha + 1)^2 \Gamma(3\alpha + 1)} \\
 &+ \frac{1}{\Gamma(2\alpha + 1)} \hbar^2 t^{2\alpha} ((0.1\cos(x) + 0.8)(0.1\cos(x) + \\
 &1.03)(-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008) \\
 &+ 1.(0.001\cos^3(x) + 0.0263\cos^2(x) + 0.1088\cos(x) - 0.0008) + \\
 &0.02(-1.0955\cos(x) - 0.526\cos(2x) - 0.0225\cos(3x))) \\
 &+ \frac{\hbar^2 t^{2\alpha} ((0.1\cos(x) + 1.03)(0.1\cos(x) + 0.8)^2 + 0.01\cos(x) - 0.66)(0.1\cos(x) + 0.8)^2}{\Gamma(2\alpha + 1)} \\
 &- \frac{0.14\hbar t^\alpha}{\Gamma(\alpha + 1)} + \\
 &\frac{\hbar(\hbar + 1)t^\alpha (-0.001\cos^3(x) - 0.0263\cos^2(x) - 0.1088\cos(x) + 0.0008)}{\Gamma(\alpha + 1)}
 \end{aligned}$$

$$\begin{aligned}
 v_2(x, t) = & \frac{\hbar^3 \Gamma(2\alpha + 1) t^{3\alpha} (0.1 \cos(x) + 1.03) (-0.001 \cos^3(x) - 0.0263 \cos^2(x) - 0.11 \cos(x) + 0.0008)^2}{\Gamma(\alpha + 1)^2 \Gamma(3\alpha + 1)} \\
 & + \frac{1}{\Gamma(2\alpha + 1)} \hbar^2 t^{2\alpha} ((0.1 \cos(x) + 0.8)(0.1 \cos(x) + 1.03) (-0.001 \cos^3(x) - \\
 & 0.0263 \cos^2(x) - 0.1088 \cos(x) + 0.00078) + 1.(0.001 \cos^3(x) + \\
 & 0.0263 \cos^2(x) + 0.1088 \cos(x) - 0.00078) + 0.02(-1.0956 \cos(x) - \\
 & 0.526 \cos(2x) - 0.0225 \cos(3x))) + \\
 & \frac{\hbar^2 t^{2\alpha} ((0.1 \cos(x) + 1.03)(0.1 \cos(x) + 0.8)^2 + 0.01 \cos(x) - 0.66)(0.1 \cos(x) + 0.8)^2}{\Gamma(2\alpha + 1)} \\
 & - \frac{0.14 \hbar t^\alpha}{\Gamma(\alpha + 1)} + \\
 & \frac{\hbar(\hbar + 1) t^\alpha (-0.001 \cos^3(x) - 0.0263 \cos^2(x) - 0.11 \cos(x) + 0.0008)}{\Gamma(\alpha + 1)},
 \end{aligned}$$

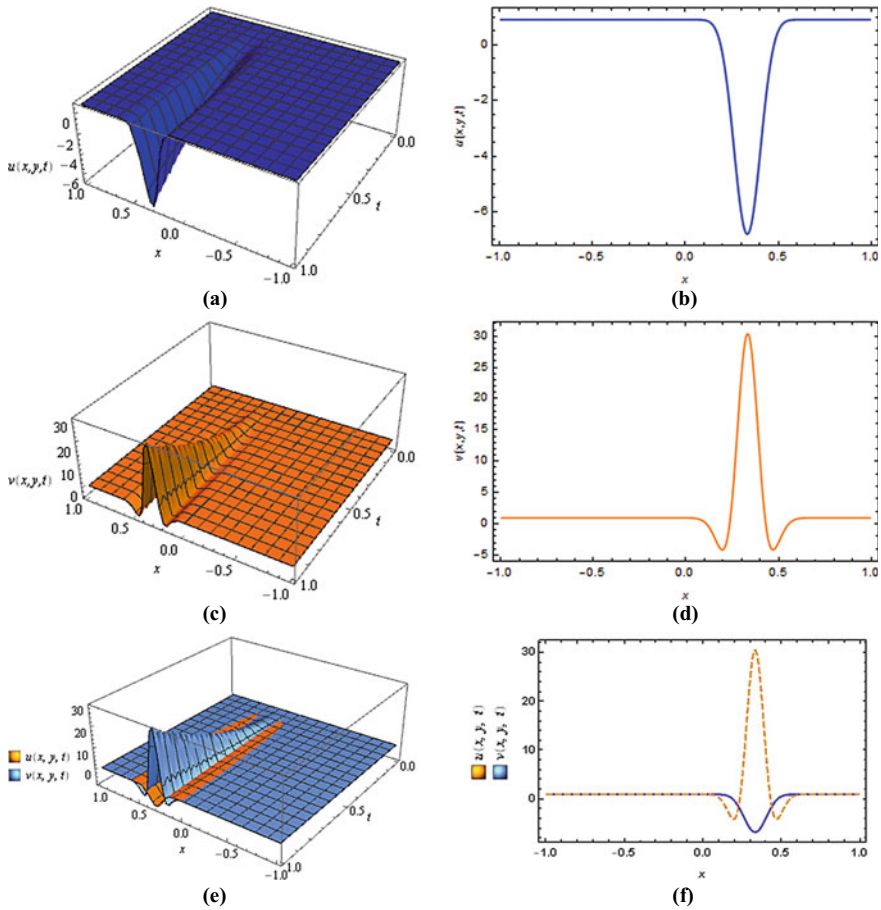
Excess re-iterative expressions can be produced by continuing in the same manner. The requisite *q*-HATM solutions of Eq. (26) are thus provided by

$$\begin{aligned}
 u(x, t) &= u_0(x, t) + \sum_{m=1}^{\infty} u_m(x, t) \left(\frac{1}{n}\right)^m, \\
 v(x, t) &= v_0(x, t) + \sum_{m=1}^{\infty} v_m(x, t) \left(\frac{1}{n}\right)^m.
 \end{aligned} \tag{33}$$

If we make  $n = 1$ ,  $\alpha = 1$  and  $\hbar = -1$ , then the secured solution  $\sum_{m=1}^N u_m(x, t) \left(\frac{1}{n}\right)^m, \sum_{m=1}^N v_m(x, t) \left(\frac{1}{n}\right)^m$ , of Schnakenberg model converges to exact solution as  $N \rightarrow \infty$ .

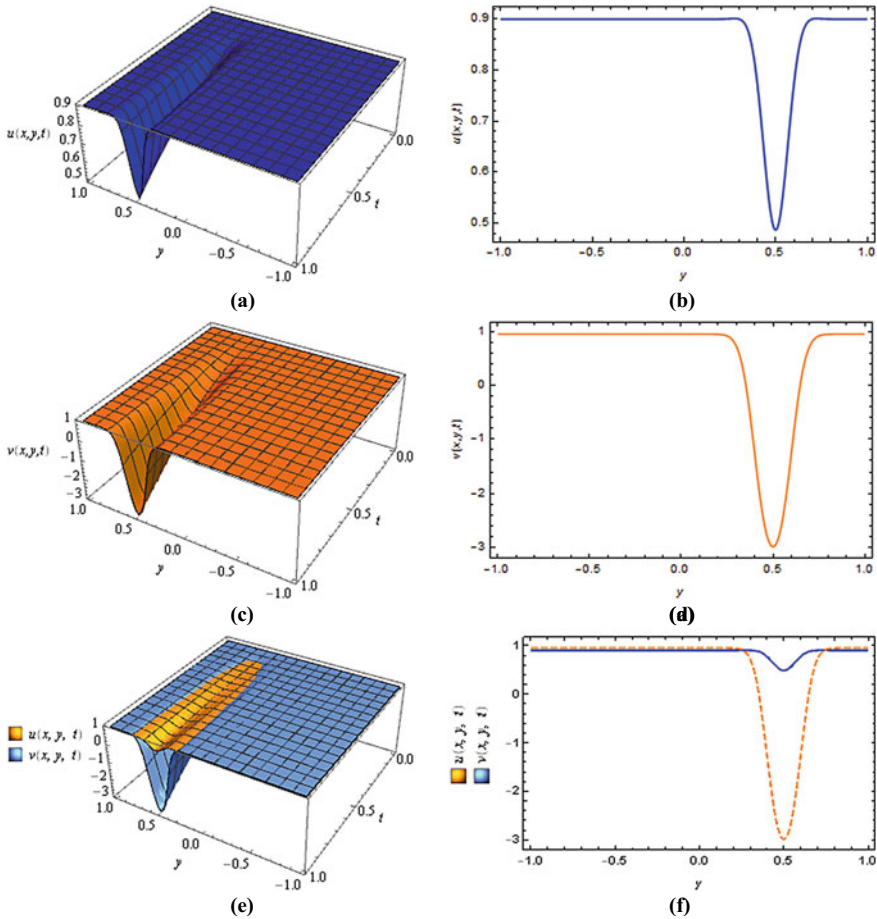
### 4 Numerical Results and Discussion

During the present work, we investigated the analytical behaviour of the time-fractional non-linear Schnakenberg model with the aid of an analytical scheme, called the *q*-homotopy analysis transform method. The 3D plots correspond to the variation of two chemical concentrations  $u(x, t)$  and  $v(x, t)$  and their respective 2D plots are cited in Fig. 1 and the same for  $u(y, t)$  and  $v(y, t)$  are depicted in Fig. 2. Figures 3 and 4 represent the behaviour of activator and inhibitor concentrations, respectively, by taking  $y = 0.2, 0.3, 0.4, 0.5$ . Furthermore, the variation in the plots shows that the nature of  $u$  and  $v$  also depends on the fractional order. Figure 5 quotes the performance of obtained solution of Eq. (20) attained by the presented scheme versus  $t$ . The action of  $n$  with auxiliary parameter in an acquired result of *q*-HATM is depicted in Fig. 6. Figure 7 shows the surface plots of the obtained approximated



**Fig. 1** **a** 3D plot for activator  $u(x, y, t)$ , **b** curve of  $u(x, y, t)$  at  $t = 1$ , **c** approximated solution surface for  $v(x, y, t)$ , **d** 2D plot for  $v(x, y, t)$  at  $t = 1$ , **e** coupled behaviour of the solution of Eq. 20, **f** coupled 2D plot for activator and inhibitor concentrations at  $t = 1$  by taking  $y = 0.5, k = 100, b = 0.7695, a = 0.1305, d_1 = 0.05, d_2 = 1, n = 1, \alpha = 1, \hbar = -1$

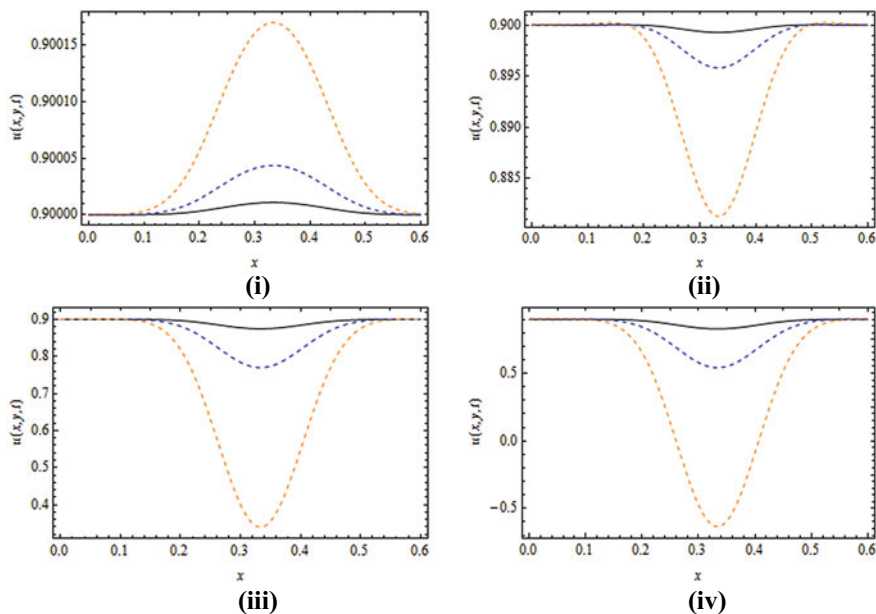
solutions of Ex. 4.2 with their respective 2D plots and projected views. In Fig. 8, black line represents the nature of  $u$  for  $\alpha = 1$ , the blue dotted line for  $\alpha = 0.75$  and the orange dashed line for  $\alpha = 0.50$ . It shows the dependence of the results on the various fractional-order  $\alpha$ . In Fig. 9, the behaviour of acquired solution  $v$  for various fractional orders  $\alpha$  when  $t = 2, 3, 5, 7.5, 10$  is cited. Figure 10 is decorated with the variation of attained solutions  $u(x, t)$  and  $v(x, t)$  with time  $t$ . Figure 11 cites the execution of  $n$  with respect to  $\hbar$  in the secured result by the considered method.



**Fig. 2** **a** 3D plot for activator  $u(x, y, t)$ , **b** curve of  $u(x, y, t)$  at  $t = 1$ , **c** approximated solution surface for  $v(x, y, t)$ , **d** 2D plot for  $v(x, y, t)$  at  $t = 1$ , **e** coupled behaviour of the solution of Eq. 20, **f** coupled 2D plot for activator and inhibitor concentrations at  $t = 1$  by taking  $x = 0.5, k = 100, b = 0.7695, a = 0.1305, d_1 = 0.05, d_2 = 1, n = 1, \alpha = 1, \hbar = -1$

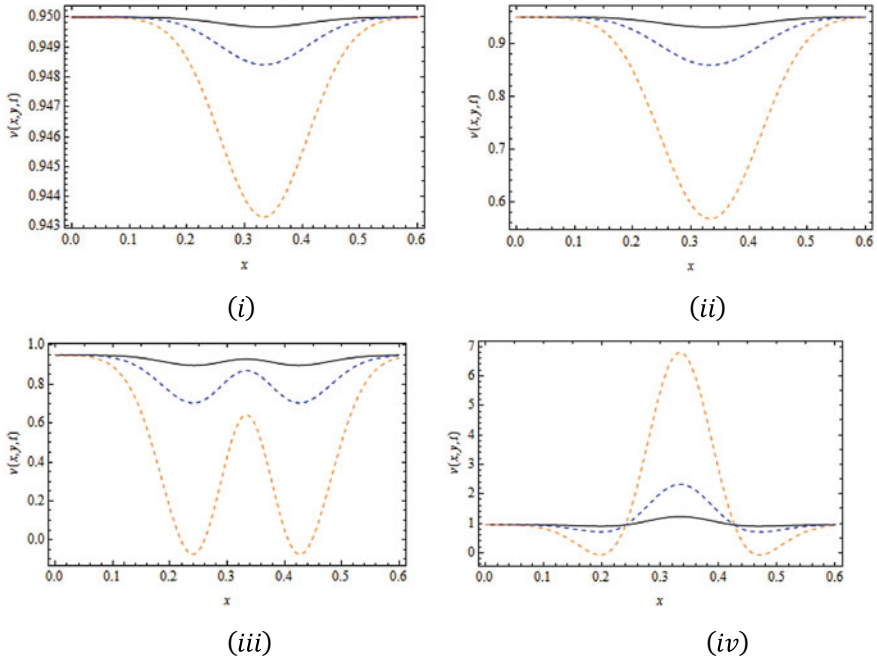
### 5 Conclusion

In the current work, we have hired the strong analytical scheme known as  $q$ -HATM to examine the nonlinear fractional reaction–diffusion systems (fractional Schnakenberg systems). To check the reliability of the considered technique, we have investigated the considered model with two different initial conditions. The secured outputs indicate that a future method can be used to generate a standardized analytical answer. Furthermore, the secured results are corresponding to the mechanisms with memory which can be seen in many biological networks, also to fractals that occur in biological systems. The considered strategy is effective in providing a simple solution, a

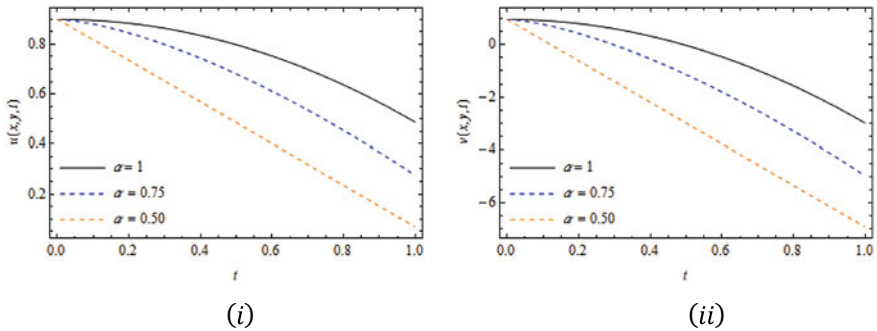


**Fig. 3** Curves for activator  $u(x, y, t)$  at (i)  $y = 0.2$ , (ii)  $y = 0.3$ , (iii)  $y = 0.4$ , (iv)  $y = 0.5$  by taking  $k = 100, b = 0.7695, a = 0.1305, d_1 = 0.05, d_2 = 1, n = 1, t = 0.1$ , and  $\hbar = -1$  for distinct values of  $\alpha$

critical convergence zone, and a non-local influence. Finally, we conclude that our projected technique is exceptionally serviceable and can be applied to large research categories linked to fractional-order non-linear mathematical models, which aid us in better understanding the non-linear compound phenomena.

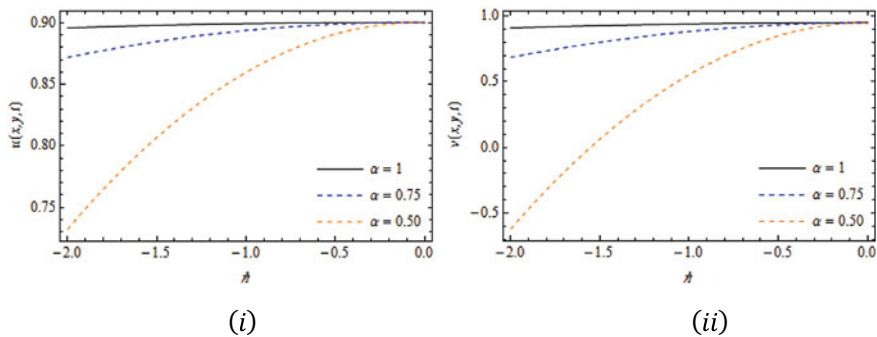


**Fig. 4** Curves for inhibitor  $v(x, y, t)$  at (i) $y = 0.2$ , (ii) $y = 0.3$ , (iii) $y = 0.4$ , (iv) $y = 0.5$  by taking  $k = 100, b = 0.7695, a = 0.1305, d_1 = 0.05, d_2 = 1, n = 1, t = 0.1$ , and  $\hbar = -1$  for distinct values of  $\alpha$

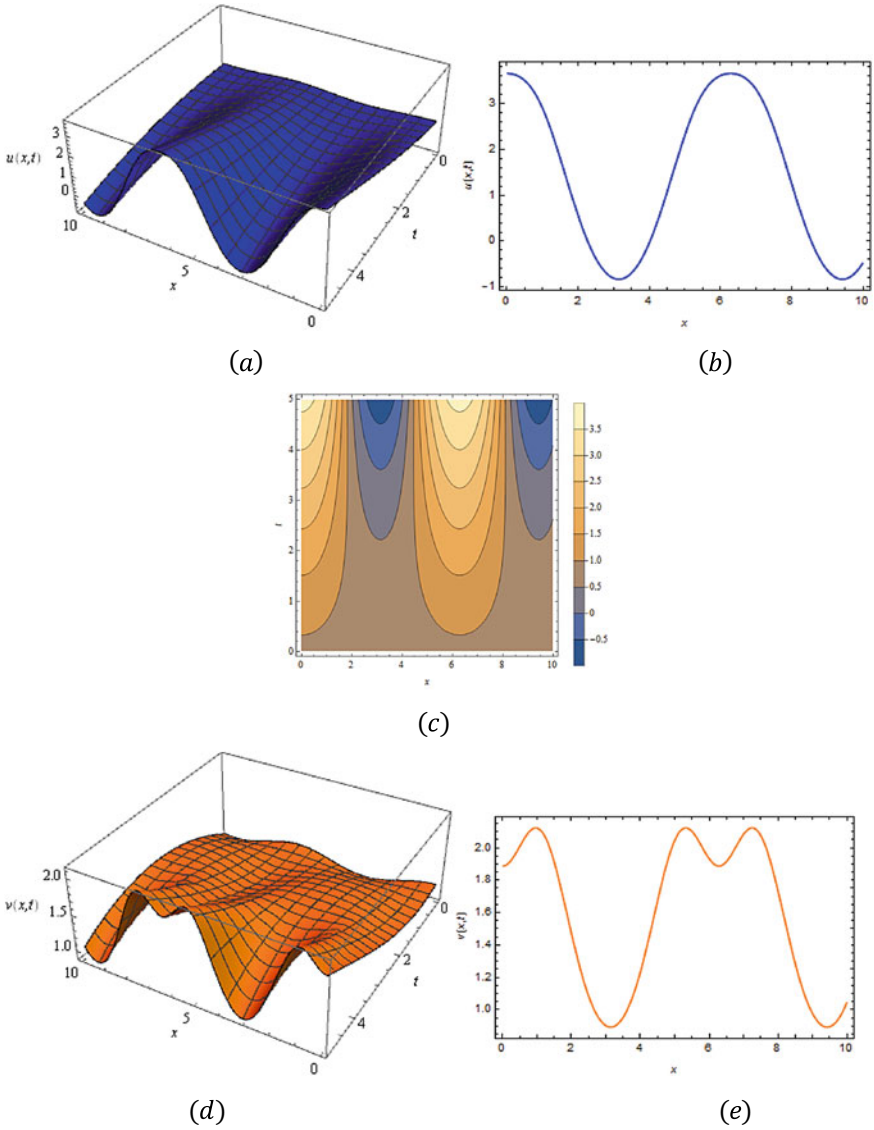


**Fig. 5** (i)  $u(x, y, t)$ , (ii)  $v(x, y, t)$  versus  $t$  for Ex. 4.1. when  $x = 0.5, y = 0.5, a = 0.1305, b = 0.7695, k = 100, d_1 = 0.05, d_2 = 1, n = 1, \hbar = -1$  and  $n = 1$  for diverse  $\alpha$





**Fig. 6** The  $h$ -curve for  $u(x, y, t)$  and  $v(x, y, t)$  for foreseen Ex. 4.1. when  $n = 1, x = 0.5, y = 0.5, a = 0.1305, b = 0.7695, k = 100, d_1 = 0.05, d_2 = 1, t = 0.05$  for various  $\alpha$



**Fig. 7** a Surface plot for  $u$ , b curve of  $u$  at  $t = 1$ , c contour plot of  $u$ , d surface for  $v(x, t)$ , e 2D plot for  $v$  at  $t = 1$ , f contour plot of  $v$ , g coupled behaviour of the solution of Eq. 20, h coupled 2D plot for  $u$  and  $v$  at  $t = 1$  when  $k = 1, a = 0.14, b = 0.66, d_1 = 0.2, d_2 = 0.1, n = 1, \alpha = 1, \hbar = -1$

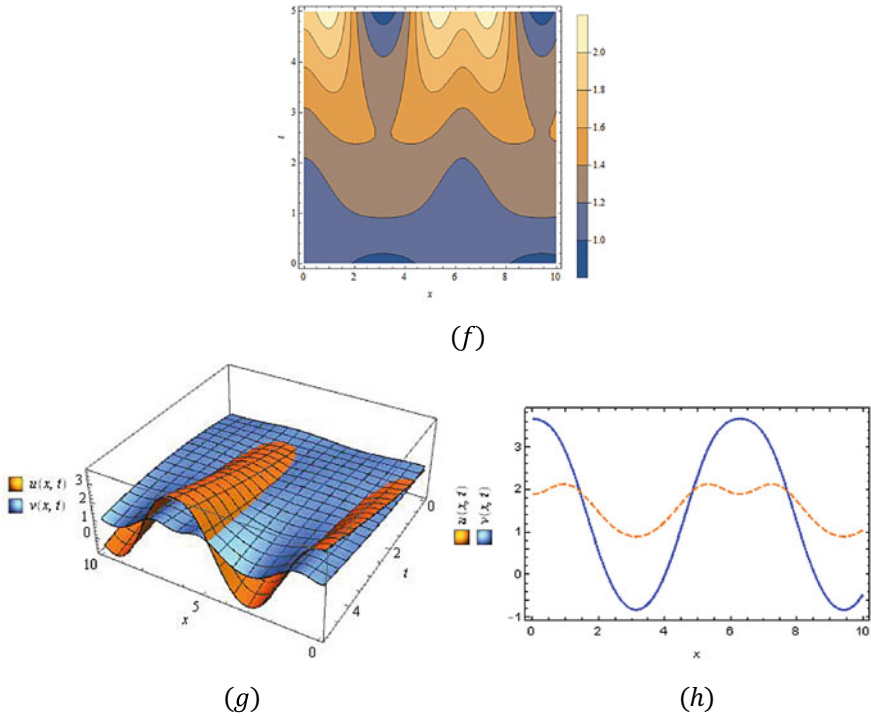
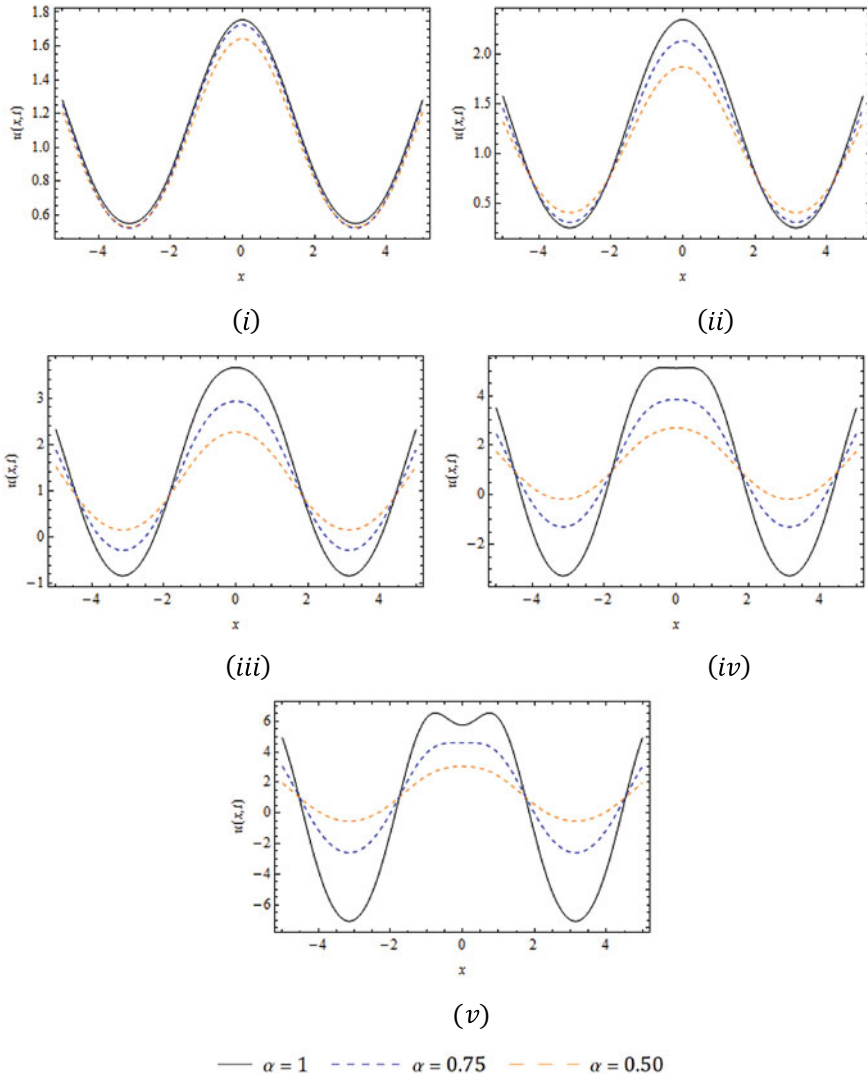


Fig. 7 (continued)

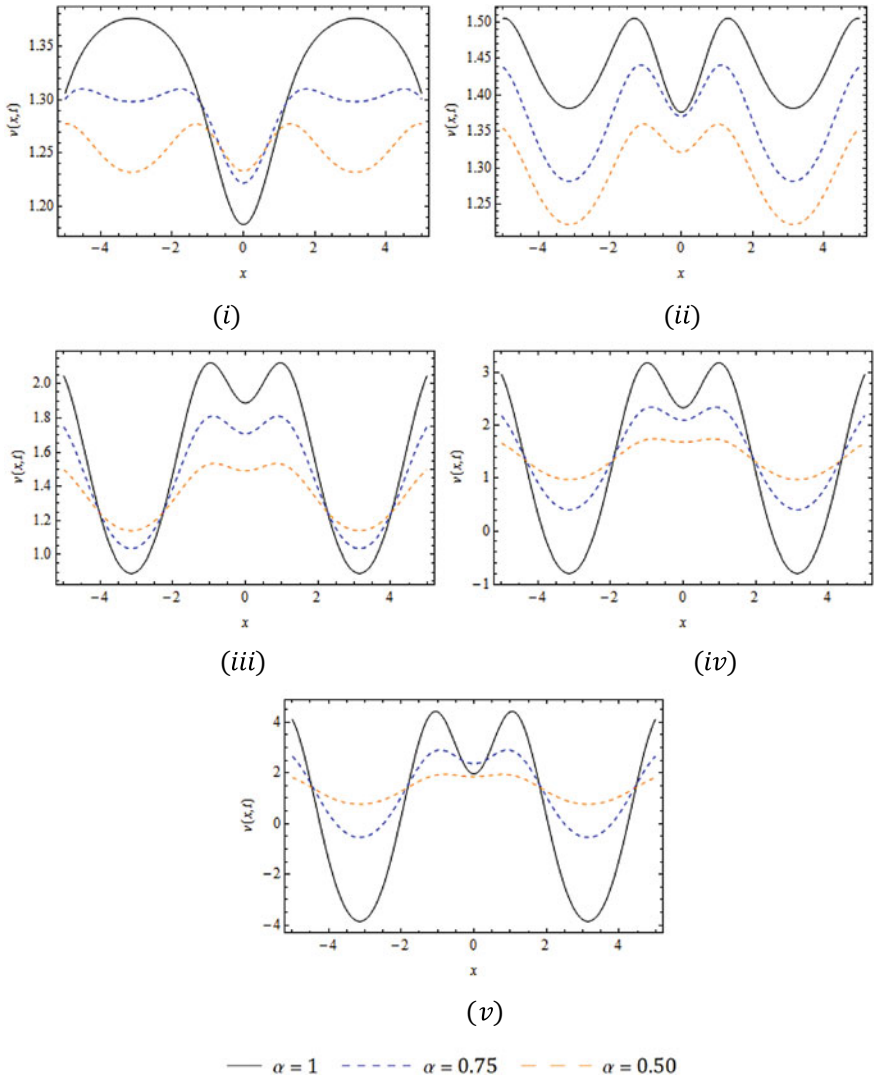
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**Fig. 8** Curves for activator  $u(x, t)$  for Ex. 4.2 at (i)  $t = 2$ , (ii)  $t = 3$ , (iii)  $t = 5$ , (iv)  $t = 7.5$ , and (v)  $t = 10$  by taking  $k = 1$ ,  $a = 0.14$ ,  $b = 0.66$ ,  $d_1 = 0.2$ ,  $d_2 = 0.1$ ,  $n = 1$  and  $\hbar = -1$  for distinct values of  $\alpha$

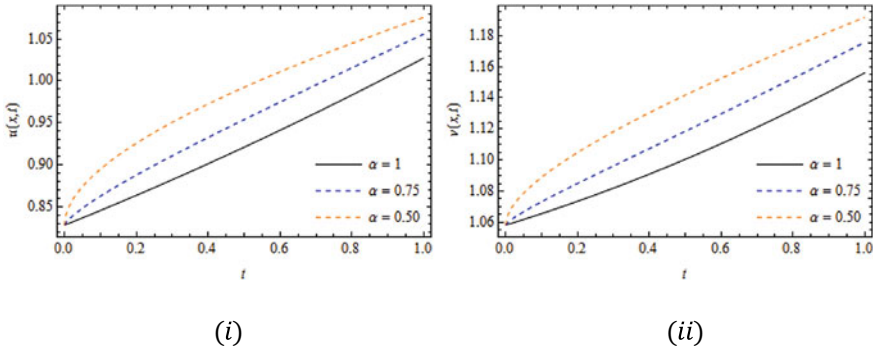
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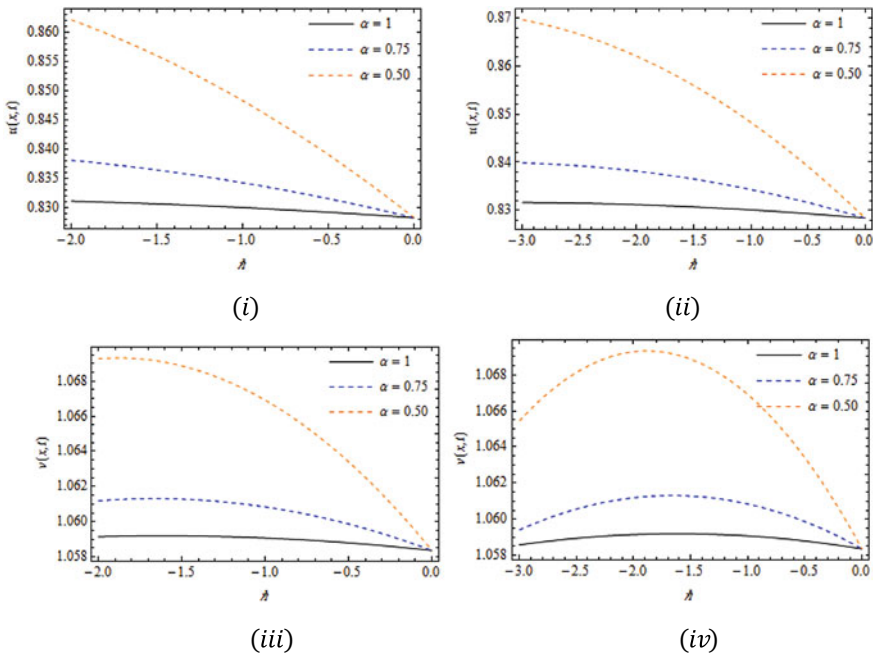
**Fig. 9** Curves for inhibitor  $v(x, t)$  for Ex. 4.2 at (i) $t = 2$ , (ii) $t = 3$ , (iii) $t = 5$ , (iv) $t = 7.5$ , and (v)  $t = 10$  by taking  $k = 1$ ,  $a = 0.14$ ,  $b = 0.66$ ,  $d_1 = 0.2$ ,  $d_2 = 0.1$ ,  $n = 1$  and  $\hbar = -1$  for distinct values of  $\alpha$

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**Fig. 10** (i)  $u(x, t)$ , (ii)  $v(x, t)$  with respect to  $t$  for Ex. 4.2. when  $x = 5, a = 0.14, b = 0.66, k = 1, d_1 = 0.2, d_2 = 0.1, \hbar = -1$  and  $n = 1$  for diverse values of  $\alpha$



**Fig. 11** The  $\hbar$ -curve for  $u(x, t)$  and  $v(x, t)$  for Ex. 4.2. at (i) & (iii)  $n = 1$  and (ii) & (iv)  $n = 2$  when  $x = 5, a = 0.14, b = 0.66, k = 1, d_1 = 0.2, d_2 = 0.1, t = 0.01$  for diverse values of  $\alpha$

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# Existence of Salvage Value in a Memory Dependent EOQ Model in Absence of Deteriorating Items



Rituparna Pakhira, Laxmi Rathour, Vishnu Narayan Mishra,  
Lakshmi Narayan Mishra, and Sunita

**Abstract** In this article, an inventory model has been developed by incorporating the effect of the past experience. This paper wants to incorporate memory effects that are based on the fact that economic agents remember the history of changes in exogenous and endogenous variables. Memory or past experiences have a great impact on the real inventory system to maintain the business. The inclusion of the memory effect in the inventory system has been done by using fractional calculus. We have also established the existence of salvage value in the memory-dependent inventory system in absence of deteriorating items. Finally, sensitivity analysis is done to determine the most important parameters of the system for the market studies so that it would be taken care seriously. Our considered numerical example is able to crack the practical situation. In practice, if the rate of change of the initial demand is more compared to the initial demand that means the selling rate is increasing for the businessman's good business policy or good product quality. By considering the rate of change of initial demand  $>$  initial demand, profit is more compared to the opposite case. From

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R. Pakhira

Department of Engineering Science and Humanities, Academy Of Technology, Adisaptagram,  
West Bengal 712502, India

e-mail: [rituparna.pakhira@gmail.com](mailto:rituparna.pakhira@gmail.com)

L. Rathour

Ward number—16, Bhagatbandh, Anuppur, Madhya Pradesh 484224, India

e-mail: [rathourlaxmi562@gmail.com](mailto:rathourlaxmi562@gmail.com)

V. N. Mishra

Department of Mathematics, Indira Gandhi National Tribal University, Lalpur, Amarkantak,  
Anuppur, Madhya Pradesh, India

e-mail: [vnm@igntu.ac.in](mailto:vnm@igntu.ac.in)

L. N. Mishra (✉)

Department of Mathematics, School of Advanced Sciences, Vellore Institute of Technology, Tamil  
Nadu, Vellore 632 014, India

e-mail: [lakshminarayan.mishra@vit.ac.in](mailto:lakshminarayan.mishra@vit.ac.in); [lakshminarayanmishra04@gmail.com](mailto:lakshminarayanmishra04@gmail.com)

Sunita

Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan 302004, India

the numerical example, it is clear that business policy was not good for that inventory system.

**Keywords** Fractional-order derivative · Fractional Laplace transform method · Time-dependent holding cost with fractional effect · Salvage value

## 1 Introduction

Inventories are stock of goods, work-in-progress goods, and completely finished goods that are considered to be the portion of a business's assets that are ready or will be ready for sale. Formulation of a suitable inventory model is one of the major concerns for an industry. Model formulation of inventory system is one of the important research topics of operation research. It is known that a management model creates a difference in the nature of the available information on the properties of the simulated system. When the value of the model parameters is well-defined, the nature of the corresponding mathematical model is deterministic. In contrast, if the parameters of the system are considered random values with a known probability, distribution models are stochastic (probabilistic).

In real-life situations for some products, the demand rate is once constant, then increases, and finally decreases. Nowadays, the demand of the inventory system is totally market oriented, where the demand rate is time dependent. The demand rate for such inventory items as electronic goods and fashionable clothes are time or season dependent. Ghare and Schraderis [1] established the existence of time dependency in demand rate mathematically. Salvage value has another role in the inventory system. The salvage value of an item is the value of a leftover item when no further inventory is desired. The salvage value represents the disposal value of the item to the firm, perhaps through a discounted sale. Mishra et al. [2] developed an inventory model with salvage value and they also established that increases in salvage value decrease the number of units to be procured. Mishra et al. in [3] also established the existence of time dependency in holding cost of the inventory system by considering the effects of deterioration with salvage value as well as shortages. This model is more practical compared to [3] because practically holding cost depends upon the time. Many more classical inventory models with the deteriorating items and salvage value were developed with numerical examples by different researchers.

Some researchers started to apply FC to scientific and engineering problems and gave a new dimension to the calculus. We want to recall some pioneer researchers, who gave their valuable attention to developing fractional calculus. Some of them are illustrated below very shortly. De L'Hopital, Guillaume Francois Antoine (1661–1704), who may be called as the father of the fractional calculus because the first question was raised in 1695 by L'Hospital to Gottfried Leibnitz about the derivative of order  $\frac{1}{2}$ . This question actually helps to think of a new subject, named fractional calculus. Euler, Niels Henrik (1802–1829) was a great mathematician, who developed

Euler's fractional-order derivative, which helped to continue the work on fractional-order derivative. Grunwald, Antonk (1838–1983) was one of the pioneers in the world to think about the development of fractional calculus. Grunwald fractional-order derivative was developed by him, which is a great contribution to fractional calculus. Lacroix, Sylvestre Francois was a French mathematician. His connection to fractional calculus started by studying fractional-order derivative. Mittag-Leffler, Magnus Gustaf (1846–1927) was a great Swedish mathematician, who developed Mittag–Leffler function of one parameter which is the generalization of the exponential function. Like ordinary calculus, the Mittag-Leffler function plays an important role in fractional calculus. His contribution helped to move forward the future research of fractional calculus.

Riemann, Georg Friedrich Bernhard (1826–1866) was a German Mathematician, who developed the fractional-order derivative, which is famous as Riemann–Liouville fractional-order derivative. Weyl, Hermann Klaus Hugo (1885–1955) was German Mathematicians, who developed the Weyl fractional integral. Ross, Bertram (1886–1969) was a Hungarian Mathematician, FC pioneers. He wrote a book with K.S. Miller about fractional calculus, where fractional calculus was studied clearly. Niels Abel (1802–1829) was a Norwegian mathematician. His linking to fractional calculus originates from an application of fractional integrals. He completed the problem in physics, which is finding a tautochrone curve. Oliver-Heaviside (1850–1925) was a mathematician and engineer. He defined the fractional powers of  $p$  and established a connection between operational calculus and fractional calculus. Paulte'vy (1886–1971) was a French mathematician. His connection was started to fractional calculus through the study of a stochastic process. Kenneth Cole (1900–1984) and Robert Cole (1914–1990) were the pioneers to study the application of fractional calculus. The paper [4] was one of the first applications of fractional calculus and it shows nowadays an important role in many different scientific areas. More evidence of the Cole brothers and their contributions can be seen in [5, 6]. Andrew-Gemant (1895–1983) established the concept of a complex viscoelasticity [7]. The relationship between deformation and stress is dynamic, so that the deformation depends on the history of the previously applied stress has been clearly written. He verified that the experimental data for the dynamic viscosity of a cellulose ester supported that it varies frequency

$$\eta' = \frac{\eta}{\left(1 + (w\tau_0)^{\frac{3}{4}}\right)}$$

where  $\eta$  is the stationary viscosity[8]. This fractional transfer function, first published in a series of papers in 1941–1943, was the first viscoelastic relationship proposed, see [9]. Rashid Shakirovich Nigmatullin (1923–1991) was the first Russian scientist and one of the great pioneers in the world, who thought about practical applications of the operators of fractional differentiation in 1960. He suggested that the electrochemical

device performs with the fractional integration/differentiation operation of order one-half. Recently, Valentina V. Tarasova and V. E. Tarasov developed various economic models with power-law memory. Some of them are given below as follows.

- (i) The logistic growth model with memory [10].
- (ii) The natural growth model [11, 12].
- (iii) The growth model with constant pace [13, 14].
- (iv) The Keynes model [15–17].
- (v) The dynamic Leontief (intersectoral) model [18–21].
- (vi) The time-dependent dynamic intersectoral model with memory [18, 21].
- (vii) The Phillips model with memory and lag [22].
- (viii) The dynamic Keynesian model with memory and lag [23].

On the other hand, in the inventory system, the business policy has a great impact to increase or decrease of the profit. Since, the profit of the inventory system depends on its business policy like the attitude of the staff or shopkeeper, the position of the company or shop, environment or social situation near the shop or company. If any customer gets rough behavior from any shop or poor quality of the product, then they will not go further in that shop. Due to the above fact, the inclusion of past experiences in the inventory system has an important impact on the business. It is also known that the theory of derivatives and integrals of non-integer (fractional) orders has a long history. Fractional calculus plays an important role in the modeling of real problems with memory effects in the different areas of scientific and engineering processes. Memory means it depends on the past state of the process not only the current state of the process [24, 25]. The order of the fractional-order derivative and fractional order integration is established as an index of memory [25]. This concept has been used in different biological [26], economical [24, 27] models to show the memory dependency of the system. It is known that inventory models are governed by the first-order ordinary differential equations. The integer-order derivative is not able to include the memory effect or past experience effect of the system. Hence, the classical inventory model suffers from amnesia because it is governed by the ordinary differential equation. Due to the fact that to incorporate the memory effect into the inventory system, we have used fractional-order derivatives and integrals to develop the model. It is established that the strength of memory is controlled by the order of the fractional-order derivative and fractional-order integration [26, 28, 29].

Here, we have defined and applied two type of memories indexes: (i) differential memory index which comes from the fractional-order rate of change of the inventory level and (ii) integral memory index which arise from the fractional-order holding cost. Here, we have established a memory-dependent inventory model with salvage value, where deterioration and shortage are not considered, mainly significant with considering the fractional rate of change of inventory level has been shown. It is observed that for the long memory effect, the numerical value of the minimized total average cost is high compared to the short memory effect. Hence, in the long memory effect, profit is low compared to the short memory effect. Salvage value has appeared for the fractional rate of change of inventory level but salvage value

is generally considered for deteriorating item-related integer-order inventory model, where the deteriorating effect has been included.

The rest part of the paper is arranged as follows, review of fractional calculus has been presented in this section, Classical inventory model has been formulated in Sect. 2, Memory-dependent inventory model or fractional-order inventory model is given in Sect. 3, numerical example has been presented in Sect. 4, the graphical presentation of minimized total average cost and optimal ordering interval with respect to differential memory index and integral memory index have been done in Sect. 5 and finally, the article is ended with some conclusions of the work in Sect. 6.

### 1.1 A Subsection Sample

Please note that the first paragraph of a section or subsection is not indented. The first paragraphs that follow a table, figure, equation, etc. do not have an indent, either.

Subsequent paragraphs, however, are indented.

## 2 Classical Inventory Model

To develop the inventory models, the following assumptions and notations have been used. The assumptions are: (i) Lead time is zero. (ii) Time horizon is infinite. (iii) There is no shortage. (iv) Demand rate is assumed as cubic type, defined as  $D(t) = (a + bt + ct^2 + et^3)$ ,  $a \neq 0$ . (v) Holding cost is a function of time  $t$  as  $C_1t$  per unit (Table 1).

### 2.1 Classical Model Formulation

As per the considered assumptions, the inventory level depletes due to cubic-type demand rate  $(a + bt + ct^2 + et^3)$  during the time interval  $[0, T]$ , where shortage is not allowed. The rate of change of inventory level during the ordering interval  $[0, T]$  is governed by the following first-order differential equation as follows:

$$\frac{d(I(t))}{dt} = -(a + bt + ct^2 + et^3) \quad \text{for } 0 \leq t \leq T \quad (1)$$

With the boundary condition  $I(T) = 0$ . Here, we are not interested to analyze the classical inventory model, we are only interested in the corresponding fractional-order model to incorporate the memory effect. For that reason, we have discussed the fractional order inventory model in the next section.

**Table 1** Used symbols and items

Symbols	Items	Symbols	Items
(i) $C_3$	Ordering cost per order	(ix) $\gamma$	Salvage value per unit which is constant $\gamma < 1.0$
(ii) $C_{1t}$	Holding cost or carrying cost per unit	(x) $Q$	Total optimal order quantity
(iii) $HC_{\alpha,\beta}$	Total Inventory holding cost with fractional effect	(xi) $TC_{\alpha,\beta}$	Total cost with fractional effect
(iv) $SL_{\alpha,\beta}$	Total salvage value with fractional effect	(xii) $T_{\alpha,\beta}^*$	Optimal ordering interval with fractional effect
(v) $PC_{\alpha,\beta}$	Total purchasing cost with fractional effect	(xiii) $TC_{\alpha,\beta}^{av}$	Total average cost with fractional effect
(vi) $I(t)$	Inventory level at time $t$	(xiv) $B(., .)$	Beta function
(vii) $T$	Length of each ordering cycle	(xv) $TC_{\alpha,\beta}^*$	Minimized total average cost with fractional effect
(viii) $P$	Purchasing cost per unit	(xvi) $\Gamma(., .)$	Gamma function

### 3 Fractional-Order Inventory Model

To study the influence of memory effects, first, the differential Eq. (1) is written using the memory kernel function in the following form [24, 28, 30]:

$$\frac{dI(t)}{dt} = - \int k(t - t')(a + bt' + c(t')^2 + e(t')^3)dt' \tag{2}$$

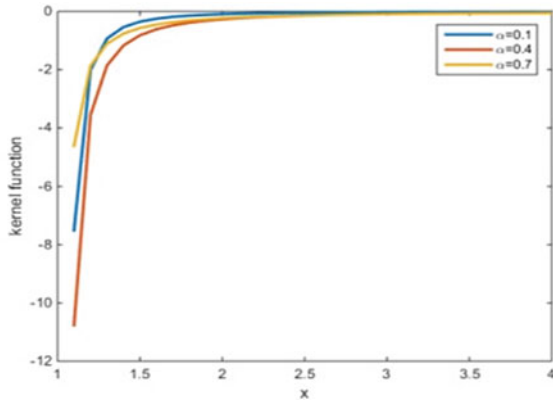
where  $k(t - t')$  plays the role of a time-dependent kernel. This type of kernel promises the existence of scaling features as it is often intrinsic in most natural phenomena. Thus, to generate the fractional-order model, we consider  $k(t - t') = \frac{1}{\Gamma(1-\alpha)}(t - t')^{\alpha-2}$ , where  $0 < \alpha \leq 1.0$ . Using the definition of fractional derivative [31], the differential Eq. (2) can be written in the form of fractional differential equations with the Caputo-type fractional-order derivative in the following form,:

$$\frac{dI(t)}{dt} = - {}_0D_t^{-(\alpha-1)}(a + bt + ct^2 + et^3) \tag{3}$$

Using the fractional Caputo-type derivative of order  $(\alpha - 1)$  on both sides of (3), and using inverse operators, the following fractional differential equations can be obtained for the inventory model.

$$\begin{aligned} & {}_0^C D_t^\alpha(I(t)) = -(a + bt + ct^2 + et^3) \quad \text{Or equivalently} \\ & \frac{d^\alpha(I(t))}{dt^\alpha} = -(a + bt + ct^2 + et^3), \quad 0 < \alpha \leq 1.0, \quad 0 \leq t \leq T \end{aligned} \tag{4}$$

**Fig. 1** Plot of memory kernel function  $(k(x - \xi) = \frac{(x-\xi)^{(\alpha-2)}}{\Gamma(1-\alpha)}, \text{ for } \xi = 3)$  for different values of  $\alpha$



With boundary conditions  $I(T) = 0, I(0) = Q$  (here,  $\alpha$  is considered as the differential memory index).

It is clear from the Fig. 1 that the pick of the curve gradually decreases depending on  $\alpha$ . Hence, memory strongly depends on the fractional order  $\alpha$ .

**Long and Short Memory Effect:** The strength of memory is controlled by the order of the fractional-order derivative and fractional-order integration. If the fractional-order index  $\alpha$  is in  $(0, 0.5]$ , then we define the system as the long memory affected system and for short memory effected system  $\alpha$  it is in  $(0.5, 1]$ .

### 3.1 Solution of the Fractional-Order Inventory Model

Here, we are going to solve the formulated fractional-order differential equation by accepting the differential Eq. (4) as a differential equation of fractional order instead of the linear order, i.e., we here consider that demand rate  $(a + bt + ct^2 + et^3)$  varies in fractional order say  $\alpha$ . The solution is discussed below

$$\frac{d^\alpha(I(t))}{dt^\alpha} = -(a + bt + ct^2 + et^3) \quad , \quad 0 < \alpha \leq 1.0, \quad 0 \leq t \leq T \text{ with } I(T) = 0 \tag{5}$$

where  $a$  is defined as the initial demand rate and  $b$  is the rate of change of the demand rate, and  $\alpha$  is defined as the differential memory index.

The solution to Eq. (5) is

$$I(t) = \left( \frac{a(T^\alpha - t^\alpha)}{\Gamma(1 + \alpha)} + \frac{b(T^{\alpha+1} - t^{\alpha+1})}{\Gamma(2 + \alpha)} + \frac{2c(T^{\alpha+2} - t^{\alpha+2})}{\Gamma(3 + \alpha)} + \frac{6e(T^{3+\alpha} - t^{3+\alpha})}{\Gamma(4 + \alpha)} \right) \tag{6}$$

The optimal order quantity  $Q$  is derived by putting  $t = 0$  in Eq. (6) as follows:

$$I(0) = \left( \frac{aT^\alpha}{\Gamma(1 + \alpha)} + \frac{bT^{\alpha+1}}{\Gamma(2 + \alpha)} + \frac{2cT^{\alpha+2}}{\Gamma(3 + \alpha)} + \frac{6eT^{3+\alpha}}{\Gamma(4 + \alpha)} \right) = Q \quad (7)$$

In reality, the holding cost of the inventory system increases gradually with respect to time. In this regard, the holding cost is assumed to be time dependent. If  $C_1 t$  is assumed as the holding cost per unit time, then the total fractional-order inventory holding cost is denoted by  $HC_{\alpha,\beta}$  and it is defined and developed in the following form as

$$\begin{aligned} HC_{\alpha,\beta}(T) &= C_1 D^{-\beta}(tI(t)) \\ &= \frac{C_1}{\Gamma(\beta)} \int_0^T (T-t)^{\beta-1} tI(t) dt \\ &= \frac{C_1}{\Gamma(\beta)} \int_0^T (T-t)^{\beta-1} t \left( \frac{a(T^\alpha - t^\alpha)}{\Gamma(1 + \alpha)} + \frac{b(T^{\alpha+1} - t^{\alpha+1})}{\Gamma(2 + \alpha)} + \frac{2c(T^{\alpha+2} - t^{\alpha+2})}{\Gamma(3 + \alpha)} + \frac{6e(T^{3+\alpha} - t^{3+\alpha})}{\Gamma(4 + \alpha)} \right) dt \\ &= \left( \frac{aC_1 T^{\alpha+\beta+1}}{\Gamma(\beta)\Gamma(1 + \alpha)} (B(2, \beta) - B(\alpha + 2, \beta)) + \frac{bC_1 T^{\alpha+\beta+2}}{\Gamma(\beta)\Gamma(2 + \alpha)} (B(2, \beta) - B(\alpha + 3, \beta)) \right. \\ &\quad \left. + \frac{2cC_1 T^{\alpha+\beta+3}}{\Gamma(\beta)\Gamma(3 + \alpha)} (B(2, \beta) - B(\alpha + 4, \beta)) + \frac{6eC_1 T^{\alpha+\beta+4}}{\Gamma(\beta)\Gamma(4 + \alpha)} (B(2, \beta) - B(\alpha + 5, \beta)) \right) \end{aligned} \quad (8)$$

where  $\beta$  is considered as the integral memory index.

We denote the total salvage value with fractional effect by  $SL_{\alpha,\beta}$  and derived in the following form as

$$\begin{aligned} SL_{\alpha,\beta} &= \gamma(Q - D^{-\beta}(a + bt + ct^2 + et^3)) \\ &= \gamma \left( Q - \frac{1}{\Gamma(\beta)} \int_0^T (T-t)^{\beta-1} (a + bt + ct^2 + et^3) dt \right) \\ &= \gamma \left( \left( \frac{aT^\alpha}{\Gamma(1 + \alpha)} + \frac{bT^{\alpha+1}}{\Gamma(2 + \alpha)} + \frac{2cT^{\alpha+2}}{\Gamma(3 + \alpha)} + \frac{6eT^{3+\alpha}}{\Gamma(4 + \alpha)} \right) \right. \\ &\quad \left. - \left( \frac{aT^\beta}{\Gamma(\beta + 1)} + \frac{bT^{\beta+1} B(2, \beta)}{\Gamma(\beta)} + \frac{cT^{\beta+2} B(3, \beta)}{\Gamma(\beta)} + \frac{eT^{\beta+3} B(4, \beta)}{\Gamma(\beta)} \right) \right) \end{aligned} \quad (9)$$

where  $\gamma$  is the salvage value per unit.

(when,  $\alpha = \beta = 1$ , there is no salvage value, i.e.,

$$SL_{1,1} = 0, \text{ but } SL_{\alpha,\beta} \neq 0 \text{ when } 0 < \alpha, \beta < 1.)$$

For the fractional rate of change of inventory level, salvage value exists.

Purchasing cost is



$$\begin{aligned}
 PC_{\alpha,\beta} &= \text{Purchasing cost per unit} \times \text{Total order quantity} \\
 &= P \left( \frac{aT^\alpha}{\Gamma(1+\alpha)} + \frac{bT^{\alpha+1}}{\Gamma(2+\alpha)} + \frac{2cT^{\alpha+2}}{\Gamma(3+\alpha)} + \frac{6eT^{3+\alpha}}{\Gamma(4+\alpha)} \right) \tag{10}
 \end{aligned}$$

Here, the total cost is denoted by  $TC_{\alpha,\beta}(T)$  and derived as follows:

$$TC_{\alpha,\beta}(T) = (HC_{\alpha,\beta} + PC_{\alpha,\beta} + C_3 - SL_{\alpha,\beta})$$

(here,  $HC_{\alpha,\beta}$  comes from (8) and  $PC_{\alpha,\beta}$  is substituted from the Eq. (10), and  $SL_{\alpha,\beta}$  comes from the Eq. (9)).

Now, the total average cost is denoted as  $TC_{\alpha,\beta}^{av}(T)$  and derived as

$$\begin{aligned}
 TC_{\alpha,\beta}^{av}(T) &= \frac{(HC_{\alpha,\beta} + PC_{\alpha,\beta} + C_3 - SL_{\alpha,\beta})}{T} \\
 &= \left( \frac{AT^{\alpha+\beta} + B_1T^{\alpha+\beta+1} + CT^{\alpha+\beta+2} + DT^{\alpha+\beta+3} + ET^{\alpha-1} + FT^\alpha + GT^{\alpha+1}}{+HT^{\alpha+2} + IT^{\beta-1} + JT^\beta + KT^{\beta+1} + LT^{\beta+2} + MT^{-1}} \right) \tag{11}
 \end{aligned}$$

where

$$\begin{aligned}
 A &= \frac{aC_1}{\Gamma(\beta)\Gamma(\alpha+1)} (B(2, \beta) - B(\alpha+2, \beta)), \\
 B_1 &= \frac{bC_1}{\Gamma(\beta)\Gamma(\alpha+2)} (B(2, \beta) - B(\alpha+3, \beta)), \\
 C &= \frac{2cC_1}{\Gamma(\beta)\Gamma(\alpha+3)} (B(2, \beta) - B(\alpha+4, \beta)), \\
 D &= \frac{6eC_1}{\Gamma(\beta)\Gamma(\alpha+4)} (B(2, \beta) - B(\alpha+5, \beta)), \\
 E &= \frac{a(P-\gamma)}{\Gamma(\alpha+1)}, F = \frac{b(P-\gamma)}{\Gamma(\alpha+2)}, G = \frac{2c(P-\gamma)}{\Gamma(\alpha+3)}, \\
 H &= \frac{6e(P-\gamma)}{\Gamma(\alpha+4)}, I = \frac{a\gamma}{\Gamma(\beta+1)}, J = \frac{b\gamma B(2, \beta)}{\Gamma(\beta)}, \\
 K &= \frac{c\gamma B(3, \beta)}{\Gamma(\beta)}, L = \frac{e\gamma B(4, \beta)}{\Gamma(\beta)}, M = C_3.
 \end{aligned}$$

Now, we consider four cases depending on the particular values of the memory indexes  $\alpha, \beta$  :

(i)  $0 < \alpha \leq 1.0, 0 < \beta \leq 1.0$ , (ii)  $0 < \alpha \leq 1.0, \beta = 1.0$ , (iii)  $0 < \beta \leq 1, \alpha = 1.0$ .

(i) **Case-1:** First, we consider the case when both memory exist i.e. rate of change of inventory level is fractional type and holding cost, salvage value is also of fractional order i.e.,  $0 < \alpha \leq 1.0, 0 < \beta \leq 1.0$ .

In this case, the inventory model can be written as follows:

$$\begin{cases} \text{Min } TC_{\alpha,\beta}^{av}(T) = \left( AT^{\alpha+\beta} + B_1T^{\alpha+\beta+1} + CT^{\alpha+\beta+2} + DT^{\alpha+\beta+3} + ET^{\alpha-1} + FT^{\alpha} + GT^{\alpha+1} \right. \\ \qquad \qquad \qquad \left. + HT^{\alpha+2} + IT^{\beta-1} + JT^{\beta} + KT^{\beta+1} + LT^{\beta+2} + MT^{-1} \right) \\ \text{Subject to } T \geq 0 \end{cases} \quad (12)$$

(a) **Primal Geometric Programming Method**

To solve (12), the primal geometric programming method has been used [24, 32, 33]. The dual form of (12) has been introduced using the dual variable ( $w$ ) for the corresponding primal geometric programming problem and it has been constructed in the following form. The dual form of the primal (12) can be written in the following form:

$$\begin{aligned} \text{Max } d(w) = & \left( \frac{A}{w_1} \right)^{w_1} \left( \frac{B_1}{w_2} \right)^{w_2} \left( \frac{C}{w_3} \right)^{w_3} \left( \frac{D}{w_4} \right)^{w_4} \left( \frac{E}{w_5} \right)^{w_5} \left( \frac{F}{w_6} \right)^{w_6} \left( \frac{G}{w_7} \right)^{w_7} \\ & \left( \frac{H}{w_8} \right)^{w_8} \left( \frac{I}{w_9} \right)^{w_9} \left( \frac{J}{w_{10}} \right)^{w_{10}} \left( \frac{K}{w_{11}} \right)^{w_{11}} \left( \frac{L}{w_{12}} \right)^{w_{12}} \left( \frac{M}{w_{13}} \right)^{w_{13}} \end{aligned} \quad (13)$$

(where dual variables are  $w_i \geq 0, i = 1, \dots, 13$ ).

Normalized condition is

$$w_1 + w_2 + w_3 + w_4 + w_5 + w_6 + w_7 + w_8 + w_9 + w_{10} + w_{11} + w_{12} + w_{13} = 1 \quad (14)$$

Orthogonal condition is

$$\begin{pmatrix} (\alpha + \beta)w_1 + (\alpha + \beta + 1)w_2 + (\alpha + \beta + 2)w_3 \\ + (\alpha + \beta + 3)w_4 + (\alpha - 1)w_5 \\ + (\alpha)w_6 + (\alpha + 1)w_7 + (\alpha + 2)w_8 + (\beta - 1)w_9 \\ + \beta w_{10} + (\beta + 1)w_{11} + (\beta + 2)w_{12} - w_{13} \end{pmatrix} = 0 \quad (15)$$

and the primal–dual relations are

$$\left. \begin{aligned} AT^{(\alpha+\beta)} &= w_1d(w), B_1T^{(\alpha+\beta+1)} = w_2d(w), \\ CT^{(\alpha+\beta+2)} &= w_3d(w), MT^{-1} = w_{13}d(w), \\ DT^{(\alpha+\beta+3)} &= w_4d(w), ET^{\alpha-1} = w_5d(w), \\ FT^{\alpha} &= w_6d(w), GT^{\alpha+1} = w_7d(w), \\ HT^{\alpha+2} &= w_8d(w), IT^{\beta-1} = w_9d(w), JT^{\beta} = w_{10}d(w), \\ KT^{\beta+1} &= w_{11}d(w), LT^{\beta+2} = w_{12}d(w) \end{aligned} \right\} \quad (16)$$

From the above primal–dual relations (16), we have derived the following equations:

$$\left. \begin{aligned} \frac{Aw_2}{B_1w_1} &= \left(\frac{B_1w_3}{Cw_2}\right), \frac{Cw_4}{Dw_3} = \frac{Aw_2}{B_1w_1}, \frac{Ew_4}{Dw_5} = \left(\frac{Aw_2}{B_1w_1}\right)^{(\beta+4)} \\ \left(\frac{Ew_6}{Fw_5}\right) &= \frac{Aw_2}{B_1w_1}, \left(\frac{Fw_7}{Gw_6}\right) = \left(\frac{Aw_2}{B_1w_1}\right), \\ \left(\frac{Gw_8}{Hw_7}\right) &= \left(\frac{Aw_2}{B_1w_1}\right), \left(\frac{Iw_8}{Hw_9}\right) = \left(\frac{Aw_2}{B_1w_1}\right)^{(\alpha+\beta+3)}, \\ \left(\frac{Iw_{11}}{kw_{10}}\right) &= \left(\frac{Aw_2}{B_1w_1}\right), \left(\frac{Iw_{10}}{Jw_9}\right) = \left(\frac{Aw_2}{B_1w_1}\right), \\ \left(\frac{Kw_{12}}{Lw_{11}}\right) &= \left(\frac{Aw_2}{B_1w_1}\right), \left(\frac{Mw_{12}}{Lw_{13}}\right) = \left(\frac{Aw_2}{B_1w_1}\right)^{(\beta+3)} \end{aligned} \right\} \quad (17)$$

Along with

$$T = \frac{Aw_2}{B_1w_1} \quad (18)$$

Solving the nonlinear Eqs. (14), (15) and (11) relations of (17), the critical values  $w_i^*$ ,  $i = 1, 2, 3, \dots, 13$  of the dual variable  $w_i$ ,  $i = 1, 2, 3, \dots, 13$  can be obtained. The optimum value  $T^*$  of  $T$  has been calculated from Eq. (18). Now, the minimized total average cost  $TC_{\alpha,\beta}^*$  has been calculated by substituting  $T_{\alpha,\beta}^*$  in (18) analytically. The minimized total average cost and optimal ordering interval are evaluated from (12) numerically using the MATLAB minimization process.

- (ii) **Case-2:** Next, we consider the rate of change of inventory level is fractional type and integration associated holding cost, salvage value are of integer order i.e.,  $0 < \alpha \leq 1.0, \beta = 1.0$ .

The total average cost is derived from (11) as

$$TC_{\alpha,1}^{av}(T) = \left( \begin{aligned} &AT^{\alpha+1} + B_1T^{\alpha+2} + CT^{\alpha+3} + DT^{\alpha+4} + ET^{\alpha-1} + FT^{\alpha} + GT^0 \\ &+ HT + IT^2 + JT^3 + KT^{-1} \end{aligned} \right) \quad (19)$$

In this case, the inventory model can be written in the following form:

$$\left\{ \begin{aligned} \text{Min} TC_{\alpha,1}^{av}(T) &= \left( \begin{aligned} &AT^{\alpha+1} + B_1T^{\alpha+2} + CT^{\alpha+3} + DT^{\alpha+4} + ET^{\alpha-1} + FT^{\alpha} \\ &+ GT^0 + HT + IT^2 + JT^3 + KT^{-1} \end{aligned} \right) \\ \text{Subject to } T &\geq 0 \end{aligned} \right. \quad (20)$$

$$\begin{aligned} \text{where, } A &= \frac{aC_1}{\Gamma(1)\Gamma(\alpha + 1)}(B(2, 1) - B(\alpha + 2, 1)) + \frac{2c(P - \gamma)}{\Gamma(\alpha + 3)}, \\ J &= \frac{eB(4, 1)\gamma}{\Gamma(1)}, I = \frac{cB(3, 1)\gamma}{\Gamma(1)}, K = C_3 \\ B_1 &= \frac{bC_1}{\Gamma(1)\Gamma(\alpha + 2)}(B(2, 1) - B(\alpha + 3, 1)) + \frac{6e(P - \gamma)}{\Gamma(\alpha + 4)}, \\ C &= \frac{2cC_1}{\Gamma(1)\Gamma(\alpha + 3)}(B(2, 1) - B(\alpha + 4, 1)), \\ D &= \frac{6eC_1}{\Gamma(1)\Gamma(\alpha + 4)}(B(2, 1) - B(\alpha + 5, 1)), \\ E &= \frac{(P - \gamma)a}{\Gamma(\alpha + 1)}, F = \frac{(P - \gamma)b}{\Gamma(\alpha + 2)}, G = \frac{a\gamma}{\Gamma(2)}, H = b\gamma B(2, 1). \end{aligned}$$

In a similar way to Case-1, the minimized total average cost and the optimal ordering interval have been calculated from (20).

(iii) **Case-3:** Now, we consider rate of change of inventory level is integer type but integral memory index is fractional type i.e.,  $0 < \beta \leq 1, \alpha = 1.0$ .

Hence, the total average cost becomes as follows:

$$TC_{1,\beta}^{av}(T) = \left( AT^{\beta+1} + B_1T^{\beta+2} + CT^{\beta+3} + DT^{\beta+4} + ET^0 + FT + GT^2 \right) + HT^3 + IT^{\beta-1} + JT^\beta + KT^{-1} \tag{21}$$

where

$$\begin{aligned} A &= \frac{aC_1}{\Gamma(\beta)\Gamma(2)}(B(2, \beta) - B(3, \beta)) + \frac{cB(3, \beta)\gamma}{\Gamma(\beta)}, \\ B_1 &= \frac{bC_1}{\Gamma(\beta)\Gamma(3)}(B(2, \beta) - B(4, \beta)) + \frac{eB(4, \beta)\gamma}{\Gamma(\beta)} \\ C &= \frac{2cC_1}{\Gamma(\beta)\Gamma(4)}(B(2, \beta) - B(5, \beta)), \\ D &= \frac{6eC_1}{\Gamma(\beta)\Gamma(5)}(B(2, \beta) - B(6, \beta)), H = \frac{6e(P - \gamma)}{\Gamma(5)}, \\ I &= \frac{a\gamma}{\Gamma(\beta + 1)}, E = \frac{(P - \gamma)a}{\Gamma(2)}, F = \frac{(P - \gamma)b}{\Gamma(3)}, \\ G &= \frac{2c(P - \gamma)}{\Gamma(4)}, J = \frac{bB(2, \beta)\gamma}{\Gamma(\beta)}, K = C_3 \end{aligned}$$

Therefore, the inventory model is

$$\begin{cases} \text{Min}TC_{1,\beta}^{av}(T) = \left( AT^{\beta+1} + B_1T^{\beta+2} + CT^{\beta+3} + DT^{\beta+4} + ET^0 + FT + GT^2 \right) \\ \quad + HT^3 + IT^{\beta-1} + JT^\beta + MT^{-1} \\ \text{Subject to } T \geq 0 \end{cases} \tag{22}$$

In a similar way as case-1, the minimized total average cost and optimal ordering interval have been calculated from (22).

### 4 Numerical Example

To investigate the memory effect on the total average cost and the optimal ordering interval, we consider the parameters as given in Table 2 in proper units.

In Table 3, we have presented the optimal ordering interval ( $T_{\alpha,\beta}^*$ ) and the minimized total average cost  $TC_{\alpha,\beta}^*$  for  $\alpha$  is in the range of (0, 1] and  $\beta = 1.0$ .

It is clear from Table 3 that, for gradually increasing differential memory effect, the minimized total average cost and the optimal ordering interval are gradually increasing. Hence, when the system has long past experiences, profit is low compared to the short memory effect or memory less system. Hence, here business policy was not good for the businessman.

**Table 2** The numerical values of the parameters

<i>a</i>	<i>b</i>	<i>c</i>	<i>e</i>	<i>C</i> <sub>1</sub>	<i>C</i> <sub>3</sub>	<i>P</i>	<i>γ</i>
40	20	10	5	15	100	100	0.1

**Table 3** Minimized total average cost and optimal ordering interval for  $0 < \alpha \leq 1.0, \beta = 1.0, a > b, \gamma = 0.1$

$\alpha$	$\beta$	$T_{\alpha,\beta}^*$	$TC_{\alpha,\beta}^*$
0.1	1.0	1.1967	$7.5513 \times 10^3$
0.2	1.0	1.1510	$7.5369 \times 10^3$
0.3	1.0	1.0945	$7.4652 \times 10^3$
0.4	1.0	1.0259	$7.3290 \times 10^3$
0.5	1.0	0.9435	$7.1209 \times 10^3$
0.6	1.0	0.8448	$6.8324 \times 10^3$
0.7	1.0	0.7269	$6.4543 \times 10^3$
0.8 ↑(growing memory effect)	1.0	0.5868	$5.9749 \times 10^3$
0.9	1.0	0.4275	$5.3814 \times 10^3$ ↑(increasing)
1.0	1.0	0.2793 ↑(increasing)	$4.6748 \times 10^3$

**Table 4** Minimized total average cost and the optimal ordering interval for  $0 < \alpha \leq 1.0, \beta = 1.0, \gamma = 0.5, a > b$

$\alpha$	$\beta$	$T_{\alpha,\beta}^*$	$TC_{\alpha,\beta}^*$
0.1	1.0	1.1950	$7.5458 \times 10^3$
0.2	1.0	1.1493	$7.5310 \times 10^3$
0.3	1.0	1.0928	$7.4590 \times 10^3$
0.4	1.0	1.0243	$7.3228 \times 10^3$
0.5	1.0	0.9420	$7.1147 \times 10^3$
0.6	1.0	0.8434	$6.8266 \times 10^3$
0.7	1.0	0.7256	$6.4491 \times 10^3$
0.8 ↑ (growing memory effect)	1.0	0.5858	$5.9708 \times 10^3$
0.9	1.0	0.4269 ↑ (increasing)	$5.3789 \times 10^3$ ↑ (increasing)
1.0	1.0	0.2793	$4.6748 \times 10^3$

(b) Now, increase the salvage value per unit from  $\gamma = 0.1$  to  $\gamma = 0.5$ , and rests of the parameters are remaining same. The optimal ordering interval  $T_{\alpha,\beta}^*$  and minimized total average cost  $TC_{\alpha,\beta}^*$  for these values of the parameter are presented in the Table 4.

It is found in Table 4 that for considering high salvage value (here  $\gamma = 0.5$ ), the minimized total average cost is low compared to the low salvage value system. Hence, for high salvage value, profit is more compared to the low salvage value.

(c) Next, we consider the case when the quality of the product is high, high acceptance by the customer then demand rate at any time will be greater than the initial demand. For numerical testing purpose we consider  $a = 20, b = 40, b > a$  and rests of the parameters are remaining same as the numerical example in the Table 2.

In comparison of Tables 4 and 5, we observe that if the rate of change of initial demand > initial demand, profit is high compared to the case initial demand > rate of change of the initial demand. These mathematical results conclude the practical situation of the market. In practice, if the rate of change of the initial demand is more compared to the initial demand that means the selling rate is increasing due to the businessman’s good business policy or good product quality.

From Fig. 2, we see that rate of change of inventory level is different and with memory effect gives a qualitatively different result from without a memory effect.

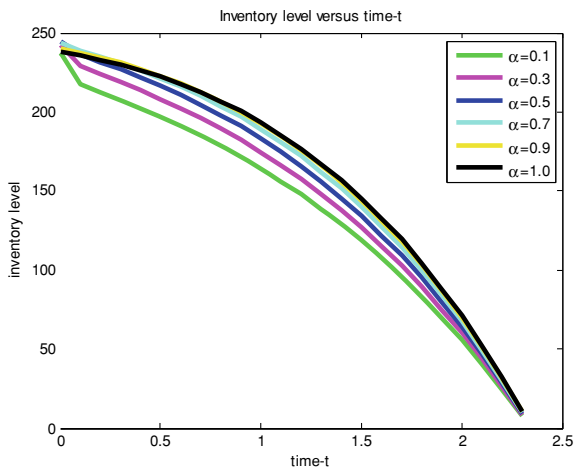
Now, we shall draw the scatter diagram, bar diagram, pie diagram of the optimal ordering interval  $T_{\alpha,\beta}^*$  and minimized total average cost  $TC_{\alpha,\beta}^*$  with respect to differential memory index for (i) initial demand > rate of change of initial demand and opposite case and (ii) for different salvage values, here we consider  $\gamma = 0.1, \gamma = 0.5$ .

It is clear from Fig. 3a that if the initial demand rate(a) is greater than the initial rate of change of initial demand rate (i.e.,  $a > b$ ) then the minimized total average

**Table 5** Minimized total average cost and the optimal ordering interval for  $0 < \alpha \leq 1.0, \beta = 1.0, b > a, \gamma = 0.1$

$\alpha$	$\beta$	$T_{\alpha,\beta}^*$	$TC_{\alpha,\beta}^*$
0.1	1.0	0.8779	$7.4899 \times 10^3$
0.2	1.0	0.8003	$7.162 \times 10^3$
0.3	1.0	0.7186	$6.7685 \times 10^3$
0.4	1.0	0.6335	$6.3111 \times 10^3$
0.5	1.0	0.5466	$5.7967 \times 10^3$
0.6	1.0	0.4604	$5.2353 \times 10^3$
0.7	1.0	0.3787	$4.6418 \times 10^3$
0.8 ↑ (growing memory effect)	1.0	0.3067	$4.0376 \times 10^3$
0.9	1.0	0.2507	$3.4515 \times 10^3$ ↑ (increasing)
1.0	1.0	0.2136	$2.9148 \times 10^3$

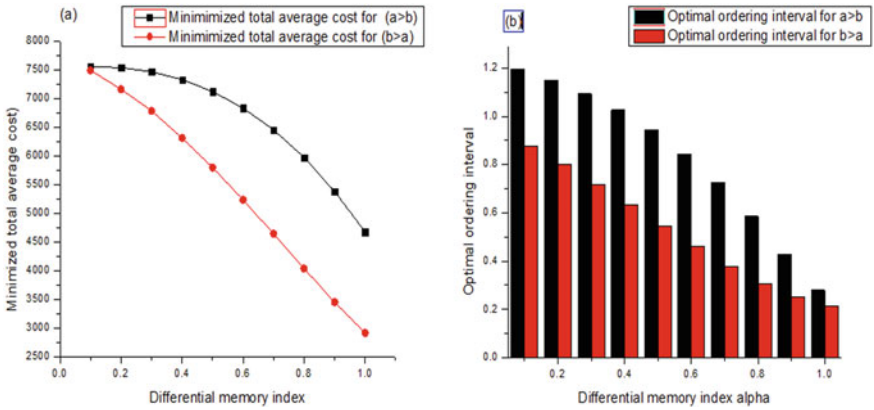
**Fig. 2** Inventory level versus time-t for different values of differential memory index



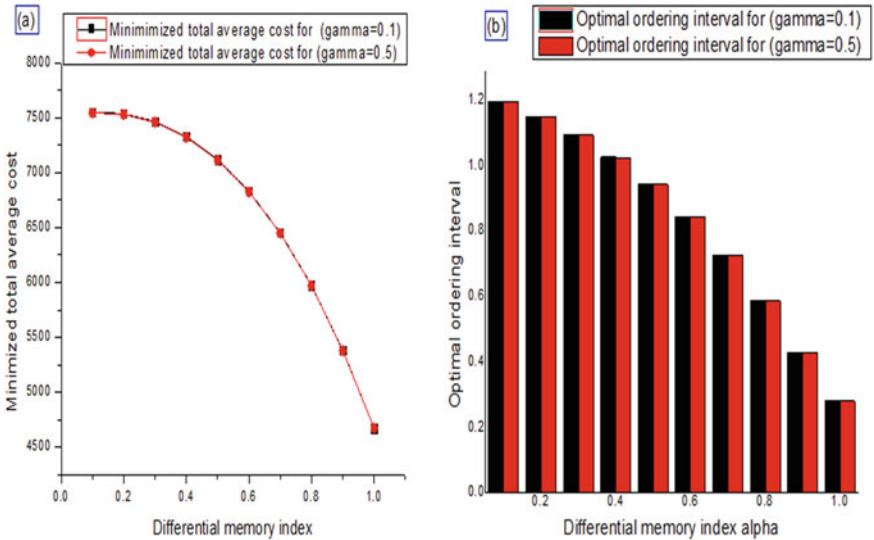
cost values are higher compared to the opposite case (i.e.,  $b > a$ ) and this difference increases with the decreasing memory effect.

From the bar diagram Fig. 3b, it is clear that the length of the optimal ordering interval is much high for the case  $a > b$ , i.e., (initial demand rate  $>$  rate of change of the initial demand rate) compared to the case of  $b > a$ . Hence, when  $a > b$ , the business takes a long time to reach the minimum value of the total average cost. The difference in the length of the optimal ordering interval for  $a > b$  and  $b > a$  gradually decreases with the gradually decreasing value of the memory effect.

From Fig. 4a, it is clear that for high salvage ( $\gamma = 0.5$ ) value minimized total average cost value is low compared to the low salvage value (here  $\gamma = 0.1$ ).



**Fig. 3** Scatter diagram and bar diagram for the minimized total average cost for  $a > b$  and  $b > a$  and  $b$  optimal ordering interval with respect to the differential memory index ( $\alpha$ )



**Fig. 4** a Scatter diagram of the minimized total average cost for  $\gamma = 0.5, \gamma = 0.1$ . b Bar diagram of the optimal ordering interval  $\gamma = 0.5, \gamma = 0.1$

From Fig. 4b, it is clear that the difference in the optimal ordering interval for  $\gamma = 0.5$ , and  $\gamma = 0.1$  gradually increases with a gradually decreasing memory effect.

From Fig. 5a–d, it is clear that the inventory holding cost is gradually decreasing for the gradually increasing memory effect.



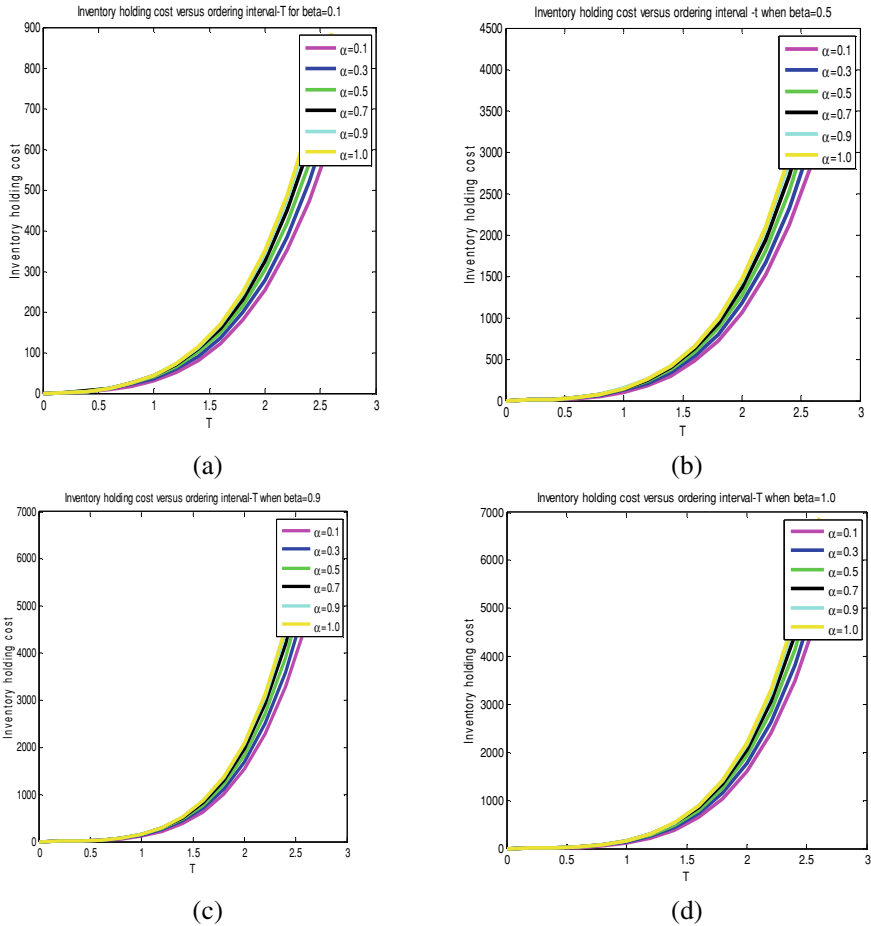


Fig. 5 a–d ordering interval versus Inventory holding cost versus for different values of  $\alpha, \beta$

### 5 Sensitivity Analysis

In this section, we present the sensitivity analysis of the optimal ordering interval and the minimized total average cost with respect to increase or decrease of the values of the parameters  $a, b, c, e, C_1, C_3, P, \gamma$  as +50%, +25%, +20%, +10%, -10%, -20%, -25%, -50% using the numerical example as defined in the Table 2.

In our considered problem, there are a large number of parameters (Table 6). From the sensitivity analysis, it is clear that two parameters  $P, a$  are the critical parameters for the market studies because changes in the minimized total average cost and optimal ordering interval have significant differences corresponding to changes in the parameters  $P, a$ .

**Table 6** Effects of changing parameters on the optimal ordering interval and the minimized total average cost where ( $\alpha = 0.1, \beta = 1.0$ )

Parameter	Percentage (%)	$T_{\alpha,\beta}^*$	$TC_{\alpha,\beta}^*$	Parameter	Percentage (%)	$T_{\alpha,\beta}^*$	$TC_{\alpha,\beta}^*$
<i>a</i>	+50(60)	1.3993	$9.3745 \times 10^3$	$C_1$	+50(22.5)	1.1843	$7.8156 \times 10^3$
	+25(50)	1.3155	$8.5709 \times 10^3$		+25(18.75)	1.2009 ↑	$7.7672 \times 10^3$
	+20(48)	1.2974	$8.4046 \times 10^3$		+20(18)	1.2044	$7.7573 \times 10^3$
	+10(44)	1.2594	$8.0655 \times 10^3$		+10(16.5)	1.2116	$7.7373 \times 10^3$
	-10(36)	1.1757	$7.3575 \times 10^3$		-10(13.5)	1.2267	$7.6962 \times 10^3$
	-20(32)	1.1291	$6.9857 \times 10^3$		-20(12)	1.2347	$7.6751 \times 10^3$
	-25(30)	1.1043	$7.8156 \times 10^3$		-25(11.25)	1.2388	$7.6644 \times 10^3$
	-50(20)	0.9610	$7.8156 \times 10^3$		-50(7.5)	1.2607	$7.6093 \times 10^3$
<i>b</i>	+50(30)	1.1956	$8.7280 \times 10^3$	$C_3$	+50(150)	1.2024	$7.5930 \times 10^3$
	+25(25)	1.2072	$8.2229 \times 10^3$		+ 25(125)	1.1996 ↓	$7.5722 \times 10^3$
	+20(24)	1.2095	$8.1218 \times 10^3$		+20(120)	1.1990	$7.5680 \times 10^3$
	+10(22)	1.2142	$7.9194 \times 10^3$		+10(110)	1.1979	$7.5597 \times 10^3$
	-10(18)	1.2237	$7.5143 \times 10^3$		-10(90)	1.1956	$7.5430 \times 10^3$
	-20(16)	1.2286	$7.3115 \times 10^3$		-20(80)	1.1945	$7.5346 \times 10^3$
	-25(15)	1.2310	$7.2100 \times 10^3$		-25(75)	1.1939	$7.5304 \times 10^3$
	-50(10)	1.2432	$6.7023 \times 10^3$		-50(50)	1.910	$7.5094 \times 10^3$
<i>c</i>	+50(1.139)	1.1319	$8.2883 \times 10^3$	<i>P</i>	+50(150)	1.2188	$1.1186 \times 10^4$
	+25(1.1733)	1.1733	$8.0089 \times 10^3$		+25(125)	1.2096 ↓	$9.3692 \times 10^3$
	+20(1.1821)	1.1821	$7.9516 \times 10^3$		+20(120)	1.2074	$9.0057 \times 10^3$
	+10(1.2002)	1.2002	$7.8353 \times 10^3$		+10(110)	1.2025	$8.2786 \times 10^3$

(continued)

**Table 6** (continued)

	-10(1.2386)	1.2386	$7.5962 \times 10^3$		-10(90)	1.1900	$6.8238 \times 10^3$
	-20(1.2590)	1.2590	$7.4731 \times 10^3$		-20(80)	1.1820	$6.0960 \times 10^3$
	-25(1.2696)	1.2696	$7.4106 \times 10^3$		-25(75)	1.1773	$5.732 \times 10^3$
	-50(1.3259)	1.3259	$7.0883 \times 10^3$		-50(50)	1.1444	$3.9094 \times 10^3$
<i>e</i>	+50(7.5)	1.1302	$8.0435 \times 10^3$	$\gamma$	+50(0.15)	1.1965	$7.5506 \times 10^3$
	+25(6.25)	1.1709	$7.8870 \times 10^3$		+25(0.125)	1.1966	$7.5510 \times 10^3$
	+20(6)	1.1798 ↑	$7.8542 \times 10^3$		+20(0.12)	1.1967	$7.5511 \times 10^3$
	+10(5.5)	1.1987	$7.7868 \times 10^3$		+10(0.11)	1.1967	$7.5512 \times 10^3$
	-10(4.5)	1.2409	$7.6443 \times 10^3$		-10(0.09)	1.1968	$7.5515 \times 10^3$
	-20(4)	1.2648	$7.5686 \times 10^3$		-20(0.08)	1.1968	$7.5516 \times 10^3$
	-25(3.75)	1.2775	$7.5295 \times 10^3$		-25(0.075)	1.1939	$7.5423 \times 10^3$
	-50(2.5)	1.3517	$7.3191 \times 10^3$		-50(0.05)	1.1970	$7.5520 \times 10^3$

## 6 Conclusion

In the real markets, the salvage value of the inventory system has another impact. In this article, a memory-dependent inventory model has been developed with salvage value where shortages are not allowed. The model is developed analytically with the help of Caputo fractional-order derivative and Riemann–Liouville (R–L) fractional-order integration. The numerical example shows that corresponding to the differential memory index ( $\alpha$ ), the minimized total average cost gradually increases with the gradually increasing memory effect. Corresponding to the differential memory index  $\alpha$ , the minimized total average cost is high compared to the short memory effect. Hence, in the long memory effect, the profit of the business is low compared to the short memory effect. A numerical example shows that profit becomes high corresponding to the high salvage value compared to a low salvage value. Hence, we were able to establish the memory dependency in this model using fractional calculus. Actually, salvage value is considered when deteriorating items are included in the classical inventory model. Salvage value has appeared for the fractional rate of change of inventory level but salvage value generally is considered for deteriorating item-related integer-order inventory model, where the deteriorating effect has been

included. For considering fractional effect in the inventory model with no deteriorating items, salvage value becomes nonzero. An important observation has been found which cracks the real market studies. It is found that the system (where the rate of change of initial demand rate  $>$  initial demand rate), gains more profit compared to the opposite case for long memory effect as well as the low memory effect. For future research work, the model may be extended within cooperating deterioration, stock-dependent consumption rate, inflation, and time value of money.

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# A Fuzzy Decomposable Approach for Posfust Reliability Evaluation of a Repairable Substation Automation System



M. K. Sharma, Harendra Yadav, Lakshmi Narayan Mishra,  
and Vishnu Narayan Mishra

**Abstract** In this research paper, we developed a new method to investigate the posfust reliability of a substation automated system. Failure rates and repair rates of the system are key elements of an automated system. In our method, we considered the fuzzy failure and fuzzy repair rates in the context of possibility. In this approach, a decomposition method is applied to a normal and convex fuzzy set. The decomposed fuzzy number gives a more efficient result in the context of possibility. Decomposition of membership grade generates the nested intervals for failure as well as repair rate and plays an efficient role in the estimation of posfust reliability in the automated systems. A reduced state space is used for the computation of availability with the concept of an equivalent transition rate. The whole process is compared with the existing technique and proposed technique with the help of a numerical example.

**Keywords** Fuzzy set · Fuzzy decomposable sets · Posfust reliability · Repairable system · Automation

## 1 Introduction

Substation automated engineering product faces many problems in operation with the use of classical theories. There is a lack of accuracy in the evaluation of posfust reliability. On the one hand, to achieve reliable results for the integrated solution of the model, exact values for the parameters of the model should be grown in the current uncertain environment. But, in practice, however, these values can often not

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M. K. Sharma · H. Yadav

Department of Mathematics, C.C.S. University, Meerut 250004, India

L. N. Mishra

Department of Mathematics, School of Advanced Sciences, Vellore Institute of Technology, Vellore 632014, Tamil Nadu, India

V. N. Mishra (✉)

Department of Mathematics, Indira Gandhi National Tribal University, Lalpur, Amarkantak, Anuppur 484887, Madhya Pradesh, India

e-mail: [vishnunarayanmishra@gmail.com](mailto:vishnunarayanmishra@gmail.com)

be attained and the system reflects unusual behaviour due to a high degree of uncertainty. On the other hand, from a computational aspect, some of the parameters or the variables do not follow to take the deterministic or exact value and may deal in the haziness environment. So, we have to take into account fuzzy uncertainty in the measurement. The reliability has extensively been dealt with the probability and fuzzy set theory in various cases. It is a well-known and acceptable fact that a fuzzy perspective provides a variety of advantages concerning reliability issues. There are some variations, doubts and uncertainties associated with parameters or incorrect data values, which creates the difficulties in prediction and evaluation of reliability. The need for reliability came into effect in real-life problems at the time of World War second, thereafter concepts and theories on reliability were developed [1]. The study of reliability was a beginning in the form of a separate subject having multi-level components [2] and further this theory was extended. Mathematical models for reliability estimation were discussed [3] in the engineering discipline. The concepts of human reliability based on human nature/facts were presented by Dhillon [4] and the study of probabilistic reliability was given by Gnedenko et al. [5]. To remove the uncertainties, that exist in the data or parameters, fuzzy set theory was used and made the results free from existing errors. Zadeh [6] was the first man, who gave the concept of fuzzy logic. Fuzzy logic includes a function representing uncertainty. This function is known as the membership function. The idea given by Zadeh enlightens the fact that membership function is the key element in decision-making. Researchers have extended the concept in reliability theory and its applications were given [7–9]. Fuzzy reliability was discussed by Singer [10], which is based on the fault tree method. Cai [11] provided the fuzzy reliability theory, which is the combination of traditional and fuzzy probability set theory to present different forms of reliability. Possibility theory is a good alternative to get the goal of reliability in various complex systems. Zadeh [12] suggested the possibility in the context of fuzzy sets, which are based on the bodies of evidence. Possibility theory is able to control the vagueness, uncertainty, and variation in data due to its belief measure and necessity measure. Decision-making processes are based on a single objective, such as minimizing cost, maximizing profit, or minimizing the run time. However, a decision must be made in an environment where the problem including more than one objective and the relative value of each objective in different modes of possibility. Inuiguchi et al. [13] proposed an efficient method and decision-making under the uncertainty investigated using belief measures and necessity measures based on utility function with a set of axioms [14]. Using fuzzy state and probability assumptions, Pandey and Tyagi [15] investigated the posfust reliability of a gracefully degraded system. Chen et al. [16] gave new possibility measures and necessity measures and also discussed the coincidence of universal integrals for these measures. In the context of networking, substation automation systems (SASs) dealing with smart grids (SGs) have been taken into account under consideration [17, 18]. The concept of reliability has been used widely in various problems. The evaluation of reliability using a non-normal t-norm triangular fuzzy number was done [19]. The reliability of SAS is primarily considered and many related aspects such as reliability, availability of SASs were discussed from an architectural point of view [20–22]. A new method was used to



obtain the reliability based on the fuzzy Markov model [23, 24]. The secure operation of power systems was discussed in [25]. For a repairable SAS, the failure rate/repair rate of contributing components make a set of quantitative variables, which is known as reliability data. Structuring of a system and construction of its objective function based on the Markov process, reliability block diagram, and universal generating functions have been discussed in [26–28]. Methods based on fuzzy logic are getting more importance in the reliability investigation due to their ability for working in haziness environment and providing accurate results. A mathematical structure in the context of probability was given [29] to get fuzzy reliability and an analysis of fuzzy reliability with censored data was discussed [30]. In absence of rich data, the accuracy is replaced by uncertainty automatically. Modelling of reliability based on a fuzzy set of PMUs was investigated [31]. Focusing on the ageing factor, reliability and maintainability improvements were done [32]. Fuzzy reliability investigating the transmission of power systems using a hybrid Monte Carlo method was presented in [33]. In the context of failure rate merit, a model was provided to find the fuzzy reliability [34] and a qualitative investigation based on the fault tree approach was done by Purba et al. [35] to find the fuzzy reliability. The reliability of an automation system with fuzzy data was investigated [36]. To solve the computational problems and get straightforward results, standard fuzzy arithmetic helps in finding the overestimated results, which have no more significance [37, 38]. Uncertainties with multicomponent systems were discussed from the reliability point of view using fuzzy arithmetic [39, 40]. Fuzzy transformation method in the substation protection method was used to investigate the reliability [41].

The work done in this article is arranged into eight sections. Related definitions, the part of this research work is given in Section two. The mathematical formulation of decomposed fuzzy numbers is presented in Section three. Section four describes the mathematical formulation of equivalent transition rate in terms of possibility. Possibility measure and necessity measure are used as the lower and upper range of failure/repair rate for the possible interval of uncertainty and formulation is given in Sect. 5. We described the algorithm for the availability and reliability estimation using the possibilistic approach for failure/repair rate estimation. In Sect. 6, the diagram of the substation automation system with reliability block diagram is given and Sect. 7 presents the numerical computation and interpretation of the results are discussed. Section 8 contains the conclusion of the work done in this article.

## 2 Basic Definitions

### 2.1 Fuzzy Set

A fuzzy set  $\tilde{A}$  subset of a universal set  $U$  is important due to its membership function. Fuzzy set deals with the help of its membership function, which maps every member of the universal set into the unit interval  $[0,1]$ . Mathematically, a fuzzy set can be

written as

$$\tilde{A} = \{(x, \mu_{\tilde{A}}(x)) : x \in U\}$$

and membership function is given as

$$\mu_{\tilde{A}}(x) : U \rightarrow [0, 1].$$

## 2.2 Reliability

Reliability  $R(t)$  of a product or a system is the measure of its life without failure from the goal for which it is developed/invented. Such a system, which gives satisfactory performance for a specified time  $t$  with some already fix conditions, is said to be reliable and this property is called reliability or probability of successful operation. Mathematically, it can be written as

$$R(t) = \Pr\{T > t\} = \int_t^{\infty} f(x)dx$$

And  $f(x)$  is the probability density function.

## 2.3 Availability

Availability  $A(t)$  is the function of time. It describes the behaviour of the system or equipment. Availability is also known as the probability of the system that it is performing at time  $t$  on its availability during operation. Failure rate and repair rate are the main factors affecting it. The long-term availability for a single component given by the relation

$$A(t) = A(\infty) = \frac{\mu}{\mu + \lambda}$$

where  $\mu$  and  $\lambda$  are the repair rate and failure rate of the system, respectively.

### 3 Decomposed Fuzzy Number

In this method, a fuzzy number is divided into  $\alpha$ -cuts. The concept of decomposed fuzzy number [39] is based on the decomposition theorem for regular, one-dimensional fuzzy sets and says that each fuzzy set  $\tilde{A}$  can uniquely be expressed by its sequence of  $cut_\alpha(\tilde{A})$  as given below.

$$\mu_{\tilde{A}}(x) = \sup_{\alpha \in [0,1]} \alpha \mu_{cut_\alpha \tilde{A}}(x)$$

where  $\mu_{\tilde{A}}(x)$  is the membership function and for a fuzzy number  $\tilde{t}$  it can be written as;

$$\mu_{\tilde{t}}(x) = \sup_{\alpha \in [0,1]} \alpha \mu_{cut_\alpha \tilde{t}}(x)$$

#### 3.1 Methodology

For its procedural aspect, we divide the interval  $[0, 1]$  (membership axis) into  $r$  intervals of length.

$$\Delta\mu = \frac{1}{r},$$

$$\text{And } \mu_k = \frac{k}{r}, k = 0, 1, \dots, r$$

$$\text{With the condition } \mu_0 = 0, \mu_r = 1,$$

$$\mu_{k+1} = \mu_k + \Delta\mu, k = 0, 1, \dots, r - 1.$$

And  $r$  is called the decomposition number, which defines the degree of refinement of decomposition. Fuzzy number  $\tilde{t}$  in its decomposed form can be written as

$$D = \{Y^{(0)}, Y^{(1)} \dots Y^{(r)}\}$$

where  $Y^{(k)} = [a^{(k)}, b^{(k)}] = cut_\mu(\tilde{t}), a^{(k)} \leq b^{(k)}, k = 0, 1, \dots, r.$

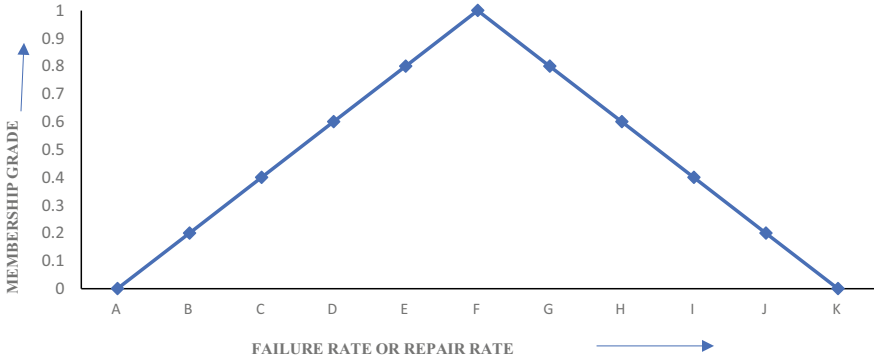
Let a triangular fuzzy number  $\tilde{t}$  be decomposed into  $r = K$  intervals as shown in Fig. 1. It means the membership axis has K intervals and another axis represents the failure rate or repair rate of a system. Then corresponding to these intervals, we get nested intervals for failure/repair rate and in such conditions, it is convenient to use possibility theory.

Here  $Y^{(0)}, Y^{(1)}, Y^{(2)}, Y^{(3)}$  and  $Y^{(4)} \in \tilde{t}$  are the nested intervals.

Focal elements or  $\alpha$ -cuts for this triangular fuzzy number can be written as

$$Y^{(0)} = \{F\} = [F]$$

$$Y^{(1)} = \{E, F, G\} = [E, G]$$



**Fig. 1** Triangular fuzzy number

$$Y^{(2)} = \{D, E, F, G, H\} = [D, H]$$

$$Y^{(3)} = \{C, D, E, F, G, H, I\} = [C, I]$$

$$Y^{(4)} = \{B, C, D, E, F, G, H, I, J\} = [B, J]$$

And membership function for the above figure is as follows

$$\mu_i(x) = \begin{cases} \frac{x-A}{F-A} & A < x \leq F \\ \frac{K-x}{K-F} & F \leq x < K \\ 0 & x \leq A, x \geq K \end{cases}$$

To find the possibility measure and necessity measure, the membership grades will be used corresponding to  $\{A, B, \dots, J, K\}$ , which is used for failure/repair rate values and let grades be given by  $\{x_0, x_1, \dots, x_9, x_{10}\}$ .

Now the possibility measure is calculated as follows:

$$poss(Y^{(0)}) = \max\{x_5\}$$

$$poss(Y^{(1)}) = \max\{x_4, x_5, x_6\}$$

$$poss(Y^{(2)}) = \max\{x_3, x_4, x_5, x_6, x_7\}$$

$$poss(Y^{(3)}) = \max\{x_2, x_3, x_4, x_5, x_6, x_7, x_8\}$$

$$poss(Y^{(4)}) = \max\{x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9\}$$

Now, let the compliment of above possibility measures be given by  $poss(\bar{Y}^{(0)})$ ,  $poss(\bar{Y}^{(1)})$ ,  $poss(\bar{Y}^{(2)})$ ,  $poss(\bar{Y}^{(3)})$  and,  $poss(\bar{Y}^{(4)})$ .

$$poss(\bar{Y}^{(0)}) = \max\{x_0, x_1, x_2, x_3, x_4, x_6, x_7, x_8, x_9, x_{10}\}$$

$$poss(\bar{Y}^{(1)}) = \max\{x_0, x_1, x_2, x_3, x_7, x_8, x_9, x_{10}\}$$

$$poss(\bar{Y}^{(2)}) = \max\{x_0, x_1, x_2, x_8, x_9, x_{10}\}$$

$$poss(\bar{Y}^{(3)}) = \max\{x_0, x_1, x_9, x_{10}\}$$

$$poss(\bar{Y}^{(4)}) = \max\{x_0, x_{10}\}$$

Now, we need to evaluate the necessity measure using the relation as follows:

$$nec(Y^{(0)}) = 1 - poss(\bar{Y}^{(0)})$$

$$nec(Y^{(1)}) = 1 - poss(\bar{Y}^{(1)})$$

$$nec(Y^{(2)}) = 1 - poss(\bar{Y}^{(2)})$$

$$nec(Y^{(3)}) = 1 - poss(\bar{Y}^{(3)})$$

$$nec(Y^{(4)}) = 1 - poss(\bar{Y}^{(4)})$$

## 4 Mathematical Formulation for Fuzzy Reliability and Availability

The mathematical formulation of reliability and availability is discussed in this section.

### 4.1 Equivalent Transition Rate

It is assumed that components are independent and components of the same type have the same reliability. Failure rate and repair rate are considered fuzzy. A system having N components connected in series, then failure rate, repair rate, and possibility of successful operation is given as [21]

$$\lambda_{ETFR}^{poss} = \lambda_1 + \lambda_2 + \dots + \lambda_N,$$

$$\mu_{ETRR}^{poss} = \frac{\mu_1\mu_2 \dots \mu_N(\lambda_1 + \lambda_2 + \dots \lambda_N)}{(\mu_1 + \lambda_1) \dots (\mu_N + \lambda_N) - \mu_1\mu_2 \dots \mu_N}$$

$$Poss_S = \frac{\mu_1\mu_2 \dots \mu_N}{(\mu_1 + \lambda_1) \dots (\mu_N + \lambda_N)}$$

And for parallel connection it can be given as

$$\mu_{ETRR}^{poss} = \mu_1 + \mu_2 + \dots C_N$$

$$\lambda_{ETFR}^{poss} = \frac{\lambda_1\lambda_2 \dots \lambda_N(\mu_1 + \mu_2 + \dots \mu_N)}{(\mu_1 + \lambda_1) \dots (\mu_N + \lambda_N) - \lambda_1\lambda_2 \dots \lambda_N}$$

$$Poss_S = 1 - \frac{\lambda_1\lambda_2 \dots \lambda_N}{(\mu_1 + \lambda_1) \dots (\mu_N + \lambda_N)}$$

### 4.2 Fuzzy Reliability

In this research work, the failure rate data fetched is exponentially distributed. Then the possibilistic reliability of the substation automated system is given by the relation  $poss^L = e^{-\lambda^L t}$  and  $poss^U = e^{-\lambda^U t}$ .

## 5 Proposed Algorithm for the Automated System

**Step 1:** First of all, failure/repair rate data is collected and then fuzzified into triangular fuzzy numbers. This value of failure/repair rate is taken to each component of the SAS system.

**Step 2:** The triangular fuzzy numbers obtained in step first of each component are decomposed by dividing the  $\mu$  axis into  $r = K$  intervals of equal length,  $K = 1, 2, \dots N$ .

**Step 3:** After decomposing the  $\mu$  axis, we have  $K + 1$  points, corresponding to these points (membership grades), we get the interval range for failure/repair rate.

**Step 4:** The interval ranges of each component obtained in step 3 are used as nested intervals for each  $\alpha$ -cuts and it is suitable to apply a possibilistic approach at this step.

**Step 5:** Possibility and necessity measures are calculated from the information provided in the previous step.

**Step 6:** Taking the mean value of the both of the measures (in the form of membership) of step 5, we again get interval ranges for each of the component

for failure/repair rate and this range presents the lower range (L) and upper range (U) for every individual rate.

**Step 7:**L and U ranges of failure/repair rates are used to get an equivalent transition rate for steady-state availability (possibility of successfully operating the system) of the SAS system (subsystem).

**Step 8:**Thus, the possibility of successfully working (availability) is obtained.

**Step 9:**Postfault reliability of the whole system is investigated according to the arrangement of the system’s components using an exponential distribution.

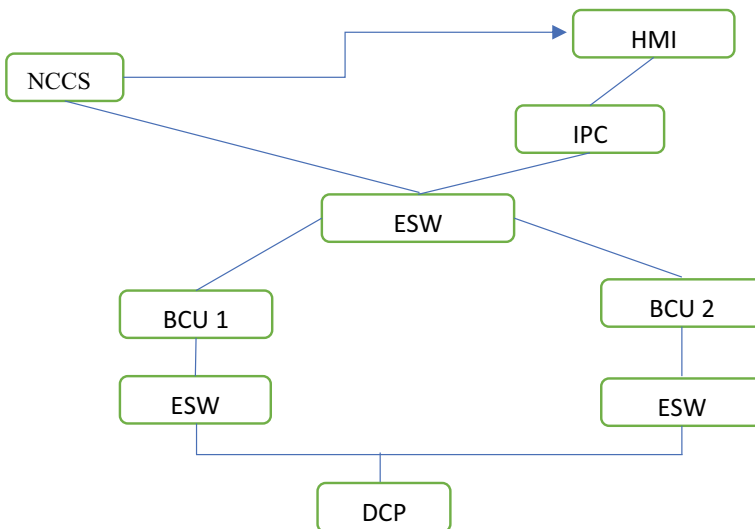
## 6 Diagram of Automated System

Various components used in our diagram of a substation automated system and that are connected in a certain manner are presented in Fig. 2. The arrangement of components is a matter of interest and it is important to get better reliable systems.

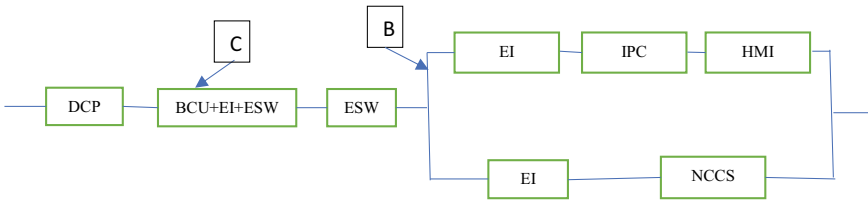
Notations used for components of the automation substation system are given below.

BCU	Bay control unit
DCP	DC Power
EI	Ethernet interface
IPC	Industrial personal computer

(continued)



**Fig. 2** Arrangement of the components of a substation automation system



**Fig. 3** Reliability block diagram for the structure of substation automation system

(continued)

BCU	Bay control unit
NCCS	Network control centre server
EWS	Ethernet switch
HMI	Human-machine interface

The reliability block diagram of the substation automation system is given in Fig. 3. Reliability evaluation is based on the minimal path set method of this block diagram.

## 7 Numerical Computations

The numerical data used in the proposed work is given in Table 1, which is showing the failure/repair rates of various components of the automation system [21].

**Table 1** Failure rate and repair rate of system components

Name of component	Failure rate per year	Repair rate per year
BCU	0.00966	52.14
DCP	0.00912	52.14
EI	0.00333	182.5
IPC	0.06993	52.14
NCCS	0.06993	52.14
EWS	0.08696	52.14
HMI	0.1	52.14



### 7.1 Failure/Repair Rate Estimation

A triangular fuzzy number for the component DCP is presented in Fig. 4 for failure rate and for repair rate in Fig. 5 using the data from Table 1.

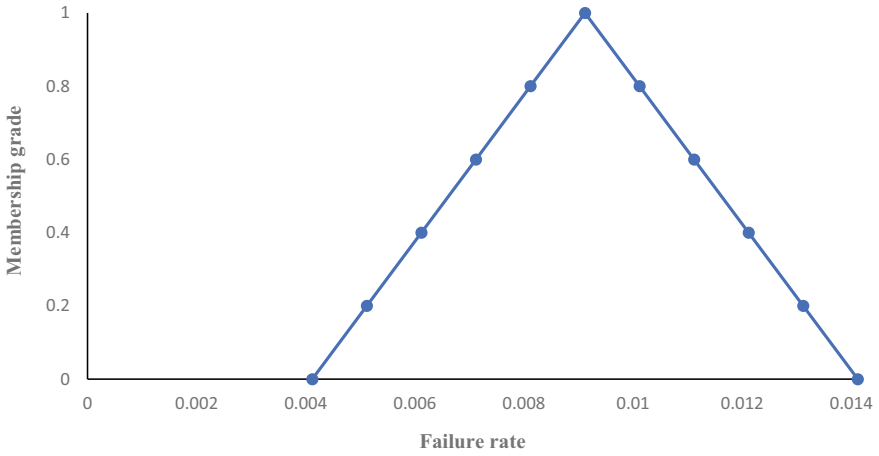


Fig. 4 Presentation of fuzzy failure rate for DCP

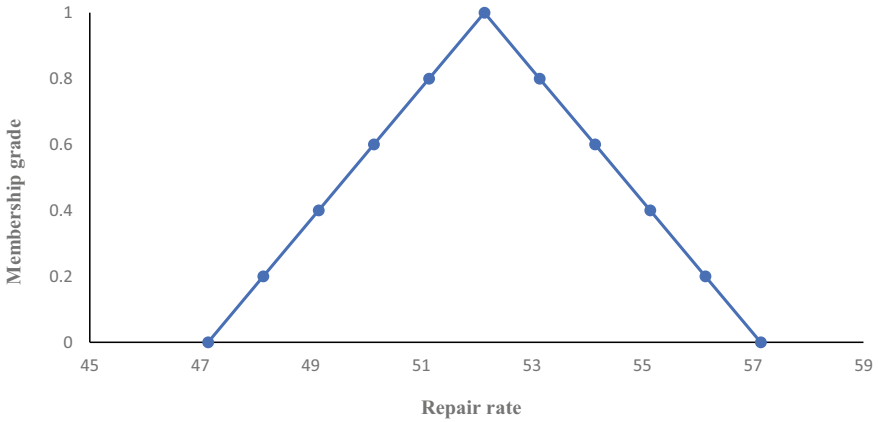


Fig. 5 Presentation of fuzzy repair rate for DCP

### 7.2 Evaluation of Possibility Measure and Necessity Measure

To decompose the triangular fuzzy number,  $r = 5$  is taken and it results in five intervals of equal length ( $1/5 = 0.2$ ) of the membership axis.

For the failure rate of the component DCP,

$$poss(Y^{(0)}) = 1$$

$$poss(Y^{(1)}) = 1$$

$$poss(Y^{(2)}) = 1$$

$$poss(Y^{(3)}) = 1$$

$$poss(Y^{(4)}) = 1$$

Compliments are given as

$$poss(\bar{Y}^{(0)}) = 4/5$$

$$poss(\bar{Y}^{(1)}) = 3/5$$

$$poss(\bar{Y}^{(2)}) = 2/5$$

$$poss(\bar{Y}^{(3)}) = 1/5$$

$$poss(\bar{Y}^{(4)}) = 0$$

Now, necessity measure is calculated as

$$nec(Y^{(0)}) = 1 - poss(\bar{Y}^{(0)}) = 1/5$$

$$nec(Y^{(1)}) = 1 - poss(\bar{Y}^{(1)}) = 2/5$$

$$nec(Y^{(2)}) = 1 - poss(\bar{Y}^{(2)}) = 3/5$$

$$nec(Y^{(3)}) = 1 - poss(\bar{Y}^{(3)}) = 4/5$$

To combine the possibility measure and necessity measure, the following expression is used.

The possible range of failure rate

$$= \frac{Max\{poss(Y^{(0)}), poss(Y^{(1)}), poss(Y^{(2)}), poss(Y^{(3)}), poss(Y^{(4)})\} + Min\{nec(Y^0), nec(Y^1), nec(Y^2), nec(Y^3), nec(Y^4)\}}{2}$$

**Table 2** Presenting the interval for failure rate and repair rate

Name of the component	Interval for failure rate	Interval for repair rate
BCU	(0.00766,0.01766)	(50.14,60.14)
DCP	(0.00712,0.001712)	(50.14,60.14)
EI	(0.00233,0.00733)	(180.5,190.5)
IPC	(0.04996,0.14996)	(50.14,60.14)
NCCS	(0.04996,0.14996)	(50.14,60.14)
EWS	(0.06696,0.16696)	(50.14,60.14)
HMI	(0.08,0.18)	(50.14,60.14)

$$= \frac{1 + 0.2}{2} = 0.6$$

Corresponding to the value of membership, we get an interval of the possibility of failure of the system. The possible interval of failure rate is (0.00712, 0.001712). Similarly, the possible interval of repair rate is (50.14, 60.14). The possible range of components of the system is given in Table 2.

Block B is divided into two blocks B<sub>1</sub> and B<sub>2</sub>. Block B<sub>1</sub> contains the components EI, IPC and HMI while B<sub>2</sub> contains EI and NCCS. Failure rate estimation for series components B<sub>1</sub>

$$\lambda_{B_1}^L = \lambda_{EI}^L + \lambda_{IPC}^L + \lambda_{HMI}^L = 0.00233 + 0.04993 + 0.08 = 0.13226$$

and

$$\lambda_{B_1}^U = \lambda_{EI}^U + \lambda_{IPC}^U + \lambda_{HMI}^U = 0.00733 + 0.14993 + 0.18 = 0.40323$$

Repair rate estimation for series components B<sub>1</sub>

$$\mu_{B_1}^L = \frac{\mu_{EI}^L \mu_{IPC}^L \mu_{HMI}^L (\lambda_{EI}^L + \lambda_{IPC}^L + \lambda_{HMI}^L)}{(\lambda_{EI}^L + \mu_{EI}^L)(\lambda_{IPC}^L + \mu_{IPC}^L)(\lambda_{HMI}^L + \mu_{HMI}^L) - \mu_{EI}^L \mu_{IPC}^L \mu_{HMI}^L} = 50.7694$$

$$\mu_{B_1}^U = \frac{\mu_{EI}^U \mu_{IPC}^U \mu_{HMI}^U (\lambda_{EI}^U + \lambda_{IPC}^U + \lambda_{HMI}^U)}{(\lambda_{EI}^U + \mu_{EI}^U)(\lambda_{IPC}^U + \mu_{IPC}^U)(\lambda_{HMI}^U + \mu_{HMI}^U) - \mu_{EI}^U \mu_{IPC}^U \mu_{HMI}^U} = 54.2315$$

Failure rate for B<sub>2</sub>

$$\lambda_{B_2}^L = \lambda_{EI}^L + \lambda_{NCCS}^L = 0.00233 + 0.04993 = 0.05226$$

$$\lambda_{B_2}^U = \lambda_{EI}^U + \lambda_{NCCS}^U = 0.15726$$

Repair rate for B<sub>2</sub>

$$\mu_{B2}^L = \frac{\mu_{EI}^L \mu_{NCCS}^L (\lambda_{EI}^L + \lambda_{NCCS}^L)}{(\lambda_{EI}^L + \mu_{EI}^L)(\lambda_{NCCS}^L + \mu_{NCCS}^L) - \mu_{EI}^L \mu_{NCCS}^L} = 51.9019$$

$$\mu_{B2}^U = \frac{\mu_{EI}^U \mu_{NCCS}^U (\lambda_{EI}^U + \lambda_{NCCS}^U)}{(\lambda_{EI}^U + \mu_{EI}^U)(\lambda_{NCCS}^U + \mu_{NCCS}^U) - \mu_{EI}^U \mu_{NCCS}^U} = 62.1353$$

Failure rate for C

$$\lambda_C^L = 2(\lambda_{BCU}^L + \lambda_{EI}^L + \lambda_{ESW}^L) = 2(0.00766 + 0.00233 + 0.06696) = 0.1539$$

$$\lambda_C^U = 2(\lambda_{BCU}^U + \lambda_{EI}^U + \lambda_{ESW}^U) = 2(0.01766 + 0.00733 + 0.16696) = 0.51584$$

Repair rate for C

$$\mu_C^L = \frac{(\mu_{BCU}^L)^2 (\mu_{EI}^L)^2 (\mu_{ESW}^L)^2 2(\lambda_{BCU}^L + \lambda_{EI}^L + \lambda_{ESW}^L)}{(\lambda_{BCU}^L + \mu_{BCU}^L)^2 (\lambda_{EI}^L + \mu_{EI}^L)^2 (\lambda_{ESW}^L + \mu_{ESW}^L)^2 - (\mu_{BCU}^L)^2 (\mu_{EI}^L)^2 (\mu_{ESW}^L)^2} = 51.2141$$

$$\mu_C^U = \frac{(\mu_{BCU}^U)^2 (\mu_{EI}^U)^2 (\mu_{ESW}^U)^2 2(\lambda_{BCU}^U + \lambda_{EI}^U + \lambda_{ESW}^U)}{(\lambda_{BCU}^U + \mu_{BCU}^U)^2 (\lambda_{EI}^U + \mu_{EI}^U)^2 (\lambda_{ESW}^U + \mu_{ESW}^U)^2 - (\mu_{BCU}^U)^2 (\mu_{EI}^U)^2 (\mu_{ESW}^U)^2} = 82.8348$$

Failure for B

$$\lambda_B^L = \frac{\lambda_{B1}^L \lambda_{B2}^L (\mu_{B1}^L + \mu_{B2}^L)}{(\lambda_{B1}^L + \mu_{B1}^L)(\lambda_{B2}^L + \mu_{B2}^L) - \lambda_{B1}^L \lambda_{B2}^L} = 0.0002683$$

$$\lambda_B^U = \frac{\lambda_{B1}^U \lambda_{B2}^U (\mu_{B1}^U + \mu_{B2}^U)}{(\lambda_{B1}^U + \mu_{B1}^U)(\lambda_{B2}^U + \mu_{B2}^U) - \lambda_{B1}^U \lambda_{B2}^U} = 0.002168$$

Repair rate for B

$$\mu_B^L = \mu_{B1}^L + \mu_{B2}^L = 102.6713$$

$$\mu_B^U = \mu_{B1}^U + \mu_{B2}^U = 116.3668$$

Now the possibility of successful operation of the entire system

$$P_{OSS}^U = \frac{\mu_{DCP}^L \mu_C^L \mu_{ESW}^L \mu_B^L}{(\lambda_{DCP}^L + \mu_{DCP}^L)(\lambda_C^L + \mu_C^L)(\lambda_{ESW}^L + \mu_{ESW}^L)(\lambda_B^L + \mu_B^L)} = 0.9908$$

**Table 3** Presenting the lower range  $R^L$  and upper range  $R^U$  offuzzyreliability

Time (years)	$R^L$	$R^U$
0	1	1
1	0.8601	0.8867
2	0.6858	0.7654
3	0.5287	0.6485
4	0.409	0.5366
5	0.3094	0.4452
6	0.2258	0.3521
7	0.1669	0.2541
8	0.1136	0.1758
9	0.0925	0.1154
10	0.0726	0.0713

$$P_{OSS}^L = \frac{\mu_{DCP}^U \mu_C^U \mu_{ESW}^U \mu_B^U}{(\lambda_{DCP}^U + \mu_{DCP}^U)(\lambda_C^U + \mu_C^U)(\lambda_{ESW}^U + \mu_{ESW}^U)(\lambda_B^U + \mu_B^U)} = 0.9955$$

Possible interval of availability is (0.9908, 0.9955) while the probabilistic value is 0.9944.

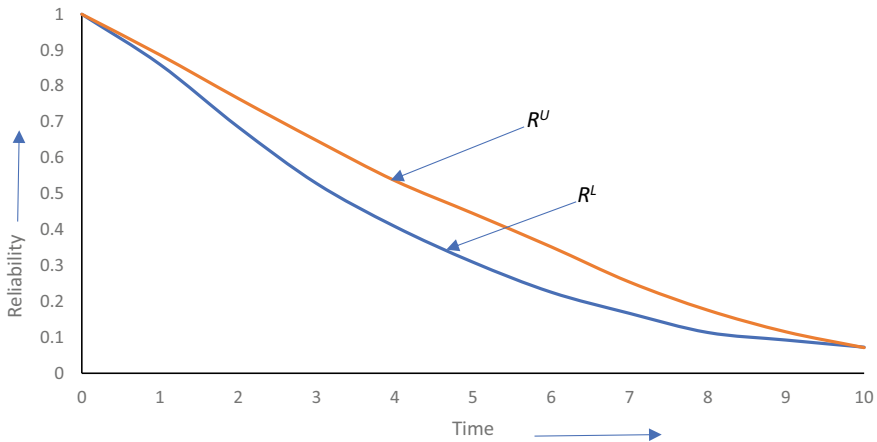
### 7.3 Calculation and Interpretation of Fuzzy Reliability

The fuzzy reliability of the automation system has been calculated using the reliability block diagram of Fig. 3 based on the minimal path set approach and obtained numerical values are given in Table 3.

$$R = P_{DCP} P_{BCU}^2 P_{ESW}^3 P_{EI}^3 \{P_{IPC} P_{HMI} (1 - P_{EI} P_{NCCS}) + P_{NCCS}\}$$

## 8 Conclusion

In this scientific edge, man has developed many devices that can control the power systems at fingertips. The automation system is an advanced step in this direction, it has taken the place of human functioning like decision-making, physical action, and observations. In this research work, we have focused on availability and fuzzy reliability. A flexible approach, equivalent transition rate of the Markov process is applied in this work. Interval-valued approach sufficiently covers the uncertainty present in the data. So, a possibilistic way is used to handle uncertainty with the



**Fig. 6** Showing the variation in the results of fuzzy reliability

help of possibility measure and necessity measure. The upper and lower range of the fuzzy reliability is observed by performing necessary numerical calculations. The difference between the fuzzy reliability and classical reliability can be clearly seen in Fig. 6, which shows that this proposed technique is proving its ability over the classical methods. So, we can say that this method is dominating other methods. With the help of this method, it is easy to detect the range of accuracy of results.

## 9 Data Availability Statement

We are sharing the source that includes the data that support the findings of our results and the conclusion of the manuscripts. The technique which we have given can be verified on the data, which is available on the following hyperlinks:

<https://ieeexplore.ieee.org/document/6175975>

<http://www.dl.edi-info.ir/Composite%20automated%20distribution%20system%20reliability%20model%20considering.pdf>

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# Solution of Fingering Phenomenon Arising in Porous Media in Horizontal Direction by Combination of Elzaki Transform and Adomian Decomposition Method



Archana Varsoliwala and Twinkle Singh

**Abstract** The concerned paper discusses the solution of fingering phenomenon occurring in fluid flow through porous media in horizontal direction. Nowadays, majority of oil firms focus on maximizing the recovery factor from their oil fields. Therefore, this concept has a very important role in oil recovery process. Mathematical structure of the fingering phenomenon has been derived and it is given by non-linear partial differential equation (NPDE). Elzaki Adomian Decomposition Method (EADM) can be used to obtain the solution for the considered model along with its convergence analysis. EADM gives the solution in a convergent series without any linearization or perturbation. Comparisons are built between the results obtained by EADM and Variational Iteration Method (VIM) to examine the accuracy of the proposed method. Numerical and graphical presentations are derived by MATHEMATICA and MATLAB.

**Keywords** Fingering phenomenon · Homogeneous porous media · Elzaki Adomian decomposition method

## 1 Introduction

The displacement of single fluid by another immiscible fluid in porous medium is known as imbibitions and this development plays a crucial role in several engineering fields like soil mechanics, agriculture engineering, water, geophysical science, etc. However, the particular interest of this paper is connected with oil recovery. The practice of oil extraction is done traditionally by primary and secondary method. The method of extracting oil via the natural rise of hydrocarbons to the surface of the world or via pump jacks and different artificial lift devices is known as primary oil recovery. A recovery of 5–15% of the well's potential is possible through primary

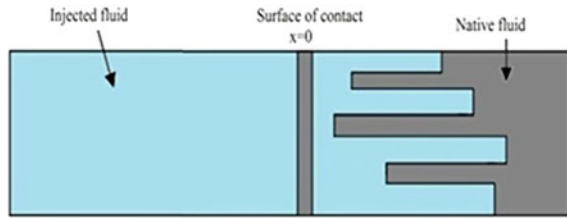
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A. Varsoliwala (✉) · T. Singh  
Applied Mathematics and Humanities Department, Sardar Vallabhbhai National Institute of  
Technology, Gujarat 395 007, India  
e-mail: [archanavarsoliwala@gmail.com](mailto:archanavarsoliwala@gmail.com)

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495

**Fig. 1** Representation of fingering phenomenon



method. The injection of gas or water which can transfer the oil and compel it to move from its resting place and produce it to the surface is possible through secondary oil recovery. During the secondary oil recovery if a fluid (oil) contained in a porous matrix of an oil formatted region in an oil reservoir is displaced by another fluid of lesser consistency, i.e., water, rather than regular displacement of the full front, protuberances (fingers) may occur that shoot through the porous medium at fair speed. Such protuberances are called fingers. This phenomenon is called fingering [14]. In petroleum technology, oil production is the main significance of this phenomenon. The present paper expresses the fingering phenomenon. In the statistical processing of fingers as depicted in Fig. 1, only the mean behavior of the two fluids is considered. The main aim is to find fluid’s saturation ( $S_w$ ). Therefore, the saturation of the displacement fluid in porous medium represents the average cross-sectional area occupied by fingers. In present work, some assumptions are taken as there are maximum size distribution and penetration length of fingers. This kind of occurrence has been considered by various researchers with various techniques and different perspectives [11, 12, 15, 22]. The external sources and sink in the mass conservation equations have been ignored by above researchers. Recently, many researchers have also worked on different kinds of fractional differential equations to study the behavior of various mathematical models [2–6, 17, 18]. The primary goal of this paper is to apply Elzaki Adomian decomposition method [23] to the considered model. Elzaki transform [8] is well known for solving various equations [1, 7, 10, 19–21].

## 2 Mathematical Structure

In the mathematical structure of fingering phenomenon, here we assumed that uniform injection of oil-saturated homogeneous and isotropic media with good finger flow. By Darcy’s law, the seepage velocities of water and oil are given by

$$V_w = -\frac{k_w}{\mu_w} K \left( \frac{\partial P_w}{\partial x} \right), \tag{1}$$

$$V_n = -\frac{k_n}{\mu_n} K \left( \frac{\partial P_n}{\partial x} \right), \tag{2}$$

where the permeability is given by  $K$ ;  $k_n$  and  $k_w$  are the relative permeabilities of oil and water, respectively; the pressure of oil and water are given by  $P_n$  and  $P_w$ , respectively; and viscosity of oil and water are  $\mu_n$  and  $\mu_w$ , respectively.

Continuity equations of two phases are given as [11]

$$\frac{\partial (m\rho_w S_w)}{\partial t} + \frac{\partial (\rho_w V_w)}{\partial x} = 0, \tag{3}$$

$$\frac{\partial (m\rho_n S_n)}{\partial t} + \frac{\partial (\rho_n V_n)}{\partial x} = 0. \tag{4}$$

The porosity  $m$  will be constant because medium is homogeneous.  $S_n$  and  $S_w$  are saturation of oil and water, respectively. Also we assumed that two fluids are not compressible. Therefore, densities of both the fluids are constants.

From Eqs. (3) and (4),

$$m \frac{\partial S_w}{\partial t} + \frac{\partial V_w}{\partial x} = 0, \tag{5}$$

$$m \frac{\partial S_n}{\partial t} + \frac{\partial V_n}{\partial x} = 0, \tag{6}$$

By the phase saturation concept,

$$S_w + S_n = 1. \tag{7}$$

Capillary pressure  $P_C$  is obtained as the pressure discontinuity between the flowing phase yields

$$P_C = P_n - P_w. \tag{8}$$

The connection between  $P_C$  and  $S_w$  is given by [13]

$$P_C = -\beta S_w, \tag{9}$$

$\beta$  is constant and negative sign represents the direction of capillary pressure  $P_C$  opposite to  $S_w$  [16].

$$k_w = S_w \tag{10}$$

and

$$k_n = S_n = 1 - S_w. \tag{11}$$

Substituting Eqs. (1) and (2) into Eqs. (5) and (6), respectively.

$$m \frac{\partial S_w}{\partial t} = \frac{\partial}{\partial x} \left[ K \frac{k_w}{\mu_w} \left( \frac{\partial P_w}{\partial x} \right) \right], \tag{12}$$

$$m \frac{\partial S_n}{\partial t} = \frac{\partial}{\partial x} \left[ K \frac{k_n}{\mu_n} \left( \frac{\partial P_n}{\partial x} \right) \right], \tag{13}$$

Now, eliminate  $\frac{\partial P_w}{\partial x}$  from Eqs. (8) and (12),

$$m \frac{\partial S_w}{\partial t} = \frac{\partial}{\partial x} \left[ K \frac{k_w}{\mu_w} \left( \frac{\partial P_n}{\partial x} - \frac{\partial P_C}{\partial x} \right) \right]. \tag{14}$$

Merging both Eqs. (13) and (14) and then using Eq. (7),

$$0 = \frac{\partial}{\partial x} \left[ \left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right) \frac{\partial P_n}{\partial x} - K \frac{k_w}{\mu_w} \frac{\partial P_C}{\partial x} \right]. \tag{15}$$

Integrate Eq. (15) w.r.t  $x$ ,

$$A = \left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right) \frac{\partial P_n}{\partial x} - K \frac{k_w}{\mu_w} \frac{\partial P_C}{\partial x}, \tag{16}$$

( $A$  is a constant).

Simplifying Eq. (16), we have

$$\frac{\partial P_n}{\partial x} = \frac{A}{\left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right)} + \frac{K \frac{k_w}{\mu_w}}{\left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right)} \frac{\partial P_C}{\partial x}. \tag{17}$$

Substituting the value of  $\frac{\partial P_n}{\partial x}$  in Eq. (14)

$$m \frac{\partial S_w}{\partial t} = \frac{\partial}{\partial x} \left[ K \frac{k_w}{\mu_w} \left( \frac{A}{\left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right)} + \frac{K \frac{k_w}{\mu_w}}{\left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right)} \frac{\partial P_C}{\partial x} - \frac{\partial P_C}{\partial x} \right) \right]. \tag{18}$$

$P_n$  is defined as  $P_n = \frac{P_n + P_w}{2} + \frac{P_n - P_w}{2} = \bar{P} + \frac{1}{2} P_C$ , where the mean pressure is given by  $\bar{P}$  and it is constant, we have

$$\frac{\partial P_n}{\partial t} = \frac{1}{2} \frac{\partial P_C}{\partial t}. \tag{19}$$

Thus from Eqs. (16) and (19), we get

$$A = \left( K \frac{k_w}{\mu_w} + K \frac{k_n}{\mu_n} \right) \frac{1}{2} \frac{\partial P_C}{\partial x} - K \frac{k_w}{\mu_w} \frac{\partial P_C}{\partial x}. \tag{20}$$

In Eq. (18), replacement of  $A$  is given by Eq. (20),

$$m \frac{\partial S_w}{\partial t} = \frac{\partial}{\partial x} \left[ -\frac{K}{2} \frac{k_w}{\mu_w} \frac{\partial P_C}{\partial x} \right]. \tag{21}$$

Using Eqs. (9) and (10) in Eq. (21),

$$\frac{\partial S_w}{\partial t} = \left( \frac{K \beta}{2m\mu_w} \right) \frac{\partial}{\partial x} \left[ S_w \frac{\partial S_w}{\partial x} \right]. \tag{22}$$

To make Eq. (22) dimensionless, defining the variables

$$X = \frac{x}{L}, \quad T = \frac{K \beta}{2m\mu_w L^2} t. \tag{23}$$

So that Eq. (22) reduces to

$$\frac{\partial S_w}{\partial T} = \frac{\partial}{\partial X} \left[ S_w \frac{\partial S_w}{\partial X} \right]. \tag{24}$$

Governing non-linear PDE is given by Eq. (24) which describes the fingering phenomenon.

The specific conditions at initial and boundary levels are [11]

$$S_w(X, 0) = \frac{\xi}{(\sigma_p)^2} f(X), \quad 0 \leq X \leq 1, \tag{25}$$

$$S_w(0, T) = 1, \quad T \geq 0, \tag{26}$$

$$S_w(1, T) = 0, \quad T \geq 0. \tag{27}$$

Here  $f(X)$  denotes arbitrary reaction of the primary saturation pattern.  $\sigma_p$  and  $\xi$  denote size of variance and disturbances in  $X$ -direction, respectively. It can be presumed over here that the whole oil has been displaced for every time by the impact of the injected water. Also  $X = x/L$  and boundary condition (26) offer that fluid's saturation  $S_w$  is one (100%) for  $T \geq 0$ . This means that the value of  $k_n$  is always zero at the common interface. At the second end  $x = L$  it's presumed that the water isn't attending to the boundary. Hence  $S_w(1, T) = 0, \quad T \geq 0$ . An approximate solution of governing Eq. (24) is defined by Elzaki Adomian decomposition approach within these conditions.

### 3 Solution of Problem by Elzaki Adomian Decomposition Method

In the present area, the effectiveness of proposed method is illustrated by obtaining the solution of non-linear equation.

Applying Elzaki transform of Eq. (24) subject to the specified conditions,

$$\therefore E [S_w(X, T)] = v^2 \frac{\xi}{(\sigma_p)^2} f(X) + vE [S_w (S_{wXX}) + (S_{wX})^2].$$

We choose the initial approximation [11]

$$S_{w0} = \frac{\xi}{(\sigma_p)^2} (X - 1)^2,$$

Considering  $\xi = 100$  and  $\sigma_p = 10$ , we have  $S_{w0} = (X - 1)^2$ ,

$$E [S_w(X, T)] = v^2 (X - 1)^2 + vE [S_w (S_{wXX}) + (S_{wX})^2]. \tag{28}$$

On applying an inverse of Elzaki transform,

$$S_w(X, T) = (X - 1)^2 + E^{-1} \{vE [S_w (S_{wXX}) + (S_{wX})^2]\}. \tag{29}$$

Using Adomian decomposition approach,

$$\sum_{n=0}^{\infty} S_{wn}(X, T) = (X - 1)^2 + E^{-1} \left\{ vE \left[ \sum_{n=0}^{\infty} A_n(X, T) + \sum_{n=0}^{\infty} B_n(X, T) \right] \right\}. \tag{30}$$

Comparing the results on two sides of Eq. (30),

$$\begin{aligned} S_{w0}(X, T) &= (X - 1)^2, \\ S_{w1}(X, T) &= E^{-1} \{vE [A_0(X, T) + B_0(X, T)]\}, \\ S_{w2}(X, T) &= E^{-1} \{vE [A_1(X, T) + B_1(X, T)]\}, \\ S_{w3}(X, T) &= E^{-1} \{vE [A_2(X, T) + B_2(X, T)]\}, \\ S_{w4}(X, T) &= E^{-1} \{vE [A_3(X, T) + B_3(X, T)]\}, \\ &\vdots \\ &\vdots \\ &\vdots \end{aligned} \tag{31}$$

Here  $A_n$  and  $B_n$  are Adomian polynomials which represent the non-linear term  $S_w (S_{wXX})$  and  $(S_{wX})^2$ , respectively, and can be computed using the formula given in [1].

Few of the Adomian polynomials are given as

$$\begin{aligned}
 A_0 &= S_{w0} (S_{w0})_{XX} = 2 - 4X + 2X^2, \\
 A_1 &= S_{w0} (S_{w1})_{XX} + (S_{w0})_{XX} S_{w1} = 24T - 48XT + 24X^2 T, \\
 A_2 &= S_{w1} (S_{w1})_{XX} + (S_{w2})_{XX} S_{w0} + S_{w2} (S_{w0})_{XX} \\
 &= 216X^2 T^2 - 432XT^2 + 216T^2, \\
 A_3 &= \frac{1}{6} \left[ 4S_{w2} (S_{w1})_{XX} + 4S_{w1} (S_{w2})_{XX} + S_{w0} (6S_{w3})_{XX} + \right. \\
 &\quad \left. 6S_{w3} (S_{w0})_{XX} + 2S_{w2} (S_{w1})_{XX} + S_{w1} (2S_{w2})_{XX} \right] \\
 &= 192 T^3 (X - 1)^2 (5 + 2X), \\
 &\quad \cdot \\
 &\quad \cdot \\
 &\quad \cdot \\
 B_0 &= ((S_{w0})_X)^2 = 4 - 8X + 4X^2, \\
 B_1 &= 2 (S_{w0})_X (S_{w1})_X = 48T - 96XT + 48X^2 T, \\
 B_2 &= 2S_{w0} (S_{w2})_X + ((S_{w1})_X)^2 = 144 (X - 1)^2 XT^2, \\
 B_3 &= \frac{1}{6} [8 (S_{w1})_X (S_{w2})_X + 12 (S_{w0})_X (S_{w3})_X + 4 (S_{w2})_X (S_{w1})_X] \\
 &= 192 T^3 (X - 1)^2 (11 + 3X), \\
 &\quad \cdot \\
 &\quad \cdot \\
 &\quad \cdot
 \end{aligned} \tag{32}$$

Using Adomian polynomials (32) and the iteration formulas (31),

$$\begin{aligned}
 S_{w0}(X, T) &= (X - 1)^2, \\
 S_{w1}(X, T) &= 6 T (X - 1)^2, \\
 S_{w2}(X, T) &= 36 T^2 (X - 1)^2, \\
 S_{w3}(X, T) &= 24 T^3 (X - 1)^2 (3 + 2X), \\
 S_{w4}(X, T) &= 48 T^4 (X - 1)^2 (16 + 5X), \\
 &\quad \cdot \\
 &\quad \cdot \\
 &\quad \cdot
 \end{aligned} \tag{33}$$

Thus, an approximate solution is

$$S_w(X, T) = S_{w0}(X, T) + S_{w1}(X, T) + S_{w2}(X, T) + S_{w3}(X, T) + S_{w4}(X, T) + \dots$$

$$\begin{aligned} \therefore S_w(X, T) &= (X - 1)^2 + 6T(X - 1)^2 + 36T^2(X - 1)^2 \\ &+ 24T^3(X - 1)^2(3 + 2X) + 48T^4(X - 1)^2(16 + 5X) + \dots \end{aligned} \tag{34}$$

Equation (34) is an approximate solution of Eq. (24). Numerical solution and its graphical representations can be found out with the help of MATHEMATICA and MATLAB.

### 4 Convergence Analysis

Using Theorem 1 and Corollary 1 [9],

$$\begin{aligned} \psi_0 &= \frac{\|S_{w1}\|}{\|S_{w0}\|} = 0.60 < 1, \\ \psi_1 &= \frac{\|S_{w2}\|}{\|S_{w1}\|} = 0.60 < 1, \\ \psi_2 &= \frac{\|S_{w3}\|}{\|S_{w2}\|} = 0.32 < 1, \\ \psi_3 &= \frac{\|S_{w4}\|}{\|S_{w3}\|} = 0.854167 < 1, \\ &\vdots \\ &\vdots \\ &\vdots \end{aligned} \tag{35}$$

Hence, it said that  $\sum_{i=0}^{\infty} S_{wi}$  is convergent. Therefore, the approximate solution given by (34) is convergent.

### 5 Results and Discussion

Using the following parameter values [11], we obtain the numerical solution of Eq. (34).

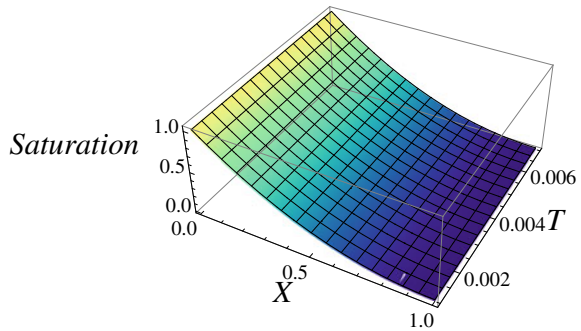
Porosity ( $m$ ) is given by 0.1, permeability ( $K$ ) is taken as  $1 \times 10^{-13}m^2$ , length ( $L$ ) is 10  $m$ , viscosity of water ( $\mu_w$ ), and density of water ( $\rho_w$ ) are 1 cP (at 20 °C) ( $0.001 \text{ N.s/m}^2$ ), and 1000  $\text{Kg/m}^3$ , respectively. Here maximum distribution size ( $\xi$ ) is 100 and penetration length ( $\sigma_p$ ) is 10. Now we are finding the value of  $S_w(X, T)$  for different  $T = 0.01, 0.02, 0.03, \dots 0.07$ . So from Table 1, we notice that value of



**Table 1** Saturation of injected fluid for different distance and time

$X$	$T = 0.01$	$T = 0.02$	$T = 0.03$	$T = 0.04$	$T = 0.05$	$T = 0.06$	$T = 0.07$
0.1	0.861585	0.919464	0.984243	1.05668	1.13769	1.22833	1.32982
0.2	0.680761	0.726517	0.777769	0.835143	0.899392	0.971393	1.05215
0.3	0.52121	0.556261	0.595552	0.639587	0.688965	0.744383	0.80664
0.4	0.382932	0.408696	0.437602	0.470034	0.506448	0.547379	0.593433
0.5	0.265926	0.283828	0.303928	0.326504	0.351888	0.380461	0.412662
0.6	0.170194	0.181656	0.194538	0.209022	0.225328	0.243711	0.264459
0.7	0.095734	0.102186	0.109441	0.117608	0.126815	0.137209	0.148958
0.8	0.042549	0.045418	0.048646	0.052285	0.056392	0.061036	0.066293
0.9	0.010637	0.011355	0.012163	0.013075	0.014106	0.015272	0.016595
1	0	0	0	0	0	0	0

**Fig. 2** Graphical representation of solution (34)



$S_w(X, T)$  decreases with  $X$  and increases with  $T$ . Table 2 shows the numerical values of saturation of injected fluid for different values of  $X$  and  $T$ . That is, from a physical point of view, we say that as  $T$  increases the fingers will extend and the oil will move in direction of the production well. Also notice that after long time ( $T = 0.007$ ) the saturation of injected water doesn't simulate together with the phenomenon. So fingers will not be any more after a specific time frame. So it matches with real-world phenomenon. Graphical representations are given in Figs. 2, 3, 4, and 5.

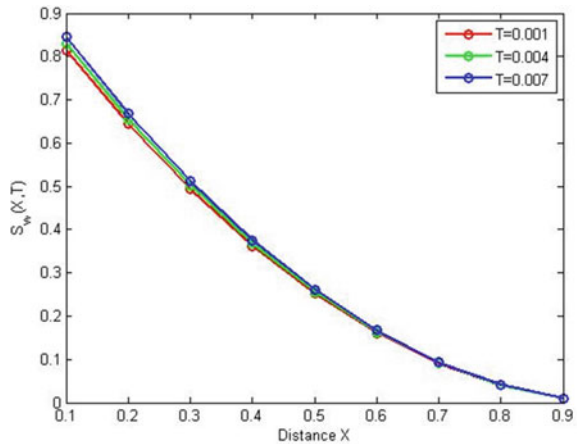
## 6 Concluding Remarks

In the present study, Elzaki Adomian decomposition method has been successfully employed to find the solution of governing equation which can be derived from fingering phenomenon. From Tables 1 and 2, we can concluded that the saturation of injected fluid reduces with distance and slightly grows with time which is perfect with physical phenomenon. From Table 2 and Fig. 4, it is observed that there is an

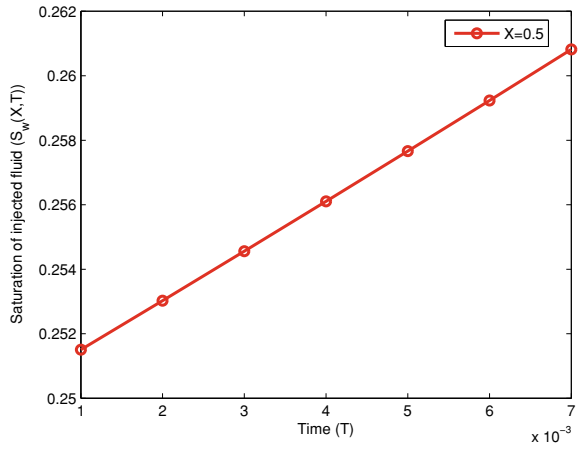
**Table 2** Comparison of saturation of injected fluid by EADM and Variational Iteration Method (VIM) (Mistry and Pradhan, 2015)

X	T = 0.001		T = 0.002		T = 0.003		T = 0.004	
	EADM	VIM	EADM	VIM	EADM	VIM	EADM	VIM
0.1	0.814887	0.814889	0.819829	0.819837	0.824824	0.824844	0.829874	0.82991
0.2	0.643861	0.643863	0.647764	0.647773	0.651709	0.651729	0.655695	0.655732
0.3	0.492955	0.492958	0.495942	0.495951	0.498959	0.49898	0.502007	0.502044
0.4	0.362171	0.362173	0.364363	0.364372	0.366576	0.366597	0.36881	0.368849
0.5	0.251507	0.251509	0.253026	0.253036	0.254559	0.254581	0.256104	0.256145
0.6	0.160963	0.160966	0.161932	0.161943	0.162907	0.162932	0.163889	0.163933
0.7	0.09054	0.090543	0.091081	0.091093	0.091622	0.091649	0.092164	0.092212
0.8	0.040238	0.040241	0.040473	0.040485	0.040703	0.040733	0.040931	0.040983
0.9	0.010057	0.01006	0.010057	0.010121	0.010151	0.010183	0.010188	0.010245
X	T = 0.005		T = 0.006		T = 0.007			
	EADM	VIM	EADM	VIM	EADM	VIM		
0.1	0.834979	0.835036	0.840137	0.84022	0.84535	0.845469		
0.2	0.659724	0.659782	0.667907	0.66388	0.667907	0.668025		
0.3	0.505086	0.505145	0.511338	0.50828	0.511338	0.511456		
0.4	0.371066	0.371127	0.375641	0.37343	0.375641	0.375764		
0.5	0.257663	0.257727	0.259234	0.25933	0.260819	0.260947		
0.6	0.164876	0.164945	0.165869	0.16597	0.166869	0.167006		
0.7	0.092707	0.092781	0.09325	0.09336	0.093792	0.093941		
0.8	0.041154	0.041236	0.041373	0.04149	0.04159	0.041751		
0.9	0.010219	0.010309	0.010242	0.01037	0.01026	0.010437		

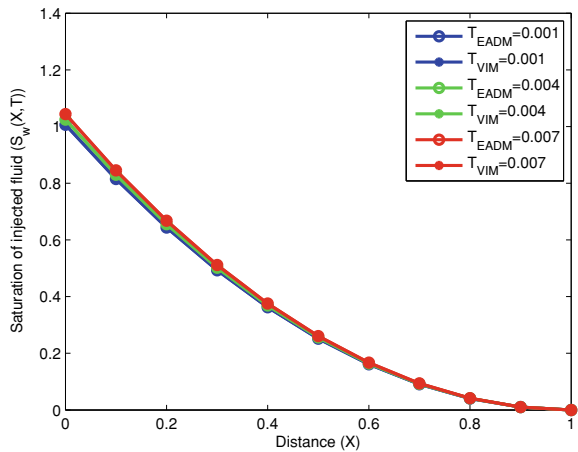
**Fig. 3** Saturation of injected fluid versus distance for  $T = 0.001, 0.004, 0.007$



**Fig. 4** Comparison of solutions by EADM and VIM



**Fig. 5** Saturation of injected fluid versus  $T$  for  $X = 0.5$



excellent agreement between the results obtained using EADM and VIM. Thus, from the numerical comparison and its convergence analysis, we can say that the proposed methodology is extremely reliable, powerful, and also provides precise and careful arrangement. Fractional model can be used in future to study the behavior of proposed phenomenon.

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# Numerical Study of Melting Impact on MHD Non-Newtonian Casson Fluid Flow Ran on a Stretching Sheet in a Porous Medium with Radiation and Dissipation Effect



Sanju Jangid, Ruchika Mehta, Tripti Mehta, and Devendra Kumar

**Abstract** The present work is enclosing the features of melting heat transference in the porous medium of MHD Casson fluid influenced by thermal radiation and viscous dissipation also with the heat source/sink effect. This paper formulation is explained by the Runge–Kutta–Fehlberg technique by converting the governing equations (PDEs) into ordinary differential equations. After that, the graph is found using MATLAB software with the shooting technique. The impacts of skin-friction coefficient and Nusselt number are also illustrated. The effects of Rayleigh number  $Ra$ , Eckert number  $Ec$  and heat source/sink parameter  $Q$  along with various persistent parameters are derived for velocity and temperature profile. The outcomes of the existing investigation are associated with the accessible works in specific conditions and more contracts have been detected.

**Keywords** Casson fluid · Porous media · Viscous dissipation

## 1 Introduction

Given the stipulated trait of definitive product stooping based on the rate of heat transfer via stretching, sheets find several praxes, namely paper production, aerodynamic extrusion of polymer, augmentation incapacity of paints, and lubricants, plastic modelling, and so on. Crane [2] connected the boundary layer flow and heat transfer over a stretching sheet conducting with electrically viscous fluid. Bachok et al. [1] studied the analysis of the time-independent 2D stagnation point fluid flow from a torrid, laminar fluid flow to a decay shrinking/stretching plate. Nadeem et al.

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S. Jangid · R. Mehta (✉)

Department of Mathematics & Statistics, Manipal University Jaipur, Jaipur, Rajasthan, India

e-mail: [ruchika.mehta1981@gmail.com](mailto:ruchika.mehta1981@gmail.com)

T. Mehta

Department of Mathematics, S. S. Jain Subodh P. G. College, Jaipur, Rajasthan, India

D. Kumar

Department of Mathematics, University of Rajasthan, Jaipur, Rajasthan, India

[25] inspected a Casson fluid over an exponentially erect shrinking plate with an MHD effect. Kirubhashankar et al. [13] investigated the stipulated plate temperature of unsteady 2D flow over a stretching stratum in the non-Newtonian fluid. The effects of viscous dissipation and second-order slip on the MHD boundary layer flow of an incompressible, electrically conducting water-based nanofluid across a stretched sheet were examined by Mabood and Mastroberardino [22]. Gireesha et al. [6] examined numerically a constant 2D hydromagnetic stagnation point flow of an electrically conducting nanofluid across a stretched surface with the generated magnetic field, melting effect, and heat generation/absorption. Mabood and Das [21] addressed an examination of MHD flow and melting heat transfer of a nanofluid across a stretched surface using a second-order slip model and thermal radiation.

Mabood et al. [24] investigated alterable fluid possessions on heat transference in MHD Casson fluid in a porous medium on a moving surface in the existence of the radiation. Pushpalatha et al. [26] considered the unsteady (time-dependent) free convection fluid flow of a Casson fluid restricted by a dynamic erect plane plate in a rotating tract with convective limitations. Fetecau et al. [3] found interesting new features of Stoke's second problem with the new solutions of Stoke's first problem in the recap of oscillating plates, which tends to zero under the consideration of porous and magnetic impacts. Ijaz et al. [8] presented the interaction of liquid and solid elements in a finite symmetrical wavy network in electro-osmotic flow with magnetohydrodynamics impacts. Khan et al. [11] broadcasted the mass transfer on chemicalized fourth-grade unsolidified model suckled and peristaltically passed by a curved ruffle in the largest amount of magnetic field. Mahdy [23] examined the time-independent MHD free and forced convection in non-Newtonian Casson nanofluid of a ragingly rotating sphere with an alterable enclosure temperature of an electrically conducting fluid flow. Zeeshan et al. [30] presented the impact of magnetohydrodynamics bio-bi-phase in a rectangular conduit with peristaltic transport of non-Newtonian Jeffery fluid with homogeneously issued alike stiff particles.

The delinquent of melting heat transference has been skilled pointedly by investigators due to its extensive variety of scientific and engineering that created bids in the research of semiconductor device melting of frozen lands, solidifying of molten rock movements, etc. Melting is a phase change process that is accompanied by thermal energy absorption. Melting heat transfer in non-Newtonian fluids has a wide range of thermal engineering applications, including permafrost melting, magma solidification, geothermal energy recovery, oil extraction, and semiconductor material preparation. Qayyum et al. [27] analysed the time-dependent squeezing flow of an MHD Casson fluid in the porous medium. Kumar et al. [14] communicated with unsteady and steady Magnetohydrodynamic flow in Williamson fluid given the curved surface in the existence of thermal radiation and a chemical reaction. Lakshmi et al. [15] inspected the heat and mass transference features of 2D nonlinear convective non-Newtonian nanofluids (Walters-B and Casson) that pass through a thick surface with steady and laminar flow in the presence of thermophoresis and Brownian motion. Li et al. [16] found the result of Darcy and Richardson numbers by creating 2-phase mixed convection of non-Newtonian nanofluid (copper oxide nanofluids and  $H_2O + 0.5\%$  CMC) in an H-shaped porous hole with the help of Boussinesq approximation

inside the four rotating cylinders. Lund et al. [17] found the non-Newtonian heating equation in time-independent incompressible MHD mixed convective boundary layer current of Casson fluid on an exponentially erect shrinking plate. Mabood et al. [20] found the delay with thermal radiation in the porous system in the framing of melting heat transference in MHD (magnetohydrodynamic) Casson fluid.

Many industrial building strides embrace non-Newtonian fluids, for example, lubricants, biological fluids, liquid crystals with rigid molecules, lubricants, polymeric suspensions, paints, and animal blood. Also, many uses of magnetohydrodynamics in different areas such as MHD sidestep energy generators, fluid metallic production forms, MHD tube flows occur in ionized hurrying agents, bubble levitation, bubble floating, and so on. Kirubhashankar et al. [12] considered the thermal influence of fleeting MHD Casson fluid tide between two equivalent plates. Lund et al. [18, 19] developed a tract of the magnetohydrodynamic Casson nanofluid in the presence of electric current on exponential stretching and shrinking scale. Lund et al. [18, 19] focus their attention on heat transfer and Brownian and thermophoresis impacts of micropolar nanofluid on an accessible stretching/shrinking biased surface. Salahuddin et al. [28] considered the steady-state motion heat and mass transference in Casson fluid flow in the attendance of pre-exponential components. Shah et al. [29] analysed the radiative electrically conducting Casson nanofluid flow over a nonlinearly stretching sheet by applying the Second Law of Thermodynamics to detect entropy generation rate.

There are many applications of heat transport resolute by thermal radiation including missiles, satellites, diverse technological procedures, space vehicles, which generate thrust instrument for aircraft engines, nuclear power plant, and gas turbines. Gbadeyan et al. [4] examined an MHD Casson nanofluid flow over a perpendicular plane plate with a convective heating system and speed slip boundary limitations with impacts of assorted alterable viscosity and also with non-Darcian porous medium, non-linear radiation, and thermal conductivity. Idowu et al. [7] examined the Cattaneo–Christov heat flux replacement paradox of MHD Casson fluid flow with dissipative impacts, heat generation, and buoyancy impact for natural convection. Gireesha et al. [5] studied the characteristics of dusty Casson fluid MHD flow, and melting heat transfer across a stretched sheet have been revealed. Through the Cattaneo–Christov heat flux, a modified form of Fourier’s law is used. Jabeen et al. [9] investigated the comparative study of MHD boundary layer fluid flow nearby a linearly stretching surface in the impendence of heat generation/absorption, radiative heat flux, chemical reaction, and thermophoresis velocity impacts on an accessible surface. Kala et al. [10] studied an MHD Casson fluid movement with speed slip over an engaged non-linearly stretching surface in a non-Darcy porous medium.

Because of its vital applications in permafrost melting, frozen ground thawing, liquid polymer extrusion, casting, and welding processes, as well as phase change material, heat transmission followed by melting effect has garnered a lot of attention in recent years. Metals, polymers, ceramics, and other materials are pushed or dragged through a die with the required cross-section to manufacture various sorts of objects in manufacturing techniques such as hot extrusion. Because hot extrusion or hot working is done above the material’s recrystallization temperature to prevent work

hardening and make it simpler to push the material through the die, the melting effect is crucial. In permafrost research, the melting effect plays a significant role in permafrost melting and frozen ground thawing difficulties. In the present case, there are many researchers who did a lot of work to inspect the features of heat transfer given the melting process in different types of fluid flows. The experience of melting heat transport is not studied yet with dissipation effect along with radiation in the porous surface on the stretching surface. The main aim of this work is to fill up this gap with Mabood and Das [20]. After formulation, the outcome is shown by figures and a table of various parameters, Nusselt number, and skin-friction coefficient.

## 2 Formulation

In this work, the condition of 2D Casson fluid flow over a horizontally linear stretching surface in the existence of a porous medium is analysed. The porous medium is discussed with permeability  $K$  and a steady rate of melting with constant properties.

In this flow, uniform transverse magnetic field  $B_0$  is conducted with electrically fluid flow. Here we neglect the magnetic field. Contemplate exterior flow is  $u_e(x) = ax$  and the speed of the stretching plate is  $u_w(x) = cx$ , where  $a$  and  $c$  are real positive constants and  $x$  is the coordinate along with the stretching plate as shown in (Fig. 1).

Here  $T_m$  and  $T_\infty$  are the melting and temperature for a free stream of the fluid flow, respectively, with the condition  $T_\infty > T_m$ .

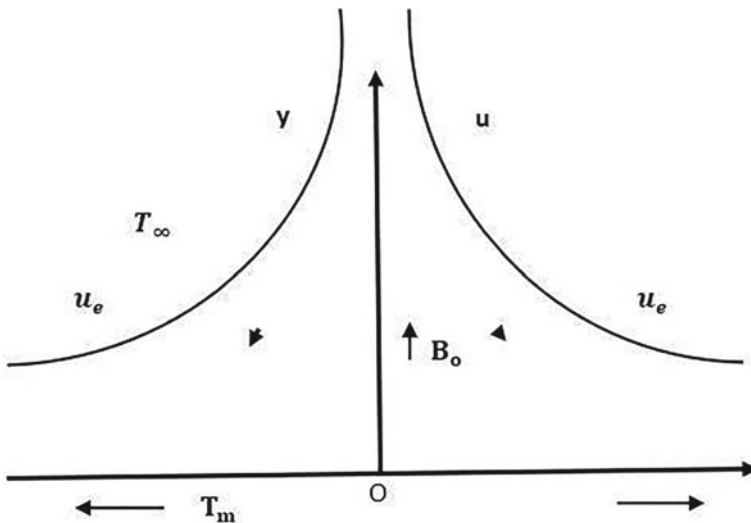


Fig. 1 Physical model of present work



The rheologic governing equation of a non-Newtonian Casson fluid (Refs. [4, 7, 13]) is written by

$$\tau_{ij} = \begin{cases} 2(\mu_B + P_y/\sqrt{2\pi})e_{ij}, & \pi > \pi_c, \\ 2(\mu_B + P_y/\sqrt{2\pi_c})e_{ij}, & \pi < \pi_c, \end{cases} \tag{1}$$

where  $\mu_B$  = plastic dynamic viscosity,

$\pi = e_{ij}e_{ij}$  and  $e_{ij} = (i, j)$ th the component of deformation rate,

$\pi$  = deformation rate,

$\pi_c$  = critical value of the non-Newtonian model,

$P_y$  = yield stress of fluid.

The governing equations (Refs. [13, 20]) are given by

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = 0 \tag{2}$$

$$u \frac{\partial u}{\partial y} + v \frac{\partial u}{\partial x} = u_e \frac{du_e}{dx} + v \left( 1 + \frac{1}{\beta} \right) \frac{\partial^2 u}{\partial y^2} - \frac{\sigma B_0^2 (u - u_e)}{\rho} - \frac{v}{K} (u - u_e) + g_0 \beta_T^* (T - T_m) \tag{3}$$

$$u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} = \alpha \frac{\partial^2 T}{\partial y^2} - \frac{1}{\rho C_p} \frac{\partial q_r}{\partial y} + \frac{\sigma B_0^2 u^2}{\rho C_p} + \frac{\mu}{\rho C_p} \left( 1 + \frac{1}{\beta} \right) \left( \frac{\partial u}{\partial y} \right)^2 + \frac{Q_0}{\rho C_p} (T - T_m) \tag{4}$$

with limitations

$$\begin{aligned} u = u_w(x) = cx, \quad T = T_m, \quad \text{at } y = 0 \\ u \rightarrow u_e(x) = ax, \quad T \rightarrow T_\infty, \quad \text{at } y = \infty \end{aligned} \tag{5}$$

and

$$k \left( \frac{\partial T}{\partial y} \right)_{y=0} = \rho [\lambda + c_s (T_m - T_0)] v(x, 0), \tag{6}$$

where  $\alpha$  is the thermal radiation,  $K$  is the permeability of the medium,  $(u, v)$  denotes the velocity components along axes,  $k$  is the thermal conductivity,  $\beta$  is the Casson fluid parameter,  $\sigma$  exhibits the electrical conductivity of fluid,  $\lambda$  conveys the latent heat of the fluid,  $\nu$  expresses the kinematic viscosity of the fluid,  $c_s$  indicates the heat capacity of the solid surface,  $\rho$  indicates the density of the fluid,  $q_r$  exhibits radiative heat flux,  $c_p$  conveys the specific heat at constant pressure,  $Q$  expresses the temperature Gradient dependent heat sink/source parameter.

Again Eq. (4) becomes a new form by taking Rosseland approximation for radiations, and we have

$$q_r = \frac{-4\sigma^*}{3k^*} \frac{\partial T^4}{\partial y} \tag{7}$$

where  $\sigma^*$  is the Stefan–Boltzmann constant,  $k^* =$  absorption coefficient and  $T^4 \approx T_2^3 - T_2^4$

$$u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} = \alpha \frac{\partial^2 T}{\partial y^2} + \frac{16\sigma^* T_\infty^3}{3\rho c_p k^*} \frac{\partial^2 T}{\partial y^2} \tag{8}$$

All the above equations (dimension form) are changed into non-dimension form by taking some similarities which are given below:

$$\psi = x\sqrt{av}f(\eta), \quad \theta(\eta) = \frac{T - T_m}{T_\infty - T_m}, \quad \eta = y\sqrt{\frac{a}{v}} \tag{9}$$

where  $\psi$  = stream function and explain as  $u = \frac{\partial\psi}{\partial y}$  and  $v = -\frac{\partial\psi}{\partial x}$ .

So that

$$u = axf'(\eta), \quad v = -\sqrt{av}f(\eta) \tag{10}$$

The new forms of Eqs. (2) to (5) are written as

$$\left(1 + \frac{1}{\beta}\right)f''' = f'^2 - ff'' - (Mn + \Omega)(1 - f') - Ra\theta + 1 \tag{11}$$

$$\theta''(1 + R) + Mn^2 Ec \Pr(f')^2 + \left(1 + \frac{1}{\beta}\right) Ec \Pr(f'')^2 + Q \Pr \theta + \Pr f \theta' = 0 \tag{12}$$

The boundary status is

$$f'(0) = \varepsilon, \Pr f(0) + Me\theta'(0) = 0, \theta(0) = 0, f'(\infty) = 1, \theta(\infty) = 1. \tag{13}$$

where  $Mn^2$  (magnetic parameter) =  $\frac{\sigma B_0^2}{a\rho}$ ,  $\Omega$  (permeability parameter) =  $\frac{v}{aK}$ ,  $Ra$  (Rayleigh number) =  $\frac{g_0\beta_T^*(T - T_m)}{a^2x}$ ,  $R$  (radiation parameter) =  $\frac{16\sigma^*T_\infty^3}{3\rho c_p k^*}$ ,  $\Pr$  (Prandtl number) =  $\frac{v}{\alpha}$ ,  $Ec$  (Eckert number) =  $\frac{a^2x^2}{C_p(T_\infty - T_m)}$ ,  $Q$  (Temperature gradient dependent heat sink/source parameter) =  $\frac{Q_0}{\rho a C_p}$ ,  $\beta$  (non-Newtonian Casson fluid parameter) =  $\frac{\mu_B\sqrt{2\pi C}}{P_y}$ ,  $Me$  (melting parameter) =  $\frac{C_p(T_\infty - T_m)}{\lambda + C_s(T_m - T_\infty)}$ ,  $\varepsilon$  (Stretching parameter) =  $\frac{c}{a}$ . And

here prime (') represents the differential with respect to  $\eta$ . Also, the melting number (Me) is the combination of the Stefan numbers  $\frac{C_p(T_\infty - T_m)}{\lambda}$  and  $\frac{C_s(T_m - T_0)}{\lambda}$  for the liquid and solid phases, respectively.

The physical quantities are

$$\text{Skin friction coefficient } (C_f) = \frac{\tau_w}{\rho u_e^2} \Rightarrow \text{Re}_x^{1/2} C_f = \left(1 + \frac{1}{\beta}\right) f''(0), \quad (14)$$

where  $\tau_w = \left(1 + \frac{1}{\beta}\right) \left(\frac{\partial u}{\partial y}\right)_0$  (shear stress).

$$\text{Local Nusselt number } (Nu_x) = \frac{xq_w}{k(T_\infty - T_m)} \Rightarrow \text{Re}_x^{1/2} Nu_x = (1 + R)\theta'(0), \quad (15)$$

where  $q_w = -k\left(\frac{\partial u}{\partial y}\right)_{y=0} + q_r =$  (surface heat flux) and  $\text{Re}_x = \frac{u_e x}{\nu} =$  (local Reynolds number).

If  $\text{Ec} = \text{Q} = \text{Ra} = 0$ , as  $\beta \rightarrow \infty$  in Eqs. (11) and (12), then this present work reduces to the work of Mabood and Das [20].

### 3 Result and Discussion

The outcomes of different parameters are represented in table and graph with a pervasive mathematical study. Results of Eckert number Ec, Rayleigh number Ra, radiation number R, permeability number  $\Omega$ , melting number Me, non-Newtonian Casson number  $\beta$ , magnetic field number Mn, and temperature gradient number Q are discussed for the stretching number  $\varepsilon = 1.5$  and  $0.5$ .

Figure 2 depicts that the growing values of  $\beta$  diminishes the values of velocity at  $\varepsilon = 1.5$  due to reduction in boundary layer thickness but reverse impact at  $\varepsilon = 0.5$ . Figure 3 shows the velocity conclusion of the rising magnetic parameter Mn. Velocity increases at  $\varepsilon = 0.5$  and decreases at  $\varepsilon = 1.5$  due to Lorentz force that has the properties to slow down the flow of conducting fluid flow. The increasing impact of  $\Omega$  (permeability parameter) on velocity is shown in Fig. 4 with  $\varepsilon = 1.5$  and the reverse effect is with  $\varepsilon = 0.5$ . The influence of velocity with increasing Prandtl number Pr is depicted in Fig. 5 at  $\varepsilon = 1.5$  and the converse effect at  $\varepsilon = 0.5$ . One may consider that when  $\beta$  surges indeterminately, the existing experience clearly diminish to a Newtonian fluid. In Fig. 6, the impact of radiation parameter R increases the velocity effect. Since the growing Radiation parameter, R increases in the thermal boundary layer. The different values of the temperature gradient heat source/sink Q parameter in Fig. 7 rises the velocity profile due to the width of the boundary layer. Also, velocity increases with increasing Rayleigh number Ra at  $\varepsilon$

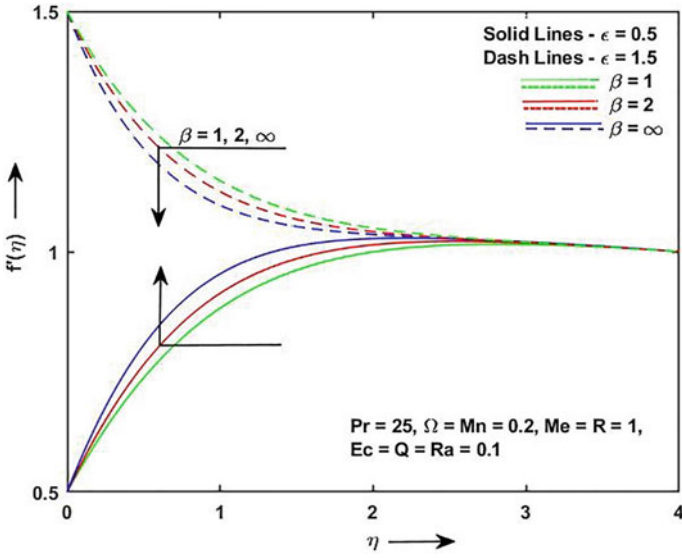


Fig. 2 Casson parameter  $\beta$  impact on velocity

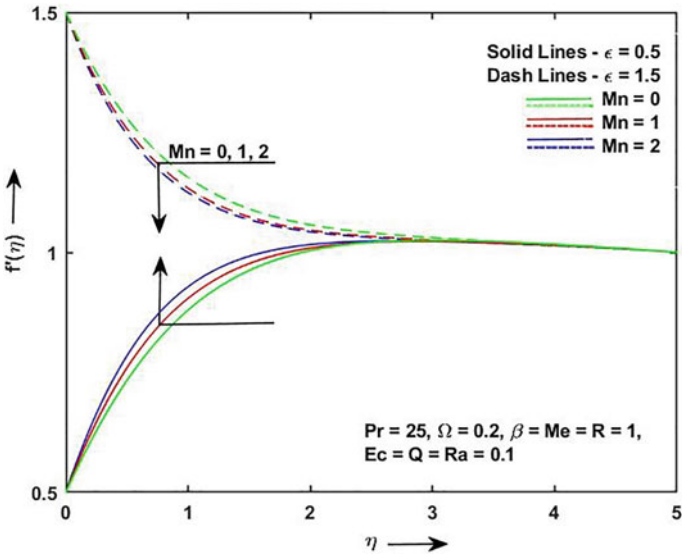


Fig. 3 Magnetic parameter  $Mn$  impact on velocity

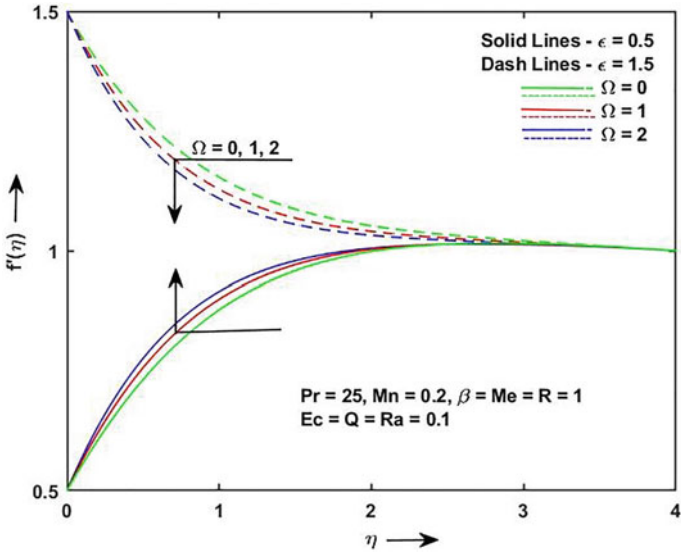


Fig. 4 Permeability parameter  $\Omega$  impact on velocity

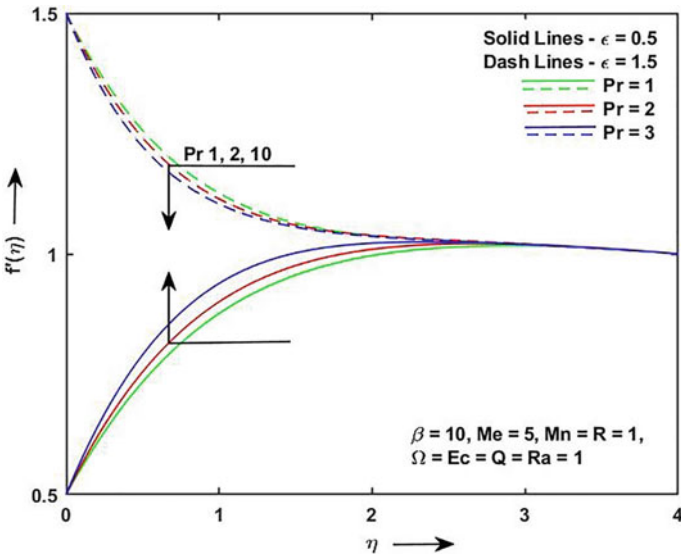


Fig. 5 Prandtl number  $Pr$  impact on velocity

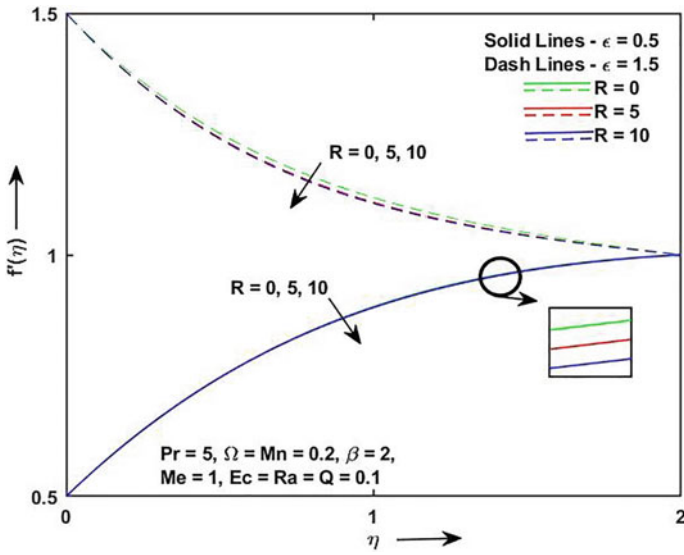


Fig. 6 Radiation parameter R impact on velocity

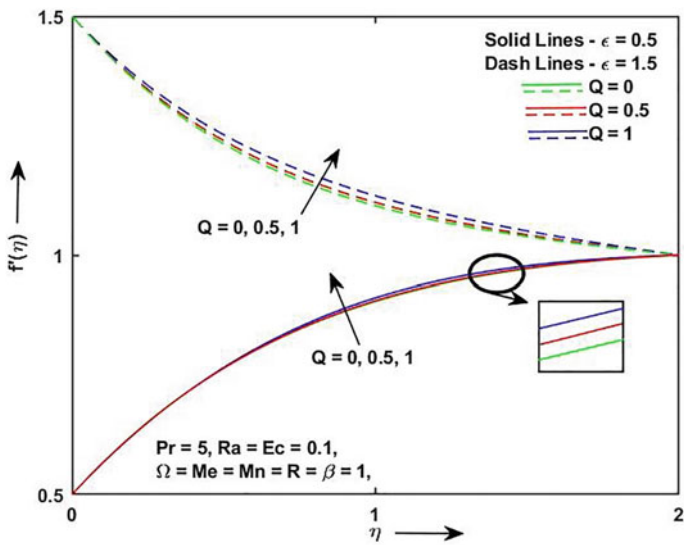


Fig. 7 Temperature gradient dependent heat sink/source parameter Q impact on velocity

= 0.5 and at  $\epsilon = 1.5$  in Fig. 8. Figure 9 displays the rising Eckert number  $Ec$  that decreases the velocity effect at  $\epsilon = 0.5$  and increases the velocity effect at  $\epsilon = 1.5$ .

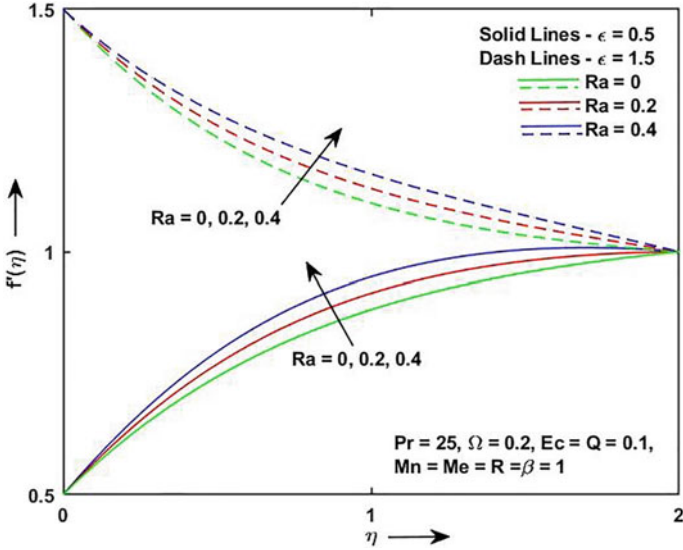


Fig. 8 Rayleigh number  $Ra$  impact on velocity

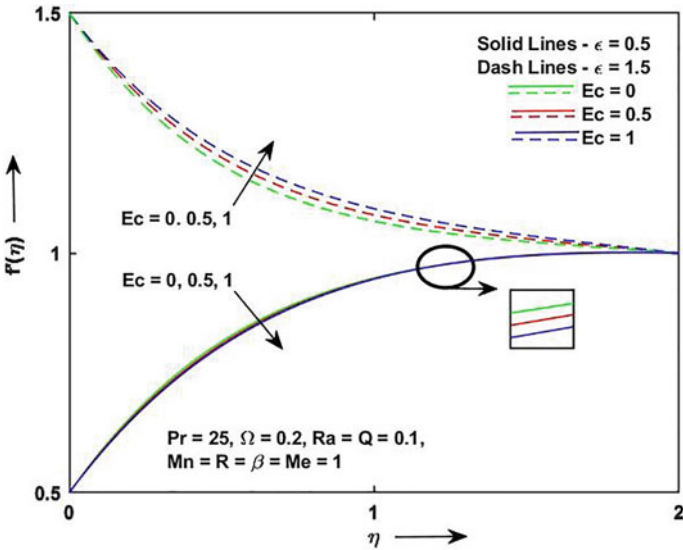


Fig. 9 Eckert number  $Ec$  impact on velocity

Clearly, Fig. 10 shows the temperature effect on the Casson parameter  $\beta$  with magnetic effect. Temperature increases with  $\beta$  due to slight increases in the thermal boundary layer thickness with  $\epsilon = 0.5$  and reverse effect with  $\epsilon = 1.5$ . Figure 11 presents the conclusion of the increasing magnetic parameter Mn on temperature

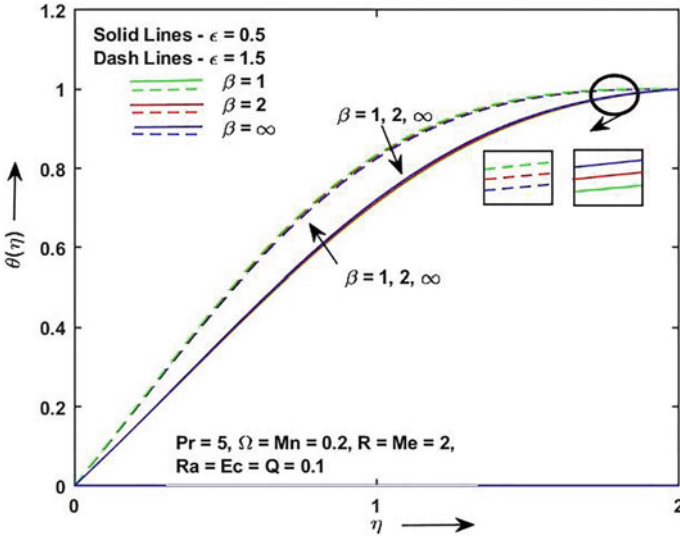


Fig. 10 Casson parameter  $\beta$  impact on temperature

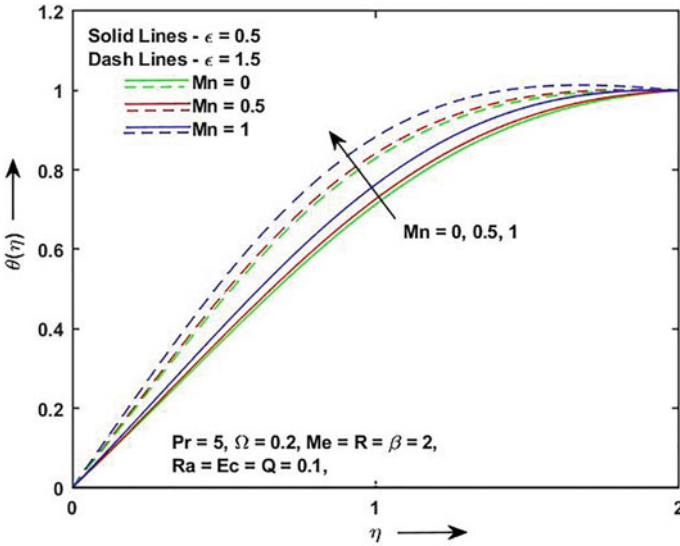
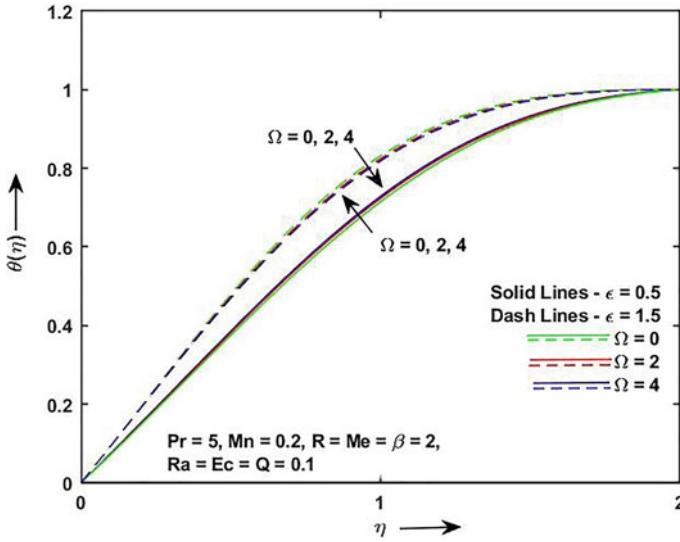


Fig. 11 Magnetic parameter Mn impact on temperature





**Fig. 12** Permeability parameter  $\Omega$  impact on temperature

over a radiating plane stretching plane for  $\epsilon = 0.5$  and  $\epsilon = 1.5$ . From Fig. 12, it is inferred that the temperature improves due to the permeability parameter  $\Omega$  with stretching parameter  $\epsilon = 0.5$  but reverses with  $\epsilon = 1.5$ . The outcome of the permeability parameter  $\Omega$  over non-dimensional temperature outlines is exposed by the diagram. It is detected that a rise of  $\Omega$  rises the temperature a little within the section. Prandtl number  $Pr$  signifies the ratio to momentum and thermal diffusivities. Developed Prandtl number is linked to lower thermal diffusivity. Hence, a negligible amount of heat is transported from heated fluid to the melting surface and as an outcome, the temperature remains developed with Fig. 13. Temperature gradient heat source/sink parameter  $Q$  impacts on temperature is exposed in Fig. 14, i.e., temperature increases with  $\epsilon = 0.5$  and  $\epsilon = 1.5$  when  $Q$  rises. The result of thermal radiation number  $R$  on temperature is inspected in Fig. 15 for  $\epsilon = 0.5$  and  $1.5$ . It is detected that the temperature retards reliably with growing thermal radiation number  $R$ . Also, in Fig. 16, temperature increases when  $Ra$  Rayleigh's number increases. Figure 17 depicts the temperature rises with the rising Eckert number  $Ec$ . Eckert number is the ratio of kinetic energy to heat content. Here kinetic energy grows into internal energy by fluid flow compared to the viscous fluid stresses.

The skin-friction coefficient result of various dimensionless physical parameters alongside  $\epsilon = 0.5$  and  $\epsilon = 1.5$  is discussed in Table 1.

Table 2 shows the Nusselt number for various dimensionless physical parameters with  $\epsilon = 0.5$  and  $\epsilon = 1.5$ .

The current work's validation of skin-friction and Nusselt number impressions is compared to Mabood and Das [20] when non-dimensional physical parameters  $Ra$ ,  $Ec$ , and  $Q$  are zero, as shown in Table 3.

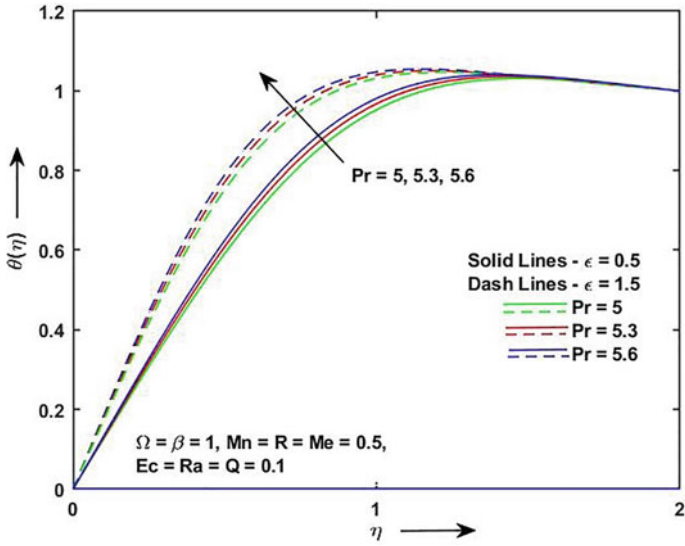


Fig. 13 Prandtl number  $Pr$  impact on temperature

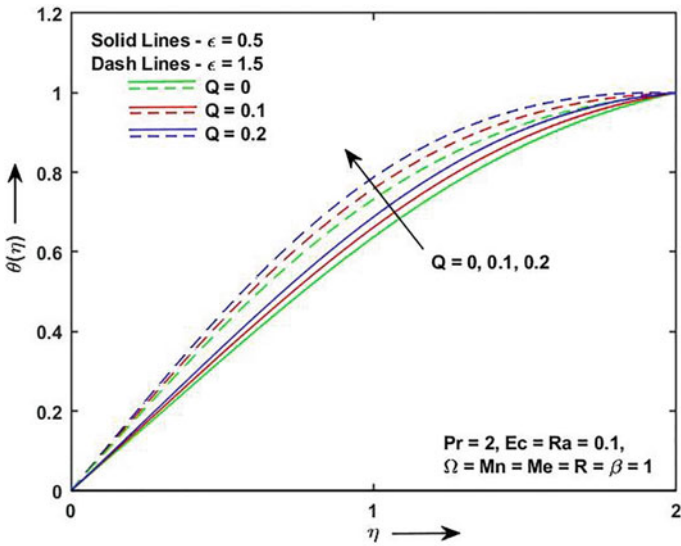


Fig. 14 Temperature gradient dependent heat sink/source parameter  $Q$  impact on temperature

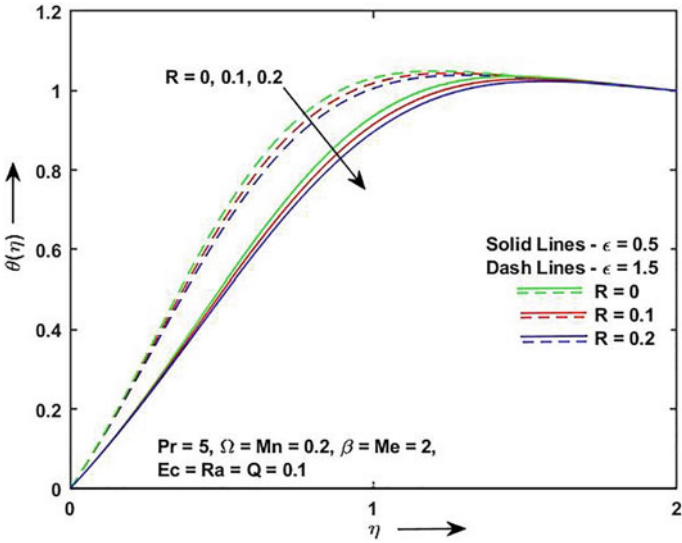


Fig. 15 Radiation parameter R impact on temperature

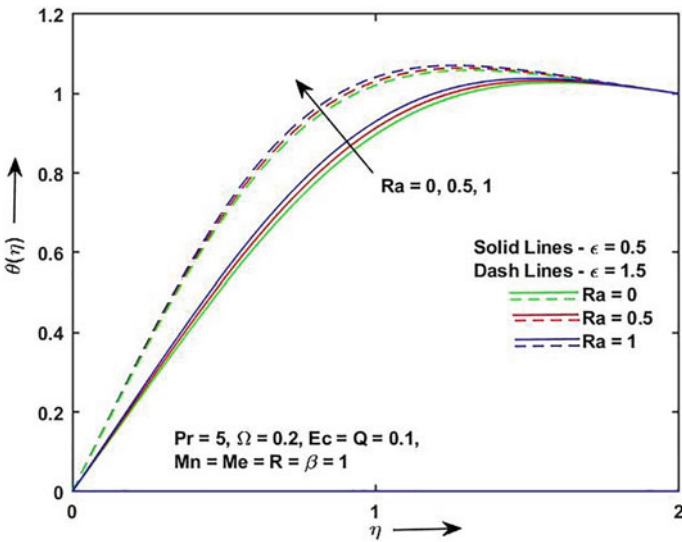


Fig. 16 Rayleigh number Ra impact on temperature

### 4 Conclusion

The existing work gives the mathematical resolutions for steady (time-independent) boundary layer fluid flow and heat transference of MHD Casson fluid happening

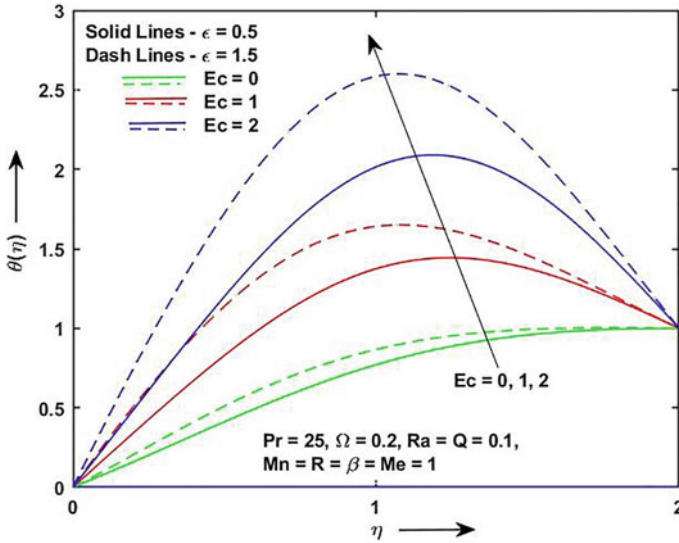


Fig. 17 Eckert number  $Ec$  impact on temperature

through the melting procedure due to stretching plate with radiation and diffusion effect fixed in a porous medium. In this work, the dimensional governing equations are converted into non-dimensional equations with the help of some parallel transformation. The ordinary differential equation solved using the Runge–Kutta–Fehlberg technique with the shooting procedure is described. The weighty outcomes of the effort are as follows:

- Velocity reduction with non-Newtonian Casson fluid parameter, magnetic field, permeability parameter, Prandtl number decrease when stretching parameter is 1.5 and increase when the stretching parameter is 0.5. and the temperature gradient heat source/sink parameter, radiation parameter, and Rayleigh number increase when the stretching parameter is 1.5 and 0.5 both. Velocity increases when the Eckert number along with stretching parameter is 1.5 and reverses the effect when 0.5.
- Temperature declines with non-Newtonian Casson fluid parameter and permeability parameter when the stretching parameter is 1.5 but conversely when the stretching parameter is 0.5 and the radiation parameter declines when the stretching parameters are 1.5 and 0.5. Also, temperature increases with Prandtl number, temperature gradient heat source/sink parameter, Rayleigh number, Eckert number, and the magnetic parameter when the stretching parameter is 1.5 and 0.5.
- The skin-friction coefficient improves with non-Newtonian Casson fluid parameter, magnetic parameter, permeability parameter, Prandtl number, and radiation parameter when the stretching parameter is 0.5 but reverse effect when the

**Table 1** The outcomes of skin-friction coefficient and for the different values of parameters with velocity result on  $\varepsilon = 0.5$  and  $\varepsilon = 1.5$

									$\varepsilon = 0.5$	$\varepsilon = 1.5$
$\beta$	Mn	$\Omega$	Pr	R	Q	Ra	Ec	Me	$f''(0)$	$f''(0)$
<b>1</b>	0.2	0.2	25	1	0.1	0.1	0.1	1	0.58156	-0.599575
<b>2</b>									0.6685	-0.68954
$\infty$									0.8117925	-0.839785
1	<b>0</b>	0.2	25	1	0.1	0.1	0.1	1	0.56318	-0.576781
	<b>1</b>								0.663384	-0.663702
	<b>2</b>								0.757903	-0.7217585
1	0.2	<b>0</b>	25	1	0.1	0.1	0.1	1	0.56091	-0.57905
		<b>1</b>							0.658085	-0.675875
		<b>2</b>							0.74332	-0.76101
10	1	0.1	<b>1</b>	1	0.1	0.1	0.1	5	0.57987511	-0.6356165
			<b>2</b>						0.6509119	-0.701524
			<b>10</b>						0.772362	-0.800739
2	0.2	0.2	5	<b>0</b>	0.1	0.1	0.1	1	0.6077	-0.66177
				<b>5</b>					0.61343	-0.6924
				<b>10</b>					0.61593	-0.70135
1	1	1	5	1	<b>0</b>	0.1	0.1	1	0.70179	-0.7435
					<b>0.5</b>				0.6951	-0.72252
					<b>1</b>				0.68475	-0.68396
1	1	0.2	25	1	0.1	<b>0</b>	0.1	1	0.62745	-0.71182
						<b>0.2</b>			0.68683	-0.65255
						<b>0.4</b>			0.74651	-0.5934
1	1	0.2	25	1	0.1	0.1	<b>0</b>	1	0.912	-0.9761
							<b>0.5</b>		0.8793	-0.90304
							<b>1</b>		0.853255	-0.84485

stretching parameter is 1.5. Skin-friction coefficient diminishes with temperature gradient heat source/sink parameter and Eckert number when the stretching parameter is 0.5 but reverse effect when the stretching parameter is 1.5. Also, the skin-friction coefficient increases when increasing the Rayleigh number for stretching parameters 0.5 and 1.5.

- The local Nusselt number grows with non-Newtonian Casson fluid parameter, and permeability parameter for a stretching parameter value of 0.5 but reverse effect when the stretching parameter is 1.5. Local Nusselt number increases with magnetic parameter, Prandtl number, Rayleigh number, temperature gradient heat source/sink parameter, and Eckert number for stretching parameter values 0.5 and 1.5. Also, the local Nusselt number decreases with increasing radiation parameters for stretching parameters 0.5 and 1.5.

**Table 2** The outcomes of Nusselt number for the different values of parameters with temperature effect on  $\varepsilon = 0.5$  and  $\varepsilon = 1.5$

									$\varepsilon = 0.5$	$\varepsilon = 1.5$
$\beta$	Mn	$\Omega$	Pr	R	Q	Ra	Ec	Me	$\theta'(0)$	$\theta'(0)$
<b>1</b>	0.2	0.2	5	2	0.1	0.1	0.1	2	0.71409	0.94209
<b>2</b>									0.71615	0.93385
$\infty$									0.7209	0.92255
2	<b>0</b>	0.2	5	2	0.1	0.1	0.1	2	0.71125	0.92799
	<b>0.5</b>								0.73298	0.96569
	<b>1</b>								0.78655	1.07745
2	0.2	<b>0</b>	5	2	0.1	0.1	0.1	2	0.7137	0.9342
		<b>2</b>							0.73465	0.93185
		<b>4</b>							0.75015	0.9313
1	0.5	1	<b>5</b>	0.5	0.1	0.1	0.1	0.5	1.232	1.6981
			<b>5.3</b>						1.272	1.7377
			<b>5.6</b>						1.3111	1.7752
2	0.2	0.2	5	<b>0</b>	0.1	0.1	0.1	2	0.81677	1.1258
				<b>0.1</b>					0.81339	1.1185
				<b>0.2</b>					0.80913	1.10942
1	1	1	2	1	<b>0</b>	0.1	0.1	1	0.64797	0.84755
					<b>0.1</b>				0.677	0.88115
					<b>0.2</b>				0.70785	0.9166
1	1	0.2	5	1	0.1	<b>0</b>	0.1	1	1.03155	1.49415
						<b>0.5</b>			1.06909	1.503
						<b>1</b>			1.1099	1.5151
1	1	0.2	25	1	0.1	0.1	<b>0</b>	1	0.76795	0.98225
							<b>1</b>		1.728765	2.68425
							<b>2</b>		2.43932	3.89372

**Table 3** Justification of present work with Mabood and Das [20] of  $f''(0)$  and  $\theta'(0)$  for different parameters of  $\varepsilon$  and Me when Pr = 1, Ra = Q = Ec = 0 and  $\beta \rightarrow \infty$

Parameters		Mabood et al.		Current results	
$\varepsilon$	Me	$f''(0)$	$\theta'(0)$	$f''(0)$	$\theta'(0)$
0.0	0	1.232588	-0.570465	1.232588	-0.570465
	1	1.037003	-0.361961	1.037003	-0.361961
0.5	0	0.713295	-0.692064	0.713295	-0.692064
	1	0.599090	-0.438971	0.599090	-0.438971
2.0	0	-1.887307	-0.979271	-1.887307	-0.979271
	1	-1.580484	-0.621187	-1.580484	-0.621187
5.0	0	-10.264749	-1.396355	-10.264749	-1.396355
	1	-8.5746752	-0.886425	-8.5746752	-0.886425
6.0	0	-13.774813	-1.511165	-13.774813	-1.511165
	1	-11.501531	-0.959514	-11.501531	-0.959514

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# Impact of Hall Current and Rotation on Unsteady MHD Oscillatory Dusty Fluid Flow Through Horizontal Porous Plates



R. Vijayalakshmi, A. Govindarajan, and B. Thiripura Sundari

**Abstract** In this paper, an attempt has been taken to study the impact of Hall current on unsteady Magnetohydrodynamics (MHD) oscillatory dusty fluid flow through horizontal rotating porous plates. On the basis of certain conditions, the momentum and energy equations are derived. The governing equations are non-dimensionalized, simplified, and solved analytically. The closed analytical form solutions for velocity and temperature are obtained. The numerical computations are presented graphically to show the salient features of various physical parameters on the fluid flow. The effect of skin friction and Nusselt number is also analysed qualitatively. Also the results obtained in this paper are compared and validated with the previous literatures.

**Keywords** Hall current · Rotation · Unsteady flow · MHD · Oscillatory · Dusty fluid · Porous plates

## 1 Introduction

In nature, the availability of pure air and water is scarce, since both are contaminated with impurities like carbon dioxide, NH<sub>3</sub>, oxygen and salts, and this leads to the study of physical properties of dusty fluid. This dusty concept is used in nuclear reactor cooling, acoustics, rain erosion of guided missiles, aerosol, paint spraying, etc. Generally, the Hall current term was ignored in many physical problems, as

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R. Vijayalakshmi (✉) · B. Thiripura Sundari  
Department of Mathematics, SRM Institute of Science and Technology, Ramapuram, Chennai,  
India  
e-mail: [vijayalr1@srmist.edu.in](mailto:vijayalr1@srmist.edu.in)

B. Thiripura Sundari  
e-mail: [tb6934@srmist.edu.in](mailto:tb6934@srmist.edu.in)

A. Govindarajan  
Department of Mathematics, SRM Institute of Science and Technology, Kattankulathur, Chennai,  
India  
e-mail: [govindaa@srmist.edu.in](mailto:govindaa@srmist.edu.in)

it marks less effect for small and moderate values of magnetic field. However, the current trend of MHD is towards a strong magnetic field, and hence the Hall current term makes a remarkable effect on the magnitude and direction of current density and consequently on the magnetic force term.

Saffman [1] studied the nature of dusty laminar flow in the absence of volume fraction. Nandkeolyar [2] made a detailed study on the unsteady convective flow of a dusty fluid moving through a vertical plate. Makinde et al. [3] studied the heat transfer of dusty fluid with Navier slip condition through a channel with variable physical properties.

Recently, the importance of MHD fluid in real-life applications was investigated by Devendra Kumar et al. [24] and Jagdev Singh et al. [25].

Tani [5] discussed the Hall effect for steady-state motion of conducting fluids. Soundalgekar et al. [6] investigated the impact of Hall and ion slip in hydromagnetic Couette flow. He [7] also extended his research with heat transfer for the same geometry.

Hazem Attia [8] analysed the impact of Hall current for an unsteady Hartmann flow. Hazem Attia [9, 10] also extended his research by varying physical properties and uniform suction/injection for the same physical configuration.

Olajuwon et al. [11] considered the impact of thermal radiation, Hall current, and heat and mass transfer on an unsteady hydromagnetic viscoelastic micropolar fluid flow through a porous channel. Singh et al. [12] studied the impact of thermal radiation and Hall current effect on mixed convection hydromagnetic flow through a vertical porous channel.

Khem Chand et al. [13] made an analysis on the influence of Hall effect and heat and mass transfer for an oscillating viscoelastic fluid with Navier slip conditions. Khem Chand [14] also worked on the impact of radiation, Hall current and chemical reaction effects on rotating hydromagnetic oscillatory dusty viscoelastic flow.

Khan et al. [15] studied the impact of Hall current for a generalized Oldroyd-B fluid. For a non-grey gas, the differential approximation for radiative transfer was given by Cogley et al. [4]. Hazem Attia [16] studied the Hall effect and heat transfer for a dusty Couette flow under exponential decaying pressure gradient.

Das et al. [17] worked on the free convective flow of nanofluid through an oscillating porous plate with Hall effects. Makinde et al. [18, 19] observed Hall effects on unsteady MHD flow with Arrhenius reaction rate through a porous channel.

Many investigations were carried out by Govindarajan et al. [20–23] to study the nature and physical properties of dusty fluid flow through various porous channels.

Also Khem Chand et al. [26] made a detailed analysis on the effect of Hall current and heat transfer on MHD oscillating dusty fluid flow through a rotating channel with porous medium.

Inspired by all works, it is found that no researcher has discussed the topic impact of Hall current and rotation on unsteady hydromagnetic dusty fluid flow through horizontal plates with porous medium. The current paper discusses this problem.

## 2 Mathematical Formulation

Let the fluid moves between horizontal porous plates located at  $z^* = \left(-\frac{d}{2}, \frac{d}{2}\right)$  as shown in Fig. 1. The fluid is injected with velocity  $w_0$  through the lower porous plate and simultaneously removed with the suction velocity  $w_0$  through the upper porous plate.

The particles of dust are round in shape, non-conducting, and spread uniformly throughout the fluid. They are enough large, so that they are not coming out through the porous plates. The lower porous plate is kept at temperature  $T_0^*$  and the upper porous plate is kept at temperature  $T_w^*$  with  $T_w^* > T_0^*$ .

The velocities of fluid and dust particles are  $\mathbf{u}(u, v, w)$ ,  $\mathbf{u}_d(u_d, v_d, w_d)$ . The magnetic field and angular velocity are  $\mathbf{B}(0, 0, B_0)$  and  $\Omega(0, 0, \Omega_0)$ . The impact of viscous and Joule’s dissipation is not considered in the energy equation. A pressure gradient changing with time and a magnetic field of strength  $B_0$  are considered in the  $x^*$ -direction and  $z^*$ -direction, respectively. Let the magnetic Reynolds number be very small, so that the induced magnetic field is neglected.

Let the whole system be rotated with constant angular velocity  $\Omega_0$  about  $z^*$ -axis. It is necessary to obtain the velocity and temperature of fluid as well as temperature of dust particles. As the plates are long in  $x^*$ - and  $y^*$ -directions, all physical quantities are dependent only on  $z^*$  and  $t^*$  except the pressure.

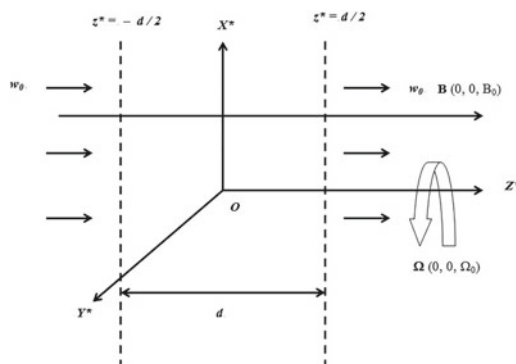


Fig. 1 Geometry of flow

## Governing Equations

### Fluid Phase

#### Momentum Equation

$$\frac{\partial u^*}{\partial t^*} + w_0 \frac{\partial u^*}{\partial z^*} - 2 \Omega^* v^* = -\frac{1}{\rho} \frac{\partial P^*}{\partial x^*} + \nu \frac{\partial^2 u^*}{\partial z^{*2}} - \frac{\nu}{K^*} u^* + \frac{\sigma_e B_0^2 (m v^* - u^*)}{\rho (1 + m^2)} + g \beta_T (T^* - T_0^*) + \frac{K_0 N_0 (u_d^* - u^*)}{\rho} \tag{1}$$

$$\frac{\partial v^*}{\partial t^*} + w_0 \frac{\partial v^*}{\partial z^*} + 2 \Omega^* u^* = -\frac{1}{\rho} \frac{\partial P^*}{\partial y^*} + \nu \frac{\partial^2 v^*}{\partial z^{*2}} - \frac{\nu}{K^*} v^* - \frac{\sigma_e B_0^2 (m u^* + v^*)}{\rho (1 + m^2)} + \frac{K_0 N_0 (v_d^* - v^*)}{\rho} \tag{2}$$

$$-\frac{1}{\rho} \frac{\partial P^*}{\partial z^*} = 0 \tag{3}$$

#### Energy Equation

$$\frac{\partial T^*}{\partial t^*} + w_0 \frac{\partial T^*}{\partial z^*} = \frac{k}{\rho C_p} \frac{\partial^2 T^*}{\partial z^{*2}} + \frac{1}{\rho C_p} \frac{\partial q^*}{\partial z^*} + \frac{\mu}{\rho C_p} \left( \frac{\partial u^*}{\partial z^*} \right)^2 + \frac{\sigma_e B_0^2 (u^*)^2}{\rho} + \frac{\rho_d C_d (T_d^* - T^*)}{\rho C_p \gamma_T} \tag{4}$$

Here the term  $\frac{\mu}{\rho C_p} \left( \frac{\partial u^*}{\partial z^*} \right)^2$  represents viscous dissipation,  $\frac{\sigma_e B_0^2 (u^*)^2}{\rho}$  represents Joule dissipation, and  $\frac{\rho_d C_d (T_d^* - T^*)}{\rho C_p \gamma_T}$  represents the heat conduction between the fluid and dust particles.

### Particle Phase

#### Momentum Equation

$$m_d \left[ \frac{\partial u_d^*}{\partial t^*} - 2 \Omega^* v_d^* \right] = K_0 (u^* - u_d^*) \tag{5}$$

$$m_d \left[ \frac{\partial v_d^*}{\partial t^*} + 2 \Omega^* u_d^* \right] = K_0 (v^* - v_d^*) \tag{6}$$

#### Energy Equation

$$\frac{\partial T_d^*}{\partial t^*} = -\frac{1}{\gamma_T} (T_d^* - T^*) \tag{7}$$

**Boundary Conditions**

$$u^* = v^* = u_d^* = v_d^* = 0, T^* = T_0^*, T_d^* = T_0^* \text{ at } z^* = -\frac{d}{2} \tag{8}$$

$$\left. \begin{aligned} u^* = v^* = u_d^* = v_d^* = 0, T^* = T_0^* + (T_w^* - T_0^*) \cos(\omega^* t^*) \\ T_d^* = T_0^* + (T_w^* - T_0^*) \cos(\omega^* t^*) \end{aligned} \right\} \text{ at } z^* = \frac{d}{2} \tag{9}$$

According to Cogley [4],

$$\frac{\partial q^*}{\partial z^*} = 4 \alpha^2 (T^* - T_0^*) \tag{10}$$

The above set of governing equations are altered into non-dimensional form by the dimensionless parameters.

**Dimensionless Parameters**

$$\begin{aligned} x &= \frac{x^*}{d}, y = \frac{y^*}{d}, z = \frac{z^*}{d}, t = \frac{t^* w_0}{d}, \\ \omega &= \frac{\omega^* d}{w_0}, u = \frac{u^*}{w_0}, v = \frac{v^*}{w_0}, \\ u_d &= \frac{u_d^*}{w_0}, v_d = \frac{v_d^*}{w_0}, \\ \theta &= \frac{T^* - T_0^*}{T_w^* - T_0^*}, \theta_d = \frac{T_d^* - T_0^*}{T_w^* - T_0^*}, Da = \frac{K^*}{d^2}, \\ s^2 &= \frac{1}{Da}, M^2 = \frac{\sigma_e B_0^2 d^2}{\rho \nu}, P = \frac{P^*}{\rho w_0^2}, \\ Pr &= \frac{\nu \rho C_p}{k}, N^2 = \frac{4 \alpha^2 d^2}{k}, Gr = \frac{g \beta_T (T_w^* - T_0^*) d^2}{\nu w_0}, \\ R &= \frac{K_0 N_0 d^2}{\rho \nu}, G = \frac{m_d \nu}{K_0 d^2}, \\ L_0 &= \frac{d}{w_0 \gamma_T}, \gamma_T = \frac{3 Pr \gamma_p C_d}{2 C_p}, \gamma_p = \frac{2 \rho_s D^2}{9 \mu}, \\ \rho_s &= \frac{3 \rho_d}{4 \pi D^3 N_0}, \Omega = \frac{\Omega^* d^2}{\nu}, S = \frac{w_0 d}{\nu} \end{aligned} \tag{11}$$

where  $\rho$  is density of the fluid,  $\rho_d$  is mass of dust particles per unit volume of the fluid,  $\rho_s$  is density of material in the dust particles,  $\gamma_T$  is temperature relaxation time,  $\gamma_p$  is velocity relaxation time,  $C_d$  is specific heat capacity of dust particles,  $C_p$  is specific heat capacity of fluid at constant pressure,  $m_d$  is average mass of dust particles,  $R$  is particle concentration parameter,  $G$  is particle mass parameter,

$K_0 = 6\pi\rho\nu D$  Stokes' drag constant,  $\theta$  is dimensionless fluid temperature function,  $\theta_d$  is dimensionless dust particles temperature function,  $Da$  is Darcy number,  $s$  is porous medium shape factor term,  $P$  is pressure term,  $Pr$  is Prandtl number,  $N$  is thermal radiation parameter,  $Gr$  is Grashof number for heat transfer,  $S$  is suction parameter, and  $\Omega$  is rotation parameter.

### 3 Solution of the Problem

Equations (1–9) are solved using Eqs. (10) and (11).

#### Fluid Phase

$$\frac{\partial u}{\partial t} + \frac{\partial u}{\partial z} - \frac{2 \Omega v}{S} = -\frac{\partial P}{\partial x} + \frac{1}{S} \frac{\partial^2 u}{\partial z^2} - \frac{s^2}{S} u + \frac{M^2}{S} \frac{(m v - u)}{1 + m^2} + \frac{Gr \theta}{S} + \frac{R}{S} (u_d - u) \tag{12}$$

$$\frac{\partial v}{\partial t} + \frac{\partial v}{\partial z} + \frac{2 \Omega u}{S} = -\frac{\partial P}{\partial y} + \frac{1}{S} \frac{\partial^2 v}{\partial z^2} - \frac{s^2}{S} v - \frac{M^2}{S} \frac{(m u + v)}{1 + m^2} + \frac{R}{S} (v_d - v) \tag{13}$$

$$\frac{\partial \theta}{\partial t} + \frac{\partial \theta}{\partial z} = \frac{1}{S Pr} \frac{\partial^2 \theta}{\partial z^2} + \frac{N^2}{S Pr} \theta + \frac{2 R}{3 S Pr} (\theta_d - \theta) \tag{14}$$

#### Particle Phase

$$\frac{\partial u_d}{\partial t} - \frac{2 \Omega}{S} v_d = \frac{1}{G S} (u - u_d) \tag{15}$$

$$\frac{\partial v_d}{\partial t} + \frac{2 \Omega}{S} u_d = \frac{1}{G S} (v - v_d) \tag{16}$$

$$\frac{\partial \theta_d}{\partial t} = -L_0 (\theta_d - \theta) \tag{17}$$

#### Boundary Conditions

$$u = 0, v = 0, u_d = 0, v_d = 0, \theta = 0, \theta_d = 0 \text{ at } z = -\frac{1}{2} \tag{18}$$

$$u = 0, v = 0, u_d = 0, v_d = 0, \theta = \cos(\omega t), \theta_d = \cos(\omega t) \text{ at } z = \frac{1}{2} \tag{19}$$

Since the fluid flows under the pressure gradient varying with time along the  $x^*$ -axis, let  $-\frac{\partial P^*}{\partial x^*} = A e^{i \omega t}$ ;  $\frac{\partial P^*}{\partial y^*} = 0$ , where  $A$  is the amplitude of pressure gradient. Since  $\frac{\partial P^*}{\partial z^*} = 0$ , the fluid pressure is constant along the axis of rotation.

Introduce the complex velocities for fluid and dust particles of the form  $F = u + i v$  and  $F_d = u_d + i v_d$  where  $i = \sqrt{-1}$ .

Equations (12) & (13) and (15) & (16) can be combined into following equations:

### Fluid Phase

$$\begin{aligned} \frac{\partial F}{\partial t} + \frac{\partial F}{\partial z} + \frac{2 \Omega i F}{S} = & -\frac{\partial P}{\partial x} + \frac{1}{S} \frac{\partial^2 F}{\partial z^2} \\ & - \frac{s^2}{S} F - \frac{M^2 F}{S(1+m^2)} (1+i m) \\ & + \frac{Gr \theta}{S} + \frac{R}{S} (F_d - F) \end{aligned} \quad (20)$$

$$\begin{aligned} \frac{\partial \theta}{\partial t} + \frac{\partial \theta}{\partial z} = & \frac{1}{S Pr} \frac{\partial^2 \theta}{\partial z^2} + \frac{N^2}{S Pr} \theta \\ & + \frac{2 R}{3 S Pr} (\theta_d - \theta) \end{aligned} \quad (21)$$

### Particle Phase

$$\frac{\partial F_d}{\partial t} + \frac{2 \Omega i F_d}{S} = \frac{1}{G S} (F - F_d) \quad (22)$$

$$\frac{\partial \theta_d}{\partial t} = -L_0 (\theta_d - \theta) \quad (23)$$

### Boundary Conditions

$$F = 0, F_d = 0, \theta = 0, \theta_d = 0, \text{ at } z = -\frac{1}{2} \quad (24)$$

$$F = 0, F_d = 0, \theta = \cos(\omega t), \theta_d = \cos(\omega t) \text{ at } z = \frac{1}{2} \quad (25)$$

For an oscillatory flow, let

$$\begin{aligned} F(z, t) = F_0(z) e^{i \omega t}; \quad F_d(z, t) = F_{d_0}(z) e^{i \omega t}; \\ \theta(z, t) = \theta_0(z) e^{i \omega t}; \quad \theta_d(z, t) = \theta_{d_0}(z) e^{i \omega t} \end{aligned} \quad (26)$$

Equations (20–25) are solved using Eq. (26).

**Fluid Phase**

$$\frac{d^2 F_0}{dz^2} - S \frac{dF_0}{dz} - m_2^2 F_0 = -A S - Gr \theta_0 \tag{27}$$

$$\frac{d^2 \theta_0}{dz^2} - S Pr \frac{d\theta_0}{dz} + m_1^2 \theta_0 = 0 \tag{28}$$

**Particle Phase**

$$F_{d_0} = \left( \frac{1}{1 + 2 i \Omega G + i \omega G S} \right) F_0 \tag{29}$$

$$\theta_{d_0} = \left( \frac{L_0}{L_0 + i \omega} \right) \theta_0 \tag{30}$$

**Boundary Conditions**

$$F_0 = 0, F_{d_0} = 0, \theta_0 = 0, \theta_{d_0} = 0, \text{ at } z = -\frac{1}{2} \tag{31}$$

$$F_0 = 0, F_{d_0} = 0, \theta_0 = 1, \theta_{d_0} = 1 \text{ at } z = \frac{1}{2} \tag{32}$$

where the constants are given by

$$m_1^2 = N^2 - \frac{2 R}{3} + \frac{2 R}{3} \left( \frac{L_0}{L_0 + i \omega} \right) - i \omega S Pr \tag{33}$$

$$m_2^2 = s^2 + \frac{M^2 (1 + i m)}{1 + m^2} + R + i \omega S + 2 \Omega i - \frac{1}{1 + 2 i \Omega G + i \omega G S} \tag{34}$$

Equations (27–30) are solved using Eqs. (31) and (32) to get the temperature and velocity of fluid as well as dust particles, respectively.

**Temperature**

**Fluid Phase**

$$\theta(z, t) = \left( \frac{e^{-\frac{t_2}{2}} e^{t_1 z} - e^{-\frac{t_1}{2}} e^{t_2 z}}{2 \sinh \left( \frac{t_1 - t_2}{2} \right)} \right) e^{i \omega t} \tag{35}$$



**Particle Phase**

$$\theta_d(z, t) = \left( \frac{L_0}{L_0 + i \omega} \right) \theta(z, t) \tag{36}$$

**Velocity**

**Fluid Phase**

$$F(z, t) = C_1 e^{t_3 z} + C_2 e^{t_4 z} + \frac{A S}{m_2^2} - \frac{Gr}{2 \sinh\left(\frac{t_1 - t_2}{2}\right)} \left[ \frac{e^{-\frac{t_2}{2}} e^{t_1 z}}{t_1^2 - S t_1 - m_2^2} - \frac{e^{-\frac{t_1}{2}} e^{t_2 z}}{t_2^2 - S t_2 - m_2^2} \right] e^{i \omega t} \tag{37}$$

**Particle Phase**

$$F_d(z, t) = \left( \frac{1}{1 + 2 i \Omega \frac{G}{G + i \omega G S}} \right) F(z, t) \tag{38}$$

where

$$t_1 = \frac{S Pr + \sqrt{(S Pr)^2 - 4 m_1^2}}{2},$$

$$t_2 = \frac{S Pr - \sqrt{(S Pr)^2 - 4 m_1^2}}{2},$$

$$t_3 = \frac{S + \sqrt{S^2 + 4 m_2^2}}{2}, t_4 = \frac{S - \sqrt{S^2 + 4 m_2^2}}{2},$$

$$t_5 = -\frac{A S}{m_2^2}, t_6 = \frac{Gr}{2 \sinh\left(\frac{t_1 - t_2}{2}\right)},$$

$$t_7 = e^{-\left(\frac{t_1 + t_2}{2}\right)}, t_8 = e^{\left(\frac{t_1 - t_2}{2}\right)}, t_9 = e^{-\left(\frac{t_1 - t_2}{2}\right)},$$

$$t_{10} = \frac{1}{t_1^2 - S t_1 - m_2^2}, t_{11} = \frac{1}{t_2^2 - S t_2 - m_2^2},$$

$$C_1 = \frac{(t_5 + t_6 t_7 t_{10} - t_6 t_7 t_{11}) e^{\frac{t_4}{2}} - (t_5 + t_6 t_8 t_{10} - t_6 t_9 t_{11}) e^{-\frac{t_4}{2}}}{e^{-\frac{t_3}{2}} e^{\frac{t_4}{2}} - e^{-\frac{t_4}{2}} e^{\frac{t_3}{2}}}$$

$$C_2 = \frac{(t_5 + t_6 t_8 t_{10} - t_6 t_9 t_{11}) e^{-\frac{t_3}{2}} - (t_5 + t_6 t_7 t_{10} - t_6 t_7 t_{11}) e^{\frac{t_3}{2}}}{e^{-\frac{t_3}{2}} e^{\frac{t_4}{2}} - e^{-\frac{t_4}{2}} e^{\frac{t_3}{2}}}$$

**Skin Friction**

**Fluid Phase**

$$\tau = \left[ \mu \frac{\partial F}{\partial z} \right] \text{ at } z = \left( -\frac{1}{2}, \frac{1}{2} \right). \tag{39}$$

$$\tau = \mu \left( C_1 t_3 e^{t_3 z} + C_2 t_4 e^{t_4 z} - \frac{Gr}{2 \sinh \left( \frac{t_1 - t_2}{2} \right)} \left[ \frac{t_1 e^{-\frac{t_2}{2}} e^{t_1 z}}{t_1^2 - S t_1 - m_2^2} - \frac{t_2 e^{-\frac{t_1}{2}} e^{t_2 z}}{t_2^2 - S t_2 - m_2^2} \right] \right) e^{i \omega t} \tag{40}$$

**Particle Phase**

$$\tau_d = \left[ \mu \frac{\partial F_d}{\partial z} \right] \text{ at } z = \left( -\frac{1}{2}, \frac{1}{2} \right). \tag{41}$$

$$\tau_d = \mu \left( \frac{1}{1 + 2 i \Omega G + i \omega G S} \left( C_1 t_3 e^{t_3 z} + C_2 t_4 e^{t_4 z} - \frac{Gr}{2 \sinh \left( \frac{t_1 - t_2}{2} \right)} \left[ \frac{t_1 e^{-\frac{t_2}{2}} e^{t_1 z}}{t_1^2 - S t_1 - m_2^2} - \frac{t_2 e^{-\frac{t_1}{2}} e^{t_2 z}}{t_2^2 - S t_2 - m_2^2} \right] \right) \right) e^{i \omega t} \tag{42}$$

**Nusselt Number**

**Fluid Phase**

$$Nu = - \left[ \frac{\partial \theta}{\partial z} \right] \text{ at } z = \left( -\frac{1}{2}, \frac{1}{2} \right). \tag{43}$$

$$Nu = - \left( \frac{t_1 e^{-\frac{t_2}{2}} e^{t_1 z} - t_2 e^{-\frac{t_1}{2}} e^{t_2 z}}{2 \sinh \left( \frac{t_1 - t_2}{2} \right)} \right) e^{i \omega t} \text{ at } z = \left( -\frac{1}{2}, \frac{1}{2} \right) \tag{44}$$

**Particle Phase**

$$Nu = - \left[ \frac{\partial \theta_d}{\partial z} \right] \text{ at } z = \left( -\frac{1}{2}, \frac{1}{2} \right). \tag{45}$$

$$Nu = - \left( \frac{L_0}{L_0 + i \omega} \right) \left( \frac{t_1 e^{-\frac{t_2}{2}} e^{t_1 z} - t_2 e^{-\frac{t_1}{2}} e^{t_2 z}}{2 \sinh \left( \frac{t_1 - t_2}{2} \right)} \right) e^{i \omega t} \tag{46}$$

at  $z = \left( -\frac{1}{2}, \frac{1}{2} \right)$

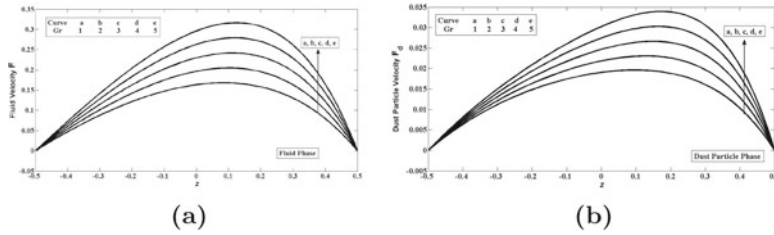
**4 Graphical Results and Discussions**

The results derived by the analytical method are graphically plotted using the software MATLAB R2021b. The following parameter values are used to plot all graphs in this paper as the fluid under consideration is mixed convective laminar dusty fluid flow:  $1 \leq Gr \leq 5, 1 \leq M \leq 5, 2.7 \leq N \leq 3.1, 5 \leq G \leq 25, 5 \leq R \leq 25, 0 \leq L_0 \leq 20, 1 \leq s \leq 5, -3 \leq S \leq 3, 0.5 \leq A \leq 2.5, 1 \leq \omega \leq 5, 0.5 \leq t \leq 2.5, 5 \leq m \leq 25, 5 \leq \Omega \leq 25$ . Prandtl number is taken as  $Pr = 0.71$  that corresponds to air at  $20^\circ \text{ C}$ . For each parameter, the graphs are drawn to show the effect of non-linear terms.

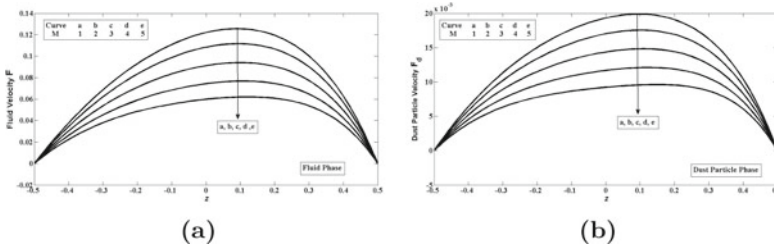
Figures 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14 demonstrate the impact of different parameters on velocity of fluid as well as velocity of dust particles. The nature of the partial differential equation related to velocity is parabolic. Also the velocity profiles of fluid and dust particles attain utmost value in the centre of the plate and attain least value near the walls of the plate, satisfying the prescribed boundary conditions.

Figures 15, 16, 17, 18, 19, 20 show the impact of various physical parameters on temperature of fluid as well as temperature of dust particles. It is also noted that the nature of the curve showing temperature profiles is also parabolic. Moreover, the temperature profiles of fluid and temperature profiles of dust particles reach its maximum value in the centre of the plate ( $z = 0$ ).

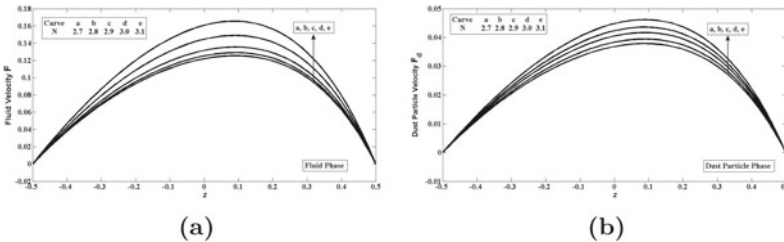
The skin friction coefficient (SFC) and the rate of heat transfer for fluid and dust particles by varying various pertinent parameters are tabulated. Tables 1, 2, 3, 4.



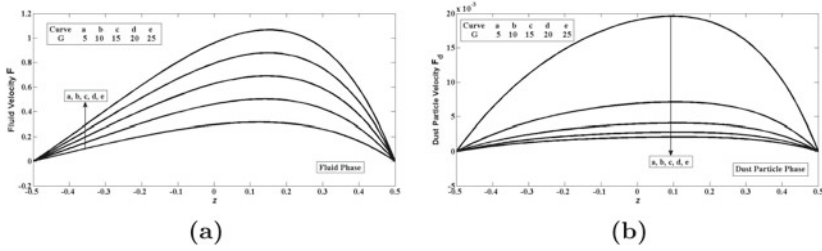
**Fig. 2** Impact of  $Gr$  on **a** Velocity of fluid ( $F$ ), **b** Velocity of dust particles ( $F_d$ ), with  $Pr = 0.71$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$  While enhancing the value of Grashof number for heat transfer ( $Gr$ ), the velocity of fluid and velocity of dust particles increase gradually. It is clear that  $Gr$  has significant effect on velocity of fluid as well as velocity of dust particles. This is due to buoyancy force



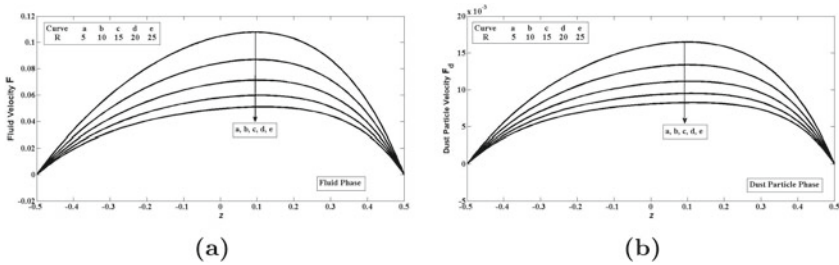
**Fig. 3** Impact of  $M$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$  Increase in the value of Hartmann number ( $M$ ) decreases the velocity of fluid as well as velocity of dust particles evenly. This is due to the transverse magnetic field intensity, which gives rise to a resistive force called Lorentz force. This force slows down the motion. It is clear that  $M$  has significant effect on velocity of fluid as well as velocity of dust particles. This result coincides with the result obtained by Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



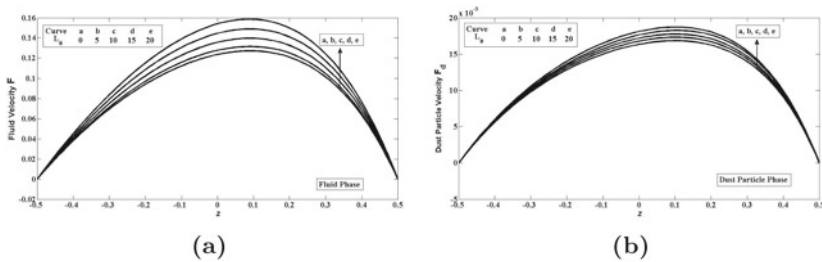
**Fig. 4** Impact of  $N$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . Increase in the value of radiation parameter ( $N$ ) increases the velocity of fluid as well as velocity of dust particles. It is observed from the figures that  $N$  shows significant effect on velocity of fluid as well as velocity of dust particles



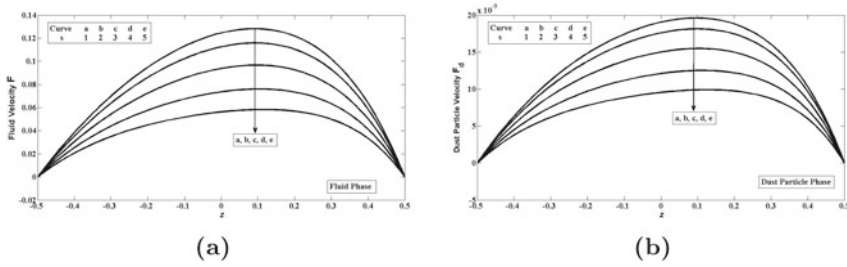
**Fig. 5** Impact of  $G$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . While augmenting the value of particle mass parameter ( $G$ ), it is found that the velocity of fluid increases uniformly. But, the velocity of dust particles decreases rapidly.  $G$  has remarkable effect on velocity of fluid as well as velocity of dust particles



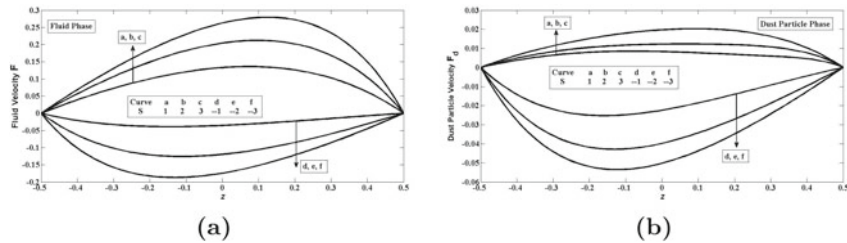
**Fig. 6** Impact of  $R$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . Rise in the value of particle concentration parameter ( $R$ ) decreases the velocity of fluid as well as velocity of dust particles gently.  $R$  has major impact on velocity of fluid as well as velocity of dust particles. This fact coincides with the result of Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



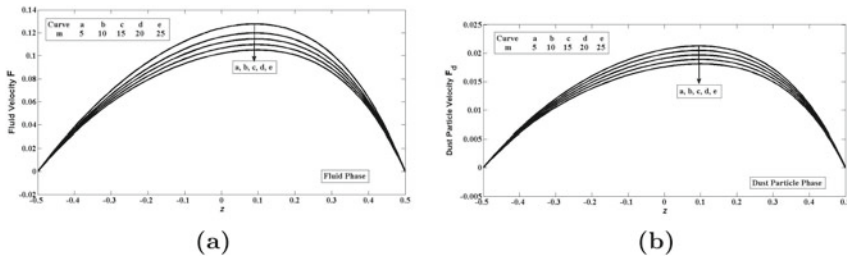
**Fig. 7** Impact of  $L_0$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . It is noteworthy to mention that an increase in the value of temperature relaxation time parameter ( $L_0$ ) increases the velocity of fluid as well as velocity of dust particles.  $L_0$  has major impact on velocity of fluid as well as velocity of dust particles. This result coincides with the observation done by Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



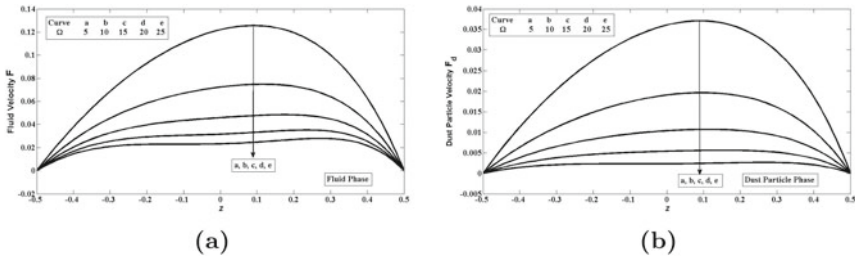
**Fig. 8** Impact of  $s$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . It is noted that the velocity of fluid and velocity of dust particles decrease steadily for an increase in the value of porous medium shape factor ( $s$ ).  $s$  has considerable impact on velocity of fluid as well as velocity of dust particles



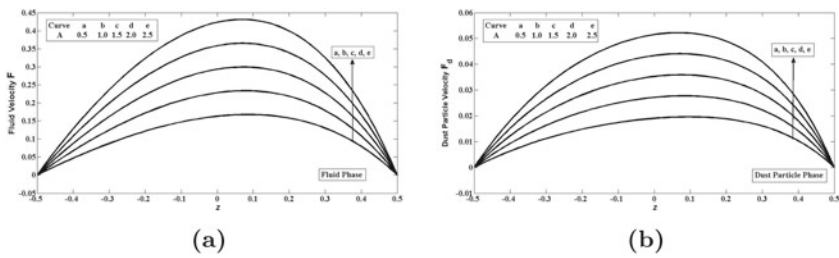
**Fig. 9** Impact of  $S$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . It is evident that the velocity of fluid and velocity of dust particles increase for positive values of suction/injection parameter ( $S$ ), decrease for negative values.  $S$  has remarkable impact on velocity of fluid as well as velocity of dust particles



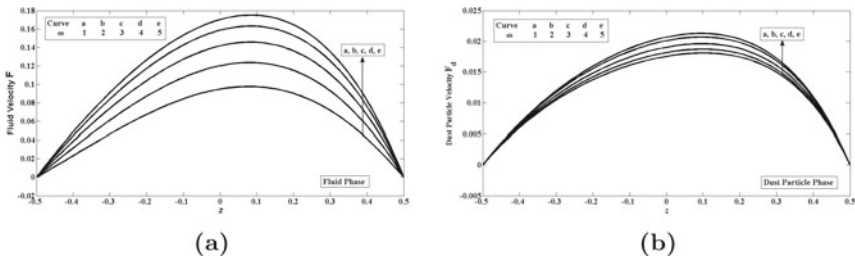
**Fig. 10** Impact of  $m$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . Rise in the value of Hall parameter ( $m$ ) decreases the velocity of fluid as well as velocity of dust particles uniformly.  $m$  has significant influence on velocity of fluid as well as velocity of dust particles. This result agrees with Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



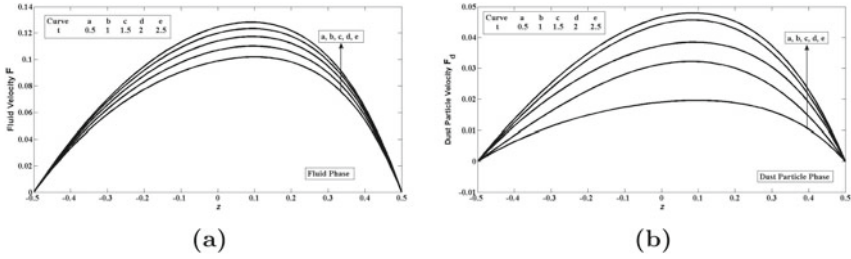
**Fig. 11** Impact of  $\Omega$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71; Gr = 1; M = 1; N = 1; G = 1; R = 1.5; L_0 = 1; s = 1; S = 1.5; m = 1; A = 1; \omega = 1; t = 0.5$ . Increase in the value of rotation parameter ( $\Omega$ ) decreases the velocity of fluid as well as velocity of dust particles.  $\Omega$  makes notable change on velocity of fluid as well as velocity of dust particles



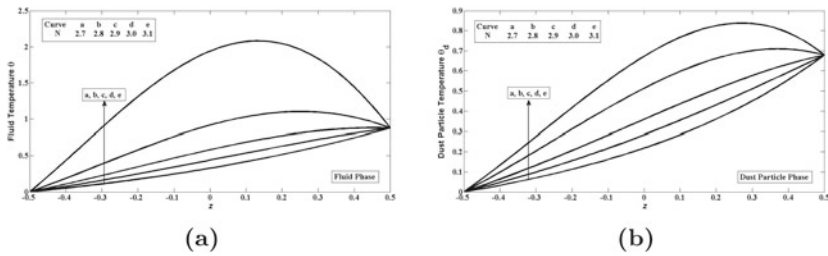
**Fig. 12** Impact of  $A$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71; Gr = 1; M = 1; N = 1; G = 1; R = 1.5; L_0 = 1; s = 1; S = 1.5; m = 1; \Omega = 5; \omega = 1; t = 0.5$ . The rise in the value of amplitude of pressure gradient ( $A$ ) increases the velocity of fluid as well as velocity of dust particles gradually.  $A$  has major impact on the velocity of fluid as well as velocity of dust particles. This observation adapts with the earlier results of Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



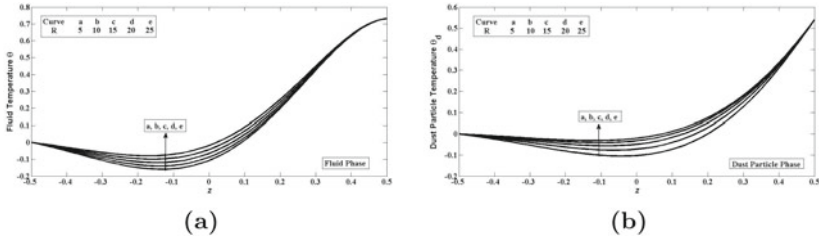
**Fig. 13** Impact of  $\omega$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71; Gr = 1; M = 1; N = 1; G = 1; R = 1.5; L_0 = 1; s = 1; S = 1.5; m = 1; \Omega = 5; A = 1; t = 0.5$ . Increase in the value of frequency of oscillation parameter ( $\omega$ ) rises the velocity of fluid rapidly when compared to that of dust particles.  $\omega$  has major impact on the velocity of fluid as well as velocity of dust particles



**Fig. 14** Impact of  $t$  on **a**  $F$ , **b**  $F_d$ , with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ . Increase in the value of time ( $t$ ) uniformly increases the velocity of fluid than that of dust particles.  $t$  has remarkable impact on the velocity of fluid as well as velocity of dust particles

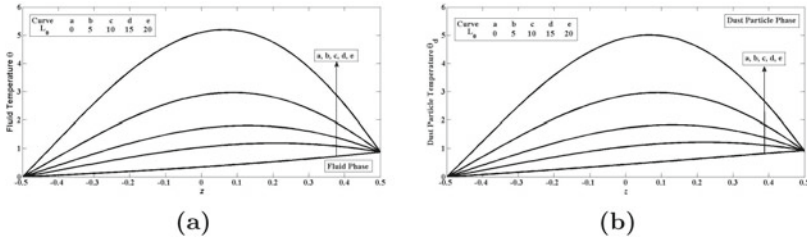


**Fig. 15** Impact of  $N$  on **a** Temperature of fluid ( $\theta$ ), **b** Temperature of dust particles ( $\theta_d$ ), with  $Pr = 0.71$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $\omega = 1$ ;  $t = 0.5$ . It is obvious that for an increase in the value of radiation parameter ( $N$ ), the temperature of fluid increases rapidly compared to that of dust particles.  $N$  has major impact on temperature of fluid as well as temperature of dust particles

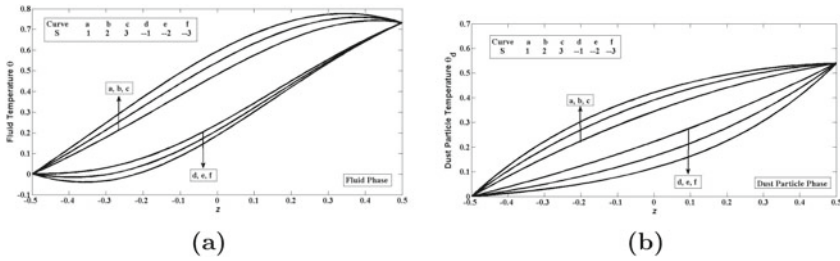


**Fig. 16** Impact of  $R$  on **a**  $\theta$ , **b**  $\theta_d$ , with  $Pr = 0.71$ ;  $N = 1$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $\omega = 1$ ;  $t = 0.5$ . It is evident that the temperature of fluid and temperature of dust particles increase for an increase in the value of particle concentration parameter ( $R$ ).  $R$  has significant effect on temperature of fluid as well as temperature of dust particles. This fact coincides with the result of Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects

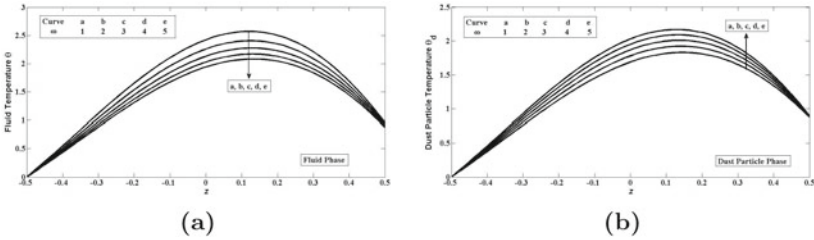




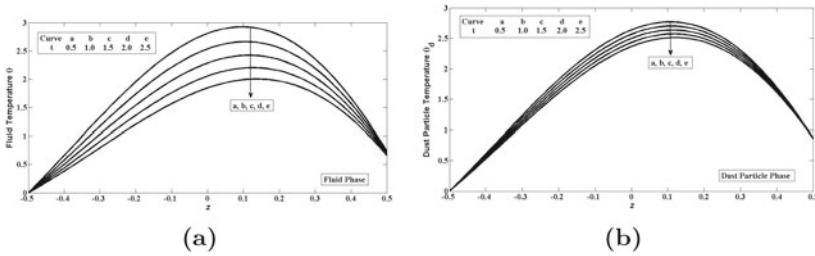
**Fig. 17** Impact of  $L_0$  on **a**  $\theta$ , **b**  $\theta_d$ , with  $Pr = 0.71$ ;  $N = 1$ ;  $R = 1.5$ ;  $S = 1.5$ ;  $\omega = 1$ ;  $t = 0.5$ . It is noted that the temperature of fluid and temperature of dust particles increase gradually for an increase in the value of temperature relaxation time parameter ( $L_0$ ).  $L_0$  has similar influence on temperature of fluid as well as temperature of dust particles. This result consents with Khem Chand et al. [26] for the same flow geometry without porous medium parameter and heat transfer effects



**Fig. 18** Impact of  $S$  on **a**  $\theta$ , **b**  $\theta_d$ , with  $Pr = 0.71$ ;  $N = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $\omega = 1$ ;  $t = 0.5$ . It is known that the temperature of fluid and temperature of dust particles increase for positive values of suction/injection parameter ( $S$ ), decrease for negative values.  $S$  plays a vital role on temperature of fluid as well as temperature of dust particles



**Fig. 19** Impact of  $\omega$  on **a**  $\theta$ , **b**  $\theta_d$ , with  $Pr = 0.71$ ;  $N = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $t = 0.5$ . It is noted that rise in the value of frequency of oscillation parameter ( $\omega$ ) decreases the temperature of fluid, whereas an opposite effect is seen for the temperature of dust particles.  $\omega$  has major effect on temperature of fluid as well as temperature of dust particles



**Fig. 20** Impact of  $t$  on **a**  $\theta$ , **b**  $\theta_d$ , with  $Pr = 0.71$ ;  $N = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $\omega = 1$ . As time ( $t$ ) increases, the temperature of fluid decreases gradually compared to that of dust particles.  $t$  plays a significant role on temperature of fluid as well as temperature of dust particles

### 5 Tables and Discussions

It is clear from Table 1 that as time ( $t$ ) increases, the SFC for fluid and dust particles decreases at all positions across the plates, maximum at the lower plate ( $z = -0.5$ ) and minimum at the upper plate ( $z = 0.5$ ) and increases along the lower plate ( $z = -0.5$ ) up to the middle plate ( $z = 0$ ) and then decreases along the upper plate ( $z = 0.5$ ).

It is notable\* from Table 2 that by varying particle concentration parameter ( $R$ ) and particle mass parameter ( $G$ ), the SFC for fluid and dust particles decreases at all positions across the plates, maximum at the lower plate ( $z = -0.5$ ) and minimum at the upper plate ( $z = 0.5$ ) and decreases along the lower plate ( $z = -0.5$ ) up to the middle plate ( $z = 0$ ) and then increases along the upper plate ( $z = 0.5$ ).

It is seen from Table 3 that as time ( $t$ ) increases, the rate of heat transfer for fluid and dust particles increases at all positions across the plates, minimum at the lower plate ( $z = -0.5$ ) and maximum at the upper plate ( $z = 0.5$ ) and decreases along the lower plate ( $z = -0.5$ ) up to the middle plate ( $z = 0$ ) and then increases along the upper plate ( $z = 0.5$ ).

**Table 1** SFC for fluid phase ( $\tau$ ) and dust particle phase ( $\tau_d$ ) by varying  $t$  with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $G = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$

$t$	Fluid phase			Dust particle phase		
	$\tau$ at $z = -0.5$	$\tau$ at $z = 0$	$\tau$ at $z = 0.5$	$\tau_d$ at $z = -0.5$	$\tau_d$ at $z = 0$	$\tau_d$ at $z = 0.5$
0.1	0.4190	0.0764	-0.7482	0.0209	0.0026	-0.0403
0.2	0.4239	0.0777	-0.7560	0.0341	0.0050	-0.0636
0.3	0.4246	0.0780	-0.7562	0.0469	0.0074	-0.0863
0.4	0.4270	0.0783	-0.7579	0.0592	0.0098	-0.1082
0.5	0.4332	0.0790	-0.7589	0.0710	0.0120	-0.1290

**Table 2** SFC for fluid phase ( $\tau$ ) and dust particle phase ( $\tau_d$ ) by varying  $R$  and  $G$  with  $Pr = 0.71$ ;  $Gr = 1$ ;  $M = 1$ ;  $N = 1$ ;  $L_0 = 1$ ;  $s = 1$ ;  $S = 1.5$ ;  $m = 1$ ;  $\Omega = 5$ ;  $A = 1$ ;  $\omega = 1$ ,  $t = 0.6$

$R$	$G$	Fluid phase			Dust particle phase		
		$\tau$ at $z = -0.5$	$\tau$ at $z = 0$	$\tau$ at $z = 0.5$	$\tau_d$ at $z = -0.5$	$\tau_d$ at $z = 0$	$\tau_d$ at $z = 0.5$
1	1	0.4132	0.0770	-0.7341	0.0710	0.0120	-0.1290
2	1	0.3853	0.0709	-0.6868	0.0679	0.0112	-0.1235
3	1	0.3610	0.0656	-0.6457	0.0652	0.0105	-0.1185
3	2	0.3597	0.0654	-0.6434	0.0259	0.0040	-0.0473
3	3	0.3594	0.0653	-0.6430	0.0154	0.0023	-0.0283

**Table 3** Nusselt number ( $Nu$ ) for fluid phase and dust particle phase by varying  $t$  with  $Pr = 0.71$ ;  $N = 1$ ;  $R = 1.5$ ;  $L_0 = 1$ ;  $S = 1.5$ ;  $\omega = 1$

$t$	Fluid phase			Dust particle phase		
	$Nu$ at $z = -0.5$	$Nu$ at $z = 0$	$Nu$ at $z = 0.5$	$Nu$ at $z = -0.5$	$Nu$ at $z = 0$	$Nu$ at $z = 0.5$
0.1	-1.0202	-1.0117	-0.1974	-0.3864	-0.2828	-0.2531
0.2	-1.0398	-1.0284	-0.1057	-0.4478	-0.4407	-0.2149
0.3	-1.0477	-1.0332	-0.0179	-0.5046	-0.4921	-0.1714
0.4	-1.0490	-1.0463	-0.0170	-0.5564	-0.5367	-0.0823
0.5	-1.0589	-1.0579	-0.0152	-0.6027	-0.5739	-0.0079

**Table 4** Nusselt number ( $Nu$ ) for fluid phase and dust particle phase by varying  $L_0$  and  $Pr$  with  $N = 1$ ;  $R = 1.5$ ;  $S = 1.5$ ;  $\omega = 1$ ,  $t = 0.6$

$L_0$	$Pr$	Fluid phase			Dust particle phase		
		$Nu$ at $z = -0.5$	$Nu$ at $z = 0$	$Nu$ at $z = 0.5$	$Nu$ at $z = -0.5$	$Nu$ at $z = 0$	$Nu$ at $z = -0.5$
0.1	0.71	0.9272	1.0577	1.1269	0.0336	0.0564	0.0761
0.2	0.71	0.9352	1.0622	1.1411	0.0799	0.1288	0.1575
0.3	0.71	0.9456	1.0676	1.1694	0.1368	0.1924	0.2356
0.3	1.0	1.1205	1.0755	1.3575	0.2880	0.2141	0.3534
0.3	6.7	1.1305	1.0787	1.3644	0.3000	0.3374	2.2299

It is evident from Table 4 that by varying temperature relaxation time parameter ( $L_0$ ) and Prandtl number ( $Pr$ ), the rate of heat transfer for fluid and dust particles increases at all positions across the plates, minimum at the lower plate ( $z = -0.5$ ) and maximum at the upper plate ( $z = 0.5$ ) and increases along the ends of the plate.

## 6 Conclusion

Based on the above findings, the following results are obtained:

- Increase in radiation parameter ( $N$ ) and temperature relaxation time parameter ( $L_0$ ) enhances the velocity and temperature of fluid as well as temperature of dust particles.
- Increase in Grashof number ( $Gr$ ), amplitude of pressure gradient ( $A$ ), frequency of oscillation ( $\omega$ ) and time ( $t$ ) increases the velocity of fluid as well as velocity of dust particles.
- Increase in Hartmann number ( $M$ ), particle concentration parameter ( $R$ ), porous medium shape factor ( $s$ ), Hall parameter ( $m$ ) and rotation parameter ( $\Omega$ ) decreases the velocity of fluid and dust particles.
- Increase in particle mass parameter ( $G$ ) increases the velocity of fluid whereas it shows a reverse trend for dust particles.
- Increase in frequency of oscillation ( $\omega$ ) decreases the temperature of fluid, but increases the temperature of dust particles.
- Increase in time ( $t$ ) decreases the temperature of fluid as well as temperature of dust particles.
- Positive values of suction/injection parameter ( $S$ ) increase the velocity and temperature of fluid as well as temperature of dust particles, but an opposite effect is observed for negative values.
- The skin friction (shear stress) coefficient decreases at all positions across the plates for fluid and dust particles.
- The rate of heat transfer increases at all positions across the plates for fluid and dust particles.

**Limiting Case:** In the absence of porous medium parameter ( $K^* \rightarrow 0$ ) and heat transfer ( $(T^* - T_0^*) \rightarrow 0$ ), the results obtained in this paper coincide with those of Khem Chand et al. [26] for horizontal porous plates.

## References

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# Study of Aligned MHD Casson Fluid Past a Shrinking Sheet with Viscous Dissipation



Renu Devi, Vikas Poply, and Makkar Vinita

**Abstract** This manuscript examined the impact of viscous dissipation on aligned MHD flow in a Casson fluid with heat and flow transfer over the shrinking sheet. The impact of various fluid parameters like Casson fluid parameter, magnetic parameter, Prandtl number, and Eckert number has been discussed in this article. In continuation of Casson fluid, magnetic field parameter is influenced by the presence of Eckert number. The governing partial differential equations are moulded to ordinary differential equations using similarity transformations. The scientific results of the differential equations have been figured out by the Runge–Kutta–Fehlberg method with the help of the shooting technique. Emerging parameters are characterized graphically and their results have been discussed in the table.

**Keywords** Shrinking sheet · Aligned MHD · Viscous dissipation · Casson fluid

## Nomenclature

$R$	Constant
$\beta$	Casson parameter
$x, y$	Cartesian coordinates
$T$	Dimensionless temperature
$\mu$	Dynamic viscosity
$\sigma$	Electrical conductivity

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R. Devi (✉)

Department of Mathematics, SOES, GD Goenka University, Gurugram 122103, Haryana, India  
e-mail: [renu15dahiya@gmail.com](mailto:renu15dahiya@gmail.com)

V. Poply

Department of Mathematics, KLP College, Rewari 123401, Haryana, India  
e-mail: [vikaspoply@gmail.com](mailto:vikaspoply@gmail.com)

M. Vinita

Department of Mathematics, SoBAS, GD Goenka University, Gurugram 122103, Haryana, India  
e-mail: [vini252011makkar@gmail.com](mailto:vini252011makkar@gmail.com)

$Ec$	Eckert number
$\rho$	Fluid density
$\nu$	Kinematic viscosity
$q_m$	Local heat flux
$Nu_x$	Local Nusselt number
$M$	Magnetic parameter (Chandrasekhar number)
$B_0$	Magnetic field strength
$f_a$	Normal component of flow
$Pr$	Prandtl number
$C_p$	Specific heat
$\Psi$	Stream function
$C_f$	Skin friction coefficient
$K$	Thermal conductivity
$T_w$	Temperature at surface
$T$	Temperature profile
$T_\infty$	Uniform ambient temperature
$u, v$	Velocity component
$\tau_w$	Wall shear stress

## 1 Introduction

Stretching sheet problems under the influence of boundary layer flow became a part of the attraction for many researchers due to its mathematical simplicity. Secondly, there are vital applications of stretching sheets in industries as well as in engineering fields like polymer processing, metal spinning, and drawing of plastic film. The effect of boundary layer flow over stretching sheet is shown by [1–4]. These researchers showed the impact of stagnation point on boundary layer flow and behavior of flow over a stretching sheet.

Stretching sheets have become part of study for many years ago in the research area, after that shrinking sheets become a concern for many researchers. Literature for flow towards shrinking sheet is reserved as compared to stretching sheet. Still, after this limitation, there are many applications of flow over shrinking sheets such that polymer sheets, manufacturing of filaments, glass-fibre, and paper production; whereas applications in chemical engineering and manufacturing industries are also included. Miklavcic and Wang [5] investigated the characteristics of viscous flow over the shrinking sheet with suction effects, whereas Fang and Zhong [6] analysed the effect of arbitrary velocity flow over boundary layer flow properties. Khan et al. [7] described the heat transfer concept on horizontal stretching/shrinking sheets. All of the above researchers analysed the behaviour of boundary layer flow in different scenarios.

There exists great importance of stagnation point in boundary layer flow. The concept behind the shrinking sheet came into existence after observing the pattern

of stretching and shrinking of the balloon. It is noticed that results do not exist due to boundary layer flow, so adding the presence of stagnation flow on the boundary layer makes the possibility of a similar solution that gives exact outcomes. Wang [8] described the influence of stagnation flow over shrinking sheets, whereas [9–12] were concerned with heat transfer analysis of boundary layer flow with stagnation point over the stretching/shrinking sheets. Mahapatra and Nandy [13] used unsteady stagnation point flow with slip flow, whereas Lok and Pop [14] described unsteady separated stagnation point flow over the shrinking sheet. On the other hand, Mahapatra et al. [15] described the behaviour of oblique flow with thermal radiation over the shrinking sheet. Rosali et al. [16] further investigate their study by observing the impact of stagnation point flow over the shrinking sheet in presence of a porous medium. Zaimi and Ishak [17] analysed the nature of boundary layer flow on convective boundary conditions over permeable stretching/shrinking sheets.

Magnetohydrodynamic (MHD) is generally in the existence of a magnetic field on electrically conducting moving fluid. Its concept makes this area special after having applications in electromagnetic pump, plasma studies, blood flow measurements, metallurgical process, designing of heat exchanger, accelerator and generator. The behaviour of magnetic field strength over viscous fluid flow was noticed as discussed by Abbasbandy and Ghehsareh [18]. Akyildiz and Siginer [19] analysed the numerical simulation of results regarding magnetohydrodynamic viscous flow in the existence of suction over the shrinking sheet. Study of hydromagnetic stagnation point flow with viscous fluid flow over shrinking sheet is described by [20–22]. The study of stagnation point with MHD fluid flow properties with slip effect was observed by [23]; whereas an important part of a flow which is oblique MHD flow was extended by Lok et al. [24]. Problems related to the MHD boundary layer were analysed by [25] and [26]. Bhattacharya [27] performed the heat transfer and MHD flow with radiation effect in the presence of heat source/sink and suction/injection. Chauhan and Agrawal [28] extended their study for heat flow and MHD flow by having a porous substrate plate.

Casson fluid is characterized by non-Newtonian fluid having properties of shear-thinning fluid that is known by yield stress and makes the fluid flow possible otherwise fluid seems like a solid. Sauce, jelly, soup and honey are some examples of viscous fluids that are added to Casson fluid, whereas human blood is the most appropriate example of Casson fluid which is the main part of the biomedical field. Therefore, Sheikh and Abbas [29] analysed stagnation point flow with Casson fluid to solve heterogeneous and homogeneous solutions. Qasim and Noreen [30] included the viscous dissipation with heat transfer analysis of Casson fluid passed on a porous shrinking sheet.

On the bases of the literature survey, no such research has been carried out so far; the non-orthogonal magnetic field on Casson fluid along with viscous dissipation past a shrinking sheet. The motivation of the current assessment is to analyse the effects of viscous dissipation on an aligned magnetic field with the existence of Casson fluid. Getting the desired quality of the final product in industries is found by controlling the rate of heat transfer and fluid velocity during the process.



## 2 Materials and Methods

Steady 2D Casson fluid flow of a non-compressible, viscous, electrical conducting fluid on a shrinking sheet is considered. Aligned magnetic fields are also assumed the impact on fluid flow.  $u_w(x)$  and  $T_w$  are the linear velocity and uniform temperature on a shrinking surface, respectively (as shown in Fig. 1).

The generating equations of flow under the above assumptions are described as

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = 0 \tag{1}$$

$$u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} = v \left( 1 + \frac{1}{\beta} \right) \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} \right) - \frac{\sigma B_0^2 u \sin^2 l}{\rho} \tag{2}$$

$$\rho C_P \left( u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} \right) = K \frac{\partial^2 T}{\partial y^2} + \mu \left( 1 + \frac{1}{\beta} \right) \left( \frac{\partial u}{\partial y} \right)^2 \tag{3}$$

where velocity along  $y$  (vertical axis) and  $x$  (horizontal axis)-axes are taken as  $v$  and  $u$ , respectively.  $\nu, \sigma, C_P, T, K, \mu$  and  $B_0$  denote the kinematic viscosity, electrical conductivity, specific heat at constant pressure, fluid temperature, thermal conductivity, dynamic viscosity and magnetic field strength of the fluid, respectively.

Restrictions on the boundary are describing the flow model as

$$\left. \begin{aligned} y = 0 \quad u = u_w(x) = -bx, \quad v = 0, \quad T = T_w \\ y \rightarrow \infty \quad u = 0, \quad T = T_\infty \end{aligned} \right\} \tag{4}$$

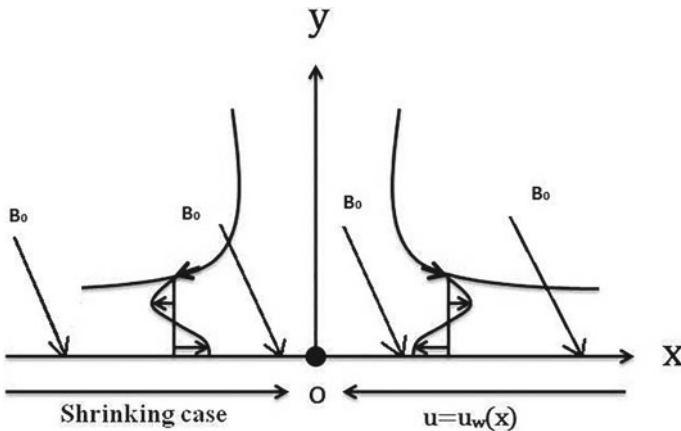


Fig. 1 Schematic diagram

where  $b$  is the non-negative invariable values of dimension ( $time^{-1}$ ). The fluid has unvarying temperature  $T_\infty$  very far from the surface.

Introducing  $\xi = \sqrt{\frac{b}{v}}x$ ,  $\eta = \sqrt{\frac{b}{v}}y$  and  $\Psi(\xi, \eta)$  (stream function) as dimensionless variables such that  $u = \frac{\partial\Psi}{\partial\eta}$  and  $v = -\frac{\partial\Psi}{\partial\xi}$ . The boundary condition in terms of stream function  $\Psi(\xi, \eta)$  is given by

$$\left. \begin{aligned} \xi = 0 \quad \frac{\partial\Psi}{\partial\eta} = -\xi, \quad \text{on} \quad \eta = 0 \\ \frac{\partial\Psi}{\partial\eta} = 0 \quad \text{as} \quad \eta \rightarrow \infty \end{aligned} \right\} \tag{5}$$

We require the solution of Eq. (6) from the relation  $\psi = \xi f_a(\eta)$ , where  $f_a(\eta)$  is referred as tangential and normal parts of the flow. Also,  $v = -f_a(\eta)$  and  $u = \xi f_a'(\eta)$ .

Equation (1) is contented by the given  $v$  and  $u$  and Eqs. (2) and (4) are transformed to Eqs. (5) and (6),

$$\left(1 + \frac{1}{\beta}\right) f_a(\eta) + f_a'''(\eta) f_a''(\eta) - (f_a'(\eta))^2 - M \sin^2 l f_a'(\eta) = 0 \tag{6}$$

$$f_a(0) = 0, f_a'(0) = -1, f_a'(\infty) = 0 \tag{7}$$

Here,  $M = \frac{\sigma B_0^2}{b\rho}$  is the Chandrasekhar number (magnetic parameter).

Dimensionless temperature  $\theta(\eta) = (T - T_\infty)/(T_w - T_\infty)$ . Substituting  $\theta(\eta)$  in Eq. (3), we get

$$\theta''(\eta) + Pr \theta'(\eta) f_a(\eta) + Pr Ec \left(1 + \frac{1}{\beta}\right) f_a''(\eta) = 0 \tag{8}$$

where  $Pr (= \mu C_p / K)$ ,  $Ec = u_w^2 / C_p (T_w - T_\infty)$ .

Corresponding boundary conditions of (4) reduce to  $\theta(0) = 1, \theta(\infty) = 0$

The terms of practical importance are  $C_f$  and  $Nu_x$  are defined as follows.

The wall shear stress  $\tau_w$  is described as  $C_f = \frac{\tau_w}{\rho(u_w)^2}$  then  $C_f \propto f_a''(0)$  when

$$\tau_w = \mu \left(1 + \frac{1}{\beta}\right) \frac{\partial u}{\partial y} \Big|_{y=0}.$$

The wall heat flux  $q_w$  is given as  $Nu_x = \frac{x q_w}{K(T_w - T_\infty)}$  then  $Nu_x \propto -\theta'(0)$  when

$$q_w = -\left(K \frac{\partial T}{\partial y}\right) \Big|_{y=0}.$$

### 3 Results and Discussion

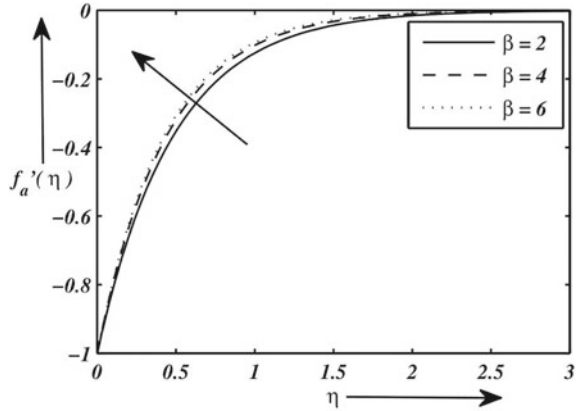
Here, Runge–Kutta–Fehlberg technique is applied to find out the solution to differential Eqs. (6) and (8) with the help of a shooting procedure. Dimensionless velocity and

dimensionless temperature of the model have been acquired for different entries of various fluid parameters. The value of  $C_f \propto f_a''(0)$  and  $Nu_x \propto -\theta'(0)$  are computed for further analysis.

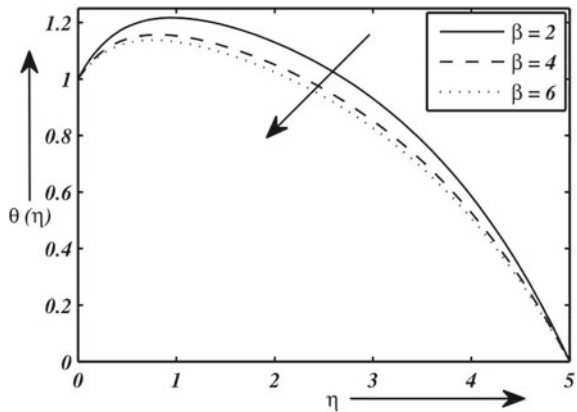
Figure 2 exhibits the significance of velocity profile under influence of  $\beta$ . Figure 2 expresses that the fluid velocity rises with rising entries of  $\beta$ . This graph elaborates that enhancement in the value of  $\beta$  will decline yield stress that hurdles the free movement of fluid particles and hence boundary layer thickness reduces. Figure 3 discloses the behavior of  $\beta$  for temperature distribution. Figure 3 indicates that the fluid temperature reduces and this graph elaborates that enhancement in the value of  $\beta$  enhances fluid velocity that will intensify the heat transfer. We notice from Table 1 that with the increase in Casson fluid parameter  $\beta$ ,  $C_f \propto f_a''(0)$  and  $Nu_x \propto -\theta'(0)$  values rise.

Figure 4 manifests the variation of fluid velocity against  $M$  on velocity. This figure shows that the existence of magnetic parameter  $M$  resist the fluid particles to move freely and the main reason behind the resistance is that  $M$  produces Lorentz force and

**Fig. 2** Pattern of  $f_a'(\eta)$  for distinct  $\beta$  with fixed entries  $M = 10, l = \pi/3, Ec = 0.5, Pr = 1.1$



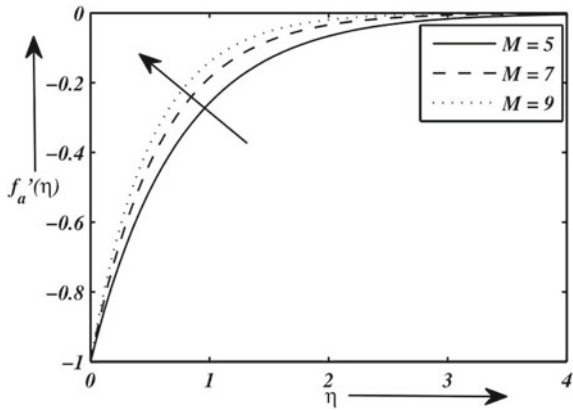
**Fig. 3** Pattern of  $\theta(\eta)$  for distinct  $\beta$  with fixed entries  $M = 10, l = \pi/3, Ec = 0.5, Pr = 1.1$



**Table 1** Outcomes of  $f_a''(0)$  and  $-\theta'(0)$  for fixed entries of  $M = 10, l = \pi/3, Ec = 0.5$  and  $Pr = 1.1$

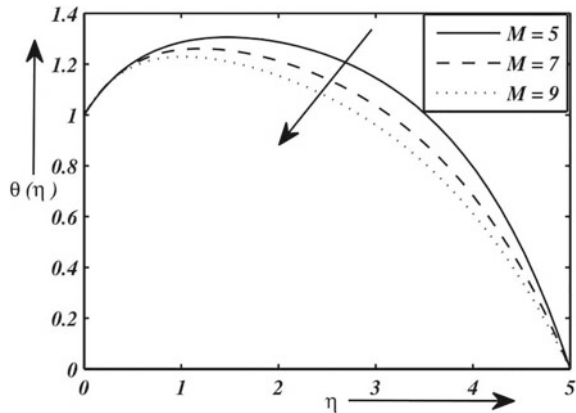
$\beta$	$f_a''(0)$	$-\theta'(0)$
2	2.08166	-0.66900
4	2.28035	-0.55190
6	2.36038	-0.51181

**Fig. 4** Pattern of  $f_a'(\eta)$  for distinct  $M$  with fixed entries  $\beta = 4, l = \pi/3, Ec = 0.5, Pr = 1.1$



this magnetism behavior can be adopted for controlling the fluid movement. Thus, an enhancement in  $M$  causes the enhancement of velocity distribution as depicted in Fig. 4. Figures 5 represents that the temperature profile declines marginally with the rise in  $M$  because a slight conversion in  $Nu_x$  has been seen with rising  $M$  (shown in Table 2). As heat transfer rate can be controlled with the help of magnetism. As fluid velocity increases, the rate of heat transfer increases, and due to this fluid temperature decreases. Therefore, it can be concluded that in flow characteristics,  $M$

**Fig. 5** Pattern of  $\theta(\eta)$  for distinct  $M$  with fixed entries  $\beta = 4, l = \pi/3, Ec = 0.5, Pr = 1.1$



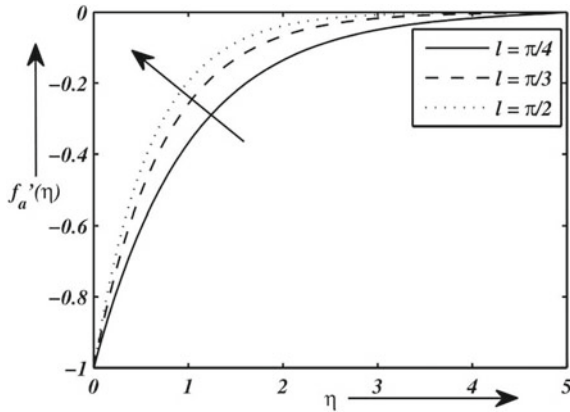
**Table 2** Outcomes of  $f_a''(0)$  and  $-\theta'(0)$  for fixed entries of  $\beta = 2, l = \pi/3, Ec = 0.5$  and  $Pr = 1.1$

$M$	$f_a''(0)$	$-\theta'(0)$
5	1.35400	-0.62087
7	1.68325	-0.65073
9	1.95789	-0.66474

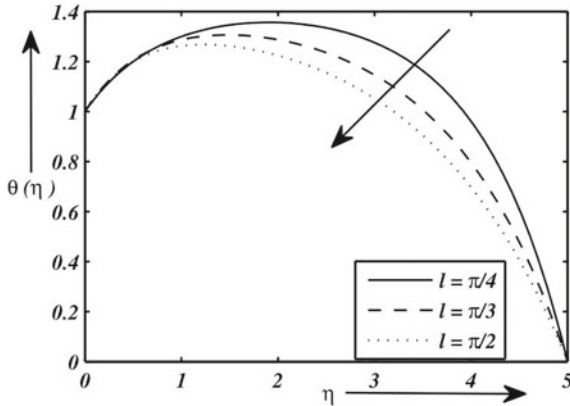
plays a substantial role. From Table 2, we observed that with the increase in magnetic parameter  $M, C_f \propto f_a''(0)$  and  $Nu_x \propto -\theta'(0)$  both increase.

Figure 6 analyses the impact of  $l$  on dimensionless velocity distribution. Here, an increment in velocity profile has been noticed with the rising in value of  $l$ . This happened because of a higher value of  $l$  that reinforces the applied magnetic field and this situation creates a reverse force to flow. That reverse force reduced the velocity that is exhibited in Fig. 6. This force has the capacity to reduce boundary layer thickness. In Fig. 7, the effect of  $l$  on temperature distribution is observed. As

**Fig. 6** Pattern of  $f_a'(\eta)$  for distinct  $l$  with fixed entries  $\beta = 4, M = 10, Ec = 0.5, Pr = 1.1$



**Fig. 7** Pattern of  $\theta(\eta)$  for distinct  $l$  with fixed entries  $\beta = 4, M = 10, Ec = 0.5, Pr = 1.1$



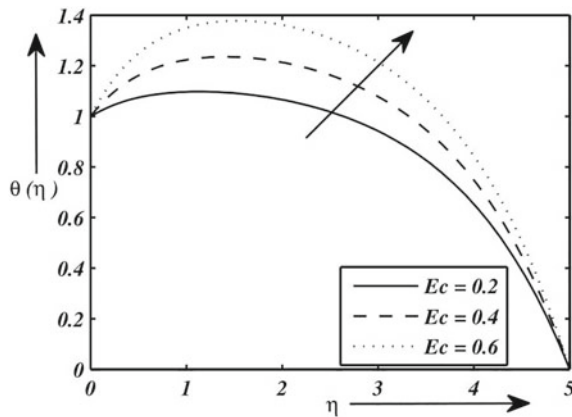
**Table 3** Outcomes of  $f_a''(0)$  and  $-\theta'(0)$  for fixed entries of  $\beta = 2, M = 5, Ec = 0.5$  and  $Pr = 1.1$

$l$	$f_a''(0)$	$-\theta'(0)$
$\pi/4$	1.00001	-0.56022
$\pi/3$	1.35400	-0.62087
$\pi/2$	1.63299	-0.64728

$l$  increases, the temperature of the fluid and thermal boundary thickness are both reduced. As fluid velocity increases, the rate of heat transfer increases, due to this fluid temperature decreases. From Table 3, we observed that with the increase in  $l$  then  $C_f \propto f_a''(0)$  and  $Nu_x \propto -\theta'(0)$  both increase.

Figure 8 examines the study of the effect of  $Ec$  on temperature distribution. Here, an increment in fluid temperature has been noticed with the rising in value of  $Ec$ . This happened because kinetic energy transforms into thermal energy and thus fluid temperature as well as the width of thermal boundary increases. During this process, a huge amount of energy is released and due to this, the temperature of the fluid increases. From Table 4, we observed that with the increase in  $Ec$   $Nu_x \propto -\theta'(0)$  decreases.

**Fig. 8** Pattern of  $\theta(\eta)$  for distinct  $Ec$  with fixed entries  $\beta = 4, M = 10, l = \pi/3, Pr = 1.1$



**Table 4** Outcomes of  $f_a''(0)$  and  $-\theta'(0)$  for fixed entries of  $\beta = 2, M = 5, l = \pi/3$  and  $Pr = 1.1$

$Ec$	$f_a''(0)$	$-\theta'(0)$
0.2	1.35400	-0.23304
0.4	1.35400	-0.49159
0.6	1.35400	-0.75015

## 4 Conclusions

Steady 2D Casson fluid flow of a non-compressible, viscous, orthogonally electrical conducting fluid on a shrinking sheet has been studied. Runge–Kutta–Fehlberg has been used to solve numerically the differential equations with their corresponding boundary conditions through the shooting technique. The numerical results obtained in this study include the influence of aligned magnetic field and Casson parameter on temperature and velocity profiles of the flow. This analysis revealed major recommendations of the outcomes are compiled as below:

1. The fluid velocity increases and momentum boundary layer thickness decreases, whereas fluid temperature and thermal boundary layer thickness decrease with the increase of Casson fluid parameter  $\beta$ .
2. On increasing magnetic parameter  $M$ , fluid velocity increases and momentum boundary layer thickness decreases, whereas fluid temperature and thermal boundary layer thickness decrease.
3. On increasing aligned angle parameter  $l$ , momentum boundary layer thickness decreases as well as fluid velocity increases, whereas fluid temperature and thermal boundary layer thickness decrease.
4. The fluid temperature and thermal boundary thickness increase with the increasing Eckert number  $Ec$ .

These results have possible technological applications in liquid-based systems involving shrinking materials. The finding of this study may serve to control the rate of heat transportation. A future study of this analysis can be done by considering a study on Casson fluid with an aligned magnetic field having an impact on viscous dissipation over shrinking cylindrical surfaces.

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# Spin Coating of Non-Newtonian Nanofluid with Silver and Copper as Nanoparticle



Swatilekha Nag and Susanta Maity

**Abstract** In this paper, we have discussed the behavior of the flow and film thickness variation of non-Newtonian nanofluid during spin coating process. In case of non-Newtonian fluid, the viscosity is not a constant term. For non-Newtonian fluid, the viscosity and solvent diffusivity are dependent on polymer concentration. In this article, we are taking silver and copper as a nanoparticle and sodium alginate as a non-Newtonian base fluid. Here, we investigate the behavior of the film thickness for different parameters such as initial film thickness, angular velocity of the rotating disk, volume fraction of the nanoparticle, etc.

**Keywords** Nanofluid · Non-Newtonian fluid · Spin coating · Volume fraction

## 1 Introduction

From the last few years, numerous researchers investigate on spin coating process. Covering the surface with a thin layer of liquid of a horizontal rotating disk with the help of centrifugal force is known as spin coating. We use the technology to build integrated circuits, color television screen etc. For Newtonian nanofluid, the viscosity is constant. But in case of non-Newtonian nanofluid, the viscosity is not constant, i.e. can change when more liquid or more solid is added. On a circular rotating disk, the flow of a thin fluid film was first given by Emslie et al. [1]. Meyerhofer [2] gave us a model for the portrayal of thin films prepared in spin coating process. Flack et al. [3] derived a mathematical model for non-Newtonian fluid thin film formation. In asymptotic method, the flow of unsteady fluid and film development was first considered by Higgins [4]. In 1991, a theoretical study was given for the

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S. Nag (✉)

Pandit Deendayal Upadhaya Adarsha Mahavidyalaya, Behali 784184, Assam, India

e-mail: [swatidkj103@gmail.com](mailto:swatidkj103@gmail.com)

S. Maity

National Institute of Technology, Arunachal Pradesh Yupia, Dist. Papum Pare 791112, Arunachal Pradesh, India

unsteady non-Newtonian flow by Lawrence and Zhou [5]. Choi [6] first investigated the thermal conductivity of nanofluids. Then, Choi et al. [7] showed that the heat transfer of nanoliquids increases two times the thermal conductivity of the fluid. In 2001, Im et al. [8] give us a numerical study of thick photoresist film formation on a spin coating process. Charpin et al. [9] studied the flow of ellis fluid during spin coating process. Over a stretching sheet, Javed et al. [10] studied the flow of a non-Newtonian fluid. As far as we know, no research paper on non-Newtonian nanofluid film development during spin coating process has been given till now. In this article, we studied the film thinning rate of non-Newtonian nanofluid over a rotating disk. Here all the terms are assumed to be in terms of nanoparticle volume fraction. Here the viscosity is not constant. Viscosity is a function of  $r$  and  $t$ .

## 2 Mathematical Analysis

Let us consider initial the film thickness be  $h_0$  which is very small as compared to the radius of the rotating disk. At the speed of  $\Omega(t)$  the disk rotates and initially the disk rotates with a speed of  $\Omega_0$ . We are doing the spin coating process with the non-Newtonian nanofluid as the coating liquid. Here, we consider the velocity components are  $(\psi_1, \psi_2, \psi_3)$  for the cylindrical coordinates  $(r, \theta, z)$ . The set of governing equations are given by as follows

$$\psi_{1t} + \frac{\psi_1}{r} + \psi_{3z} = 0 \quad (1)$$

$$\rho_{nf}(\psi_{1t} + \psi_1\psi_{1r} + \psi_3\psi_{1z} - \frac{v^2}{r}) = -p_r + (\mu_{nf}\psi_{1r})_r + \mu_{nf}(\frac{\psi_1}{r})_r + (\mu_{nf}\psi_{1z})_z \quad (2)$$

$$\rho_{nf}(\psi_{2t} + \psi_1\psi_{2r} + \psi_3\psi_{2z} + \frac{uv}{r}) = \frac{1}{r^2}(r^3\mu_{nf}(\frac{\psi_2}{r})_r)_r + (\mu_{nf}\psi_{2z})_z \quad (3)$$

$$\rho_{nf}(\psi_{3t} + \psi_1\psi_{3r} + \psi_3\psi_{3z}) = -p_z + \frac{1}{r}(\mu_{nf}r\psi_{3r})_r + (\mu_{nf}\psi_{3z})_z \quad (4)$$

$$(\rho c_p)_{nf}(T_t + \psi_1T_r + \psi_3T_z) = k_{nf}(T_{rr} + \frac{1}{r}T_r + T_{zz}) \quad (5)$$

$$\omega_t + \psi_3\omega_z = (D\omega_z)_z \quad (6)$$

**Table 1** Properties of different nanoparticles and non-Newtonian base fluid

		$\rho$ (kg/m <sup>3</sup> )	$C_p$ (J/kgK)	$k$ (W/mK)
Base fluid	Sodium alginate	989	4175	0.06376
Nanoparticles	Silver	10500	235	429
	Copper	8933	385	401

where the pressure is represented by  $p$ ,  $\rho_{nf}$  is the density,  $\mu_{nf}$  is the dynamic viscosity,  $(\rho C_p)_{nf}$  is thermal conductivity and  $k_{nf}$  is the heat capacity of the nanoliquid, which are defined as follows:

$$\rho_{nf} = (1 - \phi)\rho_{bl} + \phi\rho_{SC}$$

$$\mu_{nf} = \frac{\mu_{bl}}{(1-\phi)^{\frac{5}{2}}}$$

$$\left(\rho C_p\right)_{nf} = (1 - \phi)\left(\rho C_p\right)_{bl} + \phi\left(\rho C_p\right)_{SC}$$

$$k_{nf} = k_{bl} \left( \frac{(1-\phi) + \frac{2\phi k_{SC}}{k_{SC} - k_{bl}} \log\left(\frac{k_{SC} + k_{bl}}{2k_{bl}}\right)}{(1-\phi) + \frac{2\phi k_{bl}}{k_{SC} - k_{bl}} \log\left(\frac{k_{SC} + k_{bl}}{2k_{bl}}\right)} \right)$$

where suffixes  $bl$  and  $SC$  represent base liquid and nanoparticles respectively,  $\phi$  is the volume fraction of the nanoparticle (Table 1).

On the surface of the disk no slip boundary condition i.e. at  $z = 0$

$$\psi_1 = 0, \psi_2 = r\Omega_0, \psi_3 = 0, T = T_0 - \frac{\lambda r^2}{2} T_1$$

At the free surface  $z = h(t)$

$$p_a - p + 2\mu_{nf}\psi_{3z} = 0$$

$$\mu_{nf}(\psi_{3r} + \psi_{1z}) = \frac{\partial\sigma}{\partial T} T_r = 0$$

$$\mu_{nf}\psi_{2z} = \frac{\partial\sigma}{\partial T} T_z = 0$$

$$T_z = 0.$$

The initial condition at time  $t = 0$  is

$$\psi_1 = \psi_2 = \psi_3 = 0, h = h_0, T = T_0$$

where  $p_a$  is the atmospheric pressure.  $\sigma$  is the surface tension, which varies linearly with the temperature as  $\sigma = \sigma_0[1 - \gamma(T - T_0) - \lambda(\omega - \omega_0)]$ ,  $\sigma_0$  is the surface tension at initial time.

Again we considered the similarity transformations are as follows:

$$\psi_1 = rf(z, t), \psi_2 = rg(z, t), \psi_3 = w(z, t), \omega = \omega(z, t), T = T_0 - \frac{r^2}{2} M - N, p = -\frac{r^2}{2} A(z, t) + B(z, t)$$

New transformed equations from (2.1) to (2.6) are

$$2f + \psi_{1z} = 0 \tag{7}$$

$$\rho_{nf}(f_t + f^2 + wf_z - g^2) = A + (\mu_{nf} f_z)_z \tag{8}$$

$$\rho_{nf}(g_t + 2fg + wg_z) = (\mu_{nf}g_z)_z \quad (9)$$

$$\rho_{nf}(w_t + ww_z) = (\mu_{nf}w_z)_z - B_z \quad (10)$$

$$(\rho C_p)_{nf}(M_t + 2FM + WM_z) = k_{nf}M_{zz} \quad (11)$$

$$(\rho C_p)_{nf}(N_t + WN_z) = k_{nf}(2M + N_{zz}) \quad (12)$$

$$\omega_t + w\omega_z = (D\omega_z)_z \quad (13)$$

At  $z = 0$

$$f = 0, g = \Omega_0, w = 0, M = T_1, N = 0$$

At the free surface  $z = h(t)$

$$A = 0, B = 2\mu_{nf}\psi_{3z}, f_z = 0, g_z = 0, M_z = 0, N_z = 0$$

At  $t = 0$

$$f = g = w = 0, M = N = 0$$

Using the dimensionless variables

$$\eta = \frac{z}{h_0}, \tau = t\Omega_0, F = \frac{f}{\Omega_0}, G = \frac{g}{\Omega_0}, W = \frac{w}{h_0\Omega_0}, \theta = \frac{T}{T_0}, H = \frac{h}{h_0}, \bar{v} = \frac{v}{v_0}, \bar{D} = \frac{D}{D_0}, \hat{M} = \frac{h_0^2 M}{\Delta T}, \hat{N} = \frac{N}{\Delta T}, \Delta T = |\lambda h_0^2 T_1|$$

New dimensionless form of the above equations is

$$2F + W_\eta = 0 \quad (14)$$

$$F_\tau + F^2 - G^2 + WF_\eta = \frac{1}{\text{Re}\phi_1}(\bar{v}F_\eta)_\eta \quad (15)$$

$$G_\tau + 2FG + WG_\eta = \frac{1}{\text{Re}\phi_1}(\bar{v}G_\eta)_\eta \quad (16)$$

$$\hat{M}_\tau + 2F\hat{M} + W\hat{M}_\eta = \frac{1}{\text{RePr}\phi_2} \frac{K_{nf}}{k_f} \hat{M}_{\eta\eta} \quad (17)$$

$$\hat{N}_\tau + W\hat{N}_\eta = \frac{1}{\text{RePr}\phi_2} \frac{k_{nf}}{k_f} (2\hat{M} + \hat{N}_{\eta\eta}) \quad (18)$$

$$\omega_\tau + W\omega_\eta = \frac{1}{\text{ReSc}}(\bar{D}\omega_\eta)_\eta \quad (19)$$

where  $\omega$  is the mass solvent fraction,  $D$  is the binary diffusivity,  $\text{Re} = \frac{\Omega_0 h_0^2}{\nu_f}$ ,  $\text{Pr} = \frac{\nu_f}{\alpha_f}$ ,  $\text{Sc} = \frac{\nu_0}{D_0}$ ,  $\alpha_f = \frac{k_f}{\rho C_{p_f}}$ ,  $\nu_f$  are the thermal diffusivity and kinematic viscosity of the base liquid, respectively, and  $\phi_1 = (1 - \phi)^{\frac{5}{2}} \left[ (1 - \phi) + \phi \frac{\rho_s}{\rho_f} \right]$ ,  $\phi_2 =$

$\left[ (1 - \phi) + \phi \frac{(\rho C_p)_s}{(\rho C_p)_f} \right]$  are dimensionless constants.

At  $\eta = 0$

$$F = 0, G = 1, W = 0, \hat{M} = 1, \hat{N} = 0, \omega_\eta = 0$$

At  $\eta = H(\tau)$

$$F_\eta = 0, G_\eta = 0, \hat{M}_\eta = 0, \hat{N}_\eta = 0$$

$$\frac{\partial H}{\partial \tau} = W - st.\omega$$

At the boundary the conditions for solvent mass fraction are:  $\frac{\partial \omega}{\partial \eta} = 0$

$$\frac{1}{1-\omega} \cdot \frac{\partial \omega}{\partial \eta} + sh.\omega = 0$$

where  $st = k/(h_0\Omega_0)$ ,  $sh = kh_0/D$  here the mass transfer coefficient  $k = 4 \times 10^{-5} \sqrt{\Omega}$ .

### 3 Numerical Solution

Consider the transformation

$$\xi(\tau) = 1 - a_1 \ln \left( \frac{a_2 H(\tau) - \eta}{b_2 H(\tau) + \eta} \right), \quad 1 < c < \infty \tag{20}$$

where  $c$  is grid controlling parameter (for small values of  $c$  cluster grid points near disk surface but for large of  $c$  makes uniform grid distribution throughout the liquid film).  $a_1 = [\ln(a_2/b_2)]^{-1}$ ,  $a_2 = c + 1$  and  $b_2 = c - 1$ . By using transformation (3.1), the equations (2.14–2.19) are transformed and solved by the Crank-Nicholson scheme. Finally, the numerical computation is carried out with the following trigonal system of algebraic equations.

$$P_1 U_{j-1}^{n+1} + Q_1 U_j^{n+1} + R_1 U_{j+1}^{n+1} = (S_1)_j^n, \tag{21}$$

$$P_1 V_{j-1}^{n+1} + Q_1 V_j^{n+1} + R_1 V_{j+1}^{n+1} = (S_2)_j^n, \tag{22}$$

$$P_2 \omega_{j-1}^{n+1} + Q_2 \omega_j^{n+1} + R_2 \omega_{j+1}^{n+1} = (S_3)_j^n \tag{23}$$

$$P_3 \Sigma_{j-1}^{n+1} + Q_3 \Sigma_j^{n+1} + R_3 \Sigma_{j+1}^{n+1} = (S_4)_j^n \tag{24}$$

$$P_3 \Pi_{j-1}^{n+1} + Q_3 \Pi_j^{n+1} + R_3 \Pi_{j+1}^{n+1} = (S_5)_j^n \tag{25}$$

where

$$P_1 = \frac{C-A}{4\Delta\xi} - \frac{B}{2(\Delta\xi)^2}, Q_1 = \frac{1}{\Delta\tau} + \frac{B}{(\Delta\xi)^2} + 2U_j^n, R_1 = \frac{A-C}{4\Delta\xi} - \frac{B}{2(\Delta\xi)^2}$$

$$(S_1)_j^n = U_{j-1}^n \left[ \frac{A-C}{4\Delta\xi} + \frac{B}{2(\Delta\xi)^2} \right] + U_j^n \left[ \frac{1}{\Delta\tau} - \frac{B}{(\Delta\xi)^2} + U_j^n \right] + U_{j+1}^n \left[ \frac{C-A}{4\Delta\xi} + \frac{B}{2(\Delta\xi)^2} \right] + \frac{1}{\text{Re}\phi_1} (V_j^n)^2$$

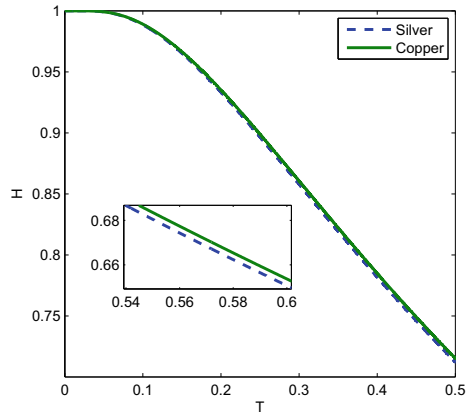
$$\begin{aligned}
 (S_2)_j^n &= V_{j-1}^n \left[ \frac{A-C}{4\Delta\xi} + \frac{B}{2(\Delta\xi)^2} \right] + V_j^n \left[ \frac{1}{\Delta\tau} - \frac{B}{(\Delta\xi)^2} \right] + V_{j+1}^n \left[ \frac{C-A}{4\Delta\xi} + \frac{B}{2(\Delta\xi)^2} \right] \\
 P_2 &= \frac{E-A}{4\Delta\xi} - \frac{D}{2(\Delta\xi)^2}, Q_2 = \frac{1}{\Delta\tau} + \frac{D}{(\Delta\xi)^2}, R_2 = \frac{A-E}{4\Delta\xi} - \frac{D}{2(\Delta\xi)^2} \\
 (S_3)_j^n &= \omega_{j-1}^n \left[ \frac{A-E}{4\Delta\xi} + \frac{D}{2(\Delta\xi)^2} \right] + \omega_j^n \left[ \frac{1}{\Delta\tau} - \frac{D}{(\Delta\xi)^2} + \omega_j^n \right] + \omega_{j+1}^n \left[ \frac{E-A}{4\Delta\xi} + \frac{B}{2(\Delta\xi)^2} \right] + \\
 &\frac{1}{\text{Re}\phi_1} (V_j^n)^2 \\
 P_3 &= \frac{I-A}{4\Delta\xi} - \frac{L}{2(\Delta\xi)^2}, Q_3 = \frac{1}{\Delta\tau} + \frac{L}{(\Delta\xi)^2}, R_3 = \frac{A-I}{4\Delta\xi} - \frac{L}{2(\Delta\xi)^2} \\
 (S_4)_j^n &= M_{j-1}^n \left[ \frac{A-I}{4\Delta\xi} + \frac{L}{2(\Delta\xi)^2} \right] + M_j^n \left[ \frac{1}{\Delta\tau} - \frac{L}{(\Delta\xi)^2} + U_j^n \right] + M_{j+1}^n \left[ \frac{I-A}{4\Delta\xi} + \right. \\
 &\left. \frac{L}{2(\Delta\xi)^2} \right] + \frac{1}{\text{Re}\phi_1} (V_j^n)^2 \\
 (S_5)_j^n &= N_{j-1}^n \left[ \frac{A-I}{4\Delta\xi} + \frac{L}{2(\Delta\xi)^2} \right] + N_j^n \left[ \frac{1}{\Delta\tau} - \frac{L}{(\Delta\xi)^2} + \right] + N_{j+1}^n \left[ \frac{I-A}{4\Delta\xi} + \frac{L}{2(\Delta\xi)^2} \right] \\
 A &= \frac{a_1(a_2+b_2)(WH(\tau)-\eta H'(\tau))}{(a_2H(\tau)-\eta)(b_2H(\tau)+\eta)} \\
 B &= \frac{1}{\text{Re}\phi_1} \bar{v} \left[ \frac{a_1(a_2+b_2)H(\tau)}{(a_2H(\tau)-\eta)(b_2H(\tau)+\eta)} \right]^2 \\
 C &= \frac{1}{\text{Re}\phi_1} \left[ \frac{a_1(a_2+b_2)H(\tau)[a_1(a_2+b_2)H(\tau)\bar{v}_\xi + \bar{v}((b_2-a_2)H(\tau)+2\eta)]}{(a_2H(\tau)-\eta)^2(b_2H(\tau)+\eta)^2} \right] \\
 D &= \frac{1}{\text{Re}Sc} \bar{D} \left[ \frac{a_1(a_2+b_2)H(\tau)}{(a_2H(\tau)-\eta)(b_2H(\tau)+\eta)} \right]^2 \\
 E &= \frac{1}{\text{Re}Sc} \left[ \frac{a_1(a_2+b_2)H(\tau)[a_1(a_2+b_2)H(\tau)\bar{D}_\xi + \bar{D}((b_2-a_2)H(\tau)+2\eta)]}{(a_2H(\tau)-\eta)^2(b_2H(\tau)+\eta)^2} \right] \\
 I &= \frac{1}{\text{RePr}\phi_2} \left( \frac{k_n f}{k_f} \right) \left[ \frac{a_1(a_2+b_2)H(\tau)[(b_2-a_2)H(\tau)+2\eta]}{(a_2H(\tau)-\eta)^2(b_2H(\tau)+\eta)^2} \right] \\
 L &= \frac{1}{\text{RePr}\phi_2} \left( \frac{k_n f}{k_f} \right) \left[ \frac{a_1(a_2+b_2)H(\tau)}{(a_2H(\tau)-\eta)(b_2H(\tau)+\eta)} \right]^2
 \end{aligned}$$

The viscosity is dependent on concentration and the model was proposed by Meyerhofer [2] is used  $\mu = \mu_f(1 - \omega) + \mu_s$  where  $\mu_f = 10000P$  and  $\mu_s = 0.01P$  Here, we use the relation between diffusivity and concentration which was proposed by Flack et al. [3]:  $D = D_0 \exp(\frac{\omega}{a\omega+b})$  where  $D_0 = 7.8 \times 10^{-12}$  cm<sup>2</sup>/s, a = 0.04, b = 0.043.

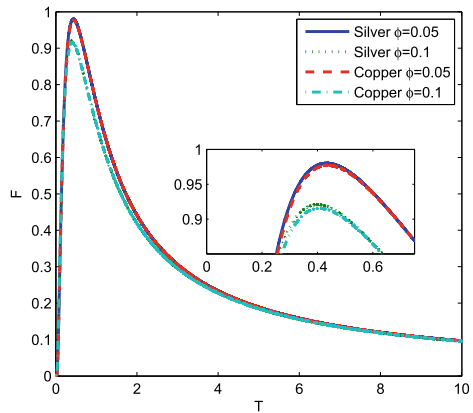
### 4 Result and Discussion

In this article, we described the flow of non-Newtonian nanofluid. Here, we take the nanoparticles silver and copper with the non-Newtonian base fluid sodium alginate. In Fig. 1, we have shown the variation of film thickness for different nanoparticles. From Fig. 1, we have seen that in case of copper the thickness of the film is high as compared to silver. In Fig. 2, we have shown the variation of velocity in radial direction for different values of nanoparticles with different values of  $\phi$ . From these

**Fig. 1** Variation of film thickness  $H$  with time for different nanoparticles



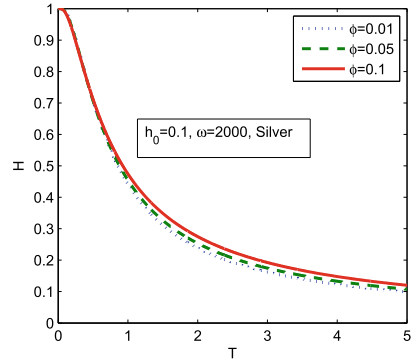
**Fig. 2** Variation of radial velocity with time for different nanoparticles and different values of  $\phi$



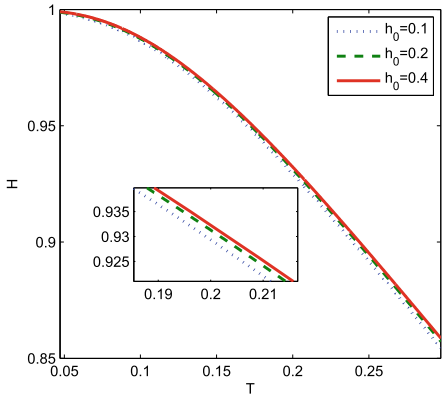
figures, we conclude that the velocity is high in case of silver as compared to copper and with the increasing values of  $\phi$  the velocity decreases. Figure 3 describes the variation of film thickness for different values of  $\phi$  and considering the initial film thickness  $h_0 = 0.1$  and the disk rotates with an angular velocity  $\Omega = 2000$ . From these figures, we have seen that the film thickness increases with the increasing value of  $\phi$ . In Fig. 4, we have shown the variation of film thinning rate for different values of  $h_0$ . From Fig. 4, we have seen that the thickness of the film increases for increasing values of  $h_0$ . Figure 5 describes the variation of film thickness for different values of  $\Omega$  (angular velocity of disk rotation). These figures indicate that the film thickness decreases for increasing values of  $\Omega$ .



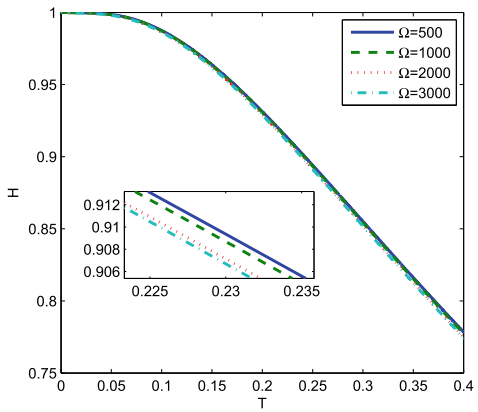
**Fig. 3** Variation of film thickness  $H$  with time for different values of  $\phi$ . Here we consider  $h_0 = 0.1, \Omega = 2000$  rpm



**Fig. 4** Variation of film thickness  $H$  with time for different values of initial film thickness  $h_0$



**Fig. 5** Variation of film thickness  $H$  with time for different values of  $\Omega$



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# Analysis of Soret and Dufour Effect on MHD Fluid Flow Over a Slanted Stretching Sheet with Chemical Reaction, Heat Source and Radiation



Ruchi Jain, Ruchika Mehta, Himanshu Rathore, and Jagdev Singh

**Abstract** The intent of the current chapter is to scrutinize the MHD laminar flow with heat flux, mass flux and magnetic flux, buoyancy ratio, thermal conduction and radiation with convective boundary condition for suction and injection of an electromagnetic fluid. The similarity transformations are used to find the coupled ODE from coupled partial differential equation and then are solved by RK-4 method in MATLAB. The effects of different physical quantities are described numerically and presented graphically. The numerical values of Nusselt number local skin friction and Sherwood number are shown through tables. This investigation is relevant to the chapter production industry, astrophysics, polymer industry and cooling of metallic sheets.

**Keywords** Heat flux · Mass flux · Soret effect · Dufour effect

## Abbreviations

$\lambda_T = \frac{x^{(1/3)} g \beta_T (T_w - T_\infty)}{c^2}$	Thermal buoyancy parameter
$Gc = \frac{x^{(1/3)} g \beta_c (C_w - C_\infty)}{c^2}$	Concentration buoyancy parameter
$S = \frac{-3x^{\frac{1}{2}} v_w}{2\sqrt{c\nu}}$	Injection/suction parameter
$Q = \frac{Q^* \nu}{cx^{(-2/3)} K}$	Heat source parameter
$Sr = \frac{DmK_T(T_w - T_\infty)}{Tm\gamma(C_w - C_\infty)}$	Soret number

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R. Jain · R. Mehta (✉)

Department of Mathematics & Statistics, Manipal University Jaipur, Jaipur, Rajasthan, India  
e-mail: [ruchika.mehta1981@gmail.com](mailto:ruchika.mehta1981@gmail.com)

H. Rathore

Department of Mechanical Engineering, Arya College of Engineering & I.T, Jaipur, Rajasthan, India

J. Singh

Department of Mathematics, JECRC University, Jaipur, Rajasthan, India

$\beta = \frac{K_r x^{(1/3)}}{c}$	Reaction rate parameter
$M = \frac{\sigma \beta_0^2 x^{(1/3)}}{\rho C}$	Magnetic parameter
$Du = \frac{DmKr(C_w - C_\infty)}{C_f C_p \gamma (T_w - T_\infty)}$	Dufour number
$Bi = \frac{h_f}{K} \sqrt{\frac{v^2}{c}}$	Biot number
$Sc = \frac{\gamma}{Dm}$	Schmith number
$Da = \frac{v_x^{(-2/3)}}{K_p C}$	Darcy parameter
$Pr = \frac{\rho C_p v}{K}$	Prandtl number
$\alpha$	Inclination angle
$Ec = \frac{c^2}{C_p (T_f - T_\infty)}$	Eckert number
$Nr = \frac{16\sigma^* T_\infty^3}{3KK^*}$	Radiation parameter

## 1 Introduction

Heat and mass transfer in fluids are known as a vast importance in many fields like science and engineering. When suction and injection are applied on moving fluid temperature, difference occurs, and this phenomenon gives the rise to Soret and DuFour effect. An incompressible fluid analysed for the effect of viscosity with Soret and Dufour over an expending upstanding lamina by Bazid et al. [3]. Under Newtonian heating, the quiescent fluid is examined for the convective border line flow of electro-conductive nanofluid on a upstanding steady laminate by Mohammed et al. [19]. Border line flow over a extending plan lamina in existence of unsteady magnetic factor is examined by Ferdows et al. [11] 0.2-D MHD fluid under thermal radiation with unsteady magnetic fluid is investigated for free convective heat admass transfer by Rashidi et al. [22]. Viscous bouncy fluid with heat and mass transfer is analysed under Soret and DuFour effect over a upstanding surface by Rashidi et al. [23]. Thermo buoyancy effect on a extending permeable sheet is examined by Daniel and Daniel [6]. Nanofluid through extending layer with Soret effect along with injection is examined by Sulochana and Sandeep [28] An inclined plate is inserted in permeable medium with fluctuating temperature and concentration by Pattnaik et al. [21]. Tanwar Hayat et al. examined the effect of heat over Jeffrey liquid [14]. Glutinous bouncy fluid is studied for 2-D MHD flow over expending upstanding surface with heat and mass transfer and chemical reaction by Anuradha and Yeganmai [1]. Nanofluid is studied for MHD flow over expending lamina inserted in porous medium for chemical reaction in crosswise electromagnetic field by Daniel et al. [7]. Viscous fluid is examined for thermo diffusion over combined thermo-conductive and mass transfer of a permeable slanting lamina with chemical reaction by Mondal et al. [20]. Thumma and Shamshuddin examined the electro-transmit fluid on a slanting extending lamina in changing magnetic factor and waves with buoyancy ratio [30]. A tilted plate placed in a permeable medium along with steady porosity changing temperature is examined for the effect of magnetic field with chemical reaction by

Endalew and Nayak [10]. Nanofluid is studied for mixed effect of electromagnetic thermodiffusion for glutinous squandering and joules heating by Daniel et al. [8]. MHD fluid with microstructure flow is examined for fluctuating temperature over an extending sheet from non-Darcy permeable medium by Reddy and Krishna [27]. Glutinous fluid with micro-structure flow is studied over an extending sheet inserted in permeable medium for the effect of magnetic field on non-uniform MHD flow by Babu et al. [2]. Sisko fluid is investigated for the effect of MHD flow with steady heat source over a expending lamina by Bhagya Lakshmi et al. [18]. Nanofluid is investigated for MHD flow with electro-conducting over a slanted extending sheet by Barik et al. [4]. A non-uniform 2-D jitter boundary line stratum flow of a Jeffrey fluid over a slanted expanding lamina is examined by Tlili [29]. Water as a main fluid is examined by adding four different particles are studied for non-uniform 2-D fluid over a upstanding expandable porous plate in slanted magnetic field by Elazar [9]. Gupta et al. [12, 13] analysed the Williamson nanofluid and water-driven copper and alumina nanoparticles induced by convective conditions. A nanofluid is analysed for the Brownian Motion, Soret and Dufour effect over a curvilinear slanted extendable lamina by Rafique [24]. A viscous fluid is analysed for Brownian motion with thermo-diffusion and diffusion thermo over a slanted spreading sheet by Rafique [25]. A viscous incompressible bouncy nanofluid is investigated for the effect of heat transfer and mass transfer over a curvilinear extending lamina by Rasool and Zhang [26]. Magnetic hydrodynamic combined convective fluid with chemical reaction is examined for the impact of the thermo-diffusion and diffusion thermo effect over a extending sheet by Bhuvanewari et al. [5]. Prandtl fluid in existence of particle adjournment of a laminar flow with heat transfer over a extending sheet studied by Kumar [17]. Magnetohydrodynamic fluid with glutinous and ohmic depletion flow over a permeable media with suction and injection explained by Jabeen et al. [15]. In a non-Darcy permeable medium, Casson fluid is investigated for the effect of heat and mass transfer over an extending plane by Kala et al. [16]. A non-Newtonian fluid with micro-structure for heat and mass transfer is examined over non-linear extending sheet by Yasmin et al. [31].

## 2 Mathematical Formulation of the Model

Examined the invariable, 2-D, isochoric, boundary layer and free convection stream of an electro-conducting fluid on a tilted porous non-collinear extending lamina, tilt at an angle  $\alpha$  ( $0^0 \leq \alpha \leq 90^0$ ) to the upstanding direction. The substantial image is shown in Fig. 1. The  $x$ -axis is along the uniform porous spreading sheet, and the  $y$ -axis is perpendicular to the  $x$ -axis. The expansion of the lamina is applied by same but reversed forces at the same time along the  $x$ -axis. The pole is taken firmed, the sheet is extended with nonlinear velocity  $u_w(x) = c x^{1/3}$ ,  $c$  is an extending potency.

Some assumptions are made that stream takes place for  $y \geq 0$  and  $T_f$  temperature is applied on the porous extending laminar face by hot fluid. While the temperature

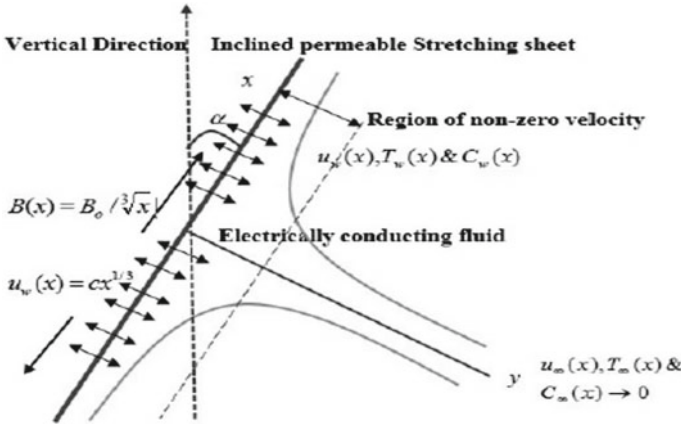


Fig. 1 Geometry and coordinate system for slanted extending lamina

of the electro-conducting encompassing fluid with the fix value  $T_\infty$  as  $y \rightarrow \infty$  and also imagine that the temperature changes in the  $x$ -axis direction as  $(T_w = T_\infty + ax)$ .

Using these presumptions with Boussinesq approximation, the boundary layer leading equation following Thirupathi Thumma is given below:

Equations:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = 0, \tag{1}$$

$$u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} = \frac{u}{\rho} \frac{\partial^2 u}{\partial y^2} + g\beta_T(T - T_\infty)\cos\alpha + g\beta_C(C - C_\infty)\cos\alpha - \frac{\sigma u B^2(x)}{\rho} - \frac{\vartheta u}{K_p} \tag{2}$$

$$u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} = \alpha \frac{\partial^2 T}{\partial y^2} - \frac{1}{\rho C_P} \frac{\partial q_r}{\partial y} + \frac{Q^*}{\rho C_P} (T - T_\infty) + \frac{\vartheta}{C_p} \left( \frac{\partial u}{\partial y} \right)^2 + \frac{\sigma u^2 B_0^2}{\rho C_P} + \frac{Dm K_T}{C_S C_P} \frac{\partial^2 C}{\partial y^2}, \tag{3}$$

$$u \frac{\partial C}{\partial x} + v \frac{\partial C}{\partial y} = D_m \frac{\partial^2 C}{\partial y^2} - K_r(C - C_\infty) + \frac{Dm K_T}{T_m} \frac{\partial^2 T}{\partial y^2} \tag{4}$$

Corresponding boundary conditions are

$$\text{at } y = 0 \left\{ \begin{array}{l} u = u_w, v = v_w \\ -K \frac{\partial T}{\partial y} = h_f(T_w - T) \\ C_w = C_\infty + bx \end{array} \right\} \tag{5}$$

$$\text{at } y \rightarrow \infty, u \rightarrow 0, T \rightarrow T_\infty, C \rightarrow C_\infty. \tag{6}$$

For optical broad boundary surface, we use Rosseland’s dissemination approximately for the radiative heat current  $q_r \left\{ q_r = \frac{-4\sigma^*}{3k^*} \frac{\partial T^4}{\partial y} \right\}$  where  $\sigma^*$  is the Stephan-Boltzmann ( $5.6697 * 10^{-8} w_m^{-2} k^{-4}$ ) constant,  $k^*$  is the Rosseland’s mean absorption coefficient. The expression  $T^4$  due to emission is represented as a collinear function of temperature. Therefore,  $T^4$  can be approximated by Taylor’s series about  $T_\infty$  after neglecting the higher order terms as  $T^4 = 4T_\infty^3 - 3 T_\infty^4$ . Consequently, by putting  $\frac{\partial q_r}{\partial y} = \frac{-16\sigma^*T_\infty^3}{3k^*} \frac{\partial^2 T}{\partial y^2}$  in the energy Eq. (3), we get the subsequent form of the equation:

$$u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} = \alpha \frac{\partial^2 T}{\partial y^2} - \frac{1}{\rho C_P} \left\{ \frac{-16\sigma^*T_\infty^3}{3k^*} \frac{\partial^2 T}{\partial y^2} \right\} + \frac{Q^*}{\rho C_P} (T - T_\infty) + \frac{\vartheta}{C_p} \left( \frac{\partial u}{\partial y} \right)^2 + \frac{\sigma u^2 B_0^2}{\rho C_P} + \frac{Dm K_T}{C_S C_P} \frac{\partial^2 C}{\partial y^2} \tag{7}$$

Method of solution:

Proceeding with the investigation, it is relevant to bring in the following similarity transformations:

$$\psi = x^{\frac{2}{3}} \sqrt{c\nu} f(\eta), \eta = \frac{c}{\sqrt[3]{x} \sqrt{\nu}} y, \tag{8}$$

$$\theta(\eta) = \frac{T - T_\infty}{T_w - T_\infty}, \phi(\eta) = \frac{C - C_\infty}{C_w - C_\infty}$$

where the stream function  $\psi$  is defined as

$$u = \frac{\partial \psi}{\partial y}, v = -\frac{\partial \psi}{\partial x} \text{ that identically satisfies the continuity equations.}$$

Again by putting Eq. (8) in Eqs. (2), (4), (7) and the resultant boundary conditions of the system given in Eqs. (5), (6), the leading border line equations change to the following problem of highly coupled nonlinear ODE, in form of an independent Variable ( $\eta$ ), given by

$$f''' = \frac{1}{3} f'^2 + (M + Da) f' - \frac{2}{3} f f'' - \lambda_T \theta \cos \alpha - \lambda_c \theta \cos \alpha, \tag{9}$$

$$\theta'' = (1 + N_r - S_c S_r D_u P_r)^{-1} \left[ P_r f' \theta - \frac{2}{3} f \theta' P_r - E_c P_r f'^2 - M E_c P_r f'^2 - Q\theta - D_u P_r \left\{ S_c \phi f' - \frac{2}{3} S_c \phi' f + S_c \phi \beta \right\} \right], \tag{10}$$

$$\phi'' = S_c \theta f' - \frac{2}{3} S_c \phi' f + S_c \phi \beta - S_c S_r \left\{ (1 + N_r - S_c S_r D_u P_r)^{-1} \left[ P_r f' \theta - \frac{2}{3} f \theta' P_r - E_c P_r f'^2 - M E_c P_r f'^2 - Q\theta - D_u P_r \left\{ S_c \phi f' - \frac{2}{3} S_c \phi' f + S_c \phi \beta \right\} \right] \right\} \tag{11}$$

The corresponding transformed boundary conditions are

$$at \eta = 0 \left\{ \begin{aligned} f(\eta) = S, f'(\eta) = 1 \\ \theta'(\eta) = -Bi(1 - \theta(0)), \phi(\eta) = 1 \end{aligned} \right\}, \tag{12}$$

$$as \eta \rightarrow \infty \left\{ f'(\eta) \rightarrow 0, \theta(\eta) \rightarrow 0, \phi(\eta) \rightarrow 0 \right\} \tag{13}$$

where dash represents derivatives with respect to  $\eta$ .

Since Eqs. (9–11) are highly non-collinear, so it is difficult to find the closed-form solutions. These equations are transformed into system of first ODE by substituting.

$$f = f_1, f' = f_2, f'' = f_3, f''' = f'_3, \theta = f_4, \theta' = f_5, \theta'' = f'_5\phi = f_6, \phi' = f_7, \phi'' = f'_7.$$

We get the following equations:

$$f'_3 = \frac{1}{3}f_2^2 + (M + Da)f_2 - \frac{2}{3}f_1f_3 - \lambda_T f_4 \cos \alpha - \lambda_c f_6 \cos \alpha, \tag{14}$$

$$f'_5 = (1 + N_r - S_c S_r D_u P_r)^{-1} \left[ P_r f_2 f_4 - \frac{2}{3} f_1 f_5 P_r - E_c P_r f_3^2 - M E_c P_r f_2^2 - Q f_4 - D_u P_r \left\{ S_c f_6 f_2 - \frac{2}{3} S_c f_7 f_1 + S_c f_6 \beta \right\} \right], \tag{15}$$

$$f'_7 = S_c f_6 f_2 - \frac{2}{3} S_c f_7 f_1 + S_c f_6 \beta - S_c S_r \left\{ (1 + N_r - S_c S_r D_u P_r)^{-1} \left[ P_r f_2 f_4 - \frac{2}{3} f_1 f_5 P_r - E_c P_r f_3^2 - M E_c P_r f_2^2 - Q f_4 - D_u P_r \left\{ S_c f_6 f_2 - \frac{2}{3} S_c f_7 f_1 + S_c f_6 \beta \right\} \right] \right\}, \tag{16}$$

$$at \ \eta = 0 \left\{ \begin{array}{l} f_1(\eta) = S, f_2(\eta) = 1 \\ f_5(\eta) = -Bi(1 - \theta(0)), f_6(\eta) = 1 \end{array} \right\} \tag{17}$$

$$as \ \eta \rightarrow \infty \{ f_2(\eta) \rightarrow 0, f_4(\eta) \rightarrow 0, f_6(\eta) \rightarrow 0 \} \tag{18}$$

### 3 Numerical Procedures

In this chapter, we have ordinary partial differential Eq. (1–4) and to solve these equations, firstly, we use similarity transformation and change these equations into Eqs. (9–11) and the boundary condition (5) and (6) converted into Eqs. (12) and (13), respectively. But these equations are highly coupled ordinary differential equations so to solve this equation first we must change these equations in first-order differential equation by substituting

$$f = f_1, f' = f_2, f'' = f_3, f''' = f'_3, \theta = f_4, \theta' = f_5, \theta'' = f'_5\phi = f_6, \phi' = f_7, \phi'' = f'_7$$

Now, we get Eqs. (14–16) along with boundary conditions (17–18) then these equations are solved using MATLAB programming. For this kind of solution, we use



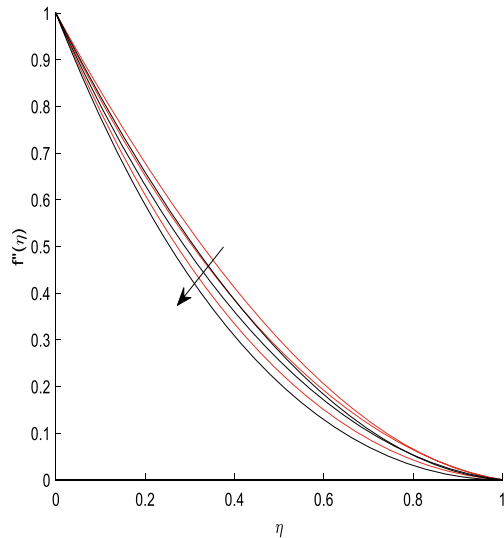
the shooting method for making initial values. Assume initial value at the boundary point and then the equation is solved numerically as the initial value problem and the correctness of the assumed value are revised by finding the difference between the assumed value and calculated value by repeating the same process, after that we find good agreement between the estimated value and given values of boundary condition at boundary points. Then the equations are solved using RK4 method in MATLAB and Graphs for the different parameters are plotted.

### 4 Result and Discussion

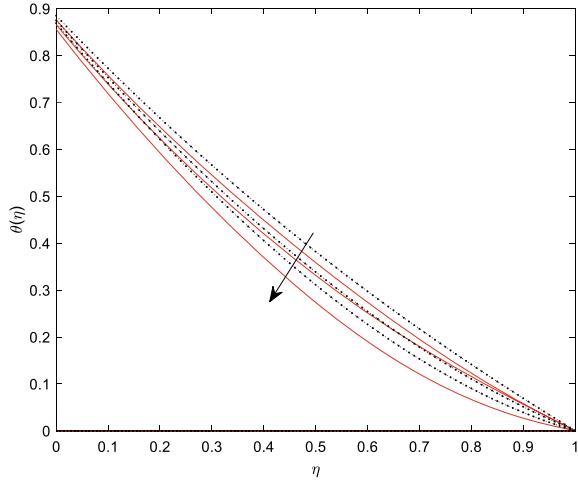
Mathematical computation is implied to examine the influence of different parameters on flow characteristics with convective boundary condition for both suction ( $s = 0.2$ ) and injection ( $s = -0.2$ ). Influence of  $M, Da, Bi, \beta, \lambda_C, \lambda_T, Ec, Du, Q, Sr, \alpha$  velocity profile, the effect of  $M, Nr, Da, Bi, Pr, Sc, Ec, Du, Q, Sr$  on temperature profile and the effect of  $M, Da, Bi, Pr, Sc, Ec, Du, Q, Sr, \beta$  on concentration profile are presented graphically in Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30 and 31. from all the figures we found that velocity, temperature and concentration distribution are less whereas all the profiles are more for injection. In the present paper physical parameters are taken into consideration for these fix values  $\alpha = \pi/4, Pr = 0.71, Bi = 0.1, Sc = 0.78, Q = 0.5, Du = 0.1$  for velocity, temperature, and concentration gradient.

Figures 2, 3 and 4 enlightened the impression of increase value of  $M$  on velocity gradient, temperature, and concentration gradient. This gives a thought that because

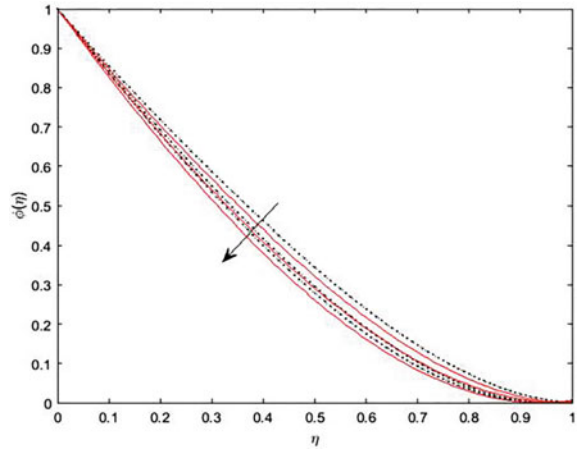
**Fig. 2** Effects of  $M$  on velocity profile



**Fig. 3** Effects of M on temperature profile

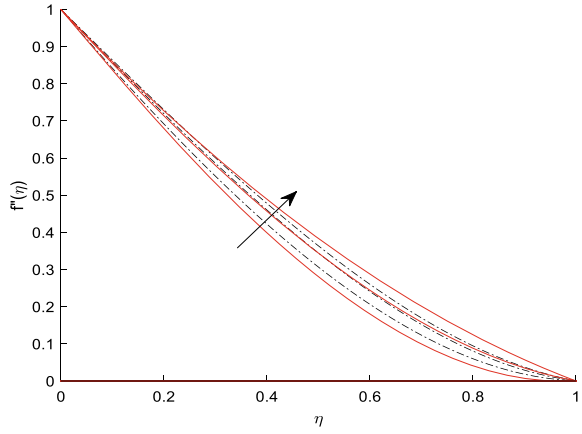


**Fig. 4** Effects of M on concentration profile

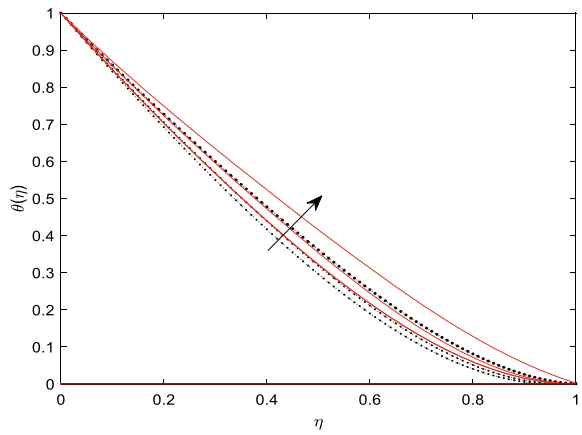


of increase in magnetic factor all the three velocity, temperature and concentration gradient reduce this indicates that the effect of magnetic factor is more high up at the point of the highest value, i.e. the acme value severely decreases with an increase in the magnetic factor because the occurrence of magnetic factor in an electro-conducting fluid introduce a force called the Lorentz force. This force works in the opposite direction of the flow and hence due to rise in  $M$ , temperature of the surface increases and the boundary layer width decreases. Figures 5, 6 and 7 describes the effect of an increase in Biot number on dimensionless velocity, temperature and concentration profile. In view of Fig. 5, we find that a rise in Biot number gives growth to the velocity profile. Biot number is the fraction of resistance force that acts internally due to heat conduction to its external resistance to heat convection so as Biot number grows the resistance to convection also rises

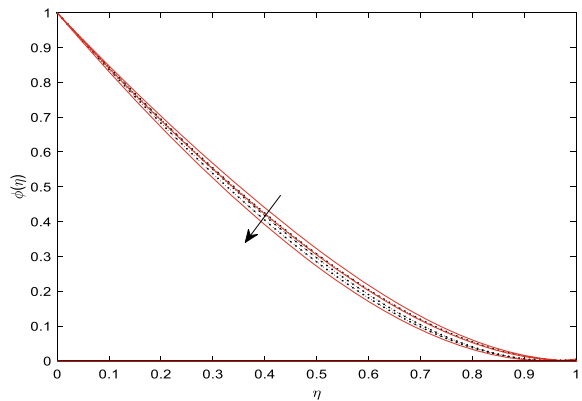
**Fig. 5** Effects of Bi on velocity profile



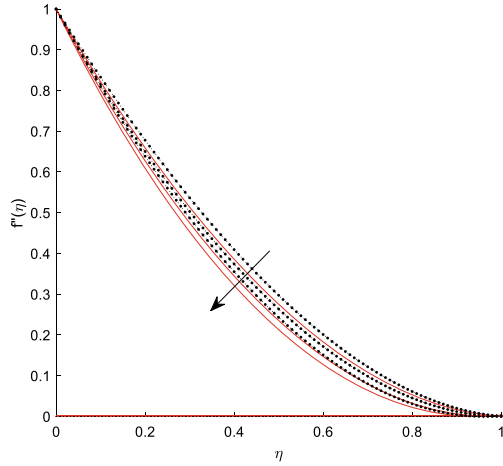
**Fig. 6** Effects of Bi on temperature profile



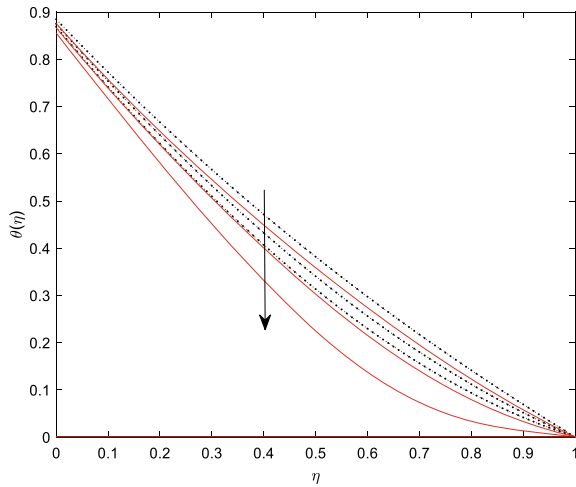
**Fig. 7** Effects of Bi on concentration profile



**Fig. 8** Effects of  $Ec$  on velocity profile

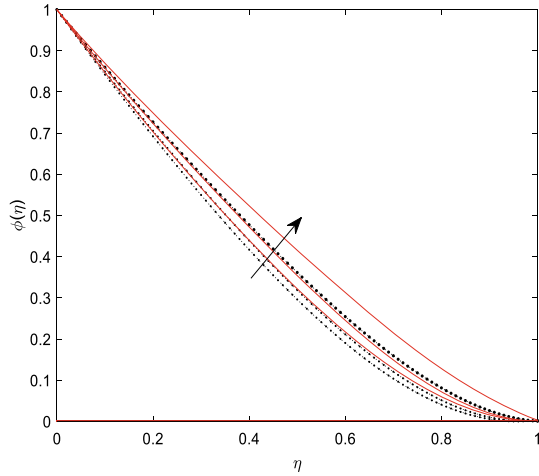


**Fig. 9** Effects of on  $Ec$  temperature profile

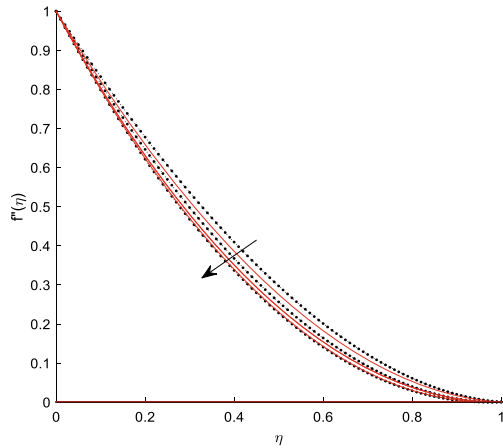


and as result temperature gradient and concentration gradient decreases as shown in Figs. 6 and 7. Effect of Eckert number on velocity, temperature and concentration distribution is noted in Figs. 8, 9 and 10, respectively. Due to increase in Eckert number fluid velocity and temperature get decrement while concentration rises due to Eckert number. When concentration gradient results in temperature difference the DuFour effect occurs. Figures 11, 12 and 13 shows the impact of increment of DuFour number on velocity, temperature and concentration profile. Clearly, the figures show that due to the increase in DuFour effect, the velocity and temperature distribution experience a decrement while concentration profile increases that is because as Dufour number increases the buoyancy convection also increases and as

**Fig. 10** Effects of  $Ec$  on concentration profile

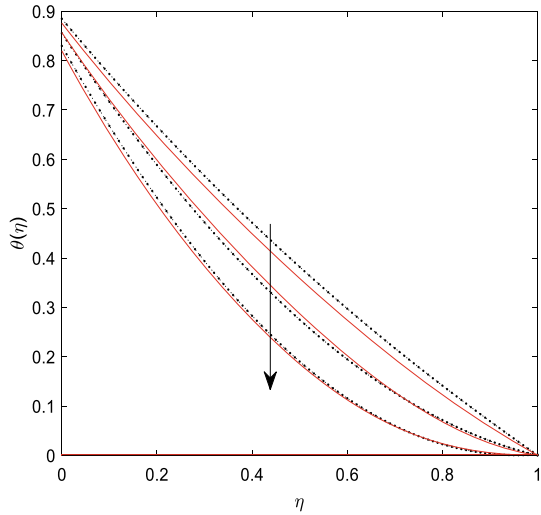


**Fig. 11** Effects of  $Du$  on velocity profile

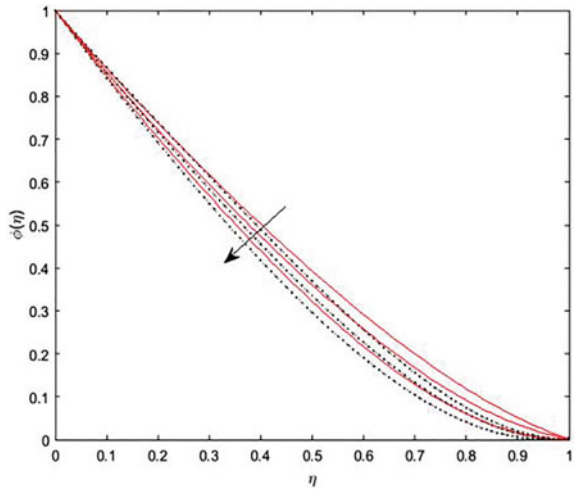


a result velocity and temperature profile reduces. The mass transfer due to temperature gradient occurs is known as Soret effect. The effect of increase in Soret number on velocity, temperature and concentration profile is described in Figures 14, 15 and 16, which shows that due to great thermal diffusion, all three-profile velocity, temperature and concentration decrease. Figures 17, 18 and 19 displays the effect of increase of Darcy number on velocity, temperature, and concentration profile. As Darcy number increases the resistance due to porous medium increases and the velocity temperature and concentration profile decreases. Figures 20 and 21 shows the effect of increasing value of heat source parameter on velocity, temperature and concentration profile. In the view as we increase heat source parameter heat convection also increases, and this gives rise to concentration and reduces the temperature profile. Figures 22 and 23 depicts the effect of increases in reaction rate parameter

**Fig. 12** Effects of Du on temperature profile

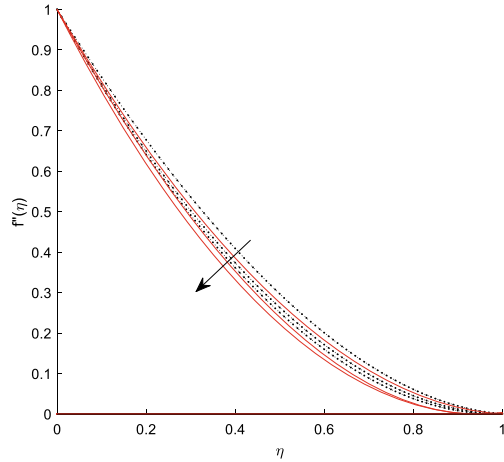


**Fig. 13** Effects of Du on concentration profile

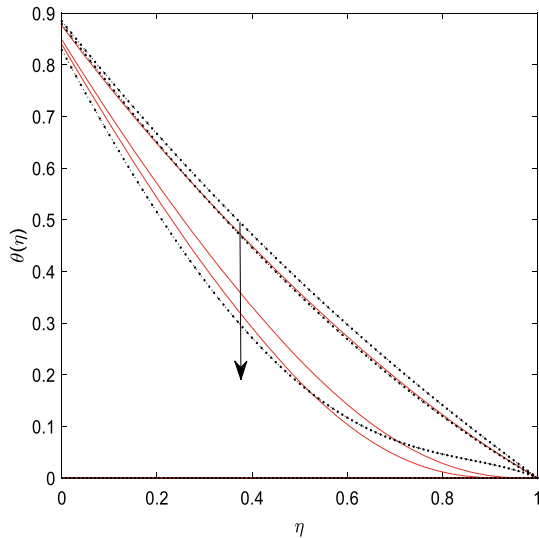


on velocity and concentration profiles as chemical reaction increases it decreases the fluid velocity and increases concentration profile. The impact of increasing value of Prandtl number over temperature and concentration gradient is given by Figs. 24 and 25 from which due to increase in Prandtl number temperature decreases where concentration increases it is because as Prandtl number increases thermal boundary layer thickness reduces thus temperature profile decreases and hydro-dynamic layer thickness reduces so that concentration increases. Figures 26 and 27 explains the influence of Schmith number on temperature distribution and concentration profile. In Fig. 26, we find that increase in smith number decreases the temperature of fluid

**Fig. 14** Effects of  $Sr$  on velocity profile



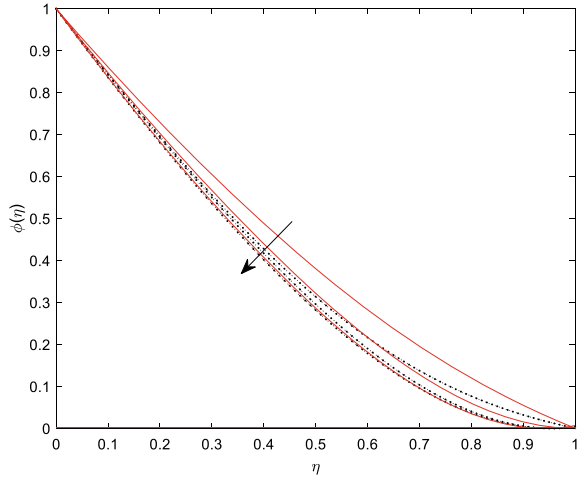
**Fig. 15** Effects of  $Sr$  on temperature profile



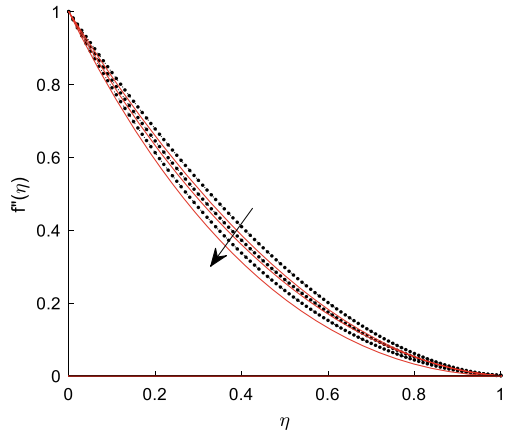
flow where Fig. 27 shows that increase in Schmidt number reduces the concentration profile. Figure 28 shows that an increase in value of angle of inclination gives increment to the velocity profile this is due to buoyancy forces. It is verified from Fig. 29 that increase in radiation parameter decreases temperature profile and from Fig. 30 it is found that concentration buoyancy parameter increases velocity profile while from Fig. 31, it is noted that thermal buoyancy parameter reduces velocity.

Table 1 describes the value of the skin-friction coefficient  $f''(0)$ , Table 2 illustrates the value of the Nusselt number, and Table 3 demonstrates the Sherwood number for various values of flow parameters.

**Fig. 16** Effects of Sr on concentration profile



**Fig. 17** Effects of Da on velocity profile



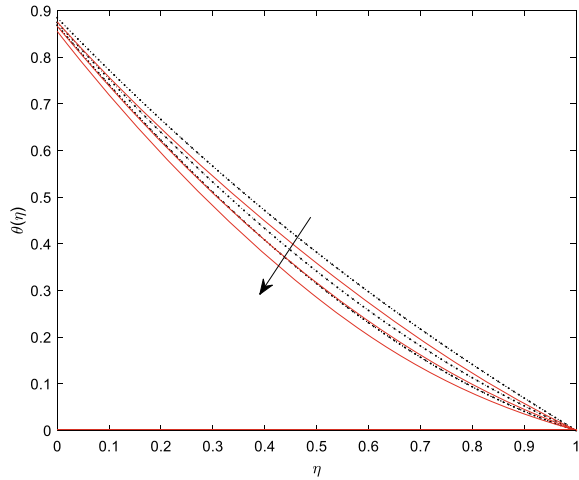
## 5 Conclusions

The remarkable points of this study are as follows:

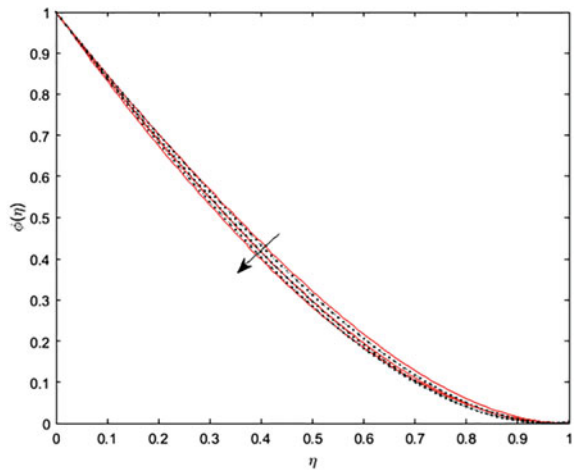
- Fluid velocity rises due to increment in biot number  $Bi$ , concentration buoyancy parameter  $\lambda_C$  and inclination angle  $\alpha$  where the fluid velocity reduces due to increment in magnetic parameter  $M$ , Darcy parameter  $Da$ , reaction rate parameter  $\beta$ , thermal buoyancy parameter  $\lambda_T$ , Eckert number  $Ec$ , Soret number  $S_r$ , Dufour number  $Du$  for both suction and injection.
- Heat source parameter  $Q$ , Schmith number  $Sc$ , Prandtl number  $Pr$ , magnetic parameter  $M$ , radiation parameter  $Nr$ , Darcy number  $Da$ , Biot number  $Bi$ , Eckert number  $Ec$  for both suction and injection. Fluid temperature reduces due to increment in Dufour number  $Du$ , Soret number  $Sr$ ,



**Fig. 18** Effects of Da on temperature profile

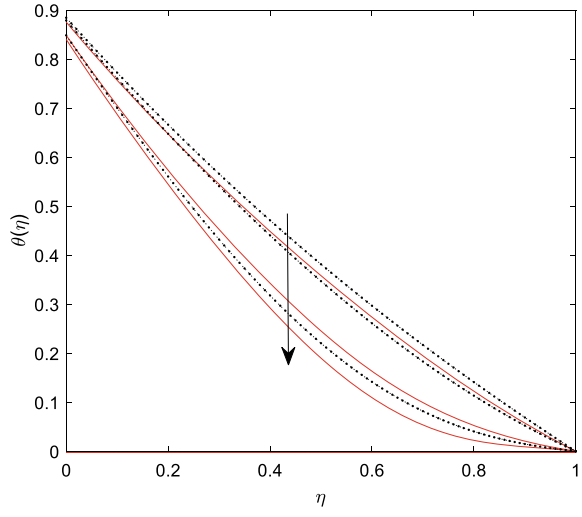


**Fig. 19** Effects of Da on concentration profile

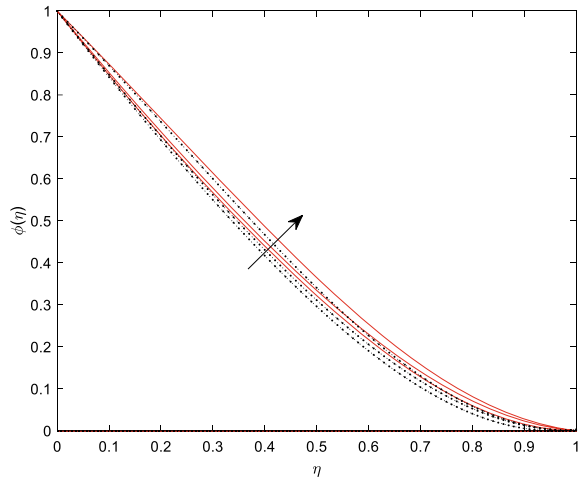


- Fluid concentration decreases due to rise in Dufour number  $Da$ , Soret number  $Sr$ , magnetic parameter  $M$ , Darcy number  $Du$ , Biot number  $Bi$ , Schmith number  $Sc$  while it rises because of increment in heat source parameter  $Q$  and Prandtl number  $Pr$ , reaction rate parameter  $\beta$ , Eckert number  $Ec$  for both suction and injection.

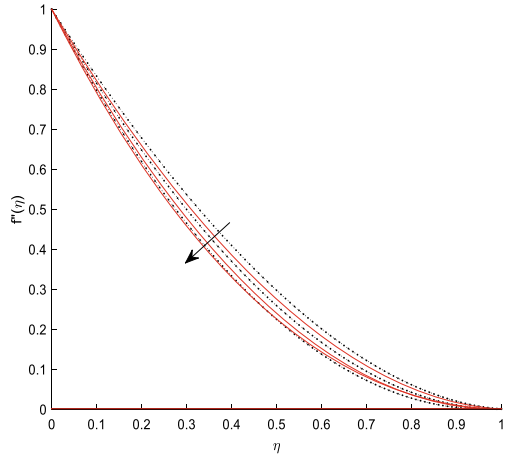
**Fig. 20** Effects of Q on temperature profile



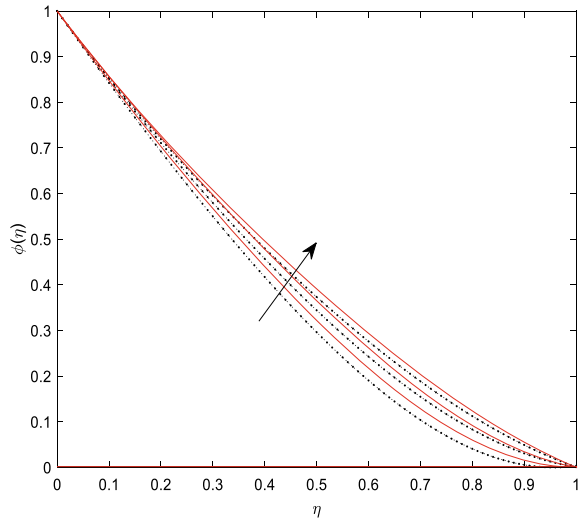
**Fig. 21** Effects of Q on concentration profile



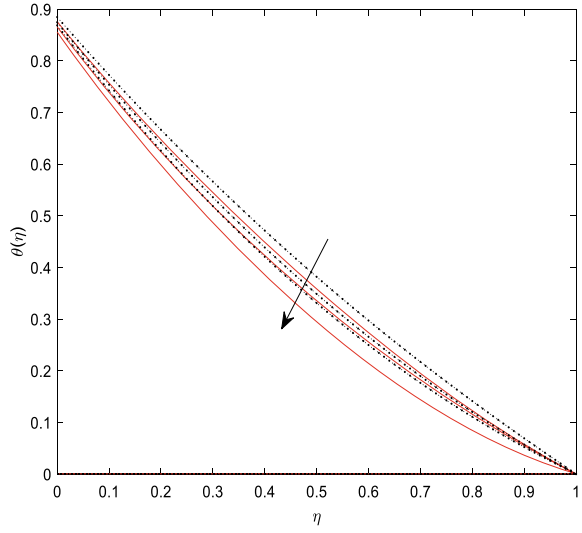
**Fig. 22** Effects of  $\beta$  on velocity profile



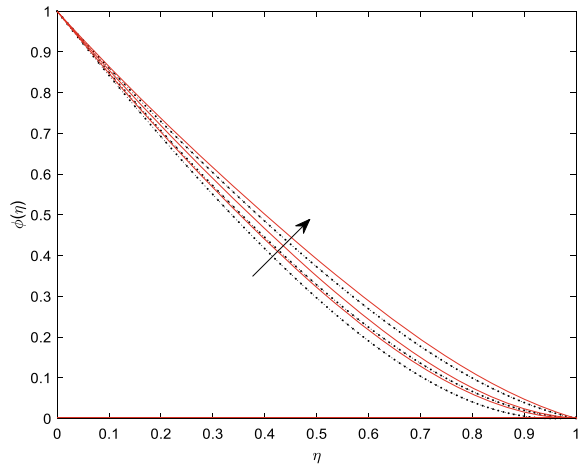
**Fig. 23** Effects of  $\beta$  on concentration profile



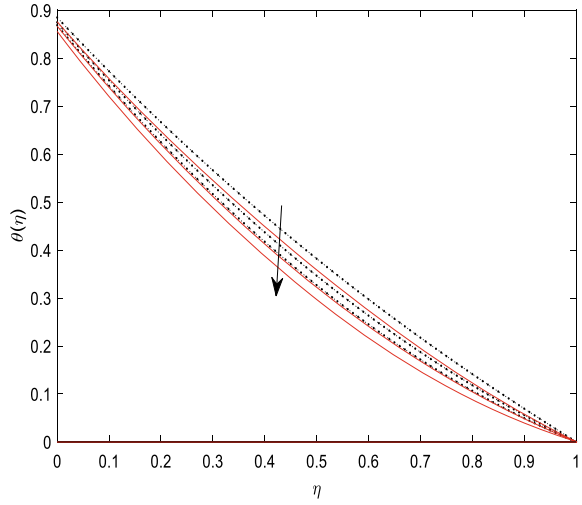
**Fig. 24** Effects of Pr on temperature profile



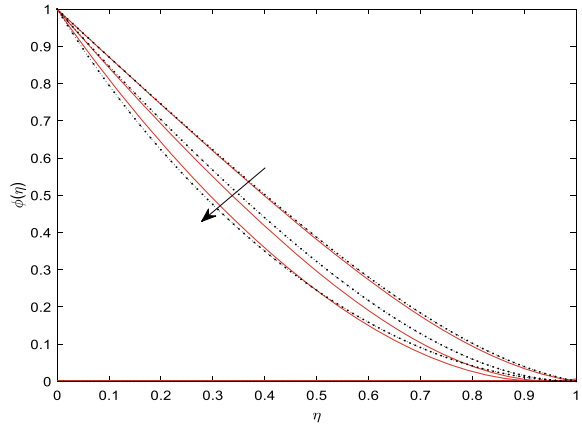
**Fig. 25** Effects of Pr on concentration profile



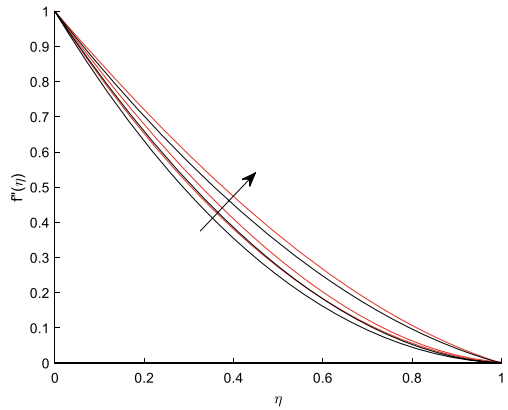
**Fig. 26** Effects of  $Sc$  on temperature profile



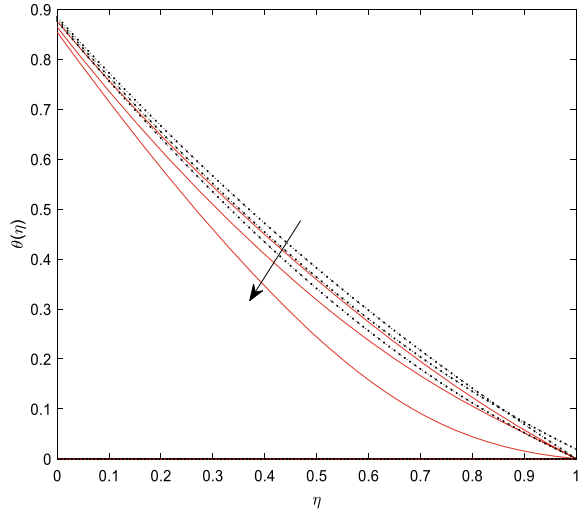
**Fig. 27** Effects of  $Sc$  on concentration profile



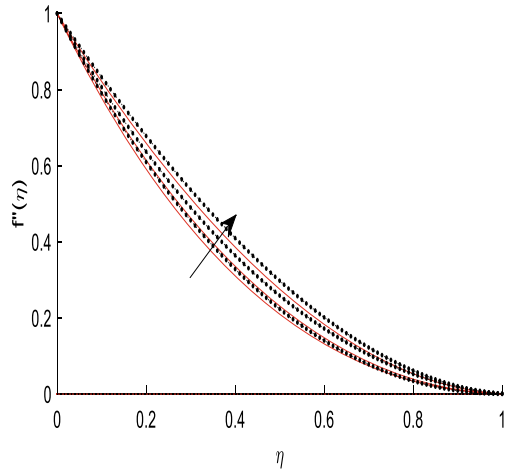
**Fig. 28** Effects of  $\alpha$  on velocity profile



**Fig. 29** Effects of  $Nr$  on temperature profile



**Fig. 30** Effects of  $\lambda_c$  on velocity profile



**Table 1** For velocity profile

$\alpha$	M	Bi	$\lambda_c$	$\lambda_T$	Ec	Du	Sr	Da	$\beta$	S = -0.2			S = 0.2		
										$f''(0)$	$-\theta'(0)$	$-\phi'(0)$	$f''(0)$	$-\theta'(0)$	$-\phi'(0)$
$\pi/6$	1	0.1	1	1	0.1	0.1	0.1	0.1	0.1	-1.9375	-0.2999	-1.021	-2.091	-0.2999	-1.021
$\pi/4$	1	0.1	1	1	0.1	0.1	0.1	0.1	0.1	-1.734	-0.1999	-3.121	-1.874	-0.1999	-3.121
$\pi/3$	1	0.1	1	1	0.1	0.1	0.1	0.1	0.1	-1.4765	-0.0999	-3.991	-1.6065	-0.0999	-3.991
$\pi/4$	1.5	0.1	1	1	0.1	0.1	0.1	0.1	0.1	-1.968	-0.2999	-1.111	-2.1175	-0.2999	-1.111
$\pi/4$	2	0.1	1	1	0.1	0.1	0.1	0.1	0.1	-2.277	-0.3999	-0.0021	-2.4365	-0.3999	-0.0021
$\pi/4$	1	0.5	1	1	0.1	0.1	0.1	0.1	0.1	-1.429	-0.1997	-4.9995	-1.674	-0.1997	-6.202
$\pi/4$	1	1	1	1	0.1	0.1	0.1	0.1	0.1	-1.368	-0.2997	-4.602	-1.4885	-0.2997	-4.602
$\pi/4$	1	2	1	1	0.1	0.1	0.1	0.1	0.1	-1.6151	-2.3199	-2.454	-1.451	-1.7997	-0.862
$\pi/4$	1	0.1	-1	1	0.1	0.1	0.1	0.1	0.1	-2.255	-0.2911	-1.021	-2.414	-0.3140	-1.9
$\pi/4$	1	0.1	0	1	0.1	0.1	0.1	0.1	0.1	-2.0621	-0.2999	-1.021	-2.225	-0.2999	-4.881
$\pi/4$	1	0.1	1	-1	0.1	0.1	0.1	0.1	0.1	-1.512	-0.0299	-2.121	-1.571	-0.0688	-2.6621
$\pi/4$	1	0.1	1	0	0.1	0.1	0.1	0.1	0.1	-1.551	-0.1258	-4.589	-1.5999	-0.2999	-3.821
$\pi/4$	1	0.1	1	1	0.5	0.1	0.1	0.1	0.1	-1.934	-0.2999	-1.811	-2.098	-0.2999	-1.921
$\pi/4$	1	0.1	1	1	1	0.1	0.1	0.1	0.1	-2.0026	-0.3152	-1.845	-2.230	-0.36249	-1.001
$\pi/4$	1	0.1	1	1	0.1	0.5	0.1	0.1	0.1	-1.954	-0.2999	-2.121	-2.08	-0.2999	-1.851
$\pi/4$	1	0.1	1	1	0.1	1	0.1	0.1	0.1	-2.142	-0.3999	-0.798	-2.142	-0.3999	-0.798
$\pi/4$	1	0.1	1	1	0.1	0.1	0.5	0.1	0.1	-1.934	-0.2983	-2.001	-2.151	-0.3125	-2.199
$\pi/4$	1	0.1	1	1	0.1	0.1	1	0.1	0.1	-1.992	-0.3209	-1.878	-1.976	-0.2014	-4.119
$\pi/4$	1	0.1	1	1	0.1	0.1	0.1	0.5	0.1	-1.994	-0.2999	-1.631	-2.105	-0.2999	-1.219
$\pi/4$	1	0.1	1	1	0.1	0.1	0.1	1	0.1	-2.257	-0.3999	-0.018	-2.410	-0.3968	0.048
$\pi/4$	1	0.1	1	1	0.1	0.1	0.1	0.1	0.5	-1.948	-0.2999	-2.121	-2.088	-0.2999	-2.01

(continued)

**Table 1** (continued)

$\alpha$	M	Bi	$\lambda_c$	$\lambda_T$	Ec	Du	Sr	Da	$\beta$	S = -0.2				S = 0.2			
										$f''(0)$	$-\theta'(0)$	$-\phi'(0)$	$f'(0)$	$f''(0)$	$-\theta'(0)$	$-\phi'(0)$	$f'(0)$
$\pi/4$	1	0.1	1	1	0.1	0.1	0.1	0.1	1	-2.1541	-0.3999	-1.107	-2.245	-0.3999	-0.411		



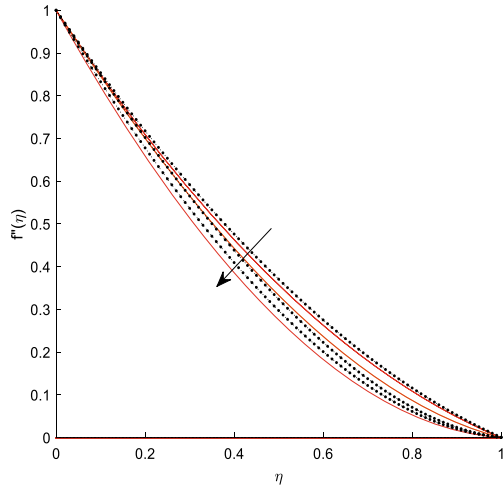
**Table 2** For temperature profile

Da	M	Bi	Pr	Nr	Ec	Du	Sr	Sc	Q	S = -0.2			S = 0.2		
										f''(0)	-θ'(0)	-φ'(0)	f''(0)	-θ'(0)	-φ'(0)
0.1	1	10	0.71	0.5	0.1	-2	0.1	0.78	0.5	-0.0181	-1.151	-1.5499	-1.581	-1.239	-3.599
0.1	1	10	0.71	1	0.1	-2	0.1	0.78	0.5	-0.0001	-1.191	-0.131	-1.799	-1.351	-11.421
0.1	1	10	0.71	2	0.1	-2	0.1	0.78	0.5	-0.00001	-1.231	-3.051	-2.849	-1.451	-17.421
0.1	2	10	0.71	0.5	0.1	-2	0.1	0.78	0.5	-0.0181	-1.251	-0.359	-1.631	-1.339	-7.5499
0.1	3	10	0.71	0.5	0.1	-2	0.1	0.78	0.5	-0.00001	-1.311	+0.3731	-2.358	-1.439	-9.1499
0.1	1	10	0.81	0.5	0.1	-2	0.1	0.78	0.5	-0.4261	-1.251	-0.359	-1.021	-1.339	-4.04999
0.1	1	10	0.91	0.5	0.1	-2	0.1	0.78	0.5	-0.479	-1.311	-0.0731	-1.579	-1.439	-5.4999
0.5	1	10	0.71	0.5	0.1	-2	0.1	0.78	0.5	-0.1361	-1.251	-0.359	-0.0001	-1.33001	-0.0001
1	1	10	0.71	0.5	0.1	-2	0.1	0.78	0.5	-0.0001	-1.311	-0.0001	-1.999	-1.439	-9.311
0.1	1	10	0.71	0.5	0.1	-2	0.1	0.88	0.5	-0.329	-1.251	-0.359	-0.2001	-1.33001	-0.00001
0.1	1	10	0.71	0.5	0.1	-2	0.1	0.98	0.5	-0.3651	-1.311	-0.0001	-1.529	-1.439	-6.599
0.1	1	10	0.71	0.5	0.3	-2	0.1	0.78	0.5	-0.00001	-1.2471	-0.0001	-1.9101	-1.3308	-8.531
0.1	1	10	0.71	0.5	0.5	-2	0.1	0.78	0.5	-0.001	-1.3101	-1.2432	-2.359	-1.439	-14.799
0.1	1	10	0.71	0.5	0.1	-3	0.1	0.78	0.5	-0.0008	-1.445	-0.000001	-2.101	-1.4308	-4.421
0.1	1	10	0.71	0.5	0.1	-4	0.1	0.78	0.5	-0.221	1.701	+1.1432	-1.635	-1.801	-8.0001
0.1	1	10	0.71	0.5	0.1	-2	0.5	0.78	0.5	-0.000008	-1.207	-0.00001	-2.495	-1.508	-12.221
0.1	1	10	0.71	0.5	0.1	-2	1	0.78	0.5	+0.2521	-1.7101	+13.232	-2.893	-1.601	-18.651
0.1	1	10	0.71	0.5	0.1	-2	0.1	0.78	1	-0.00008	-1.207	-0.10001	-2.084	-1.508	-12.221
0.1	1	10	0.71	0.5	0.1	-2	0.1	0.78	2	-0.03521	-1.5101	+5.757	-2.420	-1.592	-16.481

**Table 3** For concentration profile

Sc	Du	Sr	M	Bi	Da	Ec	$\beta$	Pr	Q	S = -0.2			S = 0.2		
										$f''(0)$	$-\theta'(0)$	$-\phi'(0)$	$f''(0)$	$-\theta'(0)$	$-\phi'(0)$
0.78	0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	0.5	-1.137	-1.625	-1.618	-2.457	-1.8252	-1.585
0.22	0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	0.5	-2.781	-2.912	-1.302	-3.021	-2.912	-1.302
2.62	0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	0.5	-1.171	-0.925	-2.012	-1.721	-0.852	-2.232
0.78	-0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	0.5	-3.899	-2.252	-1.368	-2.796	-1.451	-1.405
0.78	0	0.1	1	0.1	0.1	0.1	0.1	0.71	0.5	-2.545	-1.891	-1.4879	-2.607	-1.6252	-1.4879
0.78	0.1	0	1	0.1	0.1	0.1	0.1	0.71	0.5	-1.457	-4.999	-1.585	-3.457	-2.998	-1.439
0.78	0.1	0.2	1	0.1	0.1	0.1	0.1	0.71	0.5	-1.659	-1.407	-1.698	-0.079	-1.001	-1.686
0.78	0.1	0.1	1.5	0.1	0.1	0.1	0.1	0.71	0.5	-0.161	-1.362	-1.6799	-1.0059	-1.4675	-1.698
0.78	0.1	0.1	2	0.1	0.1	0.1	0.1	0.71	0.5	-1.991	-1.511	-1.4825	-0.0059	-1.3054	-1.795
0.78	0.1	0.1	1	0.2	0.1	0.1	0.1	0.71	0.5	-0.149	-2.701	-1.668	-1.457	-3.111	-1.651
0.78	0.1	0.1	1	0.5	0.1	0.1	0.1	0.71	0.5	-0.049	-5.435	-1.651	-0.259	-6.611	-1.751
0.78	0.1	0.1	1	0.1	-0.1	0.1	0.1	0.71	0.5	-0.011	-1.362	-1.671	-0.011	-1.305	-1.743
0.78	0.1	0.1	1	0.1	0.5	0.1	0.1	0.71	0.5	-1.549	-1.621	-1.568	-1.549	-1.621	-1.668
0.78	0.1	0.1	1	0.1	0.1	0.5	0.1	0.71	0.5	-1.549	-1.401	-1.548	-3.487	-1.8252	-1.485
0.78	0.1	0.1	1	0.1	0.1	1	0.1	0.71	0.5	-1.9991	-1.208	-1.444	-3.361	-1.758	-1.399
0.78	0.1	0.1	1	0.1	0.1	0.1	0.5	0.71	0.5	-1.549	-1.381	-1.548	-3.541	-1.882	-1.5058
0.78	0.1	0.1	1	0.1	0.1	0.1	1	0.71	0.5	-1.9991	-1.208	-1.537	-3.465	-1.504	-1.519
0.78	0.1	0.1	1	0.1	0.1	0.1	0.1	0.81	0.5	-1.311	-1.381	-1.548	-3.311	-1.882	-1.5058
0.78	0.1	0.1	1	0.1	0.1	0.1	0.1	0.91	0.5	-1.9991	-1.284	-1.437	-3.201	-1.504	-1.419
0.78	0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	1	-0.289	-1.381	-1.548	-1.311	-1.628	-1.5058
0.78	0.1	0.1	1	0.1	0.1	0.1	0.1	0.71	2	-0.021	-1.814	-1.281	-0.751	-1.819	-1.259

**Fig. 31** Effects of  $\lambda_T$  on velocity profile



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# Entropy Generation in Fourth-Grade Fluid Flow with Variable Thermal Conductivity



Paresh Vyas and Kusum Yadav

**Abstract** The present paper presents a numerical study of thermo fluidic configuration confronting entropy generation in the fourth-grade fluid flow in a parallel plate channel with temperature-dependent thermal conductivity. The problem modelled as a boundary value problem has been simulated by Runge–Kutta fourth-order method coupled with the shooting scheme. Thermodynamic irreversibility analysis is conducted through quantification of entropy generation number and Bejan number. These quantities are portrayed for embedded parameters. The study would be a formidable basis to serve as a pertinent initial endeavour for future analogous systems with larger complexities.

**Keywords** Entropy · Fourth-grade fluid · Thermal conductivity

## 1 Introduction

A wide range of natural or industrial fluids such as biofluids, juices, honey, silicate melts, polymer paints, pharmaceutical suspensions, chemical pastes, etc. is non-Newtonian. Contrary to Newtonian fluids which have a linear relationship between shear stress and the shear rate, the non-Newtonian fluids exhibit a nonlinear relationship for the same and at a specified temperature and/or pressure; the apparent viscosity does not remain fixed and rather depends on flow conditions including flow history. This physical understanding underscores that the Newtonian hypothesis breaks down in addressing many real-world flow problems. In fact, one has to clearly identify the non-Newtonian characteristics of the working fluid. Working out shear stress formulation for complex nonlinear fluids is a daunting task. Some efforts have been made to put forth some empirical formulations to address the complex rheology. These include constitutive equations for the stress–tensor comprising velocity gradient (symmetric part) and higher-order gradients and other variety of rate-type and differential models. However, no single model is sufficient to portray all properties of such fluids owing to

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P. Vyas · K. Yadav (✉)

Department of Mathematics, University of Rajasthan, Jaipur 302004, India  
e-mail: [kusum.ydv@gmail.com](mailto:kusum.ydv@gmail.com)

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the complexities involved. A good insight into the topic can be seen in well-presented texts by Wilkinson [37], Schowalter [23], Rajagopal [20], Dunn and Rajagopal [7] and Truesdell and Noll [27]. There have been numerous theoretical studies on flow and thermal characteristics for non-Newtonian fluids. Rajagopal [19] presented a brief note on time-dependent unidirectional flows of a nonlinear fluid. In another paper, Rajagopal [21] discussed creeping second-order fluid flow. Rajagopal and Bhatnagar [17] considered Oldroyd-B fluid and derived exact solutions for some idealized cases. Rajagopal and Srinivasa [18] presented a thermodynamic analysis for differential fluid models. Siddiqui et al. [26] used the HPM solution strategy to examine third-grade fluid flow and heat transfer. Hayat et al. [10] considered magnetohydrodynamic third-grade fluid flow inside a channel. Siddiqui et al. [25] studied third-grade fluid in a parallel channel flow using the Adomian decomposition method. Keimanesh [13] employed the DTM method to investigate third-grade fluid flow trapped between parallel plates. Islam [12] examined a fourth-grade fluid flow considering partial slip. Mokarizadeh et al. [15] investigated thermal aspects of Giesekus viscoelastic Couette-Poiseuille flow. Hatami et al. [9] performed a simulation for third-grade non-Newtonian nanofluid flow in a porous cavity. Siddiqui et al. [24] used an integral method to examine second-grade fluid inside a tube. Rashidi et al. [22] studied entropy for MHD third-grade non-Newtonian fluid flow due to a stretching sheet. Rahbari et al. [16] discussed the thermodynamics of non-Newtonian Maxwell fluid flow inside a channel and solved the system analytically and numerically.

Thermal conductivity shows dependence on temperature and is well documented. Thermal conductivities of liquids and gases behave differently as it is a material property. The literature is vast to report fluid flow for variable thermal conductivities. Vyas and Rai [29, 30] studied the effects of variable thermal conductivity on stretching surface flow and point sink flow configurations. Chiam [6] examined the thermal characteristics of variable thermal conductivity over a linearly stretching sheet. Hossain [11] studied thermal conductivity effects on flow past a wedge. Lin [14] examined Marangoni convection with variable thermal conductivity in pseudo-plastic nanofluids. Asad [1] simulated a couple of stress fluid flow with nonuniform thermal conductivity. Gbadeyan et al. [8] investigated Casson nanofluid slip flow with variable thermal conductivity and subjected it to convective heating.

Every real-world thermo fluidic system encounters thermodynamic irreversibility for the simple reason that all real processes are irreversible. Quantification of thermodynamic irreversibility in thermo fluidics has received attention in recent times for possible optimization and better performance.

Bejan [3, 4] pioneered a study to enumerate entropy in fluidic systems and proposed a formulation facilitating entropy generation minimization by parametric study. The idea was well received by the investigators to report numerous pertinent studies as evident from the available literature. Aziz [2] reported entropy generation in a Couette flow with different thermal boundary conditions.

Vyas and his co-authors reported entropy in various fluidic configurations. Vyas et al. [35] reported micro polar couple stress thermo fluidics and entropy generation in Forchheimer channel. Vyas and Soni [31] reported entropy generation in

Casson MHD fluid flow in a channel experiencing hydrodynamic slip. Vyas and Srivastava [32] examined entropy analysis of generalized MHD Couette flow inside a composite duct with asymmetric convective cooling. Vyas et al. [34] discussed entropy generation analysis for oscillatory flow in a vertical channel filled with a porous medium. Vyas and Yadav [33] analysed entropy generation in mixed convection due to a vertical stretching cylinder placed in a heat-generating fluid-saturated porous medium. Vyas et al. [36] simulated entropy for boundary layer micropolar fluid flow. Vyas and Khan [28] examined entropy analysis for MHD dissipative Casson fluid flow in a porous medium due to a stretching cylinder.

In this paper an attempt has been made to quantify entropy generation for parallel plate channel flow of fourth-grade fluid with temperature-dependent thermal conductivity. The governing boundary value problem has been treated numerically by fourth-order Runge–Kutta scheme substantiated with the shooting method. The idealized setup considered here may be a launching pad for future robust explorations involving rather more complex set-ups. It is expected that the present problem would be a pertinent baby model for initial estimates.

## 2 The Problem

We consider a fully developed steady laminar flow of a fourth-grade non-Newtonian fluid trapped between two isothermal stationary parallel plates distant  $H$  apart and maintained at different temperatures  $T_1$  and  $T_2$ . The flow is caused by a constant pressure gradient applied at the mouth of the channel. A Cartesian coordinate system is chosen. The governing differential equations for the setup are as follows:

$$\mu \frac{d^2u}{dy^2} + 6\beta \left(\frac{du}{dy}\right)^2 \frac{d^2u}{dy^2} = \frac{dp}{dx} \tag{1}$$

$$\frac{d}{dy} \left( \kappa \frac{dT}{dy} \right) + \delta \left(\frac{du}{dy}\right)^2 \left\{ \mu + 2\beta \left(\frac{du}{dy}\right)^2 \right\} - \frac{\partial q_r}{\partial y} = 0 \tag{2}$$

and the boundary conditions are as follows:

$$y = 0: \quad u = 0, \quad T = T_1 \tag{3}$$

$$y = H: \quad u = 0, \quad T = T_2 \tag{4}$$

where  $u$  and  $T$  are the fluid velocity and temperature, respectively,  $\mu$  is the viscosity,  $\beta$  is the non-Newtonian parameter,  $\frac{dp}{dx}$  is the applied pressure gradient (in  $x$ -direction),  $\kappa$  is the thermal conductivity and  $\delta$  is the viscous heating parameter.



Using the Rooseland approximation [5] for radiation, we describe the radiative flux  $q_r$  as follows:

$$q_r = -\frac{4\gamma}{3\alpha} \frac{\partial T^4}{\partial y} \tag{5}$$

where  $\gamma$  and  $\alpha$  are the Stephen–Boltzmann constant and the mean absorption coefficient, respectively. We assume that the temperature difference in the flow domain is sufficiently small enough so that  $T^4$  may be expressed linearly by having truncated Taylor series expansion of  $T^4$  about  $T_1$  to give

$$T^4 \cong 4T_1^3 T - 3T_1^4 \tag{6}$$

Nondimensionalization of the governing boundary value problem is undertaken with the quantities as follows:

$$u^* = \frac{u}{u_0}, \quad \eta = \frac{y}{H}, \quad \theta = \frac{T - T_1}{T_2 - T_1} \tag{7}$$

Further, we assume that the thermal conductivity  $\kappa$  exhibits an inverse linear function of temperature as follows:

$$\frac{1}{\kappa} = \frac{1}{\kappa_1} \{1 + \epsilon (T - T_1)\} \quad \text{or} \quad \frac{1}{\kappa} = \lambda (T - T_k) \tag{8}$$

where  $\kappa_1$  is the reference thermal conductivity,  $\epsilon$  is a constant based on the thermal property of the fluid.

The constants  $T_k = T_1 - \frac{1}{\epsilon}$  and  $\lambda = \frac{\epsilon}{\kappa_1}$  depend upon the reference state and thermal property of the fluid.

Thus the BVP in nondimensional form becomes as follows:

$$\frac{d^2 u^*}{d\eta^2} + 6m \left( \frac{du^*}{d\eta} \right)^2 \frac{d^2 u^*}{d\eta^2} = c \tag{9}$$

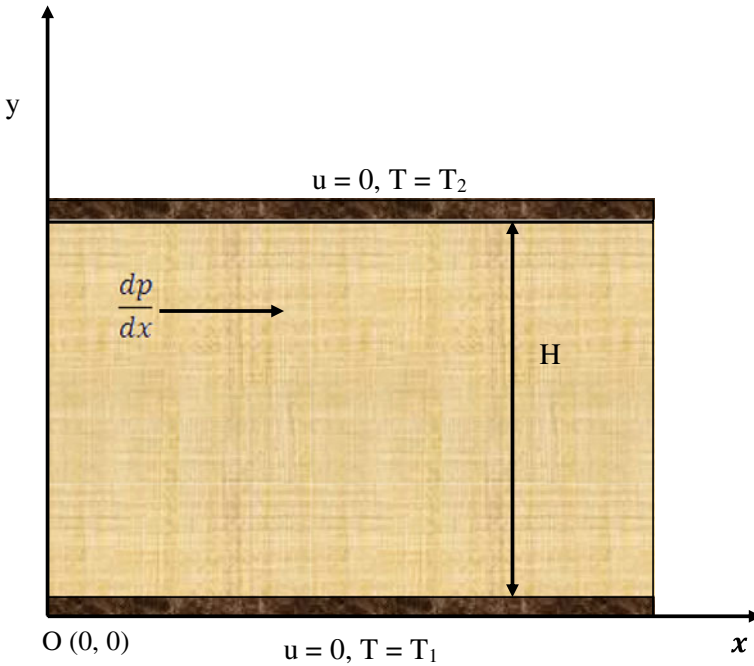
$$\left\{ \left( \frac{\theta_k}{\theta_k - \theta} \right) + N \right\} \frac{d^2 \theta}{d\eta^2} + \frac{\theta_k}{(\theta_k - \theta)^2} \left( \frac{d\theta}{d\eta} \right)^2 + Br \left( \frac{du^*}{d\eta} \right)^2 \left\{ 1 + 2m \left( \frac{du^*}{d\eta} \right)^2 \right\} = 0 \tag{10}$$

$$\eta = 0 : u = 0, \theta \tag{11}$$

$$\eta = 1 : u = 0, \theta = 1 \tag{12}$$

where  $c = \frac{H^2}{\mu u_0} \frac{\partial P}{\partial x}$ ,  $m = \frac{\beta u_0^2}{\mu H^2}$ ,  $Br = \frac{\mu \delta u_0^2}{\kappa_1 (T_2 - T_1)}$ ,  $N = \frac{16\gamma T_1^3}{3\alpha \kappa_1}$ ,

$\theta_k = \frac{T_k - T_1}{T_2 - T_1}$  or  $\theta_k = \frac{-1}{\epsilon(T_2 - T_1)}$  are the pressure gradient parameter, non-Newtonian parameter, Brinkmann number, radiation parameter and the transformed dimensionless reference temperature corresponding to thermal conductivity parameter, respectively.



Schematic diagram

### 3 Solution Technique

The nonlinear BVP, given by Eqs. (9–12), has been solved by fourth-order Runge–Kutta scheme together shooting method. In this method, we first convert the BVP into a system of initial value problems (IVPs). Thereafter, we make appropriate guesses for the unknown quantities such that the given end conditions are satisfied. Estimation of unknown guesses is done on a purely hit and trial basis and their refinement is ensured to attain prescribed accuracy. In the present case, the BVP is reduced to the following system of initial value problems:

$$\frac{du^*}{d\eta} = p \tag{13}$$

$$\frac{d\theta}{d\eta} = q \tag{14}$$

$$\frac{dp}{d\eta} = \frac{c}{(1 + 6mp^2)} \tag{15}$$

$$\frac{dq}{d\eta} = \frac{-(\theta_k - \theta)}{\{\theta_k + N(\theta_k - \theta)\}} \left[ \frac{\theta_k}{(\theta_k - \theta)^2} q^2 + Brp^2(1 + 2mp^2) \right] \tag{16}$$

where initial conditions are

$$u^*(0) = 0, \theta(0) = 0, p(0) = a_0, q(0) = s_0 \tag{17}$$

The above system of initial value problems is solved with some guess values  $s_0$  and  $a_0$  for the unknown quantities  $u^*(0)$  and  $\theta(0)$ , respectively, such that the end conditions  $u^*(1) = 0$  and  $\theta(1) = 1$  are satisfied. If for these guess values, the end conditions are not satisfied then the system of IVPs is again solved with another guess values  $s_1$  and  $a_1$  for  $u^*(0)$  and  $\theta(0)$ , respectively. In order to acquire a faster refinement of estimates for unknowns  $u^*(0)$  and  $\theta(0)$ , the secant method has been applied. The process continued until the end conditions got satisfied up to the prescribed accuracy of magnitude  $10^{-7}$ . Furthermore, a grid independence study was also conducted to a bound on computational error. It revealed that a step size = 0.0001 was sufficient for the purpose. Table 1 presents the optimized guesses for  $\theta'(0)$  for different values of  $\theta_k$ .

The table revealed quantitative variations in the rate of heat transfer at the lower wall with the change in values of  $\theta_k$ .

**Table 1** Missing values of  $u^*(0)$  and  $\theta'(0)$  when  $Br = 5$ ,  $N = 2$ ,  $m = 3$ ,  $c = -1$ ,  $\omega = 3$

$\theta_k$	$u^*(0)$	$\theta'(0)$
1	0.495	4.00
1.2	0.495	0.88425
1.4	0.495	0.77201
1.6	0.495	0.72175
1.8	0.495	0.69228
2	0.495	0.67325
4	0.495	0.61325
6	0.495	0.59930
8	0.495	0.59307
10	0.495	0.58955

### 4 The Entropy Generation Analysis

The local volumetric rate of entropy generation  $S_G$  concerned with the problem is given as follows:

$$S_G = \frac{\kappa}{T_1^2} \left\{ \left( \frac{dT}{dy} \right)^2 + \frac{16\gamma T_1^3}{3\alpha\kappa} \left( \frac{dT}{dy} \right)^2 \right\} + \frac{1}{T_1} \left[ \delta \left( \frac{du}{dy} \right)^2 \left\{ \mu + 2\beta \left( \frac{du}{dy} \right)^2 \right\} \right] \tag{18}$$

We define the characteristic entropy generation rate  $S_{G_0}$  and the characteristic temperature ratio  $\omega$  as follows:

$$S_{G_0} = \frac{\kappa(T_2 - T_1)^2}{T_1^2 H^2} \text{ and } \omega = \frac{T_1}{T_2 - T_1} \tag{19}$$

Consequently, the entropy generation number  $N_S = \frac{S_G}{S_{G_0}}$  is found as follows:

$$N_S = \left\{ 1 + N \left( \frac{\theta_k - \theta}{\theta_k} \right) \right\} \left( \frac{d\theta}{d\eta} \right)^2 + Br\omega \left( \frac{\theta_k - \theta}{\theta_k} \right) \left( \frac{du^*}{d\eta} \right)^2 \left\{ 1 + 2m \left( \frac{du^*}{dy} \right)^2 \right\} \tag{20}$$

$$N_1 + N_2$$

$$\text{where } N_1 = \left\{ 1 + N \left( \frac{\theta_k - \theta}{\theta_k} \right) \right\} \left( \frac{d\theta}{d\eta} \right)^2$$

$$\text{and } N_2 = Br\omega \left( \frac{\theta_k - \theta}{\theta_k} \right) \left( \frac{du^*}{d\eta} \right)^2 \left\{ 1 + 2m \left( \frac{du^*}{dy} \right)^2 \right\} \tag{21}$$

The Bejan number  $Be$  which is an irreversibility parameter is defined as

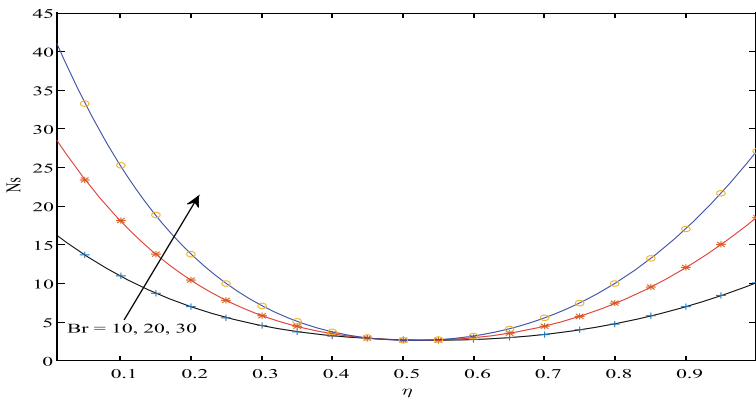
$$Be = \frac{N_1}{N_S} \tag{22}$$

### 5 Result and Discussion

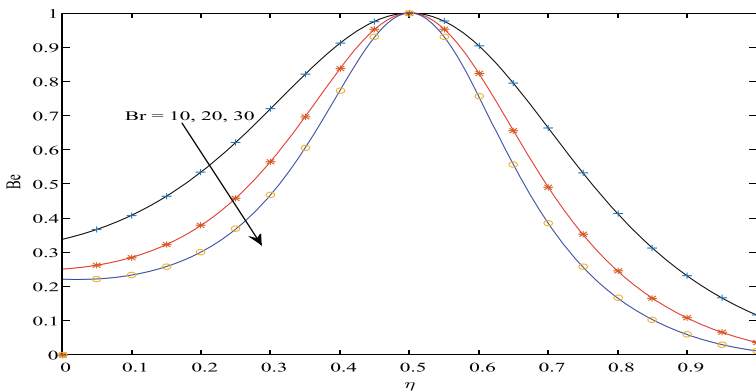
The velocity and temperature regimes are used to compute the entropy generation number  $N_S$ . The profiles of quantities of interest for different values of parameters have been portrayed in Figs. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 and 12.

Before taking up the figure-wise details, we make a remark about Bejan number  $Be$ . The figures transpire that Bejan number  $Be = 1$  at the middle of the channel for any choice of the parameter values. This stands for the situation when there is no irreversibility due to dissipation. Furthermore, the effects of parameters on  $Be$  in the rest of the channel region are well pronounced.

Figure 1 depicts the effect of the Brinkman number on entropy generation number  $N_s$ . It shows that  $N_s$  increases with the increasing values of  $Br$ . The Brinkman number that accounts for dissipation in the system has a defining impact on the entropy generation. It is in line with the expectation since the dissipation effects modify the thermal regime that is reflected in entropy also as dissipation amounts to irrecoverable energy losses. Figure 2 displays that Bejan number  $Be$  across the channel width decays with the increasing values of  $Br$  and it assumes maximum

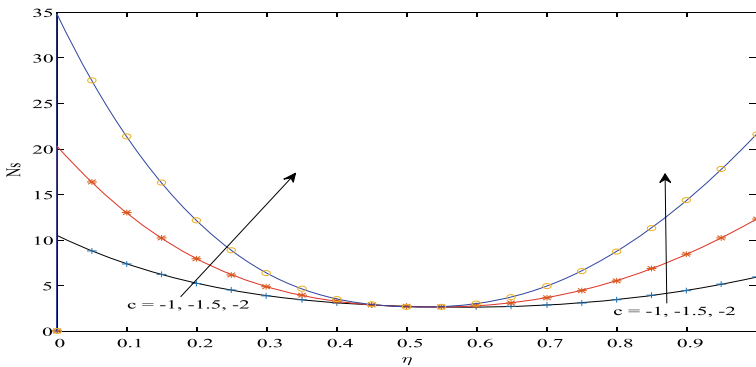


**Fig. 1** Entropy generation number  $N_s$  varying with Brinkman number  $Br$  when  $N = 2, m = 3, c = -1, \theta_k = 5, \omega = 3$

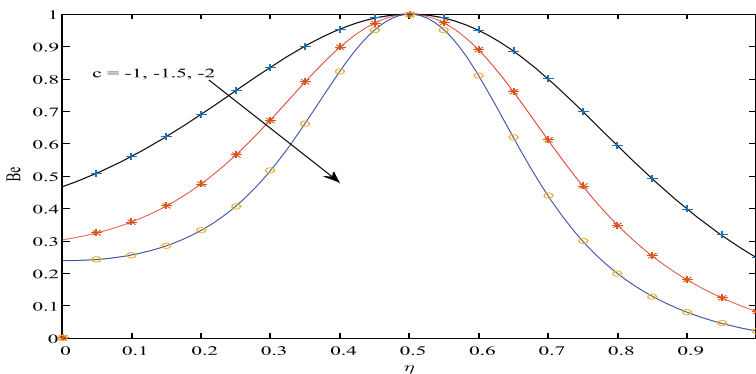


**Fig. 2** Bejan number  $Be$  varying with Brinkman number  $Br$  when  $N = 2, m = 3, c = -1, \theta_k = 5, \omega = 3$

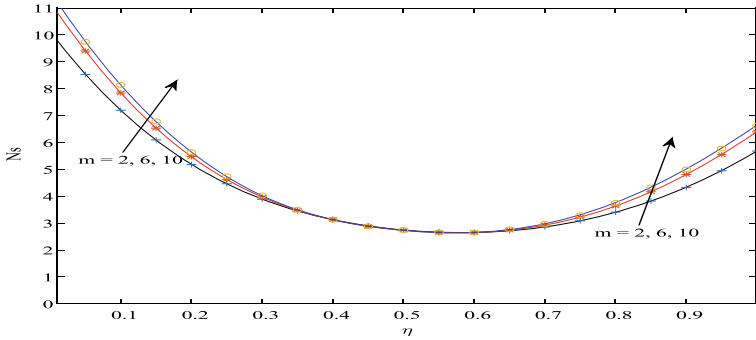
value unity in the middle of the channel. Figure 3 reveals that  $N_s$  increases with an increase in pressure gradient. Figure 4 displays that Bejan number  $Be$  decreases with the increasing values of the pressure gradient. Figure 5 demonstrates that the effect of increasing non-Newtonian parameter  $m$  is to increase  $N_s$ . Figure 6 shows the effect of Newtonian parameter  $m$  on Bejan number  $Be$ . We see that Bejan number  $Be$  decays with an increase in  $m$ . Figure 7 displays that  $N_s$  registers a considerable rise with an increase in radiation parameter  $N$ ; however, the effect of  $N$  on  $Be$  is opposite to the trend in the case of  $N_s$  as is evident from Fig. 8. Figure 9 exhibits that  $N_s$  increases with an increase in characteristic temperature ratio  $\omega$ . Figure 10 shows the opposite trend in  $Be$  as that is seen in Fig. 9. These figures also show the quantitative changes in  $N_s$  in response to different parameters. Figure 11 reveals that the entropy number  $N_s$  decreases with an increase in  $\theta_k$  in the region adjacent to the channel and then the trend is reversed at some spatial distance from the lower wall.



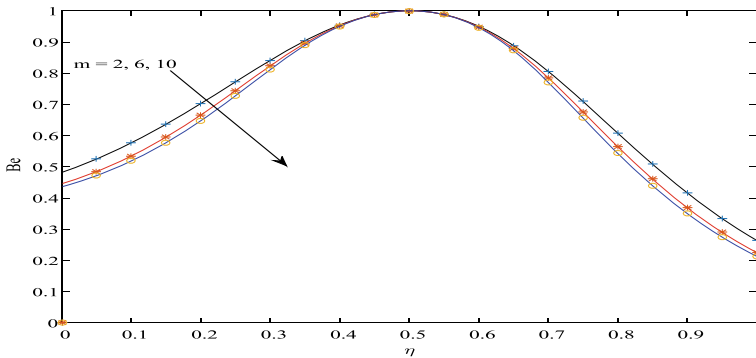
**Fig. 3** Entropy generation number  $N_s$  varying with pressure  $c$  when  $N = 2, m = 3, Br = 5, \theta_k = 5, \omega = 3$



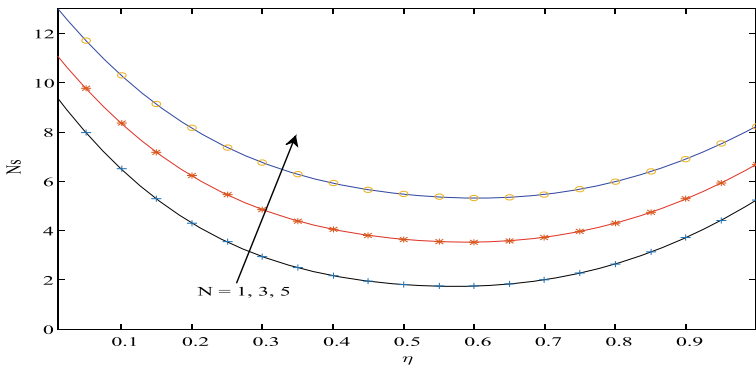
**Fig. 4** Bejan number  $Be$  for varying values of pressure gradient  $c$  when  $N = 2, m = 3, Br = 5, \theta_k = 5, \omega = 3$



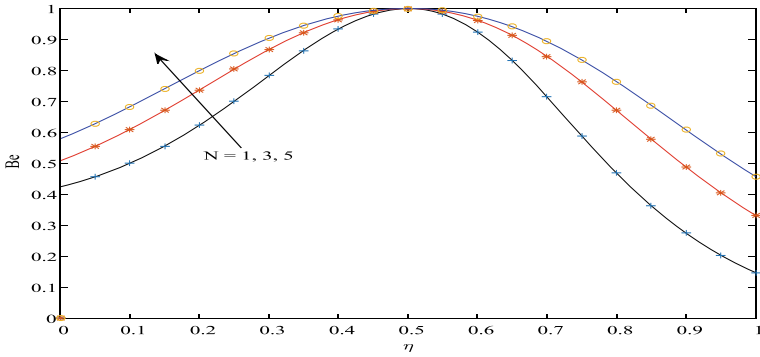
**Fig. 5** Entropy generation number  $N_s$  varying with non-Newtonian parameter  $m$  when  $N = 2, c = -1, Br = 5, \theta_k = 5, \omega = 3$



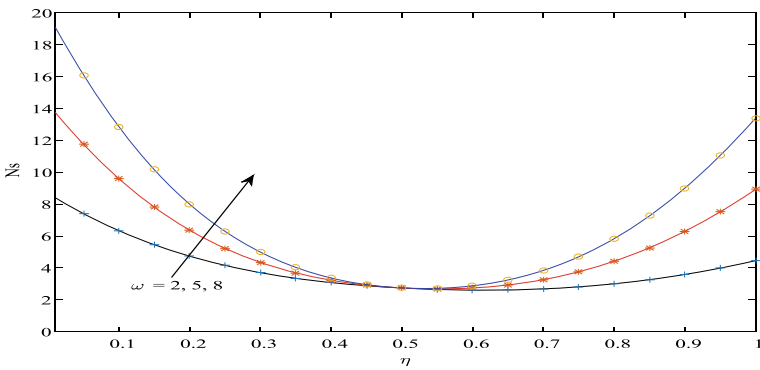
**Fig. 6** Bejan number  $Be$  varying with non-Newtonian parameter  $m$  when  $N = 2, c = -1, Br = 5, \theta_k = 5, \omega = 3$



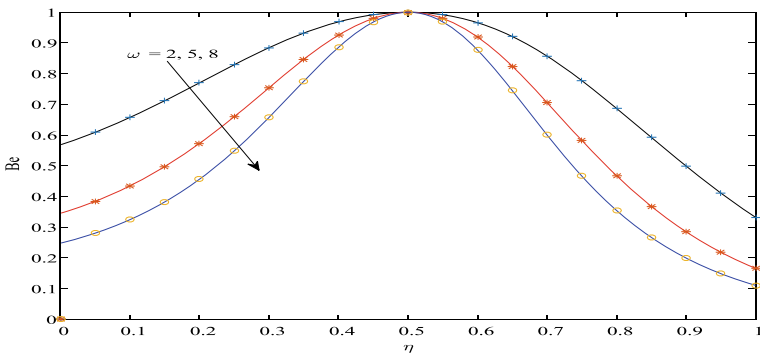
**Fig. 7** Entropy generation number  $N_s$  varying with radiation parameter  $N$  when  $m = 3, c = -1, Br = 5, \theta_k = 5, \omega = 3$



**Fig. 8** Bejan number  $Be$  varying with radiation parameter  $N$  when  $m = 3, c = -1, Br = 5, \theta_k = 5, \omega = 3$

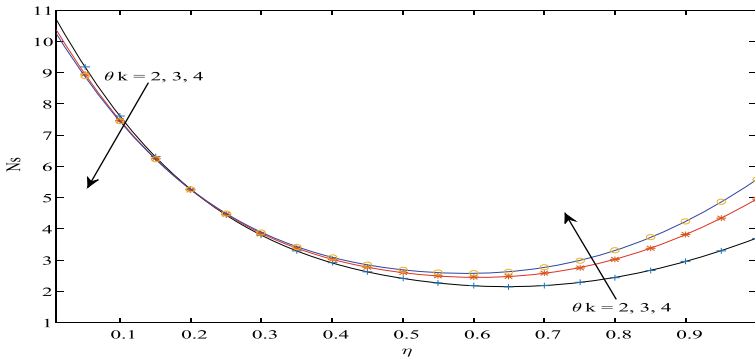


**Fig. 9** Entropy generation number  $N_s$  varying with characteristic ratio  $\omega$  when  $m = 3, c = -1, Br = 5, \theta_k = 5, N = 2$

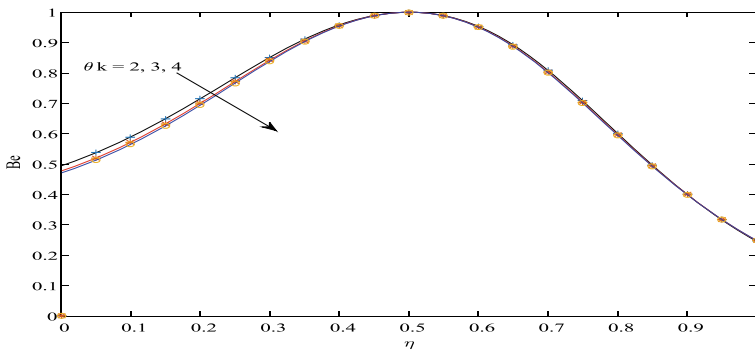


**Fig. 10** Bejan number  $Be$  varying with characteristic ratio  $\omega$  when  $m = 3, c = -1, Br = 5, \theta_k = 5, N = 2$





**Fig. 11** Entropy generation number  $N_s$  varying with reference temperature  $\theta_k$  when  $m = 3, c = -1, Br = 5, \omega = 3, N = 2$



**Fig. 12** Bejan number  $N_s$  varying with reference temperature  $\theta_k$  when  $m = 3, c = -1, Br = 5, \omega = 3, N = 2$

It may be attributed to the rate of heat transfer at the lower wall as depicted in Table 1. Figure 12 shows qualitative variations in  $Be$  for varying values of  $\theta_k$ .

### 6 Conclusion

A fourth-grade fluid flow with variable thermal conductivity in an isothermal parallel plate channel was considered to examine entropy generation. The thermal conductivity was assumed to have an inverse linear dependence on temperature. The BVP solved numerically provided velocity and temperature distributions which were employed to compute entropy. The main conclusions are the following:

1. The  $N_S$  value increases with the increasing values of  $Br$ , pressure gradient, non-Newtonian parameter  $m$ , radiation parameter  $N$  and the characteristic temperature ratio  $\omega$ .
2. Bejan number  $Be$  attains its maximum possible value unity at the centre line of the channel for any choice of the parameter values, and the effects of parameters on Bejan number  $Be$  are well pronounced in the rest of the channel region.
3. There is a quantitative variation in the rate of heat transfer at the lower wall with the changing values of  $\theta_k$ .
4. The idealized setup considered here may be a launching pad for future robust explorations involving rather more complex setups.

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# Solution of Nonlinear Fractional Differential Equation Using New Integral Transform Method



Gomatiben Tailor, Vinod Gill, and Ravi Shanker Dubay

**Abstract** In a recent study, fractional-order nonlinear partial differential equations are solved by using the Elzaki decomposition method (EDM), which is a powerful method for solving fractional differential equations. A few numerical cases are illustrated to examine the efficiency of the proposed technique. The result reveals that the required technique is systematic, clean and can be workable for linear and nonlinear problems.

**Keywords** Fractional differential calculus · Elzaki transform · Adomian polynomial

## 1 Introduction, Background and Preliminaries

At present, many researchers are working on a nonlinear differential equation. They use many methods to find the solution to it. Many analytical and numerical solutions have been found. During the development of nonlinear differential equations many reliable and efficient techniques have evolved. Nonlinear fractional differential equations have their important result in applied mathematics, chemistry, physics and are connected with engineering. Nonlinear differential equation is applied in quantum field theory, plasma physics, nonlinear optics and applied physical science [1–10].

Mathematical modeling is one of the most important tools for researchers. For scientists and researchers, mathematical modeling is the best and most useful method. It can be achieved in a different way. We follow that the modeling in some scientific situation has linear and nonlinear differential equations. Recently, many models have evolved in which fractional differential equation is used. To know more about

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G. Tailor · R. S. Dubay (✉)

Department of Mathematics, AMITY School of Engineering and Technology, AMITY University, Jaipur 302002, Rajasthan, India  
e-mail: [ravimath13@gmail.com](mailto:ravimath13@gmail.com)

V. Gill

Department of Mathematics, Government college, Nalwa(Hisar), Haryana 125037, India

the fractional calculus, we can mention the Miller and Ross [11], Podlubny [12], Kilbas et al. [13], Hilfer [14] and others. Applications at fractional derivatives can be found in [15–22]. So many methods can be found for linear differential equation but very few methods for nonlinear differential equation, like variation iteration method [23], Laplace transform method [24], Homotopy perturbation method [25], Adomian decomposition method [26], Homotopy transform method [27], Homotopy analysis method [28, 29],  $q$ -Homotopy analysis method ( $q$ -HAM) [30], etc.

Out of them the integral transform method is found suitable. In the last two decades, using this method many problems have been solved in the field of applied mathematics, physics and engineering. Integral transformations are very valuable for the simplification they bring, very often to deal with differential equations. The result we got is, of course, the transformation of the solution of the original differential equation, and inverting this conversion is required to complete the process. An integral transform is very useful if it helps one to change a complicated problem into a simpler one. The integral transforms, in particular Laplace transform and Fourier transform are more useful. Also using it for expansion of nonlinear equation is available. Integral transform is more precious because dealing with it is easy. Transform can be chosen from the set of solutions of differential and integral equations such as Laplace transform. By using integral transform complex problems become simple [31–35]. The offered technique is a fantastic blend of homotopy analysis method (HAM) and Laplace transform (LT) operator and has been used fruitfully in the numerical computation of various fractional differential equations (FDEs) [40–44].

Elzaki transform is a very convenient technique for solving linear and nonlinear differential equations [36, 37]. It was introduced by Tarid Elzaki to facilitate the process of solving all differential equations in the time domain [38, 39].

This method is established on an infinite series that converges to an exact solution. In this thesis, we apply the method to get an accurate analytic and approximate solution to the fractional-order equation.

Let us analyze the following nonlinear fractional differential equations:

$$i D_t^\alpha \omega(x, t) + \beta \frac{\partial^2 \omega}{\partial x^2} + v(x) \omega + \gamma |\omega|^2 \omega = 0, \quad (1)$$

with

$$\omega(x, 0) = \chi(x). \quad (2)$$

In Eq. (1)  $v(x)$  is the trapping potential and  $\beta, \gamma$  are real constants.

The structure of this chapter is as follows:

In Sect. 2, the definition and preliminary of fractional calculus and Elzaki transform is given.

In Sect. 3, the methodology of used method is explained.

In Sect. 4, the application of Elzaki transform method is provided.

In Sect. 5, conclusion is given.

## 2 Definition and Preliminary of Fractional Calculus and Elzaki Transform

In this segment, we introduce the definition and theorem of fractional calculus and Elzaki transform and we will show the definition and few properties of fractional derivative [19–23].

**Definition 1** The Riemann–Liouville fractional integral operator of  $z(t) \in C_\mu, \mu \geq -1$ , having order  $\beta > 0$ , is defined below

$$I^\beta [z(t)] = \frac{1}{\Gamma(\beta)} \int_0^t (t - \tau)^{\beta-1} z(\tau) d\tau, \quad \beta > 0, \tag{3}$$

$$I^0 [z(t)] = z(t). \tag{4}$$

**Definition 2** The definition of fractional derivative of the function  $z(t)$  in the Caputo sense is

$$D_t^\beta z(t) = I^{m-\beta} D^m z(t), \\ = \frac{1}{\Gamma(m-\beta)} \int_0^t (t - \tau)^{-\beta+m-1} z^{(m)}(\tau) d\tau, \quad m - 1 < \beta \leq m, \tag{5}$$

where  $m \in N$  and  $t > 0$ .

The property of Caputo fractional derivative operator and Riemann–Liouville fractional integral operator is

$$I_t^\beta D_t^\beta z(t) = z(t) - \sum_{k=0}^{m-1} \frac{z^{(k)}(0^+) t^k}{k!}. \tag{6}$$

**Definition 3** [39] The Elzaki transform defined in  $A = \left\{ |q(\tau)| < N e^{\frac{\tau}{k_1}}, \text{ if } \tau \in (-1)^i \times [0, \infty) \right\}$  is

$$E [q(\tau)] = \rho \int_0^\infty q(\tau) e^{-\frac{\tau}{\rho}} d\tau = Q(p), \quad \tilde{n} \geq 0, k_1 \leq \rho \leq k_2. \tag{7}$$

**Definition 4** If  $\tilde{n} - 1 < \sigma \leq \tilde{n}, \tilde{n} \in N$  then the new integral transform (NIT) of the fractional derivative  $D_*^\sigma q(\xi, \tau)$  is

$$E [D_*^\sigma q(\xi, \tau)] = \frac{Q(\xi, \rho)}{\rho^\sigma} - \sum_{k=0}^{\tilde{n}-1} \rho^{k-\sigma+2} q^{(k)}(\xi, 0), \quad \tilde{n} - 1 < \sigma \leq \tilde{n}, \tag{8}$$

where  $Q(\xi, \rho)$  be the NIT of  $q(\xi, \tau)$

### 3 Methodology of New Integral Transform [NIT]

In this part, we introduce the methodology of new integral transform algorithm for nonlinear fractional differential equation (1).

Rewrite Eq. (1) as

$$D_t^\alpha \omega(x, t) = i \left[ \beta \frac{\partial^2 \omega}{\partial x^2} + v(x)\omega + \gamma|\omega|^2 \omega \right], \quad \alpha > 0. \tag{9}$$

Applying the Elzaki transform to both parties of Eq. (9)

$$E (D_t^\alpha \omega(x, t)) = i E \left[ \beta \frac{\partial^2 \omega}{\partial x^2} + v(x)\omega + \gamma|\omega|^2 \omega \right], \quad \alpha > 0. \tag{10}$$

Applying the property of the Elzaki transform, we get

$$\frac{W(\xi, \rho)}{\rho^\alpha} - \sum_{k=0}^{r-1} \rho^{-\alpha+k+2} h^{(k)}(\xi, 0) = i E \left[ \beta \frac{\partial^2 \omega}{\partial x^2} + v(x)\omega + \gamma|\omega|^2 \omega \right], \quad \alpha > 0. \tag{11}$$

Using given initial condition in Eq. (2), we get

$$W(x, \rho) = \rho^2 \chi(x) + i \rho^\alpha E \left[ \beta \frac{\partial^2 \omega}{\partial x^2} + v(x)\omega + \gamma|\omega|^2 \omega \right], \quad \alpha > 0. \tag{12}$$

The result of the new integral decomposition method gives the solution  $\omega(x, t)$  in sequence [33–36]

$$\omega(x, t) = \sum_{r=0}^{\infty} \omega_r(x, t). \tag{13}$$

The nonlinear term can be written as the first Adomian polynomials, and we get [39–42]

$$E \left[ \sum_{r=0}^{\infty} \omega_r(x, t) \right] = \rho^2 \chi(x) + i \rho^\alpha E \left[ \beta \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + v(x) \sum_{r=0}^{\infty} \omega_r(x, t) + \gamma \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right]. \tag{14}$$

Finally, we obtain the repeated algorithm as follows:

$$E [\omega_0(x, t)] = \rho^2 \chi(x). \tag{15}$$

$$E [\omega_{r+1}(x, t)] = i \rho^\alpha E \left[ \beta \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + v(x) \sum_{r=0}^{\infty} \omega_r(x, t) + \gamma \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right]. \tag{16}$$

Applying inverse Elzaki transform of Eqs. (15) and (16), we obtain

$$\omega_0(x, t) = E^{-1}[\rho^2 \chi(x)]. \tag{17}$$

$$\begin{aligned} &\omega_{r+1}(x, t) \\ &= E^{-1} \left[ i\rho^\alpha E \left[ \beta \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + v(x) \sum_{r=0}^{\infty} \omega_r(x, t) + \gamma \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right] \right]. \end{aligned} \tag{18}$$

Now, first applying the Elzaki transform of the term on the right-hand side of Eq. (18) and then applying inverse Elzaki transform, we get the value of  $\omega_1, \omega_2, \omega_3, \dots, \omega_n$ . And from Eq. (13) we get the required solution.

### 4 Application of Elzaki Transform Method

In this section, we will use the new integral transform method for solving nonlinear fractional differential equation.

**Example 1** Consider nonlinear differential equation as

$$iD_t^\alpha \omega(x, t) + \frac{1}{2} \frac{\partial^2 \omega}{\partial x^2} + |\omega|^2 \omega = 0, \quad t > 0, 0 < \alpha \leq 1. \tag{19}$$

subject to the initial condition,

$$\omega(x, 0) = e^{ix}. \tag{20}$$

Equation (19) can be written in the following form:

$$D_t^\alpha \omega(x, t) = i \left[ \frac{1}{2} \frac{\partial^2 \omega}{\partial x^2} + |\omega|^2 \omega \right], \quad t > 0, 0 < \alpha \leq 1. \tag{21}$$

Applying the Elzaki transform on both the parties in Eq. (21), we get

$$W(x, \rho) = \rho^2 \omega(x, 0) + i\rho^\alpha E \left[ \frac{1}{2} \frac{\partial^2 \omega}{\partial x^2} + |\omega|^2 \omega \right]. \tag{22}$$

Using the given initial condition Eq. (20) becomes

$$W(x, \rho) = \rho^2 e^{ix} + i\rho^\alpha E \left[ \frac{1}{2} \frac{\partial^2 \omega}{\partial x^2} + |\omega|^2 \omega \right]. \tag{23}$$

For the nonlinear term, in the first Adomian polynomial, we obtain



$$\begin{aligned} & \sum_{r=0}^{\infty} \omega_r(x, t) \\ &= \rho^2 e^{ix} + i\rho^\alpha E \left[ \frac{1}{2} \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right]. \end{aligned} \tag{24}$$

Finally, using two parties of Eq. (24), we get the repeated algorithm as follows:

$$W_0(x, \rho) = \rho^2 e^{ix}. \tag{25}$$

and

$$W_{r+1}(x, \rho) = i\rho^\alpha E \left[ \frac{1}{2} \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + \gamma \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right]. \tag{26}$$

Applying the inverse Elzaki transform in Eqs. (25) and (26), we obtain

$$\omega_0(x, t) = e^{ix}. \tag{27}$$

$$\begin{aligned} & \omega_{r+1}(x, t) \\ &= E^{-1} \left[ i\rho^\alpha E \left[ \frac{1}{2} \sum_{r=0}^{\infty} \omega_{rxx}(x, t) + \gamma \left| \sum_{r=0}^{\infty} \omega_r(x, t) \right|^2 \sum_{r=0}^{\infty} \omega_r(x, t) \right] \right]. \end{aligned} \tag{28}$$

Consequently,

$$\omega_1 = \frac{i}{2} e^{ix} \frac{t^\alpha}{\Gamma(\alpha + 1)}, \tag{29}$$

and

$$\omega_2 = -\frac{1}{4} e^{ix} \frac{t^{2\alpha}}{\Gamma(2\alpha + 1)}, \tag{30}$$

and so on. Using these results we obtain the solution of Eq. (19) as

$$\omega = \omega_0 + \omega_1 + \omega_2 + \dots$$

**Example 2** Consider a nonlinear differential equation:

$$D_t^\alpha \omega(x, t) = 0.1 - \omega(t) + 0.8\omega^2(t), \quad t > 0, 0 < \alpha \leq 1 \tag{31}$$

subject to the initial condition

$$\omega(x, 0) = 0. \tag{32}$$

Applying the Elzaki transform on both the parties in Eq. (31), we get

$$W(x, \rho) = \rho^2 \omega(x, 0) + \rho^\alpha E[0.1 - \omega(x, t) + 0.8 \omega^2(x, t)] \tag{33}$$

Using the initial condition in Eq. (32), we get

$$W(x, \rho) = \rho^\alpha E[0.1 - \omega(x, t) + 0.8 \omega^2(x, t)]. \tag{34}$$

For the nonlinear term, in the first Adomian polynomial, we obtain

$$\sum_{r=0}^{\infty} \omega_r(x, t) = \rho^\alpha E[0.1 - \sum_{r=0}^{\infty} \omega_r(x, t) + 0.8 [\sum_{r=0}^{\infty} \omega_r(x, t)]^2]. \tag{35}$$

Finally using the two parties of Eq. (35), we get the repeated algorithm as follows:

$$W_0(x, \rho) = \rho^\alpha E [0.1]. \tag{36}$$

$$W_{r+1}(x, \rho) = \rho^\alpha E \left[ 0.1 - \sum_{r=0}^{\infty} \omega_r(x, t) + 0.8 \left( \sum_{r=0}^{\infty} \omega_r(x, t) \right)^2 \right]. \tag{37}$$

Applying the inverse Elzaki transform in Eqs. (38) and (39), we obtain

$$\omega_0 = 0.1 \frac{t^\alpha}{\Gamma(\alpha + 1)}, \tag{38}$$

$$\omega_{r+1}(x, t) = E^{-1} \left[ \rho^\alpha E \left[ - \sum_{r=0}^{\infty} \omega_r(x, t) + 0.8 \left[ \sum_{r=0}^{\infty} \omega_r(x, t) \right]^2 \right] \right]. \tag{39}$$

Consequently,

$$\omega_0 = 0.1 \frac{t^\alpha}{\Gamma(\alpha + 1)}, \tag{40}$$

$$\omega_2 = -0.1 \frac{t^{2\alpha}}{\Gamma(2\alpha + 1)} + 0.8 \frac{\Gamma(2\alpha + 1)}{(\Gamma(\alpha + 1))^2} \frac{t^{3\alpha}}{\Gamma(3\alpha + 1)}, \tag{41}$$

and so on. Using these results we get the solution of Eq. (31) as

$$\omega = \omega_0 + \omega_1 + \omega_2 + \dots$$

**Example 3** Consider a nonlinear differential equation:

$$D_t^\alpha \omega(x, t) + \omega(x, t)\omega_x(x, t) = x + xt^2, \quad t > 0, 0 < \alpha \leq 1 \tag{42}$$

subject to the initial condition

$$\omega(x, 0) = 0. \tag{43}$$

Equation (42) can be written in the following form:

$$D_t^\alpha \omega(x, t) = x + xt^2 - \omega(x, t)\omega_x(x, t), \quad t > 0, 0 < \alpha \leq 1. \tag{44}$$

Applying the Elzaki transform in Eq. (42), we get

$$W(x, \rho) = \rho^2 \omega(x, 0) + \rho^\alpha E (x + xt^2) - \rho^\alpha E [\omega(x, t)\omega_x(x, t)]. \tag{45}$$

By using the method of NIT, we get

$$W(x, \rho) = \rho^\alpha E (x + xt^2) - \rho^\alpha E [\omega(x, t)\omega_x(x, t)]. \tag{46}$$

For the nonlinear term, in the first Adomian polynomial, we obtain

$$\sum_{r=0}^{\infty} W_r(x, \rho) = \rho^\alpha E (x + xt^2) + \rho^\alpha E \left[ \sum_{r=0}^{\infty} \omega_r(x, t) \sum_{r=0}^{\infty} \omega_{rx}(x, t) \right]. \tag{47}$$

Finally using the two parties of Eq. (47), we get

$$W_0(x, \rho) = \rho^\alpha E (x + xt^2). \tag{48}$$

$$W_{r+1}(x, \rho) = \rho^\alpha E \left[ \sum_{r=0}^{\infty} \omega_r(x, t) \sum_{r=0}^{\infty} \omega_{rx}(x, t) \right]. \tag{49}$$

Applying the inverse Elzaki transform in Eqs. (48) and (49), we obtain

$$\omega_0(x, t) = E^{-1} [\rho^\alpha E (x + xt^2)]. \tag{50}$$

$$\omega_{r+1}(x, t) = E^{-1} \left( \rho^\alpha E \left[ \sum_{r=0}^{\infty} \omega_r(x, t) \sum_{r=0}^{\infty} \omega_{rx}(x, t) \right] \right). \tag{51}$$

Consequently,

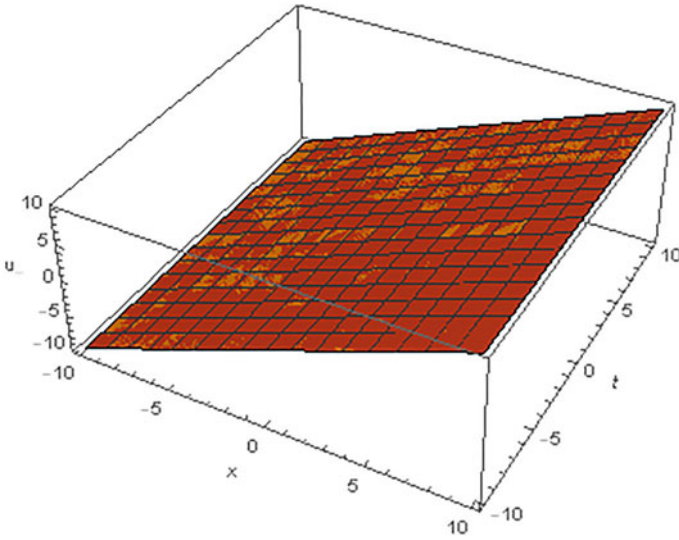


Fig. 1 The graph of  $\omega(x, t)$  for example-3

$$\omega_0 = x \frac{t^\alpha}{\Gamma(\alpha + 1)} + x \frac{2t^{\alpha+2}}{\Gamma(\alpha + 3)}, \tag{52}$$

$$\omega_1 = - \left[ x \frac{\Gamma(2\alpha+1)}{[\Gamma(\alpha+1)]^2} \frac{t^{3\alpha}}{\Gamma(3\alpha+1)} + 4x \frac{\Gamma(2\alpha+3)}{\Gamma(\alpha+1)\Gamma(\alpha+3)} \frac{t^{3\alpha+2}}{\Gamma(3\alpha+3)} + 4x \frac{\Gamma(2\alpha+5)}{[\Gamma(\alpha+3)]^2} \frac{t^{3\alpha+4}}{\Gamma(3\alpha+5)} \right], \tag{53}$$

and so on. On using the obtained results, we get the solution of Eq. (42) as the following equation.  $\omega = \omega_0 + \omega_1 + \omega_2 + \dots$

### 5 Conclusion

New integral transform method is used to find solution of fractional differential equation. This method is remarkable and very precious for the fractional differential equations which is successfully applied to find the solution of the nonlinear fractional differential equations. Also this method gives very efficient results. In conclusion, the Elzaki transformation can be seen as a proper improvement of the existing numerical techniques and may find wide applications. Graphs are also demonstrated for the solution of some examples.

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